Introduction to
Naval Architecture
Introduction to
Naval Architecture
Fourth Edition

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Preface to the fourth edition

The changes in this edition, compared with the third edition, published in 2000, reflect the feedback received from those using the book. They include a general revision of the arrangement of the text and take account of the continuing advances in our knowledge in the field of naval architecture and the way naval architects approach their work. There is greater emphasis on the work of national and international regulatory organizations and of the classification societies. Safety and environmental pollution receive more attention in line with the growing public concerns in these matters and their impact upon ship design and operation, for instance, the double hull tankers. In the areas of manoeuvring, directional stability and vibration some of the mathematics has been replaced by a physical explanation of the phenomena concerned. The discussion on different ship types has been made broader reflecting the greater diversity of designs within any one ship type.

Although some maritime authorities still use the old units, SI units are now almost universal. Those who do not use them every day are generally familiar with them and for these reasons only SI units are used in the main text. To assist those who may wish to consult data in the old form conversion tables are given in an appendix. As a special case, and because of the importance of the early work in ship resistance, the reader is introduced to the Froude notation in another appendix.

It is hoped that these changes will make the book more suitable for those who need only a relatively simple introduction to naval architecture and will provide a better understanding for those students who do not find mathematical equations easy to interpret. In any case the mathematics cannot, in a book at this level, be rigorous. Even with advanced texts and research papers, simplifying assumptions are often necessary. For instance, problems are often treated as linear when, in reality, many aspects of a ship’s behaviour are non-linear. The book should also help an experienced person refer quickly to the main factors to be considered in common situations.

As in so many areas of modern life the computer is becoming an ever more powerful tool. More has been said upon the part it plays in the
design, production and operation of ships but it would be unrealistic to attempt any detailed discussion of the many programs available to the naval architect. These programs are changing rapidly and the student is referred to the regular CAD/CAM reviews and updates which appear in the journals of the learned societies. The use of spreadsheets for many of the repetitive calculations is illustrated. Solutions to the questions are available from the Elsevier website. Appendix E presents a range of questions based on each chapter of the book for use by students and lecturers, who may choose to set the questions as homework or self-study exercises. See Appendix E for further information.

References have been updated to help the reader follow up, in more detail, the latest developments in naval architecture. This aim of keeping up to date, however, is best achieved by joining one of the learned societies, which usually allow free, or much reduced cost, membership for students.

Recognizing the increasing amount of information becoming available on the Internet, the opportunity has been taken to include some useful web site addresses. As an example the web site for Elsevier Butterworth-Heinemann would be given as (http://books.elsevier.com). Many other useful sites can be gleaned from the technical press. The student is encouraged to use these sources of data but they need some fundamental knowledge of the subject before they can be used intelligently. It is hoped this book provides that understanding.
Dedicated to
Will, George, Phoebe and Millie
Acknowledgements

Many of the figures and most of the worked examples in this book are from Muckle’s Naval Architecture which is the work this volume replaced. A number of figures are taken from the publications of the Royal Institution of Naval Architects. They are reproduced by kind permission of the Institution and those concerned are indicated in the captions. I am very grateful to my son, Simon, for his assistance in producing the new illustrations.
1 Introduction

SHIPS

Ships are still vital to the economy of many countries and they still carry some 95 per cent of world trade. In 1998 the world’s cargo fleet totalled some 775 million tonnes deadweight and was increasing by 2 per cent a year (Parker, 1998). The average deadweight was about 17 000. Although aircraft have displaced the transatlantic liner, ships still carry large numbers of people on pleasure cruises and on the multiplicity of ferries in all areas of the globe. Ships, and other marine structures, are needed to exploit the riches of the deep.

Although one of the oldest forms of transport, ships, their equipment and their function, are subject to constant evolution. Changes are driven by changing patterns of world trade, by social pressures, by technological improvements in materials, construction techniques and control systems, and by pressure of economics. As an example, technology now provides the ability to build much larger, faster, ships and these are adopted to gain the economic advantages they can confer.

A feature of many new designs is the variation in form of ships intended for relatively conventional tasks. This is for reasons of efficiency and has been made possible by the advanced analysis methods available, which enable unorthodox shapes to be adopted with confidence in their performance. The naval architect is less tied to following a type ship. In the same way means of propulsion and steering are tailored to suit the hull form and conditions of service, and they will be closely integrated one with the other.

NAVAL ARCHITECTURE AND THE NAVAL ARCHITECT

Before going further, and to set the scene for this book, it is necessary to ask:

- What is naval architecture?
- What is required of a naval architect?
The full answer to each question is complex but, in essence, one can say:

• Naval architecture is the science of making a ship ‘fit for purpose’.
• A naval architect is an engineer competent in naval architecture.

It remains to see what is meant by ‘ship’ and ‘fit for purpose’.

The ship

This term must be interpreted broadly and can refer to any structure floating in water. It is usually self-propelled but some, for instance, dumb barges and some offshore structures rely on tugs to move them. Others rely on the wind. Marine structures, such as harbour installations, are the province of the civil engineer.

The purpose of a merchant ship is to carry goods, perhaps people, safely across water. That of a warship is the support of government policy in the international field. Let us concentrate on the merchant ship. In ordering a new vessel the owner will have in mind, inter alia:

• a certain cargo;
• a certain volume of cargo to be carried on each voyage;
• a range of ports from which the ship will operate;
• an average journey time.

Each requirement will have an impact upon the ship design:

• The type of cargo may be able to be carried in bulk or may require packaging; it may be hazardous or it may require a special on-board environment.
• The volume of cargo will be the major factor in determining the size of the ship. An additional factor may be the need to move the cargo in discreet units of a specified size and weight.
• The ports, plus any rivers and canals to be negotiated, may place restrictions on the overall dimensions of the vessel. Depending on the port facilities the ship may have to provide more, or less, cargo handling equipment on board. The ports will also dictate the ocean areas to be traversed and hence the sea and weather conditions likely to be encountered.
• Ship schedules will dictate the speed and hence the installed power. They may point to desirable intervals between maintenance periods.
Fit for purpose

To be fit for purpose a ship must cater for the above and be able to operate safely and reliably. There are many national and international rules and regulations to be met. Briefly the ship must:

- Float upright with enough watertight volume above the waterline to cope with waves and accidental flooding.
- Have adequate stability to cope with operational upsetting moments and to withstand a specified degree of flooding following damage. It must not be so stable that motions become unpleasant.
- Be able to maintain the desired speed in the sea conditions it is likely to meet.
- Be strong enough to withstand the loads it will experience in service.
- Be capable of moving in a controlled way in response to movements of control surfaces; to follow a given course or manoeuvre in confined waters.
- Not respond too violently to waves.

The ship must do all this economically, safely, reliably and with the minimum size of crew. The list of contents shows that this book deals with these matters in turn. The knowledge gained is brought together in discussing the design process and the different ship types that emerge from an application of a common set of principles. The design should be flexible because requirements are likely to change over the long life expected of ships. History shows that the most highly regarded ships have been those able to adapt with time.

Variety

Naval architecture is a fascinating and demanding discipline. It is fascinating because of the variety of floating structures and the many compromises necessary to achieve the most effective product. It is demanding because a ship is a very large capital investment and because of the need to protect the people on board and the marine environment.

A visit to a busy port reveals the variety of forms a ship may take. This is due to the different demands on them and the conditions under which they operate. There are fishing vessels ranging from the small local boat operating by day to the ocean going ships with facilities to deep freeze their catches. There are vessels for exploitation of undersea energy sources, gas and oil, and extraction of minerals. There are oil tankers, ranging from small coastal vessels to giant supertankers. Other huge ships carry bulk cargoes such as grain, coal or iron ore. Ferries carry passengers between ports which may be only a few kilometres or a hundred
apart. There are tugs for shepherding ships in port or for trans-ocean towing. Then there are dredgers, lighters and pilot boats without which a port could not function. In a naval port there will be warships ranging from huge aircraft carriers through cruisers and destroyers to frigates, patrol boats, mine countermeasure vessels and submarines.

Increasingly naval architects are involved in the design of small craft such as yachts and motor cruisers. This reflects partly the much greater number of small craft, mostly for leisure activities; partly the increased regulation to which they are subject requiring a professional input and partly the increasingly advanced methods used in their design and new materials in their construction. However, in spite of the increasingly scientific approach the design of small craft still involves a great deal of ‘art’. Many of the craft are beautiful with graceful lines and lavishly appointed interiors. The craftsmanship needed for their construction is of the highest order.

Over the last half century many naval architects have become involved in offshore engineering – the exploration for, and production of, oil and gas. Their expertise has been needed for the design of the rigs and the many supporting vessels, including manned and unmanned subs-mersibles which are increasingly used for maintenance. This involvement will continue as the riches of the ocean and ocean bed are exploited more in the future.

For ships themselves there is considerable variety in hull form. Much of this book is devoted to single hull, displacement forms which rely upon displacing water to support their full weight. In some applications, particularly for fast ferries, multiple hulls are preferred because they provide large deck areas with good stability without excessive length. Catamarans have been built in large numbers. The idea is far from new as many societies have made use of outriggers to provide increased safety. As early as the 1870s two twin hull ships of 90 m length were used on the cross channel route between Dover and Calais. Although overtaken by other developments both ships had good reputations for seakeeping. More recently trimaran and pentamaran designs have been proposed and the Triton, a trimaran demonstrator, has been very successful on trials.

In planing craft high speeds may be achieved by using dynamic forces to support part of the weight when under way. Surface effect ships use air cushions to support the weight of the craft, lifting it clear of the water. This is particularly useful in navigating areas with sand banks and in providing an amphibious capability. Hydrofoil craft rely on hydrodynamic forces on submerged foils under the hull to lift the main part of the craft above the waves. Other craft, particularly on rivers in Russia, lift is gained by the so-called wing-in-ground effect (WIG). There are, of course, many examples of hybrid craft incorporating several of the above features.
Some of the more specialized craft are dealt with in a little more detail in the chapter on ship types.

Variety is not limited to appearance and function. Different materials are used – steel, wood, aluminium, reinforced plastics of various types and concrete. The propulsion system used to drive the craft through the water may be the wind but for most large craft is some form of mechanical propulsion. The driving power may be generated by diesels, steam or gas turbine, some form of fuel cell or a combination of these. Power will be transmitted to the propulsion device through mechanical or hydraulic gearing or by using electric generators and motors as intermediaries. The propulsor itself is usually some form of propeller, perhaps ducted, but may be water or air jet. There will be many other systems on board, such as means of manoeuvring the ship, electric power generation, hydraulic power for winches and other cargo handling systems, and so on.

A ship can be a veritable floating township of several thousand people remaining at sea for several weeks. It needs electrics, air conditioning, sewage treatment plant, galleys, bakeries, shops, restaurants, cinemas and other leisure facilities. All these, and the general layout must be arranged so that the ship can carry out its intended tasks efficiently. The naval architect has not only the problems of the building and town designer but a ship must float, move, be capable of surviving in a very rough environment and withstand a reasonable level of accident. It is the naval architect who ‘orchestrates’ the design, calling upon the expertise of many other professions in achieving the best compromise between many, often conflicting, requirements. The profession of naval architecture is not only engineering, it is an art as well. The art is in getting a design that is aesthetically pleasing and able to carry out its function with maximum effectiveness, efficiency and economy. The naval architect’s task is not limited to the design of ships but extends into their building and upkeep. These latter aspects are not covered in any detail in this book.

Naval architecture is a demanding profession because a ship is a major capital investment taking many years to create and expected to remain in service for 25 years or more. It is usually part of a larger transport system and must be properly integrated with the other elements of the overall system. A prime example of this is the container ship. Goods are placed in containers at the factory. These containers are of standard dimensions and are taken by road, or rail, to a port with specialized handling equipment where they are loaded on board. At the port of destination they are off-loaded on to land transport. The use of containers means that ships need spend far less time in port loading and unloading and the cargoes are more secure. Port fees are reduced and the ship is used more productively.

Most important is the safety of ship, crew and, increasingly nowadays, the environment. The design must be safe for normal operations and
not be unduly vulnerable to mishandling or accident. No ship can be absolutely safe and a designer must take conscious decisions as to the level of risk judged acceptable in the full range of scenarios in which the ship can expect to find itself. There will always be a possibility that the conditions catered for will be exceeded. The risk of this and the potential consequences must be assessed and only accepted if they are judged unavoidable or acceptable. Acceptable, that is, by the owner, operator and the general public and not least by the designer who has ultimate responsibility. Even where errors on the part of others have caused an accident the designer should have considered such a possibility and taken steps to minimize the consequences. For instance, in the event of collision the ship must have a good chance of surviving or, at least, of remaining afloat long enough for passengers to be taken off safely. This brings with it the need for a whole range of life saving equipment. The heavy loss of life in the sinking of several ferries in the closing years of the 20th Century show what can happen when things go wrong.

Cargo ships may carry materials which would damage the environment if released. The consequences of large oil spillages are reported all too often. Other chemicals pose even greater threats. In the case of ferries, the lorries on board may carry dangerous loads. Clearly those who design, construct and operate ships have a great responsibility to the community at large. If they fail to live up to the standards expected of them they are likely to be called to account. Over the years the safety of life and cargo has prompted governments to lay down certain conditions that must be met by ships flying their flag, or using their ports. Because shipping is world wide there are also international rules to be obeyed.

It will be clear from what has been said above, that naval architects must work closely with those who build, maintain and operate the ships they design. This need for teamwork and the need for each player to understand the others’ needs and problems, are the themes of a book published by The Nautical Institute in 1999.

THE IMPACT OF COMPUTERS

Computers have made a great impact upon the lives of everybody. They have had considerable impact upon the design, production and operation of ships. Their impact is felt in a number of ways:

(1) Individual calculations are possible which otherwise could not be undertaken. For instance, ship motion predictions by theory and the use of finite element analysis for structural strength. Design optimization techniques are increasingly being proposed and developed.
A number of programs can be combined to form a computer-aided design system where the output from one program provides a direct input into others. Revisions of the database as the design develops can be used to update automatically the results of calculations carried out earlier. Thus changes in scantlings occasioned by the strength calculations can update displacement and stability estimates. The end result of the hull fairing process leads to a tape which can be supplied to the shipbuilder instead of the lines plan and table of offsets.

More data is immediately available to the designer to assist in decision-making.

Many more design options can be studied and compared and these can be at an earlier stage in design and in greater detail.

Simulations can be produced of what the finished ship will look like, internally as well as externally. These can be used instead of mock-ups to assist in achieving efficient layouts. The colours and textures of different materials can be shown. An owner can effectively be taken for a walk through his ship before it leaves the drawing board (Thornton, 1992).

In production the computer can help with routine matters like stock control. It can control cutting and welding machines ensuring greater accuracy of fit and facilitating more extensive pre-fabrication and reducing built-in stress levels.

On board it can control machinery and monitor its performance to give early warning of incipient failure.

It can help the command with decision-making. For instance, it can advise on loading sequences to eliminate the possibility of overloading the structure. It can assist warship captains when under enemy attack by suggesting the optimum actions to take in defence.

Computer-based simulators can assist in training navigators, machinery controllers and so on.

It is hoped that these few paragraphs have shown that naval architecture can be interesting and rewarding. An example of the variety and interest to be found in the profession can be obtained by reading the memoirs of an eminent naval architect, Marshall Meek (2003). The various topics mentioned above are discussed in more detail in later chapters where the fundamental aspects of the subject are covered. The references given at the end of the book, arranged by chapter, indicate sources of further reading for following up specific topics. A more advanced general textbook, for instance by Rawson and Tupper (2001), can be consulted if desired. This has many more references, together with worked and set examples, to assist the interested reader. For comments on sources and references see Appendix A.
This chapter sets the scene for the rest of the book. By discussing the design of ships in general terms the importance of their various attributes becomes clear. Those which are the concern of the naval architect are then dealt with in more detail in later chapters.

A modern ship is very expensive to build and is expected to operate efficiently over a long time span, often in excess of 25 years. Unlike other forms of transport there are no prototypes. Even with a class of ships, the first of that class is expected to be commercially useful from the date of acceptance into service. This places a great responsibility on the designer to ‘get things right’. In the early days of design it is relatively easy, quick and cheap to introduce changes. Thus time spent early on in looking at a wide range of options is time well spent. It is, of course, for the prospective owner to say what is needed so the starting point is a good set of requirements.

THE REQUIREMENTS

A set of well specified requirements will define the operational capabilities a ship should possess. Thus capabilities might be the ability to maintain a speed of 20 knots in the average sea conditions it is likely to meet on its usual service run; the ability to carry 500 standard containers or the ability to carry 1000 passengers. The statement of requirements should be couched in operational terms as that represents the concern of the operator and the requirements should be as clear as possible. Unless they are, there is no yardstick by which it can be judged whether the needs have been met. This is a weak contractual position, besides making life more difficult for the designer. As far as possible, how the capability is provided should be left to the designer. Thus it is the designer who should propose how best to achieve the speed capability. For instance, what is needed in the way of:

- total installed power;
- type of main engine – steam, diesel, gas turbine;
how many shafts, or whether azimuthing pods are used;
• type of propeller; fixed or controllable pitch, ducted;
• shaft revolutions.

The designer must work closely with the owner in deciding many of these issues as the owner will often have a legitimate interest in the decisions made. In the case of the main propulsion plant, for instance, the new ship may be joining a fleet which to date has been exclusively diesel driven. If the new ship goes over to gas turbine drive the owner will have to arrange for retraining of the engine room staff and will face additional logistics problems in providing spares. It is sometimes considerations of this sort that lead to the industry getting an undeserved reputation for being unwilling to introduce change.

In addition to meeting an owner’s requirements there are a wide range of international and national regulations to be met and standards which may originate with the owner or builder. The regulations are touched upon in the next chapter. They represent minima which good operators will often choose to exceed. The standards may relate to the levels of accommodation to be provided for crew and passengers.

As decisions are made on how best to meet the stated requirements the ship gradually takes shape. Its size, layout and the equipments to be fitted will emerge. Everything in the ship must serve a useful purpose. Thus:

• The machinery must provide enough power to achieve the desired speed.
• Hoisting gear of a certain capacity will be needed to load and off-load the cargo. Here the facilities in the ports the ship is to use must be taken into account.
• The hull, with its sub-division, must provide a safe vehicle for the intended service. For instance it may need to be strengthened if the ship is to operate in ice.
• The electrical system must provide adequate power for all machinery to be run, allowing for the fact that not all of the installed equipments will be needed at the same time. For instance, cargo handling gear may only be needed in port.

Margins, in the form of extra capacity and/or redundancy will be needed to allow for changes during the service life and to provide the desired level of availability of any function.

In the case of warships the government, as represented by the navy, or Ministry of Defence, is effectively the owner. It is the naval staffs who specify what is needed to enable the navy to meet its commitments in support of the country’s foreign policy.
DESIGN

The designer will usually find that there are significantly different ways of meeting the requirements and the ‘best’ must be chosen. Best is placed in quotation marks because what is meant by this is a matter of judgement. Design is always a compromise in which one aspect of performance can be improved at the expense of some other feature. It is finding the best compromise that makes the naval architect’s task so interesting and rewarding, but also difficult.

Some of the most important decision on the general form of the ship must be taken early on. Thus, for a high speed ferry, the designer must investigate the relative merits of mono-hull and multi-hull forms and compare these with surface effect vehicles and hydrofoils, all of which have been used in the past. These days the designer has more freedom than in the past when designs were largely based on a successful design providing a similar service. The lack of prototypes led to a natural wariness of change. These days the computer, with advanced computer-aided design (CAD) systems, provides an ability to study alternatives in enough depth to give confidence in the final product. Features such as seakeeping and strength can be established with a high degree of confidence and what may be termed a virtual prototype can be produced. The prospective owner can be taken on a walk-through of the new ship before it leaves the drawing board. Simulators can give a feel for the navigation of the ship in confined waters. However, these approaches can be expensive and a prudent designer still makes good use of data from an earlier successful design.

Costs

To be efficient a ship must be able to carry out its intended functions economically. Costs are always important. Unless those of a merchant ship are less than the revenue it can earn, the ship will be a liability. For warships, which do not ‘earn’ in the commercial sense the cost effectiveness of a design is harder to define let alone assess. In the end the warship designer can only inform the naval staff of the cheapest way to meet the requirement. It has then to be decided whether this amount of money can be allocated from the defence budget against the competing bids of other requirements. If not, then the requirement must be reduced till an acceptable balance is achieved between need and affordability. For any ship costs should be through life costs, not just build costs. Thus it might be better to use more mechanization to reduce crew size if the cost of mechanizing is less than the associated crew costs over the life of the ship. These are not easy balances to assess. Besides being paid the crew must be trained, they need space on
board and so on. Mechanization will bring with it initial and maintenance costs, with the need for maintainers offsetting in part other crew reductions.

Assuming the ship can earn revenue this can be assessed for the years ahead using the anticipated freight rates. Build costs will arise early on and then operating costs, including costs of crew, bunkering, port charges, refitting and repair, will be spread over the life cycle. At the end of the day the owner hopes there will be a profit. Depreciation must be allowed for although it is not an item of cash flow.

All the cash flow elements must be brought to a common basis by treating them as though they occurred simultaneously. This is because cash has a time value in that it might be used more profitably in some other way. It is usual to apply discounted cash flow methods to establish a net present value for the comparison of different design options. A compound interest rate is used to determine the ‘present’ value of money to be spent in later years. The net present value must be positive if it is to be acceptable. The higher it is for any option the better that option is from an economic point of view. The process can be inverted to give the freight rate needed to give a net present value of zero.

DEVELOPING THE DESIGN

Design development is not a smooth ‘one-way’ progression. As a simple example, the power required of the main propulsion system cannot be finally decided until the shape and displacement of the ship are known, but these depend upon the size and weight of that propulsion system. The development of the design must be an iterative process. Intelligent guesses, often based on a previous design, known as the type ship, are needed in the early stages to ensure the first solutions are not too wide of the mark.

The type ship is one which is carrying out most of the functions asked of the new ship and which is judged to be close to the size needed. From this base the designer can get a first approximation to the principal dimensions of the new ship. Allowance would be made for different capacities, perhaps higher speed, a smaller crew and so on. A feel for the size of the ship will be obtained from the weight or volume of cargo to be carried. The type ship will then give a guide to the ratio of the dimensions but these can be modified to give the form coefficients desired to give the desired propulsive efficiency, seakeeping and manoeuvring characteristics. The values of ratios such as length to beam or draught must be checked as being within the usually accepted limits. Absolute dimensions must be compared with limiting values for ports and waterways the ship is to use.
From the principal dimensions first assessments of draughts, stability, power, etc. can be made. Each of these will lead to a better picture of the design. It is an iterative process which has been likened to a spiral because each ship feature must be considered more than once and at the end of each cycle the designer should have approached the final design more closely. However, the use of the term spiral implies a steady progression which ignores the step functions that occur such as when a larger machinery set has to be fitted or an extra bulkhead added, or some significant design change is deliberately introduced to meet some new regulation. A better analogy is a network which shows the many inter-dependencies present in the design. This network would really be a combination of a large number of inter-active loops.

Not all design features will be considered during every cycle of the design process. Initial stability would be considered early on, large angle stability would follow later but damaged stability would not be dealt with until the internal layout of the design was better defined. The first estimate of power, and hence machinery required, would be likely to be changed. There would be corresponding changes in structural weight and so the design develops. Some of the initial assessments, for instance that of the longitudinal bending moment, can be made by using approximate formulae. When the design is reasonably defined more advanced computer programs can be employed.

THE DESIGN PROCESS

There are a number of recognized stages in developing a new design. Different authorities use different terms for the various design stages. For the present purposes the terms *feasibility studies*, *contract design* and *full design* will be used. In talking of documentation it should be appreciated that much of the information is nowadays in electronic form, emanating from the CAD and feeding into computerized manufacturing systems.

*Feasibility studies*

The aim at the feasibility stage is to confirm that a design to meet the requirements is possible with the existing technology and to a size and cost likely to be acceptable to the owner. As explained above the starting point is usually a *type ship*. Several design options will be produced showing the trade-offs between various conflicting requirements or to highlight features that are unduly costly to achieve and may not be vital to the function of the ship. The options may be simply variations on a basic design theme, or they may involve radically different ways of meeting the requirements.
Contract design
Once the owner has agreed to the general size and character of the ship more detailed designing can go on. The _contract design_, as its name implies, is produced to a level that it can be used to order the ship from a shipbuilder, and a contract price quoted. By this stage all major features of the ship will have been determined. Usually some model testing will have been carried out to confirm the main performance parameters. Layout drawings will have been produced to confirm spaces allocated to various functions are adequate. The power and type of machinery will have been decided and the electrical power, chilled water, air conditioning, hydraulic and compressed air system capacities defined. The basic ship design drawings will be supported by a mass of supporting specifications which will control the development of the final design.

Full design
The detailing of the design can now proceed, leading to the drawings, or with computerized production systems, computer tapes, which are needed by the production department to build the ship. Included in this documentation will be the detailed specification of tests to be carried out including an inclining experiment to check stability and the sea trials needed to show that the ship meets the conditions of contract and the owner’s requirements. These are not necessarily the same. For instance, for warships _contractor’s sea trials_ are carried out to establish that the contract has been met. Then, after acceptance, the Ministry of Defence carries out further trials on weapon and ship performance in typical seagoing conditions.

Also specified will be the shipyard tests needed to be carried out as fabrication proceeds. Thus the testing of structure to ensure watertight and structural integrity will be defined. Tests of pipe systems will lay down the test fluid and the pressures to be used, the time they are to be held and any permissible leakage.

Then there is the mass of documentation produced to define the ship for the user and maintainers. There are lists of spares and many handbooks. Much of this data is carried on the ship in microform, or electronically, to facilitate usage and to save weight and space.

Analysis of a design
As seen above, the requirements for the ship will lead to a range of equipments and systems to provide the capabilities demanded. Everything in the ship must serve a purpose, possibly several. The designer can produce diagrams showing how the various elements of a design interact to give it a specific capability. These are known as _dependency_
diagrams. Thus to meet the mobility capability the ship may need, inter alia:

- a set of main machinery, say a diesel engine;
- a gear box;
- a shaft with shaft bearings, stern tube and shaft bracket;
- a propeller.

These major elements will entail supporting equipments/systems such as:

- lubrication system;
- structural supports;
- electrical supplies;
- air supply and exhaust.

The diagram will show how all these elements are linked and how failure of any one element would affect the overall speed capability. Thus in a single screw ship the loss of the shaft will remove the mobility capability completely. In a multi-shaft ship the loss of one shaft only degrades the capability, and the degree of degradation can be assessed. The probability of loss, or degradation, of a capability can be calculated from the probabilities of failure of the individual components and how they interact with each other.

It must be remembered that To Float is one capability the ship must possess reflecting the facts that it must float at a reasonable draught and be stable. The external hull and internal watertight structure will contribute to this capability.

The dependency diagram can be a powerful design tool. Apart from availability which has already been touched upon they:

- Show how the design is configured to meet the requirements.
- Provide one way of breaking a design down into its constituent parts – systems, sub-systems and equipments.
- Enable costs to be allocated to capabilities so that the owner knows what each costs.
- Provide a framework for the tests and trials that will be needed to establish that the requirements have been met.

In using the diagrams in these ways it is important that the interfaces are clearly defined to ensure nothing is omitted or duplicated. Rules are needed on how those elements supporting more than one capability are to be dealt with. Going on one step they provide a vehicle for defining packages of responsibility that can be delegated to individuals
in the design and construction teams. That is, they provide a useful management tool.

**Availability**

An owner wants a vessel to be available for use when needed. This is not necessarily all the time. Many ships have a quiet season when time can be found for refitting without risk to the planned schedules. Ferries are often refitted in winter months for that reason. *Availability* is a function of *reliability and maintainability*.

Reliability can be defined as the probability of an artefact performing adequately for the time intended under the operating conditions encountered. This implies that components must have a certain *mean time between failure* (MTBF). If the MTBF is too low for a given component that component will need to be duplicated so that its failure does not jeopardize the overall operation.

Maintenance is preferably planned. That is, items are refurbished or replaced before they fail. By carrying out *planned maintenance* in quiet periods the availability of the ship is unaffected. The MTBF data can be used to decide when action is needed. To plan the maintenance requires knowledge of the *mean time to repair* (MTR) of components. Both MTBF and MTR data are assessed from experience with the components, or similar, in service. The other type of maintenance is *breakdown maintenance* which is needed when an item fails in service. Unless the item is duplicated the system of which it is a part is out of action until repair is carried out.

The time taken to maintain can be reduced by adopting a policy of *refit or repair by replacement* (RBR). Under this scheme complete units or sub-units are replaced rather than being repaired in situ. Frigates with gas turbine propulsion are designed so that the gas turbines can be replaced as units, withdrawal being usually through the uptakes or downtakes. The used or defective item can then be repaired as convenient without affecting the ship’s availability and the repairs can be carried out under better conditions, often at the manufacturer’s plant. The disadvantage is that stocks of components and units must be readily available at short notice. To carry such stocks can be quite costly. But then an idle ship is a costly item. It is a matter of striking the right balance between conflicting factors. To help in making these decisions the technique of *availability modelling* can be used.

The dependency diagrams are used in availability modelling of the various ship capabilities. Some components of the diagram will be in series and others in parallel. Take the ability to move. The main elements were outlined above and the supporting functions such as lubricating oil pumps and machinery seatings as well the need for electrical
supplies and fuel. Large items such as the main machinery can be broken down into their constituent components. For each item the MTBF can be assessed together with the probability of a failure in a given time span. These individual figures can be combined to give the overall reliability of a system using an approach similar to the way the total resistance of an electric circuit is calculated from the individual resistances of items in series or parallel. High reliability of components is needed when many are used in a system. Ten components, each with a reliability of 99 per cent, when placed in series lead to an overall reliability of $(0.99)^{10} = 0.905$. Ten units in parallel would have a reliability of $(1 - (0.1)^{10})$, effectively 100 per cent.

Such analyses can highlight weaknesses which the designer can alleviate by fitting more reliable components or by duplicating the unit. They also provide guidance on which spares should be stocked and in what quantities, that is the range and scale of spares.

The impact of technology and computers

Over the last half-century technology has had a tremendous impact upon how ships are designed, built, operated and maintained. One could mention a myriad of examples but the following will serve as illustrations:

1. Satellites in space have made it possible for ships to locate their position to within a few tens of metres using global positioning systems. The satellites can also pick up distress signals and locate the casualty for rescue organizations. They can measure sea conditions over wide areas and facilitate the routeing of ships to avoid the worst storms.

2. Materials technology. Modern materials require much less maintenance, reducing operating costs and manpower demands. Reinforced plastics can be used for local structures, superstructures and for the main hull. Such plastics can be configured to enable them to meet the local stresses efficiently. For example, carbon fibres can be aligned with the main stress direction. New hull treatments permit much longer intervals between dockings leading to higher ship usage rates and reduced costs of ownership. They also contribute to the battle against pollution of the sea environment.

3. Modern equipments are generally much more reliable with increased mean times between failures. Modularization and repair by replacement policies reduce downtime and the number of repair staff needed on board.
(4) Electronically controlled operating and surveillance systems enable fewer operators to cope with large main propulsion systems and a wide range of ship’s services.

The biggest impact has been the influence of the computer. Indeed, computers have made a vital contribution to many of the changes referred to above. But it is in the sequence of design, build, maintaining and running of ships that their influence has been greatest for the naval architect. In some cases these processes have changed almost beyond recognition although the underlying principles and objectives remain the same. As examples:

In design

(1) CAD systems enable preliminary designs (PD), in response to a client’s wishes, to be produced more rapidly, in greater detail and with greater accuracy than ever before. Large databases of type ships can be called upon. If the design is novel specialist software is usually available to assess all the major characteristics.

(2) Once the customer has agreed the PD the computer already holds the basic definition with which to start the contract design phase. The hull form, machinery requirements, layouts and systems can be produced with all the data accurately integrated and recorded. Any changes in form can be reflected in compartment shapes, and the volumes recalculated, and so on. Changes in structure are reflected in weight, hydrostatic and stability up-dates. Computer-based directories of materials and equipment help in selecting equipments and fittings and integrating them into systems of known performance, cost and reliability.

(3) Computer controlled draughting machines and virtual reality techniques can be used to inform the client about the design and provide a means for the customer, or classification society to make an input to the design development. Virtual reality can be used to show what the ship will look like from all angles, both internally and externally. These can be used instead of mock-ups or models to assist in achieving efficient layouts. A person can be taken for what is termed a ‘walk through’ of ship before the design leaves the drawing board.

(4) The strength of CAD systems is that they are integrated suites of related programs. These can accommodate advanced programs for such things as structural strength evaluations, motion predictions and so on.

In production

(1) Once the design is approved to build the data can be passed to the chosen shipyard in digital form. This reduces the risk of
misinterpretation of drawings and other data. Provided the designer’s CAD and builder’s computer-aided manufacture (CAM) systems are compatible it also reduces the builder’s task in producing information for the production process.

(2) The database is available to the builder to order material, equipment and fittings for the build process. The builder will develop the database as the design is developed to provide all the details for manufacture and, later, for passing on to those who have to maintain the equipments and systems.

(3) In production the computer can deal with routine matters like stock control. It can control cutting and welding machines ensuring greater accuracy of fit, facilitating more extensive pre-fabrication and reducing built in stresses. It should lead to a better, more consistent, quality of product. Where more than one ship of a class is being built the ships will more closely resemble each other. This makes future modification easier to control.

In operation and maintenance

(1) In the same way as the systems facilitated the passing of information from designer to builder, they make it easier to pass information on to those who are to operate and maintain the ship. Hydrostatic, hold and tank capacity, stability and strength data can be fed into the ship’s own software systems to assist the captain in loading and operating the ship safely.

(2) Listings of equipment and fittings, with code numbers, will ensure that any replacements and spares will meet the form, fit and function requirements. One advantage of the computer is the potential to reduce the amount of paper. Where hard copy is required some form of microfiche can be used, again reducing the stowage volume and weight.

(3) Data can be provided on the layout of systems and how the designer intended they should be operated. Computer controlled displays, fed with information from a whole range of remote sensors, assist those who are responsible for decisions. Sensors can give early warning of incipient failures.

(4) Computer-based decision aiding systems can be installed. For example, the master can be prompted on the loading sequences to eliminate the possibility of jeopardizing the stability or strength. In warships they can assist the captain when under enemy attack by suggesting the optimum actions to take in defending the ship. It needs to be emphasized that they are only used in an advisory capacity in these roles. They do not reduce the master’s or captain’s responsibility.
Computer-based simulators can assist in training navigators, machinery controllers and so on. These simulators can be produced to various levels of realism, depending upon the need. They may merely reproduce the display consoles and control levers, leaving the computer to calculate how the ship, or system, will respond to the input made. They can be mounted on a moving platform to reproduce the ship movements in response to control movements. Motions can be imposed representing the ship’s response to waves to study the ability of an operator to remain vigilant under motion conditions. The computer can provide external stimuli, through goggles or screens, which the operator can expect in practice. For instance, a navigational simulator can provide pictures of a harbour and its approaches. Other ships can be added for extra realism.

All the on board tasks of management can benefit from the appropriate software.

The database provides a useful input to any surveyor. It shows what should be fitted and provides the ‘hooks’ upon which the results of successive surveys can hung. In this way the gradual deterioration of structure, say, can be logged, showing up potential trouble spots and helping decide when remedial action is needed.

The above brief description shows how all-pervading the computer has become. It must be remembered though that it is only a tool, albeit a very powerful one. As such it must, like all tools, be used intelligently by those who understand how to get the best out of it. It is an aid to the human, although artificial intelligence techniques can be used to provide great assistance to a relatively inexperienced person. So-called expert systems can store information on how a number of very experienced engineers would view a certain problem in a variety of circumstances. Thus a less experienced person (at least in that particular type of vessel or situation) can be guided into what might be termed good practice.

This is not to say that the tasks of the designer, builder or operator have been made easy. Some of the more humdrum activities have been removed such as tedious manual calculations of volumes and weights. But more knowledge is needed to carry out the total task. Whereas in the past a simple longitudinal strength calculation, using a standard wave, was all that was possible, a much more complex assessment is now usually demanded. That is if its cost can be justified. What waves should be taken as the design conditions for operation and for survival? How should the mesh be arranged in a finite element analysis? So the decisions pile up and the answers are not all easy ones. If they were the
naval architect would not be needed and the master could be replaced by the computer.

SOME GENERAL DESIGN ATTRIBUTES

It has been seen that a ship will need to possess certain characteristics, or attributes, to meet an owner’s requirements. It is constructive to consider some general attributes of design which apply to all, or most, ship types. Different ship types are discussed in a later chapter.

Capacity and size

Usually there will be a certain volume of goods the ships of a fleet need to carry. This may have been established by a market survey. The ‘goods’ may be cargo, people or weaponry. How many ships are needed and the amount to be carried in each individual ship will depend upon the rate at which goods become available. This will depend in turn, upon the supporting transport systems on land. Taking ferries as an example, one super ferry sailing each day from Dover to Calais, capable of carrying one day’s load of lorries, cars and passengers, would not be popular. Transit for most would be delayed, large holding areas would be needed at the ports and the ship would be idle for much of the time. Whilst such an extreme case is clearly undesirable it is not easy to establish an optimum balance between size of ship and frequency of service. Computer modelling, allowing for the variability of the data, is used to compare different options and establish parameters such as the expected average waiting time, percentage of ship capacity used, and so on.

Transiting the world’s major waterways

There may be limits imposed on the size of a ship by external factors such as the geographical features, and facilities, of the ports and waterways to be used. Three waterways are of particular interest:

1. The Suez Canal (The Suez Canal Authority). Built to reduce the passage time between Europe and the East. Its length is 192 km and the average transit time is 14 hours.
2. The Panama Canal (The Panama Canal Commission). Connects the Atlantic and Pacific oceans.
3. The St. Lawrence Seaway (St. Lawrence Seaway Authority). Provides a link between the Great Lakes of North America and the Atlantic.

The use of each of these requires a ship to pay tolls and not to exceed certain critical dimensions. Both tolls and dimensions are subject to
detailed conditions and special certificates are needed. A designer/operator should consult the relevant authority for those details but a lot of data can be found on associated web sites. As regards dimensions a simplified table is given below (Table 2.1). These limitations have led to the terms *Suezmax* and *Panamax* being applied to bulk carriers just within the limits of dimension. Those not able to use the canals are referred to as *Capesize*.

**Table 2.1** Examples of dimension limits for ships passing through waterways

<table>
<thead>
<tr>
<th></th>
<th>Maximum length (m)</th>
<th>Maximum beam (m)</th>
<th>Maximum draught (m)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Suez Canal</td>
<td>–</td>
<td>Depends on draught</td>
<td>19</td>
</tr>
<tr>
<td>Panama Canal</td>
<td>294.13</td>
<td>32.31</td>
<td>12.04</td>
</tr>
<tr>
<td>St. Lawrence Seaway</td>
<td>222.50</td>
<td>23.20</td>
<td>7.92</td>
</tr>
</tbody>
</table>

There is an air draught limit of 35.50 m in the St. Lawrence Seaway. In the Suez Canal there are dredged channels which mean that greater draughts are permitted at certain beams and less draught for wider ships. There are plans to deepen the central channel.

**Cargo handling**
In deciding what cargo handling equipment to fit, a balance is needed between giving a ship the ability to load and discharge its own cargo and reliance upon the terminal port facilities. If the ship is to operate between well-defined ports the balance may be clear. If the ship is to operate more flexibly it may not be able to rely on specialist unloading equipment and have to carry more of its own.

The development of the container ship was closely linked to the development of special container ports and the supporting road and rail networks for moving the containers inland. Similarly large crude oil carriers can expect good facilities at the loading port and the refinery terminal.

**Influence of nature of goods carried**
Particularly for those goods where large volumes are to be shipped the nature of the cargo has come to dictate the main features of the ship. The wool clippers on the Australian run were an early example. More recently tankers have come to the fore and with the growing demand for oil and its by-products, the size of tanker grew rapidly. The major influences are the possible storage methods and the means of loading and discharging. Oil can be carried in large tanks and can be pumped
out. Some particulate cargoes can be handled similarly or by conveyor belts and huge grabs. This has led to bulk carriers for grain, iron ore and coal.

Mixed cargoes are often placed in containers of a range of standard sizes. This improves the security in transit and reduces time in port. In other cases the cargo is brought to the ship in the land transport system units. First came the train ferries and then the roll on/roll off ships. Cars can be driven on and off for delivery of new cars around the world or for people taking their cars on holiday.

Perishable goods have led to the refrigerated ships, the *reefers*. Bulk carriage of gas has been possible with a combination of refrigeration and pressurized tanks.

**Speed**

Speed can be an emotive issue. Some authorities regard high speed as a status symbol but it is expensive of power and fuel and if pitched too high can lead to an uneconomic ship. It is an important input to the analysis referred to above. Faster ships can make more journeys in a given time period. Passengers like short passage times and are often prepared to pay a premium to get them as in the case of high speed catamaran ferries. Some goods require to be moved relatively quickly. They may be perishable and a balance must be struck between refrigeration and a fast transit. For other products speed may be of little consequence. For instance, as long as enough oil is arriving in port each day it does not matter to the customer how long it has been on passage. It is important to the ship owner who needs to balance speed, size, number of ships and capital locked up in goods in transit to achieve the desired flow rate economically.

For high speed ships wavemaking resistance is a major factor and the design will have a finer form. At low speeds frictional resistance will dominate and fuller, bluffer, forms can be used with greater cargo carrying ability on a given length. When considering speed, allowance must be made for the average voyage conditions expected. Two ships capable of the same still water maximum speed may differ significantly in their ability to maintain speed in rough weather.

**Seakeeping**

In its broadest sense seakeeping embraces all aspects of a ship that enable it to put to sea and operate safely on the trade routes it is to ply. Whilst concerned with all these, the naval architect usually uses the term to cover the behaviour of the ship in response to waves, including:

- its motions – principally roll, pitch and heave;
- wetness;
• slamming;
• speed reduction, whether enforced or voluntary to reduce motions.

The naval architect will select a form judged to provide good seakeeping characteristics. Stabilization can be used to reduce roll if desirable.

**Manoeuvrability**
Manoeuvrability is not too important for a ship in the open ocean. In restricted waters it can be critical. Stopping distances of the huge super tankers are very large. Astern power must be adequate to give the desired deceleration. A balance must be struck between giving a ship very good manoeuvrability and relying upon tugs for assistance in port. What is meant by good manoeuvrability and means of providing it in a ship are discussed under Manoeuvring (Chapter 13). Twin shafts, azimuthing propellers and lateral thrust units are some of the means used to obtain good manoeuvrability. These cost money and the cost must be set against the cost and delays of using tugs, remembering a tug may not always be available when needed. Ferries which frequently berth and unberth will normally be designed to operate without the assistance of tugs except in exceptional weather conditions. For long haul ships providing a high degree of manoeuvrability could be uneconomic.

Floating oil drilling rigs require exceptionally good performance in maintaining their position relative to the ground and for that reason they are provided with dynamic positioning systems. A series of thrusters under computer control are constantly correcting the position against the effects of wind, current and waves. In such vessels fin stabilizers are of little use for reducing roll and some form of tank system would be fitted if needed. Mine countermeasure ships also need to be able to maintain an accurate path over the ground if a suspected minefield is to be swept with the minimum number of passes and in maximum safety.

**SAFETY**

People are increasingly aware of safety issues in their daily lives and they are unwilling to accept levels of risk that might have been acceptable 50 or 100 years ago. The *Titanic* disaster brought home the fact that no ship is unsinkable, no matter how big. The loss of life in the *Herald of Free Enterprise* and the *Estonia* highlighted the potential dangers of designs which had large open deck spaces for the convenience of loading, and off loading, cars. This showed the danger that changes in design, as technology develops, can get ahead of the regulations intended to promote safety.
Efforts by the international community are beginning to improve the situation but the naval architect must not become complacent about safety. Some incidents such as those involving large tankers going aground and polluting the local shoreline hit the headlines. The general public, however, is usually unaware of unexplained ship losses, too often involving large and relatively new ships. The *MV Derbyshire* was such a case until the relatives and unions lobbied the government. Subsequent investigations and research led, amongst other things to the finding of the hull on the sea bed, to the tightening up of regulations concerning hatch covers and the acceptance that freak waves are not as rare as previously thought. In bulk carriers water ingress alarms are being fitted and so are double side hulls. Some ships are provided with hull stress monitoring systems involving strain gauges, pressure transducers and motion sensors. These help the master avoid undue straining of the hull at sea and during loading and unloading.

In recent years bulk carriers, tankers and Ro-Ro ships have received quite a lot of attention from the maritime community. Whilst still more needs to be done in those areas they are not the only causes for concern. Spouge (2003) pointed out that of losses of ships over 100 gross tonnage in the period 1995–2000, 42 per cent were general cargo ships and 25 per cent fishing vessels. The high rate of cargo ship loss is due in part to the greater number of these ships in service but if the loss rate per 1000 ship years is taken, figures of about 5.4, 3.3 and 1.5 are obtained for cargo ships, dry bulk carriers and oil tankers respectively.

The lessons to be learnt are:

1. It is not just high profile ships that need the naval architect’s attention. Cargo ships also accounted for 37 per cent of the fatalities in the cases of total ship loss over 100 gross tonnage.
2. It is essential to analyse the data available on losses to detect trends and potential reasons for the losses so that corrective action can be taken.

Many, if not most, of the ships lost will have been built, maintained and manned in accord with the latest rules and regulations. It is clear that a ship can be designed to meet all existing regulations and yet not be as safe as it could, and should, be. This is partly due to:

1. Regulations having to be agreed by many authorities. As such they are often a compromise between what is regarded by many as the best practice and what others feel to be unduly restrictive or are prepared to accept for economic reasons.
2. The time lag between failures being experienced, analysed, the corrective action decided upon, agreed and implemented.
Advancing technology and changing trade requirements leading to ships with new features, and operating patterns, which have not been fully proven. Testing of hydrodynamic or structural models, and of materials in representative conditions can help but the final proof of the soundness of a design is its performance at sea.

It must be accepted that ships cannot be made completely safe against all eventualities. Some measures to improve safety might:

(1) Make it virtually unusable. For instance too great a level of internal watertight sub-division, carried up high in the ship, would make it very difficult to move around or to stow cargo effectively.
(2) Be very costly, making the ship uneconomic to operate. It is this factor that makes many owners unwilling to do more than required. They fear competitors will do the minimum and get ships which are cheaper to own and operate. Fortunately some owners do recognize the need to do more than the legal minimum. They will benefit if their better safety record attracts shippers and passengers.

**Damage scenarios**

A ship can be seriously damaged by, or lost because of:

(1) Water entering as a result of damage or human error in not having watertight boundaries sealed. This can lead to capsize or foundering.
(2) Fire or explosion.
(3) Structural failure due to overloading, fatigue or fracture, possibly brittle in nature. Failure may be of the overall hull girder or local, say in way of a hatch cover, so permitting the ingress of water.
(4) Loss of propulsive power or steering, leading to collision or grounding.

**Action by the designer**

Apart from meeting all the legal requirements, a designer should:

(1) Consider whether any novel features of a design require special consideration.
(2) Look for any potentially weak spots which can be improved. This will often be at little cost if addressed early enough in the design process.
(3) Use the dependency diagrams drawn up as part of the design process to establish where duplication of critical equipments would be beneficial.

(4) Ensure the builder and operators are aware of the reasons the design is configured in the way it is, to ensure that this intent is carried through into the ship’s service life.

(5) Carry out failure mode effect analyses (FMEA) of critical equipments and systems. This calls for experience of failures and why they occur and requires a dialogue between the designer and users.

(6) Produce a safety case, identifying how a ship might suffer damage, the probability of occurrence and the potential consequences.

Some specific aspects of safety, such as the dangers of grounding and the vulnerability of warships, are dealt with separately in various chapters.

The safety case

The safety case concept consists of four main elements:

(1) The safety management system, including establishing, implementing and monitoring policies. It is these policies that set the safety standards to be achieved, that is, the aims. It is the opposite of the prescriptive approach in which the system is made to adhere to a set of rules and regulations. The safety case is targeted at a particular ship, or installation, in a given environment with a specified function.

(2) Identification of all practical hazards.

(3) Evaluating the risk level of each hazard and reducing the level of hazards for which the risk is judged to be unacceptable. The risk of a hazard is the product of its probability of occurrence and the consequences if it does occur. The judgement of acceptability is a difficult one. It is usually based on what is known as the ALARP (As Low As Reasonably Possible) principle.

(4) Being prepared for emergencies that could occur.

Such studies can guide the designer as to the safety systems that should be fitted on board. Analysis might show a need for external support in some situations. For instance, escort tugs might be deemed desirable in confined waters or areas of special ecological significance. Many of the factors involved can be quantified, but not all, making good judgment an essential element in all such analyses. The important thing is that a process of logical thought is applied, exposed to debate and decisions monitored as the design develops. Some of the decisions will depend upon the master and crew taking certain actions.
and that information should be declared so that the design intent is understood.

Safety is no academic exercise and formal assessments are particularly important for novel designs or conventional designs pushed beyond the limits of existing experience. Thus following the rapid growth in size of bulk carriers, that class of ship suffered significant numbers of casualties. One was the MV Derbyshire, a British OBO carrier of 192,000 tonne displacement. From 1990 to 1997, 99 bulk carriers were lost with the death of 654 people. An IMO conference in 1997 adopted important new regulations which it was hoped would help prevent loss of the ship following an accident. These came into force in 1999.

The loss of a ship for some unknown reason is most worrying. To assist with these, and in accident investigations more generally, a new regulation was adopted by IMO in 2000 which will require many ships to be fitted with ‘black boxes’ similar to aircraft practice of many years standing. These voyage data recorders (VDRs), to give them their correct title, are to be fitted in all passenger ships, and in other ships of 3000 gross tonnage upwards, constructed after July 2000. There is provision for retrospective fitting in some older ships.

The VDRs, whose use has previously been encouraged but not mandatory, will record pre-selected data relating to the functioning of the ship’s equipment and to the command and control of the ship. It will be in a distinctive protected capsule with a location device to aid recovery after an accident.

Certain ships are also to be required to carry an automatic identification system (AIS) capable of providing data, such as identity, position, course and speed, about the ship automatically to other ships and shore authorities.

Vulnerability

A ship might be quite safe while it remains intact but be very likely to suffer extensive damage, or loss, as a result of a relatively minor incident. For instance, a ship with no internal sub-division could operate safely until water entered by some means. It would then sink. Such a design would be unduly vulnerable. This is why in the safety case the designer must consider all the ways in which the ship might suffer damage.

An incident may involve another ship, in collision say, or result from an equipment failure. Thus loss of the ability to steer the ship may result in its grounding. It can arise from human error, the crew failing to close and secure watertight doors and hatches. It will often be the result of several factors coming into play at the same time.

For each way in which a ship may be damaged, the outcome of that damage on the ship and its systems can be assessed. The aim is to highlight
any weaknesses in the design. Taking the steering system as an example, the various elements in the total system can be set out in a diagram showing the inter-relationships. There will be the bridge console on which rudder angles or course changes are ordered, the system by which these orders are transmitted to the pumps/motors driving the rudder and the rudder itself. If two rudders are fitted the two systems should be as independent as possible so that an incident causing one of the rudders to fail does not affect the other. If only one rudder is fitted the system would be less vulnerable if duplicate motors/pumps are provided. Wiring or piping systems and electrical supplies can be duplicated. Each duplication costs money, space and weight so it is important to assess the degree of risk and the consequences of failure. The consequences are likely to depend upon the particular situation in which the ship finds itself. Loss of steering is more serious close to a rocky coast than in the open ocean. It may be even more serious within the confines of a crowded harbour. Thus safety and vulnerability studies must be set within the context of likely operational scenarios.

It will be apparent to the student that probabilities play a major role in these studies and the statistics of past accidents are very valuable. For instance, from the data on the damaged length in collisions and groundings, the probability of the ship being struck at a particular point along its length and of a certain fraction of the ship’s length being damaged in this way, what is likely to happen in some future incident, can be assessed. This is the basis of the latest IMO approach to merchant ship vulnerability. The probability of two events occurring together is obtained from the product of their individual probabilities. Thus the designer can combine the probabilities of a collision occurring (it is more likely in the English Channel than in the South Pacific), that the ship will be in a particular loading condition at the time, that the impact will occur at a particular position along the length and that a given length will be damaged. The crew’s speed and competence in dealing with an incident are other factors. IMO have proposed standard shapes for the probability density functions for the position of damage, length of damage, permeability at the time and for the occurrence of an accident. There is a steady move towards probabilistic methods of safety and vulnerability assessment and passenger and cargo ships are now studied in this way.

It must be accepted, however, that no ship can be made absolutely safe under all possible conditions. Unusual combinations of circumstances can occur and freak conditions of wind and wave will arise from time to time. In 1973 the *Benchruachen*, with a gross tonnage of 12 000, suffered as a result of a freak wave. The whole bow section 120 feet forward of the break in forecastle was bent downwards at 7 degrees. When an accident does occur the question to be asked is whether the design
was a reasonable one in the light of all the circumstances applying. No matter how tragic the incident the design itself may have been sound. At the same time the naval architect must be prepared to learn as a result of experience and take advantage of developing technology. For instance knowledge of ‘freak waves’ is improving and oceanographers are providing the data and tools for assessing the probability of meeting extreme waves.

SUMMARY

The general approach to design and some specific design attributes have been discussed. The importance of safety has been emphasized. It is apparent that a naval architect needs a clear set of definitions within which to work and an ability to:

- Calculate areas and volumes of various shapes.
- Establish the drafts at which a ship will float and how its draughts will change with different loadings.
- Study the stability of a vessel both intact and after damage.
- Determine the powering needed to achieve the desired speed in service on the routes the ship is to ply.
- Understand the environment in which a ship operates and its responses to that environment.
- Ensure the ship is adequately strong.
- Provide the ship with adequate means for manoeuvring in confined waters or on the ocean.

All these areas of knowledge are addressed in the ensuing chapters. Then a number of ship types are discussed to show how the requirements of an operator can lead to significantly different ships although they obey the same fundamental laws.
3 Definition and regulation

DEFINITION

A ship’s hull form helps determine most of its main attributes; its stability characteristics; its resistance and therefore the power needed for a given speed; its seaworthiness; its manoeuvrability and its load carrying capacity. It is important, therefore, that the hull shape should be defined with some precision and unambiguously. To achieve this the basic descriptors used must be defined. Not all authorities use the same definitions and it is important that the reader of a document checks upon the exact definitions applying. Those used in this chapter cover those used by Lloyd’s Register and the United Kingdom Ministry of Defence. Most are internationally accepted. Standard units and notation are discussed in Appendix A.

The geometry

A ship’s hull is three dimensional and, except in a very few cases, is symmetrical about a fore and aft plane. Throughout this book a symmetrical hull form is assumed. The hull shape is defined by its intersection with three sets of mutually orthogonal planes. The horizontal planes are known as waterplanes and the lines of intersection are known as waterlines. The planes parallel to the middle line plane cut the hull in buttock (or bow and buttock) lines, the middle line plane itself defining the profile. The intersections of the athwartships planes define the transverse sections.

Three different lengths are used to define the ship (Figure 3.1). The length between perpendiculars (lbp), the Rule length of Lloyd’s Register, is the distance measured along the summer load waterplane (the design waterplane in the case of warships) from the after to the fore perpendicular. The after perpendicular is taken as the after side of the rudder post, where fitted, or the line passing through the centreline of the rudder pintles. The fore perpendicular is the vertical line through the intersection of the forward side of the stem with the summer load waterline.
The *length overall* (loa) is the distance between the extreme points forward and aft measured parallel to the summer (or design) waterline. Forward the point may be on the raked stem or on a bulbous bow.

The *length on the waterline* (lwl) is the length on the waterline, at which the ship happens to be floating, between the intersections of the bow and after end with the waterline. If not otherwise stated the summer load (or design) waterline is to be understood.

The mid-point between the perpendiculars is called *amidships* or *midships*. The section of the ship at this point by a plane normal to both the summer waterplane and the centreline plane of the ship is called the *midship section*. It may not be the largest section of the ship. Unless otherwise defined the *beam* is usually quoted at amidships. The beam (Figure 3.2) most commonly quoted is the *moulded beam*, which is the greatest distance between the inside of plating on the two sides of the
ship at the greatest width at the section chosen. The *breadth extreme* is measured to the outside of plating but will also take account of any overhangs or flare.

The ship *depth* (Figure 3.2) varies along the length but is usually quoted for amidships. As with breadth it is common to quote a *moulded depth*, which is from the underside of the deck plating at the ship’s side to the top of the inner keel plate. Unless otherwise specified, the depth is to the uppermost continuous deck. Where a rounded gunwhale is fitted the convention used is indicated in Figure 3.2.

*Sheer* (Figure 3.1) is a measure of how much a deck rises towards the stem and stern. It is defined as the height of the deck at side above the deck at side amidships.

*Camber* or *round of beam* is defined as the rise of the deck in going from the side to the centre as shown in Figure 3.3. For ease of construction camber may be applied only to weather decks, and straight line camber often replaces the older parabolic curve.

![Figure 3.3 Section measurements](image)

The bottom of a ship, in the midships region, is usually flat but not necessarily horizontal. If the line of bottom is extended out to intersect the moulded breadth line (Figure 3.3) the height of this intersection above the keel is called the *rise of floor* or *deadrise*. Many ships have a flat keel and the extent to which this extends athwartships is termed the *flat of keel* or *flat of bottom*.

In some ships the sides are not vertical at amidships. If the upper deck beam is less than that at the waterline it is said to have *tumble home*, the value being half the difference in beams. If the upper deck has a greater beam the ship is said to have *flare*. All ships have flare at a distance from amidships.
The draught of the ship at any point along its length is the distance from the keel to the waterline. If a moulded draught is quoted it is measured from the inside of the keel plating. For navigation purposes it is important to know the maximum draught. This will be taken to the bottom of any projection below keel such as a bulbous bow or sonar dome. If a waterline is not quoted the design waterline is usually intended. To aid the captain draught marks are placed near the bow and stern and remote reading devices for draught are often provided. The difference between the draughts forward and aft is referred to as the trim. Trim is said to be by the bow or by the stern depending upon whether the draught is greater forward or aft. Often draughts are quoted for the two perpendiculars. Being a flexible structure a ship will usually be slightly curved fore and aft. This curvature will vary with the loading. The ship is said to hog or sag when the curvature is concave down or up respectively. The amount of hog or sag is the difference between the actual draught amidships and the mean of the draughts at the fore and after perpendiculars.

Air draught is the vertical distance from the summer waterline to the highest point in the ship, usually the top of a mast. This dimension is important for ships that need to go under bridges in navigating rivers or entering port. In some cases the topmost section of the mast can be struck to enable the ship to pass.

Freeboard is the difference between the depth at side and the draught, that is it is the height of the deck above the waterline. The freeboard is usually greater at the bow and stern than at amidships. This helps create a drier ship in waves. Freeboard is important in determining stability at large angles.

Representing the hull form

The hull form is portrayed graphically by the lines plan or sheer plan (Figure 3.4). This shows the various curves of intersection between the hull and the three sets of orthogonal planes. Because the ship is symmetrical, by convention only one half is shown. The curves showing the intersections of the vertical fore and aft planes are grouped in the sheer profile; the waterlines are grouped in the half breadth plan; and the sections by transverse planes in the body plan. In merchant ships the transverse sections are numbered from aft to forward. In warships they are numbered from forward to aft although the forward half of the ship is still, by tradition, shown on the right hand side of the body plan. The distances of the various intersection points from the middle line plane are called offsets.

Clearly the three sets of curves making up the lines plan are interrelated as they represent the same three dimensional body. This interdependency
Figure 3.4 Lines plan
is used in manual fairing of the hull form, each set being faired in turn and the changes in the other two noted. At the end of the iteration the three sets will be mutually compatible. Fairing is usually now carried out by computer. Indeed the form itself is often generated directly from the early design processes in the computer. Manual fairing is done first in the design office on a reduced scale drawing. To aid production the lines used to be laid off, and refaired, full scale on the floor of a building known as the mould loft. Many shipyards now use a reduced scale, say one-tenth, for use in the building process. For computer designed ships the computer may produce the set of offsets for setting out in the shipyard or, more likely, it will provide computer tapes to be used in computer aided manufacturing processes.

In some ships, particularly carriers of bulk cargo, the transverse cross section is constant for some fore and aft distance near amidships. This portion is known as the parallel middle body.

Where there are excrescences from the main hull, such as shaft bossings or a sonar dome, these are treated as appendages and faired separately.

**Hull characteristics**

Having defined the hull form it is possible to derive a number of characteristics which have significance in determining the general performance of the ship. As a floating body, a ship in equilibrium will displace its own weight of water. This is explained in more detail later. Thus the volume of the hull below the design load waterline must represent a weight of water equal to the weight of the ship at its designed load. This *displacement*, as it is called, can be defined as:

$$\Delta = \rho g \nabla$$

where:

- $\rho =$ the density of the water in which the ship is floating
- $g =$ the acceleration due to gravity
- $\nabla =$ the underwater volume.

It should be noted that displacement is a force and will be measured in newtons.

For flotation, stability, and hydrodynamic performance generally, it is this displacement, expressed either as a volume or a force, that is of interest. For rule purposes Lloyd’s Register also use a moulded displacement which is the displacement within the moulded lines of the ship between perpendiculars.
It is useful to have a feel for the fineness of the hull form. This is provided by a number of form coefficients or coefficients of fineness. These are defined as follows, where \( \nabla \) is the volume of displacement:

\[
\text{Block coefficient } C_B = \frac{\nabla}{L_{pp} BT}
\]

where:
- \( L_{pp} \) is length between perpendiculares
- \( B \) is the extreme breadth underwater
- \( T \) is the mean draught.

Corresponding to their moulded displacement Lloyd’s Register use a block coefficient based on the moulded displacement and the Rule length. This will not be used in this book.

\[
\text{Coefficient of fineness of waterplane, } C_{WP} = \frac{A_W}{L_{WL} B}
\]

where:
- \( A_W \) is waterplane area
- \( L_{WL} \) is the waterline length
- \( B \) is the extreme breadth of the waterline.

Midship section coefficient, \( C_M = \frac{A_M}{BT} \)

where:
- \( A_M \) is the midship section area
- \( B \) is the extreme underwater breadth amidships.

Longitudinal prismatic coefficient, \( C_p = \frac{\nabla}{A_M L_{pp}} \)

It will be noted that \( C_M \times C_p = C_B \)

Vertical prismatic coefficient, \( C_{VP} = \frac{\nabla}{A_W T} \)

It will be noted that these are ratios of the volume of displacement to various circumscribing rectangular or prismatic blocks, or of an area to the circumscribing rectangle. In the above, use has been made of displacement and not the moulded dimensions. This is because the coefficients are used in the early design stages and the displacement
dimensions are more likely to be known. Practice varies, however, and moulded dimensions may be needed in applying some classification societies’ rules.

Some typical values are presented in the table below:

<table>
<thead>
<tr>
<th>Type of vessel</th>
<th>Block coefficient</th>
<th>Prismatic coefficient</th>
<th>Midship area coefficient</th>
</tr>
</thead>
<tbody>
<tr>
<td>Crude oil carrier</td>
<td>0.82–0.86</td>
<td>0.82–0.90</td>
<td>0.98–0.99</td>
</tr>
<tr>
<td>Product carrier</td>
<td>0.78–0.85</td>
<td>0.80–0.85</td>
<td>0.96–0.98</td>
</tr>
<tr>
<td>Dry bulk carrier</td>
<td>0.75–0.84</td>
<td>0.76–0.85</td>
<td>0.97–0.98</td>
</tr>
<tr>
<td>Cargo ship</td>
<td>0.60–0.75</td>
<td>0.61–0.76</td>
<td>0.97–0.98</td>
</tr>
<tr>
<td>Passenger ship</td>
<td>0.58–0.62</td>
<td>0.60–0.67</td>
<td>0.90–0.95</td>
</tr>
<tr>
<td>Container ship</td>
<td>0.60–0.64</td>
<td>0.60–0.68</td>
<td>0.97–0.98</td>
</tr>
<tr>
<td>Ferries</td>
<td>0.55–0.60</td>
<td>0.62–0.68</td>
<td>0.90–0.95</td>
</tr>
<tr>
<td>Frigate</td>
<td>0.45–0.48</td>
<td>0.60–0.64</td>
<td>0.75–0.78</td>
</tr>
<tr>
<td>Tug</td>
<td>0.54–0.58</td>
<td>0.62–0.64</td>
<td>0.90–0.92</td>
</tr>
<tr>
<td>Yacht</td>
<td>0.15–0.20</td>
<td>0.50–0.54</td>
<td>0.30–0.35</td>
</tr>
<tr>
<td>Icebreaker</td>
<td>0.60–0.70</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The values of these coefficients can provide useful information about the ship form but the above values are for rough guidance only. For instance, the low values of block coefficient for cargo liners would be used by the high speed refrigerated ships. The low value for icebreakers reflects the hull form forward which is shaped to help the ship drive itself up on to the ice and break it. The great variation in size and speed of modern ship types means that the coefficients of fineness also vary greatly. It is safest to check the values of a similar ship in terms of use, size and speed.

The block coefficient indicates whether the form is full or fine and whether the waterlines will have large angles of inclination to the middle line plane at the ends. Large values signify large wavemaking resistance at speed. A slow ship can afford a relatively high block coefficient as its resistance is predominately frictional. A high value is good for cargo carrying and is often obtained by using a length of parallel middle body, perhaps 15–20 per cent of the total length.

The angle at the bow is termed as the angle of entry and influences resistance. As speed increases a designer will reduce the length of parallel middle body to give a lower prismatic coefficient, keeping the same midship area coefficient. As speed increases still further the midship area coefficient will be reduced, usually by introducing a rise of floor. A low value of midship section coefficient indicates a high rise of floor with rounded bilges. It will be associated with a higher prismatic coefficient. Finer ships will tend to have their main machinery spaces nearer amidships to get the benefit of the fuller sections. There is a
compromise between this and the desire to keep the shaft length as short as possible.

A large value of vertical prismatic will indicate body sections of U-form; a low value will indicate V-sections. These features will affect the seakeeping performance.

DISPLACEMENT AND TONNAGE

Displacement

A ship’s displacement significantly influences its behaviour at sea. Displacement is a force and is expressed in newtons but the term mass displacement can also be used.

Deadweight

Although influencing its behaviour, displacement is not a direct measure of a ship’s carrying capacity, that is, its earning power. To measure capacity deadweight and tonnage are used.

The deadweight, or deadmass in terms of mass, is the difference between the load displacement up to the minimum permitted freeboard and the lightweight or light displacement. The lightweight is the weight of the hull and machinery so the deadweight includes the cargo, fuel, water, crew and effects. The term cargo deadweight is used for the cargo alone. A table of deadweight against draught, for fresh and salt water, is provided to a ship’s master in the form of a deadweight scale. This may be in the form of a diagram, a set of tables or, more likely these days, as software.

Tonnage

Ton is derived from tun, which was a wine cask. The number of tuns a ship could carry was a measure of its capacity. Thus tonnage is a volume measure, not a weight measure, and for many years the standard ton was taken as 100 cubic feet. Two ‘tonnages’ are of interest to the international community – one to represent the overall size of a vessel and one to represent its carrying capacity. The former can be regarded as a measure of the difficulty of handling and berthing and the latter of earning ability. Because of differences between systems adopted by different countries, in making allowances say for machinery spaces, etc., there were many anomalies. Sister ships could have different tonnages merely because they flew different flags. It was to remove these anomalies and establish an internationally approved system that the International Convention on Tonnage Measurement of Ships, was adopted in 1969. It came into force in 1982 and became fully operative.
in 1994. The Convention was held under the auspices of the International Maritime Organisation (IMO) to produce a universally recognised system for tonnage measurement. It provided for the independent calculation of gross and net tonnages and has been discussed in some detail by Wilson (1970).

The two parameters of gross and net tonnage are used. Gross tonnage is based on the volume of all enclosed spaces. Net tonnage is the volume of the cargo space plus the volume of passenger spaces multiplied by a coefficient to bring it generally into line with previous calculations of tonnage. Each is determined by a formula.

Gross tonnage \((GT) = K_1 V\)

Net tonnage \((NT) = K_2 V_c \left(\frac{4T}{3D}\right)^2 + K_3 \left(\frac{N_1 + N_2}{10}\right)\)

where:

\[ V = \text{total volume of all enclosed spaces of the ship in cubic metres} \]
\[ K_1 = 0.2 + 0.02 \log_{10} V \]
\[ V_c = \text{total volume of cargo spaces in cubic metres} \]
\[ K_2 = 0.2 + 0.02 \log_{10} V_c \]
\[ K_3 = 1.25 \frac{GT + 10000}{10000} \]
\[ D = \text{moulded depth amidships in metres} \]
\[ T = \text{moulded draught amidships in metres} \]
\[ N_1 = \text{number of passengers in cabins with not more than eight berths} \]
\[ N_2 = \text{number of other passengers} \]
\[ N_1 + N_2 = \text{total number of passengers the ship is permitted to carry.} \]

In using these formulae:

1. When \(N_1 + N_2<13\), \(N_1\) and \(N_2\) are to be taken as zero.
2. The factor \((4T/3D)^2\) is not to be taken as greater than unity and the term \(K_2 V_c (4T/3D)^2\) is not to be taken as less than \(0.25GT\).
3. \(NT\) is not to be less than \(0.30GT\).
4. All volumes included in the calculation are measured to the inner side of the shell or structural boundary plating, whether or not insulation is fitted, in ships constructed of metal. Volumes of appendages are included but spaces open to the sea are excluded.
5. \(GT\) and \(NT\) are stated as dimensionless numbers. The word ton is no longer used.
Other tonnages

Special tonnages are calculated for ships operating through the Suez and Panama Canals. They are shown on separate certificates and charges for the use of the canals are based on them.

REGULATION

There is a lot of legislation concerning ships, much of it concerned with safety matters and the subject of international agreements. For a given ship the application of this legislation is the responsibility of the government of the country in which the ship is registered. In the United Kingdom it is the concern of the Maritime and Coastguard Agency (MCA), an executive agency of the Department for Transport (DfT) responsible to the Secretary of State for Transport. The MCA was established in 1998 by merging the Coastguard and Marine Safety Agencies. It is responsible for:

1. providing a 24 hour maritime search and rescue service;
2. the inspection and enforcement of standards of ships;
3. the registration of ships and seafarers;
4. pollution prevention and response.

It aims to promote high standards in the above areas and to reduce the loss of life and pollution. Some of the survey and certification work has been delegated to classification societies and other recognised bodies.

Some of the matters that are regulated in this way are touched upon in other chapters, including subdivision of ships, carriage of grain and dangerous cargoes. Tonnage measurement has been discussed above. The other major area of regulation is the freeboard demanded and this is covered by the Load Line Regulations.

Load lines

An important insurance against damage in a merchant ship is the allocation of a statutory freeboard. The rules governing this are somewhat complex but the intention is to provide a simple visual check that a laden ship has sufficient reserve of buoyancy for its intended service.

The load line is popularly associated with the name of Samuel Plimsoll who introduced a bill to Parliament to limit the draught to which a ship could be loaded. This reflects the need for some minimum watertight volume of ship above the waterline. That is a minimum
freeboard to provide a reserve of buoyancy when a ship moves through waves, to ensure an adequate range of stability and enough buoyancy following damage to keep the ship afloat long enough for people to get off.

Freeboard is measured downwards from the freeboard deck which is the uppermost complete deck exposed to the weather and sea, the deck and the hull below it having permanent means of watertight closure. A lower deck than this can be used as the freeboard deck provided it is permanent and continuous fore and aft and athwartships. A basic freeboard is given in the Load Line Regulations, the value depending upon ship length and whether it carries liquid cargoes only in bulk. This basic freeboard has to be modified for the block coefficient, length to depth ratio, the sheer of the freeboard deck and the extent of superstructure. The reader should consult the latest regulations for the details for allocating freeboard. They are to be found in the Merchant Shipping (Load Line) Rules.

When all corrections have been made to the basic freeboard the figure arrived at is termed the Summer freeboard. This distance is measured down from a line denoting the top of the freeboard deck at side and a second line is painted on the side with its top edge passing through the centre of a circle, Figure 3.5.

To allow for different water densities and the severity of conditions likely to be met in different seasons and areas of the world, a series of extra lines are painted on the ship’s side. Relative to the Summer freeboard,
for a Summer draught of $T$, the other freeboards are as follows:

1. The Winter freeboard is $T/48$ greater.
2. The Winter North Atlantic freeboard is 50 mm greater still.
3. The Tropical freeboard is $T/48$ less.
4. The Fresh Water freeboard is $\Delta/40 \ t \ cm$ less, where $\Delta$ is the displacement in tonne and $t$ is the tonnes per cm immersion.
5. The Tropical Fresh Water freeboard is $T/48$ less than the Fresh Water freeboard.

**Passenger ships**

As might be expected ships designated as passenger ships are subject to very stringent rules. A passenger ship is defined as one carrying more than twelve passengers. It is issued with a *Passenger Certificate* when it has been checked for compliance with the regulations. Various maritime nations had rules for passenger ships before 1912 but it was the loss of the *Titanic* in that year that focused international concern on the matter. An international conference was held in 1914 but it was not until 1932 that the International Convention for the Safety of Life at Sea was signed by the major nations. The Convention has been reviewed at later conferences in the light of experience. The Convention covers a wide range of topics including watertight subdivision, damaged stability, fire, life saving appliances, radio equipment, navigation, machinery and electrical installations.

**The International Maritime Organisation (IMO) ([www.imo.org](http://www.imo.org))**

The first international initiative in safety was hastened by the public outcry that followed the loss of the *Titanic*. It was recognised that the best way of improving safety at sea was by developing sound regulations to be followed by all shipping nations. However, it was not until 1948 that the United Nations Maritime Conference adopted the Convention on the Intergovernmental Maritime Consultative Organisation (IMCO). The Convention came into force in 1958 and in 1959 a permanent body was set up in London. In 1982 the name was changed to IMO. IMO now represents nearly 160 maritime nations. A great deal of information about the structure of IMO, its conventions and other initiatives will be found on its web site.

Apart from safety of life at sea, the organisation is concerned with facilitating international traffic, load lines, the carriage of dangerous cargoes and pollution. Safety matters concern not only the ship but also the crew, including the standards of training and certification. IMO has an Assembly which meets every 2 years and between assemblies
the organisation is administered by a Council. Its technical work is conducted by a number of committees. It has promoted the adoption of some 30 Conventions and Protocols and of some 700 Codes and Recommendations related to maritime safety and the prevention of pollution. Amongst the conventions are the Safety of Life at Sea Convention (SOLAS) and the International Convention on Load Lines, and the Convention on Marine Pollution (MARPOL). The benefits that can accrue from satellites particularly as regards the transmission and receipt of distress messages, were covered by the International Convention on the International Maritime Satellite Organisation (INMARSAT). The Global Maritime Distress and Safety System is now operative. It ensures assistance to any ship in distress anywhere in the world. All the conventions and protocols are reviewed regularly to reflect the latest experience at sea. Although much of the legislation is in reaction to problems encountered, the organisation is increasingly adopting a pro-active policy.

By its nature the bringing into force of some new, or a change to an existing, convention is a long process. When a problem is recognised and agreed by the Assembly or Council, the relevant committee must consider it in detail and draw up proposals for dealing with it. A draft proposal must then be considered and discussed by all interested parties. An amended version is, in due course, adopted and sent to governments. Before coming into force the convention must be ratified by those governments who accept it and who are then bound by its conditions. Usually a new convention comes into force about 5 years after it is adopted by IMO. Most maritime countries have ratified IMO’s conventions, some of which apply to more than 98 per cent of the world’s merchant tonnage.

Although the governments that ratify conventions are responsible for their implementation in ships which fly their flag, it becomes the responsibility of owners to ensure that their ships meet IMO standards. The International Safety Management (ISM) Code which came into force in 1998 is meant to ensure they do, by requiring them to produce documents specifying that their ships do meet the requirements. Port State Control (PSC) gives a country a right to inspect ships not registered in that country. The ships can be detained if their condition and equipment are not in accord with international regulations or if they are not manned and operated in compliance with those rules. That is, if they are found to be sub-standard or unsafe. Since a ship may well visit several ports in an area it is advantageous if port authorities in that area co-operate. IMO has encouraged the establishment of regional PSC organisations. One region is Europe and the north Atlantic; another Asia and the Pacific.

Much of the regulation agreed with IMO requires certificates to show that the requirements of the various instruments have been met.
In many cases this involves a survey which may mean the ship being out of service for several days. To reduce the problems caused by different survey dates and periods between surveys, IMO introduced in 2000 a harmonised system of ship survey and certification. This covers survey and certification requirements of the conventions on safety of life at sea, load lines, pollution and a number of codes covering the carriage of dangerous substances. Briefly the harmonised system provides a 1-year standard survey interval, some flexibility in timing of surveys, dispensations to suit the operational program of the ship and maximum validity periods of 5 years for cargo ships and 1 year for passenger ships. The main changes to the SOLAS and Load Line Conventions are that annual inspections are made mandatory for cargo ships with unscheduled inspections discontinued.

SOLAS and the Collision Regulations (COLREGS) require ships to comply with rules on design, construction and equipment. SOLAS coverage includes life saving equipment, both the survival craft (lifeboats and liferafts) and personal (life jackets and immersion suits). Numbers of such equipments are stated on the Safety Certificate.

**Classification societies**

There are many classification societies which co-operate through the International Association of Classification Societies (IACS) ([www.iacs.org.uk](http://www.iacs.org.uk)), including:

- American Bureau of Shipping
- Bureau Veritas
- China Classification Society
- Det Norske Veritas
- Germanischer Lloyd
- Korean Register of Shipping
- Lloyds Register of Shipping
- Nippon Kaiji Kyokai
- Registro Italiano Navale
- Russian Maritime Register of Shipping

As with IMO, a lot of information on the classification societies can be gleaned from their web sites. The work of the classification societies is exemplified by *Lloyd’s Register* (LR) of London which was founded in 1760 and is the oldest society. It classes some 6700 ships totalling about 96 million in gross tonnage. When a ship is built to LR class it must meet the requirements laid down by the society for design and build. LR demands that the materials, structure, machinery and equipment are of the required quality. Construction is surveyed to ensure proper
DEFINITION AND REGULATION

standards of workmanship are adhered to. Later in life, if the ship is to retain its class, it must be surveyed at regular intervals. The scope and depth of these surveys reflect the age and service of the ship. Thus, through classification, standards of safety, quality and reliability are set and maintained. LR have developed a Hull Condition Monitoring Scheme to assist in the inspection and maintenance of tankers and bulk carriers. A database is created using a vessel representation program to generate the structural codes, geometry and rule and renewal thickness of individual plates and stiffeners. Results of class surveys and owners’ inspections are input to the database which can be accessed on board ship or ashore. Tabular and graphical outputs are available.

Classification applies to ships and floating structures extending to machinery and equipment such as propulsion systems, liquefied gas containment systems and so on.

For many years Lloyd’s Rules were in tabular form basing the scantlings required for different types of ship on their dimensions and tonnage. These gave way to rational design standards and now computer based assessment tools allow a designer to optimise the design with minimum scantlings and making it easier to produce. For a ship to be designed directly using analysis requires an extensive specification on how the analyses are to be carried out and the acceptance criteria to apply. Sophisticated analysis tools are needed to establish the loads to which the ship will be subject.

Classification societies are becoming increasingly involved in the classification of naval vessels. Typically they cover the ship and ship systems, including stability, watertight integrity, structural strength, propulsion, fire safety and life saving. They do not cover the weapon systems themselves but do cover the supporting systems. A warship has to be ‘fit for service’ as does any ship. The technical requirements to make them fit for service will differ, as would the requirements for a tanker and for a passenger ship. In the case of the warship the need to take punishment as a result of enemy action, including shock and blast, will lead to a more rugged design. There will be more damage scenarios to be considered with redundancy built into systems so that they are more likely to remain functional after damage.

The involvement of classification societies with naval craft has a number of advantages. It means warships will meet at least the internationally agreed safety standards to which merchant ships are subject. The navy concerned benefits from the world wide organisation of surveyors to ensure equipment, materials or even complete ships are of the right quality.

Lloyd’s is international in character and is independent of government but has delegated powers, as do other classification societies, to carry out many of the statutory functions mentioned earlier. They carry out surveys and certification on behalf of more than 130 national
administrations. They also carry out statutory surveys covering the international conventions on load lines, cargo ship construction, safety equipment, pollution prevention, grain loading and so on, and issue International Load Line Certificates, Passenger Ship Safety Certificates and so on. The actual registering of ships is carried out by the government organisation. Naturally owners find it easier to arrange registration of their ships with a government, and to get insurance cover, if the ship has been built and maintained in accordance with the rules of a classification society.

Lloyd’s Register must not be confused with Lloyd’s of London, the international insurance market, which is a quite separate organisation although it had similar origins.

**Impact of rules and regulations on design**

A ship designer must satisfy not only the owner’s stated requirements but also the IMO regulations and classification society rules. The first will define the type of ship and its characteristics such as size, speed and so on. The second broadly ensures that the ship will be safe and acceptable in ports throughout the world. They control such features as sub-division, stability, fire protection, pollution prevention and manning standards. The third sets out the ‘engineering’ rules by which the ship can be designed to meet the demands placed on it. They will reflect the properties of the materials used in construction and the loadings the ship is likely to experience in the intended service.

There are three basic forms the rules of a classification society may take:

- **Prescriptive standards** describing exactly what is required, reflecting that society’s long experience and the gradual trends in technological development. They enable a design to be produced quickly and do not require the designer to have advanced structural design knowledge. They are not well suited to novel design configurations or to incorporating new, rapidly changing, technological developments. Because of this the performance standard approach is increasingly favoured.

- **Performance standards** which are flexible in that they set out aims to be achieved but leave the designer free to decide how to meet them within the overall constraints of the rules. They set standards and criteria to which the design must conform to provide the degree of safety and reliability demanded.

- **The safety case approach** which considers the totality of risks the ship is subject to scenarios of predictable incidents. A *formal safety assessment* (FSA) involves identifying hazards; assessing the risks associated with each hazard; considering alternative strategies and
making decisions so as to reduce the risks and their consequences to acceptable levels. Put another way the designer thinks what might go wrong, the consequences if it does go wrong, the implications for the design of reducing, or avoiding, the risk; making a conscious decision on how to manage the situation. Thus a designer might decide that although an event is of very low probability its repercussions are so serious that something must be done to reduce the hazard.

Although attractive in principle, FSA is an expensive approach and is likely to be used for individual projects only if they are high profile ones. It can be used, however, as the basis for developing future classification and convention requirements. One problem, particularly for radically new concepts is foreseeing what might happen and under what circumstances.

It is clear that probability theory is going to play an increasing part in design safety assessments and development. To quote two examples:

- When considering longitudinal strength the designer must assess the probability of the ship meeting various sea conditions; the need to operate or merely survive, in these conditions; the probability of the structure having various levels of built in stress and the probable state of the structure in terms of loss of plate thickness due to corrosion.
- In considering collision at sea, consideration must be given to the density of traffic in the areas in which the ship is to operate. Then there are the probabilities that the ship will be struck at a certain point along its length by a ship of a certain size and speed; that the collision will cause damage over a certain length of hull; the state of watertight doors and other openings. Then some allowance must be made for the actions of the crew in containing the incident.

Statistics are being gathered to help quantify these probabilities but many still require considerable judgement on the part of the designer.

**Accident investigations**

The Marine Accident Investigation Branch (MAIB) ([www.maib.dft.gov.uk](http://www.maib.dft.gov.uk))

The MAIB is a branch of DETR which investigates all types of marine accident. It is independent of MCA and its head, the Chief Inspector of Marine Accidents, reports directly to the Secretary of State. The role of the MAIB is to determine the circumstances and causes of an accident with the aim of improving safety at sea and preventing future accidents. Their powers are set out in the Merchant Shipping Act.
The Salvage Association (www.wreckage.org)
Another type of investigation is carried out by the Salvage Association. This association serves the insurance industry. When instructed, it carries out surveys of casualties to ascertain the circumstances, investigate the cause, the extent of damage and to assess the cost to rectify. On-site inspections are usually needed although thought is being given to using remote video imaging fed back to experts at base. The Association aims to give a fast service, giving preliminary advice within 48 hours.

SUMMARY

It has been seen how a ship’s principal geometric features can be defined and characterised. It will be shown in the next chapter how the parameters can be calculated and they will be called into use in later chapters. The concept and calculation of gross and net tonnage have been covered. The regulations concerning minimum freeboard values and the roles of the classification societies and government bodies have been outlined.

Whilst all legal requirements must be met, engineers have a much broader responsibility to the public and the profession and must do their best, using all available knowledge. This underlines the importance of engineers keeping abreast of developments in their field, through continuing professional development.
It has been seen that the three dimensional hull form can be represented by a series of curves which are the intersections of the hull with three sets of mutually orthogonal planes. The naval architect is interested in the areas and volumes enclosed by the curves and surfaces so represented. To find the centroids of the areas and volumes it is necessary to obtain their first moments about chosen axes. For some calculations the moments of inertia of the areas are needed. This is obtained from the second moment of the area, again about chosen axes. These properties could be calculated mathematically, by integration, if the form could be expressed in mathematical terms. This is not easy to do precisely and approximate methods of integration are usually adopted, even when computers are employed. These methods rely upon representing the actual hull curves by ones which are defined by simple mathematical equations. In the simplest case a series of straight lines are used.

**APPROXIMATE INTEGRATION**

One could draw the shape, the area of which is required, on squared paper and count the squares included within it. If mounted on a uniform card the figure could be balanced on a pin to obtain the position of its centre of gravity. Such methods would be very tedious but illustrate the principle of what is being attempted. To obtain an area it is divided into a number of sections by a set of parallel lines. These lines are usually equally spaced but not necessarily so.

**Trapezoidal rule**

If the points at which the parallel lines intersect the area perimeter are joined by straight lines, the area can be represented approximately by the summation of the set of trapezia so formed. The generalized situation is illustrated in Figure 4.1. The area of the shaded trapezium is:

\[ A_n = \frac{1}{2} h_n (y_n + y_{n+1}) \]
Any area can be divided into two, each with part of its boundary a straight line. Such a line can be chosen as the axis about which moments are taken. This simplifies the representation of the problem as in Figure 4.2 which also uses equally spaced lines, called ordinates. The device is very apt for ships, since they are symmetrical about their middle line planes, and areas such as waterplanes can be treated as two halves.

Referring to Figure 4.2, the curve ABC has been replaced by two straight lines, AB and BC with ordinates $y_0$, $y_1$ and $y_2$ distance $h$ apart. The area is the sum of the two trapezia so formed:

$$\text{Area} = \frac{h(y_0 + y_1)}{2} + \frac{h(y_1 + y_2)}{2} = \frac{h(y_0 + 2y_1 + y_2)}{2}$$

![Figure 4.1](image1.png)

![Figure 4.2](image2.png)
The accuracy with which the area under the actual curve is calculated will depend upon how closely the straight lines mimic the curve. The accuracy of representation can be increased by using a smaller interval $h$. Generalizing for $n + 1$ ordinates the area will be given by:

$$
\text{Area} = \frac{h(y_0 + 2y_1 + 2y_2 + \cdots + 2y_{n-1} + y_n)}{2}
$$

In many cases of ships’ waterplanes it is sufficiently accurate to use ten divisions with eleven ordinates but it is worth checking by eye whether the straight lines follow the actual curves reasonably accurately. Because warship hulls tend to have greater curvature they are usually represented by twenty divisions with twenty-one ordinates. To calculate the volume of a three dimensional shape the areas of its cross sectional areas at equally spaced intervals can be calculated as above. These areas can then be used as the new ordinates in a curve of areas to obtain the volume.

**Simpson’s rules**

The trapezoidal rule, using straight lines to replace the actual ship curves, has limitations as to the accuracy achieved. Many naval architectural calculations are carried out using what are known as Simpson’s rules. In Simpson’s rules the actual curve is represented by a mathematical equation of the form:

$$
y = a_0 + ax_1 + a_2x^2 + a_3x^3
$$

The curve, shown in Figure 4.3, is represented by three equally spaced ordinates $y_0$, $y_1$ and $y_2$. It is convenient to choose the origin to be at the base of $y_1$ to simplify the algebra but the results would be the same wherever the origin is taken. The curve extends from $x = -h$ to $x = +h$ and the area under it is:

$$
A = \int_{-h}^{+h} (a_0 + a_1x + a_2x^2 + a_3x^3)dx
= \left[ a_0x + a_1x^2/2 + a_2x^3/3 + a_3x^4/4 \right]_{-h}^{+h}
= 2a_0h + 2a_2h^3/3
$$

Now:

1. $y_0 = a_0 - a_1h + a_2h^2 - a_3h^3$
2. $y_1 = a_0$
3. $y_2 = a_0 + a_1h + a_2h^2 + a_3h^3$
It would be convenient to be able to express the area of the figure as a simple sum of the ordinates each multiplied by some factor to be determined. Assuming that $A$ can be represented by:

$$A = Fy_0 + Gy_1 + Hy_2,$$

then:

$$A = (F + G + H)a_0 - (F - H)a_1 h + (F + H)a_2 h^2 - (F - H)a_3 h^3$$

$$= 2a_0 h + 2a_2 h^3/3$$

These equations give:

$$F = H = h/3 \quad \text{and} \quad G = 4h/3$$

Hence:

$$A = \frac{h}{3}(y_0 + 4y_1 + y_2)$$

This is Simpson’s First Rule or 3 Ordinate Rule.

This rule can be generalized to any figure defined by an odd number of evenly spaced ordinates, by applying the First Rule to ordinates 0 to 2, 2 to 4, 4 to 6 and so on, and then summing the resulting answers. This provides the rule for $n + 1$ ordinates:

$$A = \frac{h}{3}(y_0 + 4y_1 + 2y_2 + 4y_3 + 2y_4 + 4y_5 + \cdots + 4y_{n-1} + y_n)$$

For many ship forms it is adequate to divide the length into ten equal parts using eleven ordinates. When the ends have significant curvature greater accuracy can be obtained by introducing intermediate ordinates.
in those areas, as shown in Figure 4.4. The figure gives the Simpson multipliers to be used for each consecutive area defined by three ordinates. The total area is given by:

\[
A = \frac{h}{3} \left( \frac{1}{2} y_0 + 2y_1 + y_2 + 2y_3 + 1\frac{1}{2}y_4 + 4y_5 + 2y_6 + 4y_7 + 2y_8 + 4y_9 + 1\frac{1}{2}y_{10} + 2y_{11} + y_{12} + 2y_{13} + \frac{1}{2}y_{14} \right)
\]

where \( y_1, y_3, y_{11} \) and \( y_{13} \) are the extra ordinates.

The method outlined above for calculating areas can be applied to evaluating any integral. Thus it can be applied to the first and second moments of area. Referring to Figure 4.5, the moments will be given by:

First moment = \( \int \int x \, dx \, dy \) = \( \int xy \, dx \) about the y-axis  
= \( \int \int y \, dx \, dy \) = \( \int \frac{1}{2} y^2 \) \( dx \) about the x-axis

Second moment = \( \int \int x^2 \, dx \, dy \) = \( \int x^2 \, y \, dx \) about the y-axis = \( I_y \)  
= \( \int \int y^2 \, dx \, dy \) = \( \int \frac{1}{2} y^3 \) \( dx \) about the x-axis = \( I_x \)

The calculations, if done manually, are best set out in tabular form.
Example 4.1

Calculate the area between the curve, defined by the ordinates below, and the x-axis. Calculate the first and second moments of area about the x- and y-axes and the position of the centroid of area.

<table>
<thead>
<tr>
<th>x</th>
<th>y</th>
<th>SM</th>
<th>F(A)</th>
<th>xy</th>
<th>F(M_y)</th>
<th>x^2y</th>
<th>F(I_y)</th>
<th>y^2</th>
<th>F(M_x)</th>
<th>y^3</th>
<th>F(I_x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>1.0</td>
<td>1</td>
<td>1.0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1.0</td>
<td>1.0</td>
<td>1.0</td>
<td>1.0</td>
<td>1.0</td>
</tr>
<tr>
<td>1</td>
<td>1.2</td>
<td>4</td>
<td>4.8</td>
<td>1.2</td>
<td>4.8</td>
<td>1.2</td>
<td>4.8</td>
<td>1.44</td>
<td>5.76</td>
<td>1.728</td>
<td>6.912</td>
</tr>
<tr>
<td>2</td>
<td>1.5</td>
<td>2</td>
<td>3.0</td>
<td>3.0</td>
<td>6.0</td>
<td>6.0</td>
<td>12.0</td>
<td>2.25</td>
<td>4.50</td>
<td>3.75</td>
<td>6.750</td>
</tr>
<tr>
<td>3</td>
<td>1.6</td>
<td>4</td>
<td>6.4</td>
<td>4.8</td>
<td>19.2</td>
<td>14.4</td>
<td>57.6</td>
<td>2.56</td>
<td>10.24</td>
<td>4.096</td>
<td>16.384</td>
</tr>
<tr>
<td>4</td>
<td>1.5</td>
<td>2</td>
<td>3.0</td>
<td>6.0</td>
<td>12.0</td>
<td>24.0</td>
<td>48.0</td>
<td>2.25</td>
<td>4.50</td>
<td>3.75</td>
<td>6.750</td>
</tr>
<tr>
<td>5</td>
<td>1.3</td>
<td>4</td>
<td>5.2</td>
<td>6.5</td>
<td>26.0</td>
<td>32.5</td>
<td>130.0</td>
<td>1.69</td>
<td>6.76</td>
<td>2.197</td>
<td>8.788</td>
</tr>
<tr>
<td>6</td>
<td>1.1</td>
<td>2</td>
<td>2.2</td>
<td>6.6</td>
<td>13.2</td>
<td>39.6</td>
<td>79.2</td>
<td>1.21</td>
<td>2.42</td>
<td>1.331</td>
<td>2.662</td>
</tr>
<tr>
<td>7</td>
<td>0.9</td>
<td>4</td>
<td>3.6</td>
<td>6.3</td>
<td>25.2</td>
<td>44.1</td>
<td>176.4</td>
<td>0.81</td>
<td>3.24</td>
<td>0.729</td>
<td>2.916</td>
</tr>
<tr>
<td>8</td>
<td>0.6</td>
<td>1</td>
<td>0.6</td>
<td>4.8</td>
<td>4.8</td>
<td>38.4</td>
<td>38.4</td>
<td>0.36</td>
<td>0.36</td>
<td>0.216</td>
<td>0.216</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Totals</td>
<td>29.8</td>
<td>111.2</td>
<td>546.4</td>
<td>38.78</td>
<td>52.378</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Hence:

Area = \( \frac{29.8}{3} = 9.93 \text{ m}^2 \)

First moment about y-axis = \( \frac{111.2}{3} = 37.07 \text{ m}^3 \)

Centroid from y-axis = \( \frac{37.07}{9.93} = 3.73 \text{ m} \)

First moment about x-axis = \( 0.5 \times \frac{38.78}{3} = 6.463 \text{ m}^3 \)

Centroid from x-axis = \( \frac{6.463}{9.93} = 0.65 \text{ m} \)

Second moment about y-axis = \( \frac{546.4}{3} = 182.13 \text{ m}^4 \)

Second moment about x-axis = \( \frac{1}{3} \times \frac{52.378}{3} = 5.82 \text{ m}^4 \)
The second moment of an area is always least about an axis through its centroid. If the second moment of an area, \(A\), about an axis \(x\) from its centroid is \(I_x\) and \(I_{xx}\) is that about a parallel axis through the centroid:

\[
I_{xx} = I_x - Ax^2
\]

In the above example the second moments about axes through the centroid and parallel to the \(x\)-axis and \(y\)-axis, are respectively:

\[
I_{xx} = 5.82 - 9.93(0.65)^2 = 1.62 \text{ m}^4
\]
\[
I_{yy} = 182.13 - 9.93(3.73)^2 = 43.97 \text{ m}^4
\]

Where there are large numbers of ordinates the arithmetic in the table can be simplified by halving each Simpson multiplier and then doubling the final summations so that:

\[
A = \frac{2h}{3} \left( \frac{1}{2}y_0 + 2y_1 + y_2 + \cdots + 2y_n + \frac{1}{2}y_{n+1} \right)
\]

Application to waterplane calculations
Most of the waterplanes the naval architect is concerned with are symmetrical about the \(x\)-axis so the calculations can be carried out for one-half and doubled for the complete waterplane. This is done in the following example.

**Example 4.2**

The summer waterplane of a ship is defined by a series of half-ordinates (metres) at 14.1 m separation, as follows:

<table>
<thead>
<tr>
<th>Station</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
<th>11</th>
</tr>
</thead>
<tbody>
<tr>
<td>Half ordinates</td>
<td>0.10</td>
<td>5.20</td>
<td>9.84</td>
<td>12.80</td>
<td>14.04</td>
<td>14.40</td>
<td>14.20</td>
<td>13.70</td>
<td>12.60</td>
<td>10.06</td>
<td>1.30</td>
</tr>
</tbody>
</table>

Calculate the area of the waterplane, the position of its centroid of area and its second moments of area.

**Solution**

A table can be constructed (as shown in Table 4.2). In Table 4.2, \(F(A)\) represents \(SM \times y\); \(F(M) = SM \times \text{lever} \times y\); \(F(I)\) long = \(SM \times \text{lever} \times \text{lever} \times y\) and \(F(I)\) trans = \(SM \times y^3\). From the summations in the table:

The area of the waterplane = \(2/3 \times 14.1 \times 327.4 = 3077 \text{ m}^2\)

The centroid of area is aft of amidships by \(14.1 \times 107.84/327.4 = 4.64 \text{ m}\)
The longitudinal second moment of area about amidships is \( I_{L1} = \frac{14.1}{3} \times \frac{14.1}{3} \times 1896 = 3543000 \text{ m}^4 \).

The minimum longitudinal second moment will be about the centroid of area and given by:

\[ I_{L} = 3543000 - 3077(4.64)^2 = 3477000 \text{ m}^4 \]

The transverse second moment is \( I_{T} = \frac{2}{3} \times \frac{1}{3} \times 14.1 \times 52423 = 164300 \text{ m}^4 \)

### Other Simpson’s rules

Other rules can be deduced for figures defined by unevenly spaced ordinates or by different numbers of evenly spaced ordinates. The rule for four evenly spaced ordinates becomes:

\[ A = \frac{3h}{8} (y_0 + 3y_1 + 3y_2 + y_3) \]

This is known as Simpson’s Second Rule. It can be extended to cover 7, 10, 13, etc., ordinates, becoming:

\[ A = \frac{3h}{8} (y_0 + 3y_1 + 3y_2 + 2y_3 + 3y_4 + \cdots + 3y_{n-1} + y_n) \]

A special case is where the area between two ordinates is required when three are known. If, for instance, the area between ordinates \( y_0 \) and \( y_1 \) of

<table>
<thead>
<tr>
<th>Station</th>
<th>Half, ( \gamma )</th>
<th>SM</th>
<th>F(A)</th>
<th>Lever</th>
<th>F(M)</th>
<th>Lever</th>
<th>F(I) long</th>
<th>yyy</th>
<th>F(I) trans</th>
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<td>332.80</td>
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<td>1906</td>
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<td>2</td>
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<td>-109.60</td>
<td>-2</td>
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<td>-75.60</td>
<td>-3</td>
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<tr>
<td>Totals</td>
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<td></td>
<td>-107.84</td>
<td></td>
<td>1896.04</td>
<td></td>
<td>52423</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Figure 4.3 is needed:

\[ A_i = \frac{h}{12} (5y_0 + 8y_1 - y_2) \]

This is called Simpson’s 5, 8 minus 1 Rule and it will be noted that if it is applied to both halves of the curve then the total area becomes:

\[ A = \frac{h}{3} (y_0 + 4y_1 + y_2) \]

as would be expected.

Unlike others of Simpson’s rules the 5, 8, −1 cannot be applied to moments. A corresponding rule for moments, derived in the same way as those for areas, is known as Simpson’s 3, 10 minus 1 Rule and gives the moment of the area bounded by \( y_0 \) and \( y_1 \) about \( y_0 \), as:

\[ M = \frac{h^2}{24} (3y_0 + 10y_1 - y_2) \]

If in doubt about the multiplier to be used, a simple check can be applied by considering the area or moment of a simple rectangle.

**Tchebycheff’s rules**

In arriving at Simpson’s rules, equally spaced ordinates were used and varying multipliers for the ordinates deduced. The equations concerned can equally well be solved to find the spacing needed for ordinates if the multipliers are to be unity. For simplicity the curve is assumed to be centred upon the origin, \( x = 0 \), with the ordinates arranged symmetrically about the origin. Thus for an odd number of ordinates the middle one will be at the origin. Rules so derived are known as Tchebycheff rules and they can be represented by the equation:

\[ A = \frac{\text{Span of curve on } x\text{-axis} \times \text{Sum of ordinates}}{\text{Number of ordinates}} \]

Thus for a curve spanning two units, \( 2h \), and defined by three ordinates:

\[ A = \frac{2h}{3} (y_0 + y_1 + y_2) \]

The spacings required of the ordinates are given in Table 4.3.

**General**

It has been shown by Miller (1963, 1964) that:

1. Odd ordinate Simpson’s rules are preferred as they are only marginally less accurate than the next higher even number rule.
(2) Even ordinate Tchebycheff rules are preferred as they are as accurate as the next highest odd ordinate rule.

(3) A Tchebycheff rule with an even number of ordinates is rather more accurate than the next highest odd number Simpson rule.

**Polar co-ordinates**

The rules discussed above have been illustrated by figures defined by a set of parallel ordinates and this is most convenient for waterplanes. For transverse sections a problem can arise at the turn of bilge unless closely spaced ordinates are used in that area. An alternative is to adopt polar co-ordinates radiating from some convenient pole, \( O \), on the centreline (Figure 4.6).

<table>
<thead>
<tr>
<th>Number of ordinates</th>
<th>Spacing each side of origin ÷ the half length</th>
</tr>
</thead>
<tbody>
<tr>
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<td>3</td>
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<td>7</td>
<td>0.0268 0.3959 0.8839</td>
</tr>
<tr>
<td>8</td>
<td>0.0126 0.9059 0.8049</td>
</tr>
<tr>
<td>9</td>
<td>0.01679 0.5288 0.6010 0.9116</td>
</tr>
<tr>
<td>10</td>
<td>0.0838 0.3127 0.5000 0.6873 0.9162</td>
</tr>
</tbody>
</table>

*Figure 4.6 Polar co-ordinates*
Area of the half section \( = \frac{1}{2} \int_{0}^{180} r^2 \, d\theta \)

If the section shape is defined by a number of radial ordinates at equal angular intervals the area can be determined using one of the approximate integration methods. Since the deck edge is a point of discontinuity one of the radii should pass through it. This can be arranged by careful selection of \( O \) for each transverse section.

SPREADSHEETS

It will be appreciated that the type of calculations discussed above lend themselves to the use of computer spreadsheets and Microsoft Excel is very convenient here as it is in many engineering situations as presented in Liengme (2002). A spreadsheet can be produced for the calculations in Table 4.1. This has been done to create Table 4.4. The first four columns present the ordinate number and the values of \( x \), \( y \) and Simpson’s multiplier. Assuming the \( x \) values are in cells B3 to B11, the \( y \) values in C3 to C11 and the SM values in D3 to D11, then:

- the figure to go in cell E3 is obtained by an instruction of the form ‘\( =C3*D3 \)’ without the quotes, and so on for the rest of column E;
- the figure to go in cell F3 is obtained by an instruction of the form ‘\( =B3*C3 \)’ without the quotes, and so on for the rest of column F;
- the figure to go in cell G3 is obtained by an instruction of the form ‘\( =D3*F3 \)’ without the quotes, and so on for the rest of column G;
- the figure to go in cell H3 is obtained by an instruction of the form ‘\( =B3*B3*C3 \)’ without the quotes, and so on for the rest of column H;
- the figure to go in cell I3 is obtained by an instruction of the form ‘\( =D3*H3 \)’ without the quotes, and so on for the rest of column I;
- the figure to go in cell J3 is obtained by an instruction of the form ‘\( =C3*C3 \)’ without the quotes, and so on for the rest of column J;
- the figure to go in cell K3 is obtained by an instruction of the form ‘\( =D3*J3 \)’ without the quotes, and so on for the rest of column K;
- the figure to go in cell L3 is obtained by an instruction of the form ‘\( =C3*C3*C3 \)’ without the quotes, and so on for the rest of column L;
- the figure to go in cell M3 is obtained by an instruction of the form ‘\( =D3*L3 \)’ without the quotes, and so on for the rest of column M.
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<th></th>
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<th>G</th>
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<th>J</th>
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<td>y</td>
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<td>xxy</td>
<td>F(I_y)</td>
<td>yy</td>
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<td>F(I_y)</td>
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</table>
The summation can be done for columns E, G, I, K and M by using the instruction ‘=SUM(E3:E11)’ and so on, or the Excel ∑ function can be used.

Then the area is obtained by \((1/3) \times \text{SUM(E3:E11)}\); the first moment about the \(y\)-axis by \((1/3) \times \text{SUM(G3:G11)}\); the centroid of area from the \(y\)-axis by moment/area or, in this case by \([\text{SUM(G3:G11)}]/\text{SUM(E3:E11)}\). It should be noted that the ordinate spacing in this case is unity. Had it been \(h\), say, then the area would be given by \((h/3) \times \text{SUM(E3:E11)}\) and so on.

More complex functions can be built in to the tables as the complexity of the calculations increases. There are many short cuts that can be used by those familiar with the software and the student will be aware of these through other applications. The great value of the spreadsheet is that templates can be created for common calculations and thoroughly checked. Then, in subsequent use, possible errors are restricted to the inputting of the basic data.

Excel has been used extensively for the tabular calculations in Appendix B.

**SUMMARY**

It has been shown how areas and volumes enclosed by typical ship curves and surfaces, together with their first and second moments, can be calculated by approximate methods and how computer spreadsheets can be used to assist in the calculations. These methods can be applied quite widely in engineering applications other than naval architecture. They provide the means of evaluating many of the integrals called up by the theory outlined in the following chapters.
EQUILIBRIUM

Equilibrium of a body floating in still water

A body floating freely in still water experiences a downward force acting on it due to gravity. If the body has a mass $m$, this force will be $mg$ and is known as the weight. Since the body is in equilibrium there must be a force of the same magnitude and in the same line of action as the weight but opposing it. Otherwise the body would move. This opposing force is generated by the hydrostatic pressures which act on the body, Figure 5.1. These act normal to the body’s surface and can be resolved into vertical and horizontal components. The sum of the vertical components must equal the weight. The horizontal components must cancel out otherwise the body would move sideways. The gravitational force $mg$ can be imagined as concentrated at a point $G$ which is the centre of mass, commonly known as the centre of gravity. Similarly the opposing force can be imagined to be concentrated at a point $B$.

![Figure 5.1 Floating body](image)
Consider now the hydrostatic forces acting on a small element of the
surface, $da$, a depth $y$ below the surface.

Pressure $= \text{density} \times \text{gravitational acceleration} \times \text{depth} = \rho gy$

The normal force on an element of area $da = \rho gy \, da$

If $\varphi$ is the angle of inclination of the body’s surface to the horizontal
then the vertical component of force is:

$$(\rho gy \, da) \cos \varphi = \rho g \text{(volume of vertical element)}$$

Integrating over the whole volume the total vertical force is:

$$\rho g \nabla \text{ where } \nabla \text{ is the immersed volume of the body.}$$

This is also the weight of the displaced water. It is this vertical force
which ‘buoys up’ the body and it is known as the \textit{buoyancy force} or simply
\textit{buoyancy}. The point, $B$, through which it acts is the centroid of
volume of the displaced water and is known as the \textit{centre of buoyancy}.

Since the buoyancy force is equal to the weight of the body, $m = \rho \nabla$.

In other words the mass of the body is equal to the mass of the water
displaced by the body. This can be visualized in simple physical terms.
Consider the underwater portion of the floating body to be replaced by
a weightless membrane filled to the level of the free surface with water
of the same density as that in which the body is floating. As far as the
water is concerned the membrane need not exist, there is a state of
equilibrium and the forces on the skin must balance out.

\textbf{Underwater volume}

Once the ship form is defined the underwater volume can be calcu-
lated by the rules discussed earlier. If the immersed areas of a number
of sections throughout the length of a ship are calculated, a sectional
area curve can be drawn as in Figure 5.2. The underwater volume is:

$$\nabla = \int A \, dx$$

If immersed cross-sectional areas are calculated to a number of water-
lines parallel to the design waterline, then the volume up to each can
be determined and plotted against draught as in Figure 5.3. The vol-
ume corresponding to any given draught $T$ can be picked off, provided
the waterline at $T$ is parallel to those used in deriving the curve.

A more general method of finding the underwater volume, known as
the \textit{volume of displacement}, is to make use of \textit{Bonjean} curves. These are
curves of immersed cross-sectional areas plotted against draught for
each transverse section. They are usually drawn on the ship profile as in Figure 5.4. Suppose the ship is floating at waterline WL. The immersed areas for this waterline are obtained by drawing horizontal lines, shown dotted, from the intercept of the waterline with the middle line of a section to the Bonjean curve for that section. Having the areas for all the sections, the underwater volume and its longitudinal centroid, its centre of buoyancy, can be calculated.

When the displacement of a ship was calculated manually, it was customary to use what was called a *displacement sheet*. A typical layout is shown in Figure 5.5. The displacement from the base up to, in this
### Figure 5.5 Displacement sheet

<table>
<thead>
<tr>
<th>Section</th>
<th>Simpson's multipliers</th>
<th>Levers from amidships</th>
<th>Waterlines above base (metres)</th>
<th>Sum of products of sectional areas</th>
<th>Simpson's multipliers</th>
<th>Volume products</th>
<th>Levers from amidships</th>
<th>Moments about amidships</th>
</tr>
</thead>
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</table>

Total products of waterplane areas

Simpson's multipliers

Volume products

Levers above base

Moments about base

\[ M_A \]

\[ M_F \]

\[ M_Y \]
case, the 5 m waterline was determined by using Simpson’s rule applied to half ordinates measured at waterlines 1 m apart and at sections taken at every tenth of the length. The calculations were done in two ways. Firstly the areas of sections were calculated and integrated in the fore and aft direction to give volume. Then areas of waterplanes were calculated and integrated vertically to give volume. The two volume values, A and B in the figure, had to be the same if the arithmetic had been done correctly, providing a check on the calculation. The displacement sheet was also used to calculate the vertical and longitudinal positions of the centre of buoyancy. The calculations are now done by computer. The calculation lends itself very well to the use of Excel spreadsheets as discussed in an earlier chapter.

This text has concentrated on the concepts of calculating the characteristics of a floating body. It will be helpful to the student to have these concepts developed in more detail using numerical examples and this is done in Appendix B.

STABILITY AT SMALL ANGLES

The concept of the stability of a floating body can be explained by considering it to be inclined from the upright by an external force which is then removed. In Figure 5.6 a ship floats originally at waterline $W_0L_0$ and after rotating through a small angle at waterline $W_1L_1$.

![Figure 5.6 Small angle stability](image-url)

The inclination does not affect the position of G, the ship’s centre of gravity, provided no weights are free to move. The inclination does, however, affect the underwater shape and the centre of buoyancy moves from $B_0$ to $B_1$. This is because a volume, $v$, represented by $W_0OW_1$, has
come out of the water and an equal volume, represented by $L_0OL_1$, has been immersed.

If $g_e$ and $g_i$ are the centroids of the emerged and immersed wedges and $g_eg_i = k$, then:

$$B_0B_1 = \frac{v \times h}{\nabla}$$

where $\nabla$ is the total volume of the ship.

In general a ship will trim slightly when it is inclined at constant displacement. For the present this is ignored but it means that strictly $B_0$, $B_1$, $g_e$, etc., are the projections of the actual points on to a transverse plane.

The buoyancy acts upwards through $B_1$ and intersects the original vertical at $M$. This point is termed the metacentre and for small inclinations can be taken as fixed in position. The weight $W = mg$ acting downwards and the buoyancy force, of equal magnitude, acting upwards are not in the same line but form a couple $W \times GZ$, where $GZ$ is the perpendicular on to $B_1M$ drawn from $G$. As shown this couple will restore the body to its original position and in this condition the body is said to be in stable equilibrium. $GZ = GM \sin \varphi$ and is called the righting lever or lever and $GM$ is called the metacentric height. For a given position of $G$, as $M$ can be taken as fixed for small inclinations, $GM$ will be constant for any particular waterline. More importantly, since $G$ can vary with the loading of the ship even for a given displacement, $BM$ will be constant for a given waterline. In Figure 5.6 $M$ is above $G$, giving positive stability, and $GM$ is regarded as positive in this case.

If, when inclined, the new position of the centre of buoyancy, $B_1$, is directly under $G$, the three points $M$, $G$ and $Z$ are coincident and there is no moment acting on the ship. When the disturbing force is removed the ship will remain in the inclined position. The ship is said to be in neutral equilibrium and both $GM$ and $GZ$ are zero.

A third possibility is that, after inclination, the new centre of buoyancy will lie to the left of $G$. There is then a moment $W \times GZ$ which will take the ship further from the vertical. In this case the ship is said to be unstable and it may heel to a considerable angle or even capsize. For unstable equilibrium $M$ is below $G$ and both $GM$ and $GZ$ are considered negative.

The above considerations apply to what is called the initial stability of the ship, that is when the ship is upright or very nearly so. The criterion of initial stability is the metacentric height. The three conditions can be summarized as:

- **M above G**  $GM$ and $GZ$ positive  stable
- **M at G**  $GM$ and $GZ$ zero  neutral
- **M below G**  $GM$ and $GZ$ negative  unstable
**Transverse metacentre**

The position of the metacentre is found by considering small inclinations of a ship about its centreline, Figure 5.7. For small angles, say 2 or 3 degrees, the upright and inclined waterlines will intersect at O on the centreline. The volumes of the emerged and immersed wedges must be equal for constant displacement.

\[ M = L_1 = L_0, \quad B_1 = B_0 \]

\[ W_0 = W_1, \quad \frac{2}{3}y = \frac{2}{3}y \]

\[ K \]

**Figure 5.7 Transverse metacentre**

For small angles the emerged and immersed wedges at any section, \( W_0, W_1 \) and \( L_0, L_1 \), are approximately triangular. If \( y \) is the half-ordinate of the original waterline at the cross-section the emerged or immersed section area is:

\[ \frac{1}{2} y \times y \tan \varphi = \frac{1}{2} y^2 \varphi \]

for small angles, and the total volume of each wedge is:

\[ \int \frac{1}{2} y^2 \varphi \, dx \]

integrated along the length of the ship.

This volume is effectively moved from one side to the other and for triangular sections the transverse movement will be \( 4y/3 \) giving a total transverse shift of buoyancy of:

\[ \int \frac{1}{2} y^2 \varphi \, dx \times 4y/3 = \varphi \int 2y^3/3 \, dx \]

since \( \varphi \) is constant along the length of the ship.
The expression within the integral sign is the second moment of area, or the moment of inertia, of a waterplane about its centreline. It may be denoted by $I$, whence the transverse movement of buoyancy is:

$$I = \nabla \times BB_1 = \nabla$$

so that $BB_1 = I/V$ where $V$ is the total volume of displacement.

Referring to Figure 5.7 for the small angles being considered $BB_1 = BM\theta$ and $BM = I/V$. Thus the height of the metacentre above the centre of buoyancy is found by dividing the second moment of area of the waterplane about its centreline by the volume of displacement. The height of the centre of buoyancy above the keel, $KB$, is the height of the centroid of the underwater volume above the keel, and hence the height of the metacentre above the keel is:

$$KM = KB + BM$$

The difference between $KM$ and $KG$ gives the metacentric height, $GM$.

**Transverse metacentre for simple geometrical forms**

*Vessel of rectangular cross section*

Consider the form in Figure 5.8 of breadth $B$ and length $L$ floating at draught $T$. If the cross section is uniform throughout its length, the volume of displacement = $LBT$.

![Figure 5.8 Rectangular section vessel](image)

The second moment of area of waterplane about the centreline = $LB^3/12$. Hence:

$$BM = \frac{LB^3}{12LBT} = \frac{B^2}{12T}$$
Height of centre of buoyancy above keel, $KB = T/2$ and the height of metacentre above the keel is:

$$KM = T/2 + B^2/12T$$

The height of the metacentre depends upon the draught and beam but not the length. At small draught relative to beam, the second term predominates and at zero draught $KM$ would be infinite.

To put some figures to this, consider the case where $B$ is 15 m for draughts varying from 1 to 6 m. Then:

$$KM = \frac{T}{2} + \frac{15^2}{12T} = 0.5T + \frac{18.75}{T}$$

$KM$ values for various draughts are shown in Table 5.1 and $KM$ and $KB$ are plotted against draught in Figure 5.9. Such a diagram is called a metacentric diagram. $KM$ is large at small draughts and falls rapidly with increasing draught. If the calculations were extended $KM$ would reach a minimum value and then start to increase. The draught at which $KM$ is minimum can be found by differentiating the equation for $KM$ with respect to $T$ and equating to zero. That is, $KM$ is a minimum at $T$ given by:

$$\frac{dKM}{dT} = \frac{1}{2} - \frac{B^2}{12T^2} = 0,$$

$$T^2 = \frac{B^2}{6} \quad \text{or} \quad T$$

<table>
<thead>
<tr>
<th>$d$</th>
<th>0.5$d$</th>
<th>18.75$d$</th>
<th>$KM$</th>
</tr>
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<tbody>
<tr>
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<td>18.75</td>
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</tr>
<tr>
<td>6</td>
<td>3.0</td>
<td>3.12</td>
<td>6.12</td>
</tr>
</tbody>
</table>

In the example $KM$ is minimum when the draught is 6.12 m.

**Vessel of constant triangular section**

Consider a vessel of triangular cross section floating apex down, the breadth at the top being $B$ and the depth $D$. The breadth of the waterline
at draught $T$ is given by:

\[
\begin{align*}
  b &= (T/D) \times B \\
  I &= (L/12) \times [(T/D) \times B]^3 \\
  \nabla &= L \times (T/D) \times B \times T/2 \\
  BM &= I/V = B^2T/6D^2 \\
  KB &= 2T/3 \\
  KM &= 2T/3 + B^2T/6D^2
\end{align*}
\]

In this case the curves of both $KM$ and $KB$ against draught are straight lines starting from zero at zero draught.

**Vessel of circular cross section**

Consider a circular cylinder of radius $R$ and centre of section O, floating with its axis horizontal. For any waterline, above or below O, and for any inclination, the buoyancy force always acts through O. That is, $KM$ is independent of draught and equal to $R$. The vessel will be stable or unstable depending upon whether $KG$ is less than or greater than $R$.

**Metacentric diagrams**

The positions of B and M have been seen to depend only upon the geometry of the ship and the draughts at which it is floating. They can therefore be determined without knowledge of the loading of the ship that causes it to float at those draughts. A *metacentric diagram*, in which $KB$ and $KM$ are plotted against draught, is a convenient way of defining the positions of B and M for a range of waterplanes parallel to the design or load waterplane.
Trim

Suppose a ship, floating at waterline $W_0L_0$ (Figure 5.10), is caused to trim slightly, at constant displacement, to a new waterline $W_1L_1$ intersecting the original waterplane in a transverse axis through $F$.

![Figure 5.10 Trim changes](image)

The volumes of the immersed and emerged wedges must be equal so, for small $\theta$:

$$\int 2y_f(x_f\theta)\,dx = \int 2y_a(x_a\theta)\,dx$$

where $y_f$ and $y_a$ are the waterplane half breadths at distances $x_f$ and $x_a$ from $F$.

This is the condition that $F$ is the centroid of the waterplane and $F$ is known as the centre of flotation. For small trims at constant displacement a ship trims about a transverse axis through the centre of flotation.

If a small weight is added to a ship it will sink and trim until the extra buoyancy generated equals the weight and the centre of buoyancy of the added buoyancy is vertically below the centre of gravity of the added weight. If the weight is added in the vertical line of the centre of flotation then the ship sinks bodily with no trim as the centre of buoyancy of the added layer will be above the centroid of area of the waterplane. Generalizing this a small weight placed anywhere along the length can be regarded as being initially placed at $F$ to cause sinkage and then moved to its actual position, causing trim. In other words, it can be regarded as a weight acting at $F$ and a trimming moment about $F$.

Longitudinal stability

The principles involved are the same as those for transverse stability but for longitudinal inclinations, the stability depends upon the distance
between the centre of gravity and the longitudinal metacentre. In this case the distance between the centre of buoyancy and the longitudinal metacentre will be governed by the second moment of area of the waterplane about a transverse axis passing through its centroid. For normal ship forms this quantity is many times the value for the second moment of area about the centreline. Since $BM_L$ is obtained by dividing by the same volume of displacement as for transverse stability, it will be large compared with $BM_T$ and often commensurate with the length of the ship. It is thus virtually impossible for an undamaged conventional ship to be unstable when inclined about a transverse axis.

$$KM_L = KB + BM_L = KB + I_L / \nulld$$

where $I_L$ is the second moment of the waterplane areas about a transverse axis through its centroid, the centre of flotation.

If the ship in Figure 5.10 is trimmed by moving a weight, $w$, from its initial position to a new position $h$ forward, the trimming moment will be $wh$. This will cause the centre of gravity of the ship to move from $G$ to $G_1$ and the ship will trim causing $B$ to move to $B_1$ such that:

$$GG_1 = wh / W$$

and $B_1$ is vertically below $G_1$.

The trim is the difference in draughts forward and aft. The change in trim angle can be taken as the change in that difference divided by the longitudinal distance between the points at which the draughts are measured. From Figure 5.10:

$$\tan \theta = t / L = GG_1 / GM_L = wh / WGM_L$$

from which:

$$wh = t \times W \times GM_L / L$$

This is the moment that causes a trim $t$, so the moment to cause unit change of trim is:

$$WGM_L / L$$

The moment to change trim, MCT, one metre is a convenient figure to quote to show how easy a ship is to trim.

The value of MCT is very useful in calculating the draughts at which a ship will float for a given condition of loading. Suppose it has been ascertained that the weight of the ship is $W$ and the centre of gravity is $x$ forward of amidships and that at that weight with a waterline parallel
to the design waterline it would float at a draught $T$ with the centre of buoyancy $y$ forward of amidships. There will be a moment $W(y - x)/MCT$ taking it away from a waterline parallel to the design one. The ship trims about the centre of flotation and the draughts at any point along the length can be found by simple ratios.

**Example 5.1**

A ship of mass 5000 tonnes, 98 m long, floats at draughts of 5.5 m forward and 6.2 m aft, being measured at the extreme ends. The longitudinal metacentric height is 104 m and the centre of flotation is 2.1 m aft of amidships. Determine the moment to change trim 1 cm and the new end draughts when a mass of 85 tonnes, which is already on board, is moved 30 m forward.

**Solution**

$$MCT\text{ 1 cm} = \frac{W \times GM_L}{100 L}$$

$$= \frac{5000 \times 9.81 \times 104}{100 \times 98} \text{ where } g = 9.81 \text{ m/s}^2$$

$$= 520.5 \text{ MNm}$$

As the mass is already on board there will be no bodily sinkage. The change of trim is given by the trimming moment divided by MCT.

$$\text{Change in trim} = \frac{85 \times 9.81 \times 30}{520.5}$$

$$= 48.1 \text{ cm by the bow.}$$

The changes in draught will be:

$$\text{Forward} = 48.1 \times \left(\frac{98/2}{98} + 2.1\right) = 25.1 \text{ cm}$$

$$\text{Aft} = 48.1 \times \left(\frac{98/2}{98} - 2.1\right) = 23.0 \text{ cm}$$

The new draughts become 5.751 m forward and 5.97 m aft.

**HYDROSTATIC CURVES**

It has been shown how the displacement, position of B, M and F can be calculated. It is customary to obtain these quantities for a range of
waterplanes parallel to the design waterplane and plot them against draught, draught being measured vertically. Such sets of curves are called *hydrostatic curves*, Figure 5.11.

The curves in the figure show moulded and extreme displacement. The former was mentioned in an earlier chapter. It is the latter, normally shown simply as the displacement curve and which allows for displacement outside the perpendiculars, and bossings, bulbous bows, etc., which is relevant to the discussion of flotation and stability. Clearly the additions to the moulded figure can have a measurable effect upon displacement and the position of B.

It will be noted that the curves include one for the increase in displacement for unit increase in draught. If a waterplane has an area $A$, then the increase in displaced volume for unit increase in draught at that waterplane is $1 \times A$. The increase in displacement will be $\rho g A$. For $\rho = 1025 \text{ kg/m}^3$ and $g = 9.81 \text{ m/s}^2$ increase in displacement per metre increase in draught is:

$$1025 \times 9.81 \times 1 \times A = 10055A \text{ newtons.}$$

The increase in displacement per unit increase in draught is useful in approximate calculations when weights are added to a ship. Since its value varies with draught it should be applied with care.

Hydrostatic curves are useful for working out the draughts and the initial stability, as represented by $GM$, in various conditions of loading. This is done for all normal working conditions of the ship and the results supplied to the master.
Fully submerged bodies

A fully submerged body presents a special case. Firstly there is no waterplane and therefore no metacentre. The forces of weight and displacement will always act vertically through G and B respectively. Stability then will be the same for inclination about any axis. It will be positive if B is above G. Secondly a submarine or submersible is an elastic body and will compress as the depth of submergence increases. Since water is effectively incompressible, there will be a reducing buoyancy force. Thus the body will experience a net downward force that will cause it to sink further so that the body is unstable in depth variation. In practice the decrease in buoyancy must be compensated for by pumping water out from internal tanks or by forces generated by the control surfaces, the hydroplanes. Care is needed when first submerging to arrange that weight and buoyancy are very nearly the same. If the submersible moves into water of a different density there will again be an imbalance in forces due to the changed buoyancy force. There is no ‘automatic’ compensation such as a surface vessel experiences when the draught adjusts in response to density changes.

PROBLEMS IN TRIM AND STABILITY

Determination of displacement from observed draughts

Suppose draughts at the perpendiculars are $T_a$ and $T_f$ as in Figure 5.12. The mean draught will be $T = (T_a + T_f)/2$ and a first approximation to the displacement could be obtained by reading off the corresponding displacement, $\Delta$, from the hydrostatic curves. In general, $W_0L_0$ will not be parallel to the waterlines for which the hydrostatics were computed. If waterline $W_1L_1$, intersecting $W_0L_0$ at amidships, is parallel to the design waterline then the displacement read from the hydrostatics for draught $T$ is in fact the displacement to $W_1L_1$. It has been seen that because ships are not symmetrical fore and aft they trim about $F$. As shown in Figure 5.12, the displacement to $W_0L_0$ is less than that to $W_1L_1$, the difference being the layer $W_1L_1L_2W_2$, where $W_2L_2$ is the waterline parallel to $W_1L_1$ through $F$ on $W_0L_0$. If $\lambda$ is the distance of
F forward of amidships then the thickness of layer = \( \lambda \times t/L \) where 
\[ t = T_a - T_f. \]

If \( i \) is the increase in displacement per unit increase in draught:

Displacement of layer = \( \lambda \times ti/L \) and the actual displacement 
\[ = \Delta - \lambda \times ti/L. \]

Whether the correction to the displacement read off from the hydrostatics initially is positive or negative depends upon whether the ship is trimming by the bow or stern and the position of F relative to amidships. It can be determined by making a simple sketch.

If the ship is floating in water of a different density to that for which the hydrostatics were calculated a further correction is needed in proportion to the two density values, increasing the displacement if the water in which ship is floating is greater than the standard.

This calculation for displacement has assumed that the keel is straight. It is likely to be curved, even in still water, so that a draught taken at amidships may not equal \((d_a + d_f)/2\) but have some value \(d_m\) giving a deflection of the hull, \( \delta \). If the ship sags the above calculation would underestimate the volume of displacement. If it hogs it would overestimate the volume. It is reasonable to assume the deflected profile of the ship is parabolic, so that the deflection at any point distant \( x \) from amidships is \( \delta[1 - (2x/L)^2] \), and hence:

Volume correction = \( \int b\delta[1 - (2x/L)^2]dx \)

where \( b \) is the waterline breadth.

Unless an expression is available for \( b \) in terms of \( x \) this cannot be integrated mathematically. It can be evaluated by approximate integration using the ordinates for the waterline.

**Longitudinal position of the centre of gravity**

Suppose a ship is floating in equilibrium at a waterline \( W_0L_0 \) as in Figure 5.13 with the centre of gravity distant \( x \) from amidships, a distance
yet to be determined. The centre of buoyancy $B_0$ must be directly beneath $G$. Now assume the ship brought to a waterline $W_1L_1$ parallel to those used for the hydrostatics, which cuts off the correct displacement. The position of the centre of buoyancy will be at $B_1$, distant $y$ from amidships, a distance that can be read from the hydrostatics for waterline $W_1L_1$. It follows that if $t$ was the trim, relative to $W_1L_1$, when the ship was at $W_0L_0$:

$$\Delta(y - x) = t \times \text{(moment to cause unit trim) and:}$$

$$x = y - \frac{t \times \text{MCT}}{\Delta}$$

giving the longitudinal centre of gravity.

**Direct determination of displacement and position of G**

The methods described above for finding the displacement and longitudinal position of $G$ are usually sufficiently accurate when the trim is small. To obtain more accurate results and for larger trims the Bonjean curves can be used. If the end draughts, distance $L$ apart, are observed then the draught at any particular section can be calculated, since:

$$T_x = T_a - (T_a - T_i) \frac{x}{L}$$

where $x$ is the distance from where $T_a$ is measured.

These draughts can be corrected for hog or sag if necessary. The calculated draughts at each section can be set up on the Bonjean curves and the immersed areas read off. The immersed volume and position of the centre of buoyancy can be found by approximate integration. For equilibrium, the centre of gravity and centre of buoyancy must be in the same vertical line and the position of the centre of gravity follows. Using the density of water in which the ship is floating, the displacement can be determined.

**Heel due to moving weight**

In Figure 5.14 a ship is shown upright and at rest in still water. If a small weight $w$ is shifted transversely through a distance $h$, the centre of gravity of the ship, originally at $G$, moves to $G_1$ such that $GG_1 = wh/W$. The ship will heel through an angle $\varphi$ causing the centre of buoyancy
to move to \(B_1\) vertically below \(G_1\) to restore equilibrium. It will be seen that:

\[
\frac{GG_1}{GM} = \tan \varphi \quad \text{and} \quad \tan \varphi = \frac{wh}{W \times GM}
\]

This applies whilst the angle of inclination remains small enough for \(M\) to be regarded as a fixed point.

**Wall-sided ship**

It is interesting to consider a special case when a ship’s sides are vertical in way of the waterline over the whole length. It is said to be wall-sided, see Figure 5.15. The vessel can have a turn of bilge provided it is
not exposed by the inclination of the ship. Nor must the deck edge be immersed. Because the vessel is wall-sided the emerged and immersed wedges will have sections which are right-angled triangles of equal area. Let the new position of the centre of buoyancy $B_1$ after inclination through $\varphi$ be $\alpha$ and $\beta$ relative to the centre of buoyancy position in the upright condition. Then using the notation shown in the figure:

Transverse moment of volume shift = $\int \frac{y}{2} \times y \tan \varphi \, dx \times \frac{4y}{3}$

$= \int \frac{2}{3} y^3 \tan \varphi \, dx$

$= \tan \varphi \int \frac{2}{3} y^3 \, dx$

$= I \tan \varphi$

where $I$ is the second moment of area of the waterplane about the centreline. Therefore:

$\alpha = I \tan \varphi / V = B_0 M \tan \varphi$ since $B_0 M = \frac{I}{V}$

Similarly the vertical moment of volume shift is:

$\int \frac{1}{2} y^2 \tan \varphi \times \frac{2}{3} y \tan \varphi \, dx = \int \frac{y^3}{3} \tan^2 \varphi \, dx = \frac{I}{2} \tan^2 \varphi$

and:

$\beta = \frac{1}{2} I \tan^2 \varphi / \nabla = \frac{1}{2} B_0 M \tan^2 \varphi$

From the figure it will be seen that:

$B_0 R = \alpha \cos \varphi + \beta \sin \varphi$

$= B_0 M \tan \varphi \cos \varphi + \frac{1}{2} B_0 M \tan^2 \varphi \sin \varphi$

$= \sin \varphi (B_0 M + \frac{1}{2} B_0 M \tan^2 \varphi)$

Now

$GZ = B_0 R - B_0 G \sin \varphi$

$= \sin \varphi (B_0 M - B_0 G + \frac{1}{2} B_0 M \tan^2 \varphi)$

$= \sin \varphi (GM + \frac{1}{2} B_0 M \tan^2 \varphi)$
This is called the \textit{wall-sided formula}. It is often reasonably accurate for full forms up to angles as large as $10^\circ$. It will not apply if the deck edge is immersed or the bilge emerges. It can be regarded as a refinement of the simple expression $GZ = GM \sin \varphi$.

\textbf{Influence on stability of a freely hanging weight}

Consider a weight $w$ suspended freely from a point $h$ above its centroid. When the ship heels slowly the weight moves transversely and takes up a new position, again vertically below the suspension point. As far as the ship is concerned the weight seems to be located at the suspension point. Compared to the situation with the weight fixed, the ship’s centre of gravity will be effectively reduced by $GG_1$ where:

$$GG_1 = wh/W$$

This can be regarded as a loss of metacentric height of $GG_1$.

Weights free to move in this way should be avoided but this is not always possible. For instance, when a weight is being lifted by a shipboard crane, as soon as the weight is lifted clear of the deck or quayside its effect on stability is as though it were at the crane head. The result is a rise in $G$ which, if the weight is sufficiently large, could cause a stability problem. This is important to the design of heavy lift ships.

\textbf{FREE SURFACES}

\textbf{Effect of liquid free surfaces}

A ship in service will usually have tanks which are partially filled with liquids. These may be the fuel and water tanks the ship is using or may be tanks carrying liquid cargoes. When such a ship is inclined slowly through a small angle to the vertical the liquid surface will move so as to remain horizontal. In this discussion a quasi-static condition is considered so that slopping of the liquid is avoided. Different considerations would apply to the dynamic conditions of a ship rolling. For small angles, and assuming the liquid surface does not intersect the top or bottom of the tank, the volume of the wedge that moves is:

$$\int \frac{1}{2}y^2 \varphi \, dx,$$  integrated over the length, $l$, of the tank.

Assuming the wedges can be treated as triangles, the moment of transfer of volume is:

$$\int \frac{1}{2}y^2 \varphi \, dx \times \frac{4y}{3} = \varphi \int \frac{2}{3}y^3 \, dx = \varphi I_1$$
where \( I_1 \) is the second moment of area of the liquid, or free surface. The moment of mass moved = \( \rho_f \varphi I_1 \), where \( \rho_f \) is the density of the liquid in the tank. The centre of gravity of the ship will move because of this shift of mass to a position \( G_1 \) and:

\[
G G_1 = \rho_f g \varphi I_1 / W = \rho_f g \varphi I_1 / \rho g V = \rho_f \varphi V_1 / \rho V
\]

where \( \rho \) is the density of the water in which the ship is floating and \( V \) is the volume of displacement.

The effect on the transverse movement of the centre of gravity is to reduce \( G Z \) by the amount \( GG_1 \) as in Figure 5.16(b). That is, there is an effective reduction in stability. Since \( G Z = G M \sin \varphi \) for small angles,

\[
W(\rho M \sin \varphi - GG_2 \cos \varphi \tan \varphi) = W(GM - GG_2) \sin \varphi
\]

Thus the effect of the movement of the liquid due to its free surface, is equivalent to a rise of \( GG_2 \) of the centre of gravity, the ‘loss’ of \( GM \) being:

\[
\text{Free surface effect } GG_2 = \rho_f I_1 / \rho V
\]

Another way of looking at this is to draw an analogy with the loss of stability due to the suspended weight. The water in the tank with a free surface behaves in such a way that its weight force acts through some point above the centre of the tank and height \( I_1 / v \) above the centroid of the fluid in the tank, where \( v \) is the volume of fluid. In effect the tank

---

**Figure 5.16 Fluid free surface**

---

The influence of the shift of \( G \) to \( G_1 \) is equivalent to raising \( G \) to \( G_2 \) on the centre line so that \( GG_1 = GG_2 \tan \varphi \) and the righting moment is given by:

\[
W(\rho M \sin \varphi - GG_2 \cos \varphi \tan \varphi) = W(GM - GG_2) \sin \varphi
\]
has its own ‘metacentre’ through which its fluid weight acts. The fluid weight is $\rho v$ and the centre of gravity of the ship will be effectively raised through $GG_2$ where:

$$W \times GG_2 = \rho \nabla \times GG_2 = (\rho v) (I_1/v) = \rho I_1$$

and

$$GG_2 = \rho I_1/\rho \nabla$$ as before.

This loss is the same whatever the height of the tank in the ship or its transverse position. If the loss is sufficiently large, the metacentric height becomes negative and the ship heels over and may even capsize. It is important that the free surfaces of tanks should be kept to a minimum. One way of reducing them is to subdivide wide tanks into two or more narrow ones. In Figure 5.17 a double bottom tank is shown with a central division fitted.

![Figure 5.17 Tank subdivision](image)

If the breadth of the tank is originally $B$, the width of each of the two tanks, created by the central division, is $B/2$. Assuming the tanks have a constant section, and have a length, $l$, the second moment of area without division is $lB^3/12$. With centre division the sum of the second moments of area of the two tanks is $(l/12)(B/2)^3 \times 2 = lB^3/48$.

That is, the introduction of a centre division has reduced the free surface effect to a quarter of its original value. Using two bulkheads to divide the tank into three equal width sections, reduces the free surface to a ninth of its original value. Thus subdivision is seen to be very effective and it is common practice to subdivide the double bottom of ships.

The main tanks of ships carrying liquid cargoes must be designed taking free surface effects into account and their breadths are reduced by providing centreline or wing bulkheads.

Free surface effects should be avoided where possible and where unavoidable must be taken into account in the design. The operators must be aware of their significance and arrange to use the tanks in ways intended by the designer.
THE INCLINING EXPERIMENT

As the position of the centre of gravity is so important for initial stability it is necessary to establish it accurately. It is determined initially by calculation by considering all weights making up the ship – steel, outfit, fittings, machinery and systems – and assessing their individual centres of gravity. From these data can be calculated the displacement and centre of gravity of the light ship. For particular conditions of loading the weights of all items to be carried must then be added at their appropriate centres of gravity to give the new displacement and centre of gravity. It is difficult to account for all items accurately in such calculations and it is for this reason that the lightship weight and centre of gravity are measured experimentally.

The experiment is called the inclining experiment and involves causing the ship to heel to small angles by moving known weights known distances transversely across the deck and observing the angles of inclination. The draughts at which the ship floats are noted together with the water density. Ideally the experiment is conducted when the ship is complete but this is not generally possible. There will usually be a number of items both to go on and to come off the ship (e.g. staging, tools etc.). The weights and centres of gravity of these must be assessed and the condition of the ship as inclined corrected.

A typical set up is shown in Figure 5.18. Two sets of weights, each of \( w \), are placed on each side of the ship at about amidships, the port and starboard sets being \( h \) apart. Set 1 is moved a distance \( h \) to a position alongside sets 3 and 4. G moves to \( G_1 \) as the ship inclines to a small angle \( \varphi \).
angle and B moves to $B_1$. It follows that:

$$GG_1 = \frac{wh}{W} = GM \tan \varphi \quad \text{and} \quad GM = wh \cot \varphi/W$$

$\varphi$ can be obtained in a number of ways. The commonest is to use two long pendulums, one forward and one aft, suspended from the deck into the holds. If $d$ and $l$ are the shift and length of a pendulum respectively, $\tan \varphi = d/l$.

To improve the accuracy of the experiment, several shifts of weight are used. Thus, after set 1 has been moved, a typical sequence would be to move successively set 2, replace set 2 in original position followed by set 1. The sequence is repeated for sets 3 and 4. At each stage the angle of heel is noted and the results plotted to give a mean angle for unit applied moment. When the metacentric height has been obtained, the height of the centre of gravity is determined by subtracting $GM$ from the value of $KM$ given by the hydrostatics for the mean draught at which the ship was floating. This $KG$ must be corrected for the weights to go on and come off. The longitudinal position of B, and hence G, can be found using the recorded draughts.

To obtain accurate results a number of precautions have to be observed. First the experiment should be conducted in calm water with little wind. Inside a dock is good as this eliminates the effects of tides and currents. The ship must be floating freely when records are taken so any mooring lines must be slack and the brow must be lifted clear. All weights must be secure and tanks must be empty or pressed full to avoid free surface effects. If the ship does not return to its original position when the inclining weights are restored it is an indication that a weight has moved in the ship, or that fluid has moved from one tank to another, possibly through a leaking valve. The number of people on board must be kept to a minimum, and those present must go to defined positions when readings are taken. The pendulum bobs are damped by immersion in a trough of water.

The draughts must be measured accurately at stem and stern, and must be read at amidships if the ship is suspected of hogging or sagging. The density of water is taken by hydrometer at several positions around the ship and at several depths to give a good average figure. If the ship should have a large trim at the time of inclining it might not be adequate to use the hydrostatics to give the displacement and the longitudinal and vertical positions of B. In this case detailed calculations should be carried out to find these quantities for the inclining waterline.

The Merchant Shipping Acts require every new passenger ship to be inclined upon completion and the elements of its stability determined.
SUMMARY

The reader has been introduced to the methods for calculating the draughts at which a ship will float, and its stability for small inclinations. A more detailed discussion on stability, with both worked and set examples, is to be found in Derrett and Barrass (1999).
WATER AND AIR

Apart from submerged submarines, ships operate on the interface between air and water. The properties of both fluids are important. Water is effectively incompressible so its density does not vary with depth as such. Density of water does vary with temperature and salinity as does its kinematic viscosity. The variations are shown in Table 6.1, based on salt water of standard salinity of 3.5 per cent.

<table>
<thead>
<tr>
<th>Temperature (°C)</th>
<th>Density (kg/m³)</th>
<th>Kinematic viscosity (m²/s × 10⁶)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Fresh water</td>
<td>Salt water</td>
</tr>
<tr>
<td>0</td>
<td>999.8</td>
<td>1028.0</td>
</tr>
<tr>
<td>10</td>
<td>999.6</td>
<td>1026.9</td>
</tr>
<tr>
<td>20</td>
<td>998.1</td>
<td>1024.7</td>
</tr>
<tr>
<td>30</td>
<td>995.6</td>
<td>1021.7</td>
</tr>
</tbody>
</table>

The naval architect uses standard figures in calculations, including a mass density of fresh water of 1.000 tonne/m³ and of sea 1.025 tonne/m³. For air at standard barometric pressure and temperature, with 70 per cent humidity mass of 1.28 kg/m³ is used.

Ambient temperatures

The ambient temperatures of sea and air a ship is likely to meet in service determine the amount of air conditioning and insulation to be provided besides affecting the power produced by machinery. Extreme air temperatures of 52°C in the tropics in harbour and 38°C at sea, have been recorded; also −40°C in the Arctic in harbour and −30°C at sea. Less extreme values are taken for design purposes and typical design figures for warships, in degrees Celsius, are as in Table 6.2.
WIND

Unfortunately for the ship designer and operator the air and the sea are seldom still. Strong winds can add to the resistance a ship experiences and make manoeuvring difficult. Beam winds will make a ship heel and winds create waves. The wave characteristics depend upon the wind’s strength, the time for which it acts, its duration and the distance over which it acts, its fetch. The term sea is applied to waves generated locally by a wind. When waves have travelled out of the generation area they are termed swell. The wave form depends also upon depth of water, currents and local geographical features. Unless otherwise specified the waves referred to in this book are to be taken as fully developed in deep water.

The strength of a wind is classified in broad terms by the Beaufort Scale, Table 6.3.

Due to the interaction between the wind and sea surface, the wind velocity varies with height. Beaufort wind speeds are based on the wind speed at a height of 6 m. At half this height the wind speed will be about 10 per cent less than the nominal and at 15 m will be 10 per cent greater. The higher the wind speed the less likely it is to be exceeded. In the North Atlantic, for instance, a wind speed of 10 knots is likely to be exceeded for 60 per cent of the time, 20 knots for 30 per cent and 30 knots for only 10 per cent of the time.

Table 6.2 Design temperatures

<table>
<thead>
<tr>
<th>Area of world</th>
<th>Average max. summer temperature</th>
<th>Average min. winter temperature</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Air</td>
<td>Sea</td>
</tr>
<tr>
<td></td>
<td>DB</td>
<td>WB</td>
</tr>
<tr>
<td>Extreme tropic</td>
<td>34.5</td>
<td>30</td>
</tr>
<tr>
<td>Tropics</td>
<td>31</td>
<td>27</td>
</tr>
<tr>
<td>Temperate</td>
<td>30</td>
<td>24</td>
</tr>
<tr>
<td>Temperate winter</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sub Arctic winter</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Arctic/Antarctic winter</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Notes 1. Temperatures in degrees Celsius.
2. Water temperatures measured near the surface in deep water.
WAVES

An understanding of the behaviour of a vessel in still water is essential but a ship’s natural environment is far from still, the main disturbing forces coming from waves.

To an observer the sea surface looks very irregular, even confused. For many years it defied any attempt at mathematical definition. The essential nature of this apparently random surface was understood by R. E. Froude (1905) who postulated that irregular wave systems are only a compound of a number of regular systems, individually of comparatively small amplitude, and covering a range of periods. Further he stated that the effect of such a compound wave system on a ship would be ‘more or less the compound of the effects proper to the individual units composing it’. This is the basis for all modern studies of waves and ship motion. Unfortunately the mathematics were not available in 1905 for Froude to apply his theory. That had to wait until the early 1950s.

Since the individual wave components are regular it is necessary to study the properties of regular waves and then combine them to create typical irregular seas.

Regular waves

A uni-directional regular wave would appear constant in shape with time and resemble a sheet of corrugated iron of infinite width. As it

<table>
<thead>
<tr>
<th>Number/description</th>
<th>Limits of speed</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(knots)</td>
</tr>
<tr>
<td>0 Calm</td>
<td>1</td>
</tr>
<tr>
<td>1 Light air</td>
<td>1 to 3</td>
</tr>
<tr>
<td>2 Light breeze</td>
<td>4 to 6</td>
</tr>
<tr>
<td>3 Gentle breeze</td>
<td>7 to 10</td>
</tr>
<tr>
<td>4 Moderate breeze</td>
<td>11 to 16</td>
</tr>
<tr>
<td>5 Fresh breeze</td>
<td>17 to 21</td>
</tr>
<tr>
<td>6 Strong breeze</td>
<td>22 to 27</td>
</tr>
<tr>
<td>7 Near gale</td>
<td>28 to 33</td>
</tr>
<tr>
<td>8 Gale</td>
<td>34 to 40</td>
</tr>
<tr>
<td>9 Strong gale</td>
<td>41 to 47</td>
</tr>
<tr>
<td>10 Storm</td>
<td>48 to 55</td>
</tr>
<tr>
<td>11 Violent storm</td>
<td>56 to 63</td>
</tr>
<tr>
<td>12 Hurricane</td>
<td>64 and over</td>
</tr>
</tbody>
</table>
passes a fixed point a height recorder would record a variation with
time that would be repeated over and over again. Two wave shapes are
of particular significance to the naval architect, the \textit{trochoidal wave} and
the \textit{sinusoidal wave}.

\textit{The trochoidal wave}

By observation the crests of ocean waves are sharper than the troughs.
This is a characteristic of trochoidal waves and they were taken as an
approximation to ocean waves by early naval architects in calculating
longitudinal strength. The section of the wave is generated by a fixed
point within a circle when that circle rolls along and under a straight
line, Figure 6.1.

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{trochoidal_wave}
\caption{Trochoidal wave}
\end{figure}

The crest of the wave occurs when the point is closest to the straight
line. The wavelength, $\lambda$, is equal to the distance the centre of the circle
moves in making one complete rotation, that is $\lambda = 2\pi R$. The wave-
height is $2r = h_w$. Consider the $x$-axis as horizontal and passing through
the centre of the circle, and the $z$-axis as downwards with origin at the
initial position of the centre of the circle. If the circle now rolls
through $\theta$, the centre of the circle will move $R\theta$ and the wave generat-
ing point, $P$, has co-ordinates:

\begin{align*}
x &= R\theta - r \sin \theta \\
z &= r \cos \theta
\end{align*}

Referring to Figure 6.2, the following mathematical relationships can
be shown to exist:

1. The velocity of the wave system, $C = \left( \frac{g\lambda}{2\pi} \right)^{0.5}$.

2. The still water surface will be at $z = \frac{r_0^2}{2R}$ reflecting the fact that
the crests are sharper than the troughs.

3. Particles in the wave move in circular orbits.
Surfaces of equal pressure below the wave surface are trochoidal. These subsurface amplitudes reduce with depth so that, at \( z \) below the surface, the amplitude is:

\[
r = r_0 \exp \left( \frac{-z}{\lambda} \right) = r_0 \exp \left( \frac{-2\pi z}{\lambda} \right).
\]

(5) This exponential decay is very rapid and there is little movement at depths of more than about half the wavelength.

Wave pressure correction

The water pressure at the surface of the wave is zero and at a reasonable depth, planes of equal pressure will be horizontal. Hence the pressure variation with depth within the wave cannot be uniform along the length of the wave. The variation is due to the fact that the wave particles move in circular orbits. It is a dynamic effect, not one due to density.
variations. It can be shown that the pressure at a point $z$ below the wave surface is the same as the hydrostatic pressure at a depth $z'$, where $z'$ is the distance between the mean, still water, axis of the surface trochoid and that for the subsurface trochoid through the point considered.

Now

$$z' = z - \frac{\pi}{\lambda} \left[ r_0^2 - r^2 \right]$$

$$= z - \frac{r_0^2}{2R} \left[ 1 - \frac{r^2}{r_0^2} \right] = z - \frac{r_0^2}{2R} \left[ 1 - \exp(-2z'/R) \right]$$

To obtain the forces acting on the ship in the wave the usual hydrostatic pressure based on depth must be corrected in accordance with this relationship. This correction is generally known as the Smith effect. Its effect is to increase pressure below the trough and reduce it below the crest for a given absolute depth. A correction used to be made for this effect when balancing a ship on a standard wave in longitudinal strength calculations but this is no longer done. There was really no point as the results of the calculation were not absolute and were merely compared with results from similar ships.

The sinusoidal wave
Trochoidal waveforms are difficult to manipulate mathematically and irregular waves are analysed for their sinusoidal components. Taking the $x$-axis in the still water surface, the same as the mid-height of the wave, and $z$-axis vertically down, the wave surface height at $x$ and time $t$ can be written as:

$$z = \frac{H \sin(qx + \omega t)}{2}$$

In this equation $q$ is termed the wave number and $\omega = 2\pi/T$ is known as the wave frequency. $T$ is the wave period. The principal characteristics of the wave, including the wave velocity, $C$, are:

$$C = \frac{\lambda}{T} = \frac{\omega}{q}$$

$$T^2 = \frac{2\pi\lambda}{g}$$

$$\omega^2 = \frac{2\pi g}{\lambda}$$

$$C^2 = \frac{g\lambda}{2\pi}$$
As with trochoidal waves water particles in the wave move in circular orbits, the radii of which decrease with depth in accordance with:

\[ r = \frac{1}{2} H \exp(-qz) \]

From this it is seen that for depth \( \lambda/2 \) the orbit radius is only 0.02H which can normally be ignored.

The average total energy per unit area of wave system is \( \frac{\rho g H^2}{8} \), the potential and kinetic energies each being half of this figure. The energy of the wave system is transmitted at half the speed of advance of the waves. The front of the wave system moves at the speed of energy transmission so the component waves, travelling at twice this speed, will ‘disappear’ through the wave front.

For more information on sinusoidal waves, including proofs of the above relationships, the reader should refer to a standard text on hydrodynamics.

**Irregular wave systems**

The irregular wave surface can be regarded as the compound of a large number of small waves. Each component wave will have its own length and height. If they were all travelling in the same direction the irregular pattern would be constant across the breadth of the wave, extending to infinity in each direction. Such a sea is said to be a *long crested irregular system* and is referred to as *one-dimensional*, the one dimension being frequency. In the more general case the component waves will each be travelling in a different direction. In that case the sea surface resembles a series of humps and hollows with any apparent crests being of short length. Such a system is said to be a *short crested irregular wave system* or a *two-dimensional system*, the dimensions being frequency and direction. Only the simpler, long crested system will be considered in this book. For briefness it will be called an irregular wave system.

Evidence, based on both measured and visual data at a number of widely separated locations over the North Atlantic, leaves little doubt (Hogben, 1995) that mean wave heights have increased over the past 30 years or more at a rate of the order of about 1.5 per cent per annum. Indications that extreme wave heights may also have increased slightly are noted but the evidence for this is not conclusive. One possible cause for the increase in the mean height is increasing storm frequency giving waves less time to decay between storms. The fresh winds then act upon a surface with swell already present. This increase in mean wave height has important implications for the naval architect, particularly as in many cases a new design is based upon comparison with existing, successful, designs. The data given in this chapter does not allow...
for this increase. With the increasing use of satellites to provide wave data the effect should become clearer with time.

Describing an irregular wave system
A typical wave profile, as recorded at a fixed point, is shown in Figure 6.4. The wave heights could be taken as vertical distances between successive crests and troughs, and the wavelength measured between successive crests, as shown.

![Figure 6.4 Wave record](image)

If $\lambda_a$ and $T_a$ are the average distance and time interval in seconds between crests, it has been found that, approximately:

$$\lambda_a = 2gT_a^2 / 6\pi = 1.04 \times T_a^2 \text{ m},$$

and

$$T_a = 0.285 \times V_w \text{ in seconds if } V_w \text{ is wind speed in knots.}$$

If the wave heights measured are arranged in order of reducing magnitude the mean height of the highest third of the waves is called the significant wave height. This is often quoted and an observer tends to assess the height of a set of waves as being close to this figure. A general description of a sea state, related to significant wave height is given by the sea state code, Table 6.4, which is quite widely accepted although an earlier code will sometimes still be encountered.

<table>
<thead>
<tr>
<th>Code</th>
<th>Description of sea</th>
<th>Significant wave height (m)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>Calm (glassy)</td>
<td>0</td>
</tr>
<tr>
<td>1</td>
<td>Calm (rippled)</td>
<td>0 to 0.10</td>
</tr>
<tr>
<td>2</td>
<td>Smooth (wavelets)</td>
<td>0.10 to 0.50</td>
</tr>
<tr>
<td>3</td>
<td>Slight</td>
<td>0.50 to 1.25</td>
</tr>
<tr>
<td>4</td>
<td>Moderate</td>
<td>1.25 to 2.50</td>
</tr>
<tr>
<td>5</td>
<td>Rough</td>
<td>2.50 to 4.00</td>
</tr>
<tr>
<td>6</td>
<td>Very rough</td>
<td>4.00 to 6.00</td>
</tr>
<tr>
<td>7</td>
<td>High</td>
<td>6.00 to 9.00</td>
</tr>
<tr>
<td>8</td>
<td>Very high</td>
<td>9.00 to 14.00</td>
</tr>
<tr>
<td>9</td>
<td>Phenomenal</td>
<td>Over 14</td>
</tr>
</tbody>
</table>
The wave height data from Figure 6.4 can be plotted as a histogram showing the frequency of occurrence of wave heights within selected bands, as in Figure 6.5. A similar plot could be produced for wave length. In such plots the number of records in each interval is usually expressed as a percentage of the total number in the record so that the total area under the curve is unity.

A distribution curve can be fitted to the histogram as shown. For long duration records or for samples taken over a period of time a normal or Gaussian distribution is found to give a good approximation. The curve is expressed as:

\[ p(h) = \sigma^{-1}(2\pi)^{-0.5} \exp \frac{-(h - \overline{h})^2}{2\sigma^2} \]

where:

- \( p(h) \) = the height of curve, the frequency of occurrence
- \( h \) = wave height
- \( \overline{h} \) = mean wave height from record
- \( \sigma \) = standard deviation

Where data are from a record of say 30 minutes duration, during which time conditions remain reasonably steady, a Rayleigh distribution is found to be a better fit. The equation for this type of distribution is:

\[ p(h) = \frac{2h}{E} \exp \frac{-h^2}{E} \]

where:

- \( E = \frac{1}{N} \sum h^2 \) = mean value of \( h^2 \), \( N \) being the total number of observations.
In these expressions $p(h)$ is a probability density, the area under the curve being unity because it is certain that the variable will take some value of $h$. The area under the curve between two values of $h$ represents the probability that the waveheight will have a value within that range. Integrating the curve leads to a cumulative probability distribution. The ordinate at some value $h$ on this curve represents the probability that the waveheight will have a value less than or equal to $h$.

For more information on these and other probability distributions the reader should refer to a textbook on statistics.

**Energy spectra**

One of the most powerful means of representing an irregular sea and, incidentally, a ship’s responses as will be discussed later, is the concept of an energy spectrum. The components of the sea can be found by Fourier analysis and the elevation of the sea surface at any point and time can be represented by:

$$h = \sum h_n \cos(\omega_n + \varepsilon_n)$$

where $h_n$, $\omega_n$ and $\varepsilon_n$ are the height, circular frequency and arbitrary phase angle of the $n$th wave component.

The energy per unit area of surface of a regular wave system is proportional to half the square of the wave height. The energy therefore, of the $n$th component will be proportional to $h_n^2/2$, and the total energy of the composite system given by:

$$\text{Total energy} \propto \frac{\sum h_n^2}{2}$$

Within a small interval, $\delta\omega$, the energy in the waves can be represented by half the square of the mean surface elevation in that interval. Plotting this against $\omega$, Figure 6.6, gives what is termed an energy spectrum. The ordinate of the spectrum is usually denoted by $S(\omega)$. Since the ordinate represents the energy in an interval whose units are 1/s its units will be (height)$^2$ (seconds). $S(\omega)$ is called the spectral density.

Some interesting general wave characteristics can be deduced from the area under the spectrum. If this is $m_o$, and the distribution of wave amplitude is Gaussian, then the probability that the magnitude of the wave amplitude at a random instant, will exceed some value $\xi$ is:

$$p(\xi) = 1 - \text{erf} \left( \frac{\xi}{(2m_o)^{0.5}} \right)$$
In this expression \( \text{erf} \) is the *error function* which will be found in standard mathematical tables.

However, wave observations show that generally the Rayleigh distribution is better at representing the sea surface. In this case it can be shown that:

- The most frequent wave amplitude \( = 0.707 (2m_o)^{0.5} = (m_o)^{0.5} \)
- Average wave amplitude \( = 1.25(m_o)^{0.5} \)
- Average amplitude of \( \frac{1}{3} \) highest waves \( = 2(m_o)^{0.5} \)
- Average amplitude of \( \frac{1}{10} \) highest waves \( = 2.55(m_o)^{0.5} \)

**Shapes of wave spectra**

Even in deep water, a wave system will only become fully developed if the duration and fetch are long enough. The wave components produced first are those of shorter length, higher frequency. With time the longer length components appear so that the shape of the spectrum develops as in Figure 6.7. A similar progression would be found for increasing wind speed. As the wind abates and the waves die down, the spectrum reduces, the longer waves disappearing first because they travel faster, leaving the storm area.

The problem remains as to the shape a fully developed spectrum can be expected to take for a given wind speed. Early formulae attempted to define the spectrum purely in terms of wind speed and Pierson and Moskowitz’s formula is:

\[
S(\omega) = \frac{8.1 \times 10^{-3}g^2}{\omega^5} \exp\left[-0.74\left(\frac{g}{V\omega}\right)^4\right] \text{m}^2\text{s}
\]
where $g$ and $V$ are in $\text{ms}^{-2}$ and $\text{ms}^{-1}$ units respectively, $V$ being the wind speed.

The spectrum now most widely adopted is the Bretschneider spectrum. This takes the form:

$$S(\omega) = \frac{A}{\omega^3} \exp\left(-\frac{B}{\omega^4}\right) \text{m}^2/(\text{rad}/\text{sec})$$

where $A$ and $B$ are constants.

When both the significant wave height $\zeta_\frac{1}{2}$ and the characteristic period $T_1$ are known:

$$A = \frac{172.75\zeta_\frac{1}{2}^2}{T_1^4}, \quad B = \frac{691}{T_1^4}, \quad T_1 = \frac{2\pi m_1}{m_1}$$

$m_1$ is the first moment of area of the energy spectrum about the axis $\omega = 0$. When only the significant wave height is known, $S(\omega)$ can be represented approximately by:

$$A = 8.10 \times 10^{-3} g^2 \quad \text{and} \quad B = 3.11/\zeta_\frac{1}{2}^2$$

The International Towing Tank Conference (ITTC) adopted the Bretschneider spectrum to represent open ocean conditions. It is sometimes known as the ITTC two-parameter spectrum.
WAVE STATISTICS

It has been seen how the wave surface can be characterized by a wave spectrum. The designer still needs to know the severity of waves any new design is likely to meet in service. For this, recourse is had to ocean wave statistics. Over the years wave data have been obtained from observations and measurements. Although they must be somewhat subjective, visual observations are available for large ocean areas, particularly the main shipping routes, and they have been successfully integrated with measured data. Measurements can be at fixed points in the ocean using buoys, taken by shipboard recorders or taken by satellite. On board recorders need careful calibration to remove the influence of the ship on the wave system being recorded. They tend to be used only for special trials. Even then buoys deployed locally by the trials ship are generally preferred. For one thing a suitably arranged group of buoys can give information on the dominant wave direction as well as on height and period.

The concept of using a satellite radar altimeter was established by Skylab in 1973. The satellite Seasat was operational for a few months in 1978 and was the first to give global coverage. The prospect now is for two satellites to be operational at any one time. The higher the waves in the footprint of the satellite radar, the more spread out is the time of arrival of the return pulse. Adjusting the height of the return pulse to a constant value, the slope of the leading edge gives a measure of the significant wave height. Wind speed is indicated by the back scatter of the signal. Early radars did not permit the wave period to be measured but later synthetic aperture radars should fill this gap.

Statistical data on the probability of occurrence of various sea conditions at different times of the year with the predominant wave direction are available (Hogben and Lumb 1967, Hogben et al. 1986). They are also available in PC form with wind data added. The data, based on a million sets of observations are presented for 50 sea areas covering the regularly sailed sea routes. There are some 3000 tables arranged by area, season and wave direction. Tables show, for instance, the number of observations within selected wave height and wave period bands. They show a spread of period for a given height and of height for a given period. This ‘scatter’ is not due to inaccuracies of observation but to the fact that the sea states observed are at various stages of development and includes swell as well as sea components.

The data can be combined in many ways. They can, for example, be averaged over the North Atlantic or world wide. Doing this confirms the popular impression that the Atlantic is one of the roughest areas: 21.4 per cent of waves there can be expected to exceed 4 m whereas the corresponding percentage worldwide is 16.8.
FREAK WAVES

Between 1993 and 1997 more than 582 ships were lost, totaling some 4.5 million tonnes. Some of these, possibly a third, were lost in bad weather. Each year some 1200 seafarers are lost. As Faulkner (2003) has reported, for centuries mariners have reported meeting ‘walls of water’, ‘holes in the sea’ or ‘waves from nowhere’. For most of the time they have not been fully believed, being thought guilty of exaggeration. However, investigations following the loss of MV Derbyshire and subsequent research show that freak waves do occur and that, although not frequent, they are not rare.

Faulkner discusses four types of abnormal waves apart from Tsunamis which do no harm to ships well out to sea. They are as follows.

*Extreme waves in normal stationary seas*

These follow the usual laws and occur because waves of different frequencies are superimposed and at times their peaks will coincide. In a sample of 1500 waves a wave \( k \) times the significant height of the system will have decreasing probabilities of occurrence as \( k \) increases:

<table>
<thead>
<tr>
<th>( k )</th>
<th>2.0</th>
<th>2.2</th>
<th>2.4</th>
<th>2.9</th>
</tr>
</thead>
<tbody>
<tr>
<td>% probability</td>
<td>40</td>
<td>9.0</td>
<td>1.5</td>
<td>0.07</td>
</tr>
</tbody>
</table>

*Opposing waves and surface currents*

That these conditions lead to high waves has been known for a long time. The usually quoted example is that of the Agulhas Current off SE Africa. A 4 knot current opposing a 15 second wave increases its height by about 90 per cent.

*Standing waves*

These are transient waves which appear and disappear. They occur at the centres of tropical storms, in crossing seas and near steep coastlines.

*Progressive abnormal waves*

These arise from a number of causes including:

- Accretion of waves within a group.
- Coalescing independent wave groups.
- Energy transfer to the faster bigger waves in a group until they become unstable.
- Non-linear interactions between colliding waves.
Damage due to abnormal waves

Faulkner quotes a number of cases, including:

- Five container ships (4000–4500 twenty-foot equivalent units (TEU)) caught in a North Pacific storm which lost 700 containers overboard. Some reported rolling to 35 to 40 degrees.
- A 29.5 m Agulhas wave that smashed a crows nest window 18 m above the safe working load (SWL) on a 256 000 dwt tanker.
- The liner Michael Angelo had its bridge, 0.26L from forward, completely smashed, as were windows 24 m above the waterline.

OTHER EXTREME ENVIRONMENTS

In addition to the conditions of wind and waves to which all ships are subject, there are other extreme conditions the ship and equipment may need to allow for. These include driving rain, dust and sand which can abrade exposed surfaces, chemical deposits (including salt from spray) and fungi which can harm surfaces and eat away certain materials. Sea-spray and snow can cause icing up in cold climates. Ice impedes the operation of moving items and can pose a serious stability problem. The conditions upon which designs of ship and equipment should be based are laid down in various specifications. These also define suitable tests and should be consulted by the designer.

MARINE POLLUTION

As well as the effect of the environment on the ship, it is important to consider the effect of the ship on the environment. Over the years the pollution of the world’s oceans has become a cause for increasing international concern. In 1972 the United Nations held a Conference on the Human Environment in Stockholm. This conference recommended that ocean dumping anywhere should be controlled. One outcome was the Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter – the London Convention – which came into force in 1975. This convention covers dumping from any source and it must be remembered that wastes dumped from ships amount to only about 10 per cent of the pollutants that enter the sea annually. Land sources account for 44 per cent of pollutants, the atmosphere for 33 per cent (most originating from the land), 12 per cent come from maritime transportation and 1 per cent from offshore production. Thus, the naval architect is concerned directly with rather less than a quarter of the total waste problem. The convention bans the
dumping of some materials and limits others. It also controls the location and method of disposal.

In terms of quantity, oil is the most important pollutant arising from shipping operations. The harmful effects of large oil spillages have received wide publicity because the results are so concentrated if the spillage is close to the coast. Most incidents occur during the loading or discharge of oil at a terminal but far greater quantities of oil enter the sea as a result of normal tanker operations such as the cleaning of cargo residues. Important as these are they are only one aspect of marine pollution to be taken into account in designing a ship. Many chemicals carried at sea are a much greater threat to the environment. Some chemicals are so dangerous that their carriage in bulk is banned. In these cases they may be carried in drums. Fortunately, harmful chemicals are carried in smaller ships than tankers, and the ship design is quite complex to enable their cargoes to be carried safely. One ship may carry several chemicals, each posing its own problems.

The detailed provisions of the regulations concerning pollution should be consulted for each case. For sewage broadly the limitations imposed are that raw sewage may not be discharged at less than 12 nautical miles (NM) from land; macerated and disinfected sewage at not less than 4 NM; only discharge from approved sewage treatment plants is permitted at less than 4 NM. No dunnage may be dumped at less than 25 NM from land and no plastics at all. Levels of pollution from all effluents must be very low.

The rules can have a significant affect upon the layout of, and equipment fitted in, ships. Sources of waste are grouped in vertical blocks to facilitate collection and treatment. Crude oil washing of the heavy oil deposits in bulk carrier oil tanks and segregated water ballast tanks are becoming common. Steam cleaning of tanks is being discontinued. Sewage presents some special problems. It can be heat treated and then burnt. It can be treated by chemicals but the residues have still to be disposed of. The most common system is to use treatment plant in which bacteria are used to break the sewage down. Because the bacteria will die if they are not given enough ‘food’, action must be taken if the throughput of the system falls below about 25 per cent of capacity, as when, perhaps, the ship is in port. There is usually quite a wide fluctuation in loading over a typical 24 hour day. Some ships, typically ferries, prefer to use holding tanks to hold the sewage until it can be discharged in port.

In warships the average daily arisings from garbage amount to 0.9 kg per person food waste and 1.4 kg per person other garbage. It is dealt with by a combination of incinerators, pulpers, shredders and compactors.
SUMMARY

The interactions between the ship and the environment in which it operates have been outlined. The greatest impacts of the environment on the ship arise from the wind, waves and temperature. The apparently confused ocean surface can be represented by the summation of a large number of individually small amplitude regular waves. The energy spectrum concept is useful in representing the irregular sea surface. Formulations of such spectra have been given including the ITTC two-parameter spectrum. The sources of statistical wave data have been outlined. The ship motions and hull stresses induced by operations in these waves are discussed in later chapters. The need for the ship to avoid polluting its environment is a matter of growing concern and is increasingly the subject of national and international regulation.
The stability of a body for small movements was discussed in an earlier chapter. The stability for larger disturbances must now be considered.

**Atwood's formula**

So far only a ship's *initial stability* has been considered. That is for small inclinations from the vertical. When the angle of inclination is greater than, say, 4 or 5 degrees, the point, M, at which the vertical through the inclined centre of buoyancy meets the centreline of the ship, can no longer be regarded as a fixed point. Metacentric height is no longer a suitable measure of stability and the value of the *righting arm*, $GZ$, is used instead.

Assume the ship is in equilibrium under the action of its weight and buoyancy with $W_0L_0$ and $W_1L_1$ the waterlines when upright and when inclined through $\varphi$ respectively. These two waterlines will cut off the same volume of buoyancy but will not, in general, intersect on the centreline but at some point S.

A volume represented by $W_0SW_1$ has emerged and an equal volume, represented by $L_0SL_1$ has been immersed. Let this volume be $v$. Using the notation in Figure 7.1, the horizontal shift of the centre of

![Figure 7.1 Atwood's formula](image)
buoyancy, is given by:

\[ B_0R = v \times h_e h_i / \nabla \quad \text{and} \quad GZ = B_0R - B_0G \sin \varphi \]

This expression for \( GZ \) is often called Atwood’s formula.

**STABILITY CURVES**

**Curves of statical stability**

By evaluating \( v \) and \( h_e h_i \) for a range of angles of inclination it is possible to plot a curve of \( GZ \) against \( \varphi \). A typical example is Figure 7.2.

\[ GZ \text{ increases from zero when upright to reach a maximum at A and then decreases becoming zero again at some point B. The ship will capsize if the applied moment is such that its lever is greater than the value of } GZ \text{ at A. It becomes unstable once the point B has been passed. OB is known as the range of stability. The curve of } GZ \text{ against } \varphi \text{ is termed the } GZ \text{ curve or curve of statical stability.} \]

Because ships are not wall-sided, it is not easy to determine the position of \( S \) and so find the volume and centroid positions of the emerged and immersed wedges. One method is illustrated in Figure 7.3. The ship is first inclined about a fore and aft axis through \( O \) on the centre-line. This leads to unequal volumes of emerged and immersed wedges which must be compensated for by a bodily rise or sinkage. In the case illustrated the ship rises. Using subscripts \( e \) and \( i \) for the emerged and immersed wedges respectively, the geometry of Figure 7.3 gives:

\[ B_0R = \frac{v_e (h_e O) + v_i (h_i O) - \lambda (v_i - v_e)}{\nabla} \]
and:

\[ GZ = B_0R - B_0G \sin \varphi \]
\[ = \frac{v_1(h_1O) + v_1(h_0O) - \lambda(v_1 - v_e)}{\nabla} - B_0G \sin \varphi \]

For very small angles \( GZ \) still equates to \( GM \varphi \), so the slope of the \( GZ \) curve at the origin equals the metacentric height. That is \( GM = \frac{dGZ}{d\varphi} \) at \( \varphi = 0 \). It is useful in drawing a \( GZ \) curve to erect an ordinate at \( \varphi = 1 \) rad, equal to the metacentric height, and joining the top of this ordinate to the origin to give the slope of the \( GZ \) curve at the origin.

The wall-sided formula, derived earlier, can be regarded as a special case of Atwood’s formula. For the wall-sided ship:

\[ GZ = \sin \varphi(GM + \frac{1}{2}B_0M \tan^2 \varphi) \]

If the ship has a positive \( GM \) it will be in equilibrium when \( GZ \) is zero, that is:

\[ 0 = \sin \varphi(GM + \frac{1}{2}B_0M \tan^2 \varphi) \]

This equation is satisfied by two values of \( \varphi \). The first is \( \sin \varphi = 0 \), or \( \varphi = 0 \). This is the case with the ship upright as is to be expected. The second value is given by:

\[ GM + \frac{1}{2}B_0M \tan^2 \varphi = 0 \quad \text{or} \quad \tan^2 \varphi = -\frac{2GM}{B_0M} \]

With both \( GM \) and \( B_0M \) positive there is no solution to this meaning that the upright position is the only one of equilibrium. This also applies to
the case of zero $GM$, it being noted that in the upright position the ship has stable, not neutral, equilibrium due to the term in $B_0M$.

When, however, the ship has a negative $GM$ there are two possible solutions for $\varphi$ in addition to that of zero, which in this case would be a position of unstable equilibrium. These other solutions are at $\varphi$ either side of the upright $\varphi$ being given by:

$$\tan \varphi = \left( \frac{2GM}{B_0M} \right)^{0.5}$$

The ship would show no preference for one side or the other. Such an angle is known as an *angle of loll*. The ship does not necessarily capsize although if $\varphi$ is large enough the vessel may take water on board through side openings. The $GZ$ curve for a ship lolling is shown in Figure 7.4.

![Figure 7.4 Angle of loll](image)

If the ship has a negative $GM$ of 0.08 m, associated with a $B_0M$ of 5 m, $\varphi$, which can be positive or negative, is:

$$\varphi = \tan^{-1}\left( \frac{2 \times 0.08}{5} \right)^{0.5} = \tan^{-1} 0.179 = 10.1^\circ$$

This shows that small negative $GM$ can lead to significant loll angles. A ship with a negative $GM$ will loll first to one side and then the other in response to wave action. When this happens the master should investigate the reasons, although the ship may still be safe.

**Metacentric height in the lollled condition**

Continuing with the wall-side assumption, if $\varphi_1$ is the angle of loll, the value of $GM$ for small inclinations about the loll position, will be given
by the slope of the $GZ$ curve at that point. Now:

$$GZ = \sin \varphi (GM + \frac{1}{2}B_0M \tan^2 \varphi)$$

$$\frac{dGZ}{d\varphi} = \cos \varphi (GM + \frac{1}{2}B_0M \tan^2 \varphi) + \sin \varphi B_0M \tan \varphi \sec^2 \varphi$$

substituting $\varphi_1$ for $\varphi$ gives $dGZ/d\varphi = 0 + B_0M \tan^2 \varphi_1 / \cos \varphi_1 = -2GM/\cos \varphi_1$.

Unless $\varphi_1$ is large, the metacentric height in the loll'd position will be effectively numerically twice that in the upright position although of opposite sign.

**Cross curves of stability**

*Cross curves of stability* are drawn to overcome the difficulty in defining waterlines of equal displacement at various angles of heel.

Figure 7.5 shows a ship inclined to some angle $\varphi$. Note that S is not the same as in Figure 7.3. By calculating, for a range of waterlines, the displacement and perpendicular distances, $SZ$, of the centroids of these volumes of displacement from the line YY through S, curves such as those in Figure 7.6 can be drawn. These curves are known as *cross curves of stability* and depend only upon the geometry of the ship and not upon its loading. They therefore apply to all conditions in which the ship may operate.
Deriving curves of statical stability from the cross curves

For any desired displacement of the ship, the values of $SZ$ can be read from the cross curves. Knowing the position of $G$ for the desired loading enables $SZ$ to be corrected to $GZ$ by adding or subtracting $SG \sin \varphi$, when $G$ is below or above $S$ respectively.

Features of the statical stability curve

There are a number of features of the $GZ$ curve which are useful in describing a ship's stability. It has already been shown that the slope of the curve at the origin is a measure of the initial stability $GM$. The maximum ordinate of the curve multiplied by the displacement equals the largest steady heeling moment the ship can sustain without capsizing. Its value and the angle at which it occurs are both important. The value at which $GZ$ becomes zero, or 'disappears', is the largest angle from which a ship will return once any disturbing moment is removed. This angle is called the angle of vanishing stability. The range of angle over which $GZ$ is positive is termed the range of stability. Important factors in determining the range of stability are freeboard and reserve of buoyancy.

The angle of deck edge immersion varies along the length of the ship. However, often it becomes immersed over a reasonable length within a small angle band. In such cases the $GZ$ curve will exhibit a point of inflexion at that angle. It is the product of displacement and $GZ$ that is important in most cases, rather than $GZ$ on its own.

Figure 7.6 Cross curves of stability
Example 7.1

The angles of inclination and corresponding righting lever for a ship at an assumed 6.5 m are:

<table>
<thead>
<tr>
<th>Inclination (°)</th>
<th>0</th>
<th>15</th>
<th>30</th>
<th>45</th>
<th>60</th>
<th>75</th>
<th>90</th>
</tr>
</thead>
<tbody>
<tr>
<td>Righting lever (m)</td>
<td>0</td>
<td>0.11</td>
<td>0.36</td>
<td>0.58</td>
<td>0.38</td>
<td>−0.05</td>
<td>−0.60</td>
</tr>
</tbody>
</table>

In a particular loaded condition the displacement mass is made up of:

<table>
<thead>
<tr>
<th>Item</th>
<th>Mass (tonnes)</th>
<th>KG (m)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lightship</td>
<td>4200</td>
<td>6.0</td>
</tr>
<tr>
<td>Cargo</td>
<td>9100</td>
<td>7.0</td>
</tr>
<tr>
<td>Fuel</td>
<td>1500</td>
<td>1.1</td>
</tr>
<tr>
<td>Stores</td>
<td>200</td>
<td>7.5</td>
</tr>
</tbody>
</table>

Plot the curve of statical stability for this loaded condition and determine the range of stability.

Solution

The height of the centre of gravity is first found by taking moments about the keel:

$$KG = \frac{25200 + 63700 + 1650 + 1500}{15000} = 6.14 \text{ m}$$

Since G is below S the actual righting lever values are given by:

$$GZ = SZ + SG \sin \varphi \quad \text{and} \quad SG = 6.5 - 6.14 = 0.36 \text{ m}$$

The $GZ$ values for the various angles of inclination can be determined in tabular form as in Table 7.1. By plotting $GZ$ against inclination the range of stability is found to be $82°$.

Table 7.1

<table>
<thead>
<tr>
<th>Inclination (°)</th>
<th>sin $\varphi$</th>
<th>SG $\sin \varphi$ (m)</th>
<th>SZ (m)</th>
<th>GZ (m)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>15</td>
<td>0.259</td>
<td>0.093</td>
<td>0.11</td>
<td>0.203</td>
</tr>
<tr>
<td>30</td>
<td>0.500</td>
<td>0.180</td>
<td>0.36</td>
<td>0.540</td>
</tr>
<tr>
<td>45</td>
<td>0.707</td>
<td>0.255</td>
<td>0.58</td>
<td>0.835</td>
</tr>
<tr>
<td>60</td>
<td>0.866</td>
<td>0.312</td>
<td>0.38</td>
<td>0.692</td>
</tr>
<tr>
<td>75</td>
<td>0.966</td>
<td>0.348</td>
<td>−0.05</td>
<td>0.298</td>
</tr>
<tr>
<td>90</td>
<td>1.000</td>
<td>0.360</td>
<td>−0.60</td>
<td>−0.240</td>
</tr>
</tbody>
</table>
WEIGHT MOVEMENTS

Transverse movement of weight

Sometimes a weight moves permanently across the ship. Perhaps a piece of cargo has not been properly secured and moves when the ship rolls. If the weight of the item is \( w \) and it moves horizontally through a distance \( h \), there will be a corresponding horizontal shift of the ship’s centre of gravity, \( GG_1 = wh/W \), where \( W \) is the weight of the ship, Figure 7.7. The value of \( GZ \) is reduced by \( GG_1 \cos \varphi \) and the modified righting arm = \( GZ - (wh/W) \cos \varphi \).

\[ \text{Figure 7.7 Transverse weight shift} \]

Unlike the case of the suspended weight, the weight will not in general return to its original position when the ship rolls in the opposite direction. If it doesn’t the righting lever, and righting moment, are reduced for inclinations to one side and increased for angles on the other side. If \( GG_1 \cos \varphi \) is plotted on the stability curve, Figure 7.8, for the particular condition of loading of the ship, the two curves intersect at B and C. B gives the new equilibrium position of the ship in still

\[ \text{Figure 7.8 Modified GZ curve} \]
water and \( C \) the new angle of vanishing stability. The range of stability and the maximum righting arm are greatly reduced on the side to which the ship lists. For heeling to the opposite side the values are increased but it is the worse case that is of greater concern and must be considered. Clearly every precaution should be taken to avoid shifts of cargo.

**Bulk cargoes**
A related situation can occur in the carriage of dry bulk cargoes such as grain, ore and coal. Bulk cargoes settle down when the ship goes to sea so that holds which were full initially, have void spaces at the top. All materials of this type have an *angle of repose*. If the ship rolls to a greater angle than this the cargo may move to one side and not move back later. Consequently there can be a permanent transfer of weight to one side resulting in a permanent list with a reduction of stability on that side. In the past many ships have been lost from this cause.

![Figure 7.9 Cargo shift](image)

Figure 7.9 shows a section through the hold of a ship carrying a bulk cargo. When the cargo settles down at sea its centre of gravity is at \( G \). If the ship rolls the cargo could take up a new position shown by the inclined line, causing some weight, \( w \), to move horizontally by \( h_1 \) and vertically by \( h_2 \). As a result the ship’s \( G \) will move:

\[
\frac{wh_1}{\Delta} \text{ to one side and } \frac{wh_2}{\Delta} \text{ higher}
\]
The modified righting arm becomes:

\[ G_1 Z_1 = GZ - \frac{w}{\Delta} [h_1 \cos \varphi + h_2 \sin \varphi] \]

where \( GZ \) is the righting arm before the cargo shifted.

Compared with the stability on initial loading there will have been a slight improvement due to the settling of the cargo.

Preventing shift of bulk cargoes
Regulations have existed for some time to minimize the movement of bulk cargoes and, in particular, grain. First, when a hold is filled with grain in bulk it must be trimmed so as to fill all the spaces between beams and at the ends and sides of holds.

Also centreline bulkheads and shifting boards are fitted in the holds to restrict the movement of grain. They have a similar effect to divisions in liquid carrying tanks in that they reduce the movement of cargo. Centreline bulkheads and shifting boards were at one time required to extend from the tank top to the lowest deck in the holds and from deck to deck in 'tween deck spaces. The present regulations require that the shifting boards or divisions extend downwards from the underside of deck or hatch covers to a depth determined by calculations related to an assumed heeling moment of a filled compartment.

The centreline bulkheads are fitted clear of the hatches, and are usually of steel. Besides restricting cargo movement they can act as a line of pillars supporting the beams if they extend from the tank top to the deck. Shifting boards are of wood and are placed on the centre-line in way of hatches. They can be removed when bulk cargoes are not carried.

Even with centreline bulkheads and shifting boards spaces will appear at the top of the cargo as it settles down. To help fill these spaces feeders are fitted to provide a head of grain which will feed into the empty spaces. Hold feeders are usually formed by trunking in part of the hatch in the 'tween decks above. Feeder capacity must be 2 per cent of the volume of the space it feeds. Precautions such as those outlined above permit grain cargoes to be carried with a high degree of safety.

DYNAMICAL STABILITY

So far stability has been considered as a static problem. In reality it is a dynamic one. One step in the dynamic examination of stability is to study what is known as a ship’s dynamical stability. The work done in
heeling a ship through an angle $\delta \varphi$ will be given by the product of the displacement, $GZ$ at the instantaneous angle and $\delta \varphi$. Thus the area under the $GZ$ curve, up to a given angle, is proportional to the energy needed to heel it to that angle. It is a measure of the energy it can absorb from wind and waves without heeling too far. This energy is solely potential energy because the ship is assumed to be heeled slowly. In practice a ship can have kinetic energy of roll due to the action of wind and waves. This is considered in the next section.

Example 7.2

Using the tabulated values of $GZ$ from the previous example, determine the dynamical stability of the vessel at 60° inclination.

Solution

The dynamical stability is given by:

\[
\int \Delta GZ \, d\varphi = \Delta \int GZ \, d\varphi
\]

This integral can be evaluated, as in Table 7.2, using Simpson’s 1,4,1 rule and the ordinate heights from Table 7.1.

The area under the curve to 60° = \( \frac{15}{57.3} \times \frac{1}{3} \times 5.924 \)

= 0.517 mrad

Dynamical stability = 15 000 × 9.81 × 0.517 = 76.08 MNm.

Table 7.2

<table>
<thead>
<tr>
<th>Inclination (°)</th>
<th>GZ (m)</th>
<th>Simpson’s multiplier</th>
<th>Area product</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>15</td>
<td>0.203</td>
<td>4</td>
<td>0.812</td>
</tr>
<tr>
<td>30</td>
<td>0.540</td>
<td>2</td>
<td>1.080</td>
</tr>
<tr>
<td>45</td>
<td>0.835</td>
<td>4</td>
<td>3.340</td>
</tr>
<tr>
<td>60</td>
<td>0.692</td>
<td>1</td>
<td>0.692</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Summation = 5.924</td>
</tr>
</tbody>
</table>

Influence of wind on stability

In a beam wind the force generated on the above water surface of the ship is resisted by the hydrodynamic force produced by the slow sideways
movement of the ship through the water. The wind force may be taken to act through the centroid of the above water area and the hydrodynamic force as acting at half draught, Figure 7.10. For ships with high freeboard the variation of wind speed with height as described in the chapter on the external environment, should be allowed for. For all practical purposes the two forces can be assumed equal.

Let the vertical distance between the lines of action of the two forces be $h$ and the projected area of the above water form be $A$. To a first order as the ship heels, both $h$ and $A$ will be reduced in proportion to $\cos \varphi$.

The wind force will be proportional to the square of the wind velocity, $V_w$, and can be written as:

$$\text{wind force} = kAV_w^2 \cos \varphi$$

where $k$ is an empirical constant. The moment will be:

$$M = kAhV_w^2 \cos^2 \varphi$$

The curve of wind moment can be plotted with the $\Delta GZ$ curve as in Figure 7.11. If the wind moment builds up or is applied slowly the ship will heel to an angle represented by $A$ and in this condition the range of stability will be from $A$ to $B$. The problem would then be analogous to that of the shifted weight. On the other hand, if the moment is applied suddenly, say by a gust of wind, the amount of energy applied to the ship as it heeled to $A$ would be represented by the area DACO. The ship would only absorb energy represented by area OAC and the remaining energy would carry it beyond $A$ to some angle $F$ such that...
area $\text{AEF} = \text{area DAO}$. Should $F$ be beyond $B$ the ship will capsize, assuming the wind is still acting.

A severe case for a rolling ship is if it is inclined to its maximum angle to windward and about to return to the vertical when the gust hits it. Suppose this position is represented by $GH$ in Figure 7.11. The ship would already have sufficient energy to carry it to some angle past the upright, say $KL$ in the figure. Due to damping this would be somewhat less than the initial windward angle. The energy put into the ship by the wind up to angle $L$ is now represented by the area $\text{GDKLOH}$. The ship will continue to heel until this energy is absorbed, perhaps reaching angle $Q$.

*Angle of heel due to turning*

When a ship is turning under the action of its rudder, the rudder holds the hull at an angle of attack relative to the direction of advance. The hydrodynamic force on the hull, due to this angle, acts towards the centre of the turning circle causing the ship to turn. Under the action of the rudder and hull forces the ship will heel to an angle that can be determined in a similar way to the above.

**STABILITY STANDARDS**

It has been demonstrated how a ship’s transverse stability can be defined and calculated. Whilst the longitudinal stability can be evaluated according to the same principles, it is not critical for normal ship forms as the longitudinal stability is so much greater than the transverse. This may not be true for unconventional forms such as off-shore platforms. The stability of planing craft, hydrofoils and surface effect craft also require special analysis because the forces supporting the weight of the craft, which will determine their stability, are at least partly dynamic in origin. In what follows attention is focused on transverse stability of intact conventional monohulls. Stability in the damaged state will be dealt with later.
The designer must decide very early on in the design process what level of stability needs to be provided. Clearly some stability is needed or else the ship will not float upright, but loll to one side or the other. In theory a very small positive metacentric height would be enough to avoid this. In practice more is needed to allow for differing loading conditions, bad weather, growth in the ship during service and so on. If the ship is to operate in very cold areas, allowance must be made for possible icing up of superstructure, masts and rigging.

The designer, then, must decide what eventualities to allow for in designing the ship and the level of stability needed to cope with each. Typically modern ships are designed to cope with:

1. the action of winds, up to say 100 kts;
2. the action of waves in rolling a ship;
3. the heel generated in a high speed turn;
4. lifting heavy weights over the side, noting that the weight is effectively acting at the point of suspension;
5. the crowding of passengers to one side.

Standards for USN warships have been presented by Sarchin and Goldberg (1962). The standards adopted by Japan were stated by Yamagata (1959). Passenger ships are covered by the Merchant Shipping (Passenger Ship Construction) Regulations (1984 with later amendments). These last may be summarized as:

1. The areas under the $GZ$ curve shall not be less than $0.055 \text{ m rad}$ up to $30^\circ$; not less than $0.09 \text{ m rad}$ up to $40^\circ$ or up to the downflooding angle and not less than $0.03 \text{ m rad}$ between these two angles.
2. $GZ$ must be greater than $0.20 \text{ m at } 30^\circ$.
3. Maximum $GZ$ must occur at an angle greater than $30^\circ$.
4. Metacentric height must be at least $0.15 \text{ m}$.

**Loading conditions**

Possible loading conditions of a ship are calculated and information is supplied to the master. It is usually in the form of a profile of the ship indicating the positions of all loads on board, a statement of the end draughts, the trim of the ship and the metacentric height. Stability information in the form of curves of statical stability is often supplied. The usual loading conditions covered are:

1. the lightship;
2. fully loaded departure condition with homogeneous cargo;
(3) fully loaded arrival condition with homogeneous cargo;
(4) ballast condition;
(5) other likely service conditions.

A trim and stability booklet is prepared for the ship showing all these conditions of loading. Nowadays the supply of much of this data is compulsory and, indeed, is one of the conditions for the assignment of a freeboard.

Other data supplied include hydrostatics, cross curves of stability and plans showing the position, capacity and position of centroids for all spaces on board. These are to help the master deal with non-standard conditions.

FLOODING AND DAMAGED STABILITY

So far only the stability of an intact ship has been considered. In the event of collision, grounding or just springing a leak, water can enter the ship. If unrestricted, this flooding would eventually cause the ship to founder, that is sink bodily, or capsize, that is turn over. To reduce the probability of this, the hull is divided into a series of watertight compartments by means of bulkheads. In action, warships are expected to take punishment from the enemy so damage stability is clearly an important consideration in their design. However, damage is a possibility for any ship.

Bulkheads cannot ensure complete safety in the event of damage. If the hull is opened up over a sufficient length several compartments can be flooded. This was the case in the tragedy of the Titanic. Any flooding can cause a reduction in stability and if this reduction becomes great enough the ship will capsize. Even if the reduction does not cause capsize it may lead to an angle of heel at which it is difficult, or impossible, to launch lifeboats. The losses of buoyancy and stability due to flooding are considered in the following sections.

A major consideration for any ship, but particularly important for one carrying large numbers of people, is the ability to get everyone off safely in the event that the master has to order ‘abandon ship’. This means not only boats and rafts capable of taking all on board but the ability to launch them and get the people into them. This may require limiting the angles the ship may take up when damaged, marking escape routes clearly and the provision of chutes to get people from the ship (especially from ships with large freeboard). Time is a major consideration and allowance must be made for the time from initial damage to the acceptance that the ship has to be abandoned – by the passengers as well as the master. Passengers may feel disorientated if woken from deep sleep and some may panic or act irrationally.
Sinkage and trim when a compartment is open to the sea

Suppose a forward compartment is open to the sea, Figure 7.12. The buoyancy of the ship between the containing bulkheads is lost and the ship settles in the water until it picks up enough buoyancy from the rest of the ship to restore equilibrium. At the same time the position of the LCB moves and the ship must trim until G and B are again in a vertical line. The ship which was originally floating at waterline $W_0L_0$ now floats at $W_1L_1$. Should $W_1L_1$ be higher at any point than the deck at which the bulkheads stop (the bulkhead deck) it is usually assumed that the ship would be lost as a result of the water pressure in the damaged compartment forcing off the hatches and leading to unrestricted flooding fore and aft. In practice the ship might still remain afloat for a considerable time.

Most compartments in a ship contain items which will reduce the volume of water that can enter. Even ‘empty’ spaces usually have frames or beams in them. At the other extreme some spaces may already be full of ballast water or fuel. The ratio of the volume that is floodable to the total volume is called the permeability of the space. Formulae for calculating permeabilities for merchant ships are laid down in the Merchant Ship (Construction) Rules. Typical values are presented in Table 7.3. Although not strictly accurate, the same values of permeability are usually applied as factors when assessing the area and inertias of the waterplane in way of damage.

<table>
<thead>
<tr>
<th>Space</th>
<th>Permeability (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Watertight compartment</td>
<td>97 (warship)</td>
</tr>
<tr>
<td></td>
<td>95 (merchant ship)</td>
</tr>
<tr>
<td>Accommodation spaces</td>
<td>95 (passengers or crew)</td>
</tr>
<tr>
<td>Machinery compartments</td>
<td>85</td>
</tr>
<tr>
<td>Cargo holds</td>
<td>60</td>
</tr>
<tr>
<td>Stores</td>
<td>60</td>
</tr>
</tbody>
</table>

To calculate the damaged waterline successive approximation is needed. The assumptions of small changes do not apply. There are two
approaches: the *lost buoyancy* and *added weight* methods. These give different GM values but the same righting moment.

Lost buoyancy method

First the volume of the damaged compartment, Figure 7.13, up to the original waterplane, and the area of waterplane lost, are calculated making allowance for the permeability. Suppose the area of original waterplane is \( A \) and the area lost is \( \mu a \), where \( \mu \) is the permeability. Let the lost volume of buoyancy be \( \mu v \). A first approximation to the parallel sinkage suffered is given by:

\[
z = \frac{\mu v}{A - \mu a}
\]

![Figure 7.13 Lost buoyancy method](image)

A second approximation will almost certainly be needed because of the variations in waterplane area with draught. This can be made by taking the characteristics of a waterplane at sinkage \( z/2 \). The longitudinal centre of flotation and the moment to change trim can be calculated for this intermediate waterplane, again allowing for the permeability. Using subscript \( m \) to denote the values for the intermediate waterplane:

\[
\text{sinkage} = \frac{\mu v}{A_m} \quad \text{and} \quad \text{trim} = \frac{\mu v\bar{x}}{MCT_m}
\]

where \( \bar{x} \) is the centroid of the lost volume from the CF.

The new draughts can be calculated from the sinkage and trim. A further approximation can be made if either of these is very large, or the results can be checked from first principles using the Bonjean curves allowing for the flooding and permeability.

In the lost buoyancy method the position of G remains unaltered unless the damage has been so severe as to remove structure or equipment from the ship.
Added weight method

In this method the water entering the damaged compartment is regarded as an added weight. Permeability would have to be allowed for in assessing this weight, and allowance must be made for the free surface of the water that has entered, but all the hydrostatic data used are those for the intact ship. Initially the calculation can proceed as for any added weight, but when the new waterline is established allowance must be made for the extra water that would enter the ship up to that waterplane. Again a second iteration may be needed and the calculation is repeated until a sufficiently accurate answer is obtained.

In the description of both methods it is assumed that the compartment that has been breached extends above the original and the final waterlines. If it does not then the actual floodable volumes must be used, and the assumed waterplane characteristics amended accordingly. It will be clear that it is highly desirable for the ship to have reasonable amounts of potential buoyancy above the intact waterplane as a ‘reserve’. This is termed reserve of buoyancy.

Example 7.3

A vessel of constant rectangular cross section is 60 m long and 10 m wide. It floats at a level keel draught of 3 m and has a centre of gravity 2.5 m above the keel. Determine the fore and aft draughts if an empty, full width, fore-end compartment 8 m long is opened to the sea. For simplicity a permeability of 100 per cent is assumed.

Solution

Lost buoyancy method

Area of intact waterplane, \( A = 52 \times 10 = 520 \text{ m}^2 \)
Volume of lost buoyancy, \( v = 8 \times 10 \times 3 = 240 \text{ m}^3 \)
Parallel sinkage, \( z = 240/520 = 0.46 \text{ m} \)

The vessel now trims about the new centre of flotation, \( F_1 \) from amidships. Taking moments about amidships, and using subscript 1 to denote damaged values:

\[
(60 \times 10 \times 0) - (8 \times 10 \times 26) = [(60 \times 10) - (8 \times 10)]F_1,
\]

giving \( F_1 = -4 \text{ m} \)

That is, the centre of flotation is 4 m aft of amidships or 30 m aft of the centroid of the damaged compartment.
STABILITY AT LARGE ANGLES

\[ KB_1 = \frac{T_1}{2} = \frac{3 + 0.46}{2} = 1.73 \text{ m} \]

\[ B_1 M_L = \frac{I_L}{V} = \frac{1}{12} \times \frac{52^3 \times 10}{60 \times 10 \times 3} = 65.10 \text{ m} \]

\[ KG = 2.50 \text{ m (constant)} \]

\[ GM_L = 1.73 + 65.10 - 2.5 = 64.33 \text{ m} \]

Hence \[ MCT 1m = \frac{W \times GM_L}{L} = \frac{60 \times 10 \times 3 \times 1.025 \times 9.81 \times 64.33}{60} = 19406 \text{ kNm} \]

\[ \text{Trim} = \frac{\rho g v x}{\text{MCT 1m}} = \frac{1.025 \times 9.81 \times 240 \times 30}{19406} = 3.73 \text{ m} \]

Thus draught aft = \[ 3 + 0.46 - \frac{26 \times 3.73}{60} = 1.84 \text{ m} \]

draught forward = \[ 3 + 0.46 + \frac{34 \times 3.73}{60} = 5.57 \text{ m} \]

**Added mass method**

Mass added at 3 m draught = \[ 8 \times 10 \times 3 \times 1.025 \]

= 246 tonne [364.9]

Parallel sinkage = \[ \frac{246}{1.025 \times 60 \times 10} = 0.4 \text{ m [0.593]} \]

New displacement mass = \[ 60 \times 10 \times 3.4 \times 1.025 \]

= 2091 tonne [2210]

\[ KB_1 = \frac{3.4}{2} = 1.7 \text{ m [1.797]} \]

\[ BM_1 = \frac{I_L}{V} = \frac{1}{12} \times \frac{(60^3 - 8^3) \times 10}{60 \times 10 \times 3.4} = 88.0 \text{ m [83.3]} \]

\[ KG_1 = \frac{(60 \times 10 \times 3 \times 1.025 \times 2.5) + (246 \times 1.5)}{2091} \]

= 2.38 m [2.45]
Stability at Large Angles

A second calculation considering the mass of water entering at 4.45 m draught forward will give a trim of 3.12 m and draughts of 2.03 m aft and 5.15 m forward. Results of the intermediate steps in the calculation are given in [] above. A third calculation yields draughts of 1.88 m aft and 5.49 m forward.

In this case, since a rectangular body is involved the draughts can be deduced directly by simple calculation using the lost buoyancy approach and treating the underwater fore and aft sections as trapezia. The body effectively becomes a rectangular vessel 60 m long (but with buoyancy only over the aftermost 52 m) by 10 m wide with an LCG 30 m from one end and the LCB 26 m from aft. It will trim by the bow until the LCB is 30 m from aft. It will be found that the draught aft is 1.863 m and the draught 52 m forward of the after end is 5.059 m. The draught right forward will be:

\[
1.863 + (5.059 - 1.863) \times \frac{60}{52} = 5.551 \text{m}.
\]

Stability in the damaged condition

Consider first the lost buoyancy method and the metacentric height. The effect of the loss of buoyancy in the damaged compartment is to remove buoyancy (volume \(v\)) from a position below the original waterline to some position above this waterline so that the centre of buoyancy will rise. If the vertical distance between the centroids of the lost and gained buoyancy is \(bb\), the rise in centre of buoyancy = \(\mu vbb / \nabla\). \(BM\) will decrease because of the loss of waterplane inertia in way of the damage. If the damaged inertia is \(I_d\), \(BM_d = I_d / \nabla\). The value of \(KG\) remains unchanged so that the damaged \(GM\), which may be more, but is generally less, than the intact \(GM\) is:

\[
damaged \ GM = GM \ (intact) + \frac{\mu vbb}{\nabla} - \frac{I_d}{\nabla}
\]
If the added weight method is used then the value of $KG$ will change and the height of $M$ can be found from the hydrostatics for the intact ship at the increased draught. The free surface of the water in the damaged compartment must be allowed for.

**Asymmetrical flooding**

When there are longitudinal bulkheads in the ship there is the possibility of the flooding not extending right across the ship causing the ship to heel. In deciding whether a longitudinal bulkhead will be breached it is usually assumed that damage does not penetrate more than 20 per cent of the breadth of the ship. Taking the case illustrated in Figure 7.14 and using the added weight approach, the ship will heel until:

$$\rho g \nabla GM \sin \varphi = \mu \rho g v z \quad \text{or} \quad \sin \varphi = \frac{\mu v z}{\nabla GM}$$

![Figure 7.14 Asymmetrical flooding](image)

As with the calculation for trim, this first angle will need to be corrected for the additional weight of water at the new waterline, and the process repeated if necessary.

Large heels should be avoided and usually means are provided to flood a compartment on the opposite side of the ship. This is termed *counterflooding*. The ship will sink deeper in the water but this is usually a less dangerous situation than that posed by the heel.

**Floodable length**

So far the consequences of flooding a particular compartment have been studied. The problem can be looked at the other way by asking
what length of ship can be flooded without loss of the ship. Loss is gen-
erally accepted to occur when the damaged waterline is tangent to the
bulkhead deck line at side. The bulkhead deck is the uppermost wea-
thertight deck to which transverse watertight bulkheads are carried. A
margin is desirable and the limit is taken when the waterline is tangent
to a line drawn 76 mm below the bulkhead deck at side. This line is
called the margin line. The floodable length at any point along the length
of the ship is the length, with that point as centre, which can be
flooded without immersing any part of the margin line when the ship
has no list.

Figure 7.15

Take the ship shown in Figure 7.15 using subscripts 0 and 1 to
denote the intact ship data for the intact and damaged waterlines. Loss
of buoyancy = \( V_1 - V_0 \) and this must be at such a position that \( B_1 \)
moves back to \( B_0 \) so that \( B \) is again below \( G \). Hence:

\[
\bar{x} = \frac{V_1 \times B_0 B_1}{V_1 - V_0}
\]

This then gives the centroid of the lost buoyancy and, knowing
\( V_1 - V_0 \) it is possible to convert this into a length of ship that can be
flooded. The calculation would be one of reiteration until reasonable
figures are obtained.

The calculations can be repeated for a series of waterlines tangent to
the margin line at different positions along the length. This will lead to
a curve of floodable length as in Figure 7.16. The ordinate at any point
represents the length which can be flooded with the centre at the point
concerned. Thus if \( l \) is the floodable length at some point the positions
of bulkheads giving the required compartment length are given by
setting off distances \( l/2 \) either side of the point. The lines at the ends
of the curves, called the forward and after terminals will be at an angle
tan\(^{-1}\) 2 to the base if the base and ordinate scales are the same.
The permeabilities of compartments will affect the floodable length and it is usual to work out average permeability figures for the machinery spaces and for each of the two regions forward and aft. This leads to three curves for the complete ship as shown in Figure 7.17. The condition that a ship should be able to float with any one compartment open to the sea is a minimum requirement for ocean going passenger ships. The Merchant Shipping Regulations set out formulae for calculating permeabilities and a factor of subdivision which must be applied to the floodable length curves giving permissible length. The permissible length is the product of the floodable length and the factor of subdivision. The factor of subdivision depends upon the length of the ship and a criterion of service numeral or more simply criterion numeral. This numeral represents the criterion of service of the ship and takes account of the number of passengers, the volumes of the machinery and accommodation spaces and the total ship volume. It decreases in a regular and continuous manner with the ship length and factors related to whether the ship carries predominantly cargo or passengers. Broadly, the factor of subdivision ensures that one, two or three compartments can be flooded before the margin line is immersed leading to what are called
one-, two- or three-compartment ships. That is, compartment standard is the inverse of the factor of subdivision. In general terms the factor of subdivision decreases with length of ship and is lower for passenger ships than cargo ships.

SUMMARY

The reader has been introduced to the methods for assessing the stability of a ship at large angles of inclination. Standards for stability have been discussed. Both the intact and the damaged states have been covered. These are fundamental concepts in the design and operation of ships.
Launching, docking and grounding

The launching of a ship and its various dockings throughout its life are times of potential hazard during the transition between the dry and waterborne conditions. Most experienced naval architects have been involved in some mishap during these operations. Fortunately, in most cases, the mishap will have been small and did not develop into a major incident. However, they would have realized how easily things could have become worse and the importance of studying every aspect of the operation in sufficient depth. Familiarity must not be allowed to breed contempt.

The ‘natural’ condition for a ship is floating freely in water. The water surface may be rough and this will cause unpleasant motions and may apply high loads to the structure. However, these are the conditions which the designer will have constantly in mind as the ship is designed and they provide the norm for the mariner. On occasion the ship will be subject to a different environment. At some stages it will be transferred from dry land where it is being built to the water; that is, it will be launched. Periodically during its life it will be taken out of the water for repair and maintenance, and possibly for modification. That is, it will be docked. Docking is now less frequent than in the past because hull coatings, to reduce corrosion and fouling, remain effective for longer. Also more can be achieved in the way of repairs with a vessel still afloat using divers or cofferdams to create a dry working environment. Sometimes a ship will run aground either due to human errors in navigation or due to the failure of the controlling systems.

The designer must legislate for all these eventualities. Launching and docking are predictable conditions although the exact state of the ship during these operations may not be entirely clear at the design stage. Grounding presents a more variable set of circumstances. The point of grounding, the nature of the seabed, weather and tide conditions will all influence what happens to the ship in the way of structural damage and flooding. The approach the designer takes must then be based upon a statistical analysis of past occurrences developing a number of situations to be dealt with or trying to ascertain a worst case scenario.
As with other design investigations, for these less common situations the designer must establish the state of the ship (loading and structural integrity), the loads upon the ship and the associated safety implications.

LAUNCHING

Large ships are quite often nowadays built in docks and in this case the 'launch' is like an undocking except that the ship is only partially complete and the weights built in must be carefully assessed to establish the displacement and centre of gravity position including its transverse location. Some weight adjustments may be necessary to ensure the ship does not have excessive trim or heel on floating off. In the more general case the ship is launched down inclined ways and one end, usually the stern, enters the water first. This is the situation considered here.

The building slip

Typically the floor of a building slip will have a slope of about 1 in 20 and will be of masonry with inserts of wood to facilitate the securing of blocks, shores, etc. There is usually a line of transverse wooden blocks, the building blocks running down the centre of the slipway. These support the keel and most of the ship's weight during build. Shores are used to provide support at the bilges and for overhangs. For large ships additional fore and aft lines of blocks may be provided on each side. Either side of the building blocks are the groundways which run parallel to the building blocks and are set about a third of the ship's beam apart. They provide the surface on which the vessel will slide on launch.

The building blocks

These run along the intended line of keel. They are arranged vertical, rather than normal to the slip floor, to reduce the risk of tripping. The design office will decide the number of blocks, plan section and distribution to reflect the spread of loading likely to be exerted on them by the ship. The height of the blocks, typically 1.5 m, must be adequate to provide space under the ship to enable the outer bottom and its fittings to be worked on, to facilitate the insertion of the launching cradle and to ensure the forefoot does not strike the slip floor on launch. Individual sets of blocks can be removed to enable the hull to be worked on and wedges are incorporated to provide adjustment to height and facilitate the removal of the upper part of the blocks.
Just before launch the ship’s weight is transferred to cradles which are built to support the ship on its way down the slipway. The cradles rest on sliding ways which slide over the greased groundways.

**The groundways**

The slope of the groundways must be sufficient that the component of the launching weight down the ways is enough to overcome the initial sliding resistance of the grease which is applied to the ways just before launch. In the later stages of the launch the slope must be adequate to overcome the resistance of the grease and the water resistance. On the other hand the ship should not enter the water at too great a velocity. The angle of the lower stretch of the ways is important to the rate at which buoyancy builds up and its moment about the fore poppet. It is often found that cambering the ways fore and aft is a good way of establishing the best compromise between the competing requirements. The grease used will be tested to establish its properties at the temperatures and pressures likely to be experienced at launch.

**Sliding ways and cradles**

The sliding ways must have enough area that the pressure exerted on the grease (up to about 20 tonnes/m$^2$) does not squeeze the grease out from between the sliding- and groundways. The exact spacing will depend upon the ship’s beam and arrangement of internal structure. The naval architect must ensure that the loads are safely transmitted between the ways and the hull through the launch cradles. Because of the ship’s form those parts of the cradle nearest the bow and stern may be quite high. These two parts are known as the fore and after poppets. The fore poppets are particularly important as it about them that the ship pivots as it approaches the end of the ways. The load they then carry must be carefully calculated and it may be about 20 per cent of the total weight. The cradles are secured to the ship before launch so that the grease can be inserted. These secureings also hold the cradle, as the ship travels down the slip so preventing it falling and damaging the bottom of the ship.

**The launch**

Before launch the building blocks are removed, the ship’s weight is transferred to the sliding ways and hence to the grease and groundways. The number of shores is reduced to a minimum and a trigger device holds the ship to prevent it sliding down the ways prematurely. At launch the remaining shores are removed and the trigger released.
Hydraulic rams are provided to push the ship if its component of weight down the slip is inadequate to set it in motion.

The ship follows the curve of the ways, the stern enters the water and the increasing buoyancy creates a moment tending to lift the stern. When the moment of buoyancy about the fore poppet exceeds that of the weight the stern lifts. If the slipway is long enough the vessel finally floats off. If the ways are not long enough for this the bow will drop off the end. The depth of water at the ends of the ways must be enough to allow this to happen without the bow striking the bottom, bearing in mind that due to dynamic effects the actual drop will be greater than that associated with the final draught forward.

The ship will have built up significant momentum by the time it is waterborne. Whilst water resistance will slow the ship down, additional measures are usually necessary to take the way-off the vessel. The usual methods are to fit ‘water brakes’, often in the form of wooden barriers built over the propellers, and drag chains which are set in motion as the ship leaves the slipway. Finally tugs take over and manoeuvre the ship to its fitting out berth.

It will be clear from the above that the slipway chosen for the build must project on to a sufficient depth and width of water. Although advantage may be taken of a high tide it is desirable to have as big a window of opportunity as possible for launch. The ground must be firm enough to take the weight of the ship during build. Dredging and piling can be used to improve existing conditions to enable old slipways to be used for a larger than usual ship. The arrangement and height of blocks must reflect the dynamics of the launch to ensure the ship will enter the water smoothly and safely. All these considerations must be dealt with before the build begins (Figure 8.1).

The calculations required by the naval architect follow from the physical description of the launch process. An assessment must be

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Figure 8.1 Launching
made of the weight and centre of gravity position at the time of launch. To facilitate this a detailed record of all material built into the ship is kept, often backed up by actual weighing. In manual calculations the procedure adopted is to treat the launch as a quasi-static operation. That is it assumed that all forces and moments are in balance at every moment. A profile of the ship is moved progressively down a profile of the launch ways, taking account of the launching cradle. The moments of weight about the fore poppet and the after end of the ways are calculated at a number of positions. As the ship enters the water the waterline at various distances down the ways can be noted on the profile. From the Bonjean curves the immersed sectional areas can be read off and the buoyancy and its longitudinal centre computed. The ship will continue in this fashion until the moment of buoyancy about the fore poppet equals that of the moment of weight about the same position. At that point the ship pivots about the poppet and the force on it can be very large and the stability can be critical. The maximum force on the fore poppet will be the difference between the weight and the buoyancy at the moment the ship pivots. By continuing to plot the way the buoyancy increases with travel, it can be determined whether the ship will float off or the bow will drop. The ship becomes fully waterborne when the buoyancy equals the weight. To ensure the ship does not tip about the after end of the ways, the moment of buoyancy about that point must always be greater than the moment of weight about it. The analysis will be more complicated if the launching ways are curved in the longitudinal direction to increase the rate at which buoyancy builds up in the later stages.

The data are usually presented as a series of curves, the launching curves, as in Figure 8.2.

Figure 8.2 Launching curves
The curves plotted are the weight which will be constant; the buoyancy which increases as the ship travels down the ways; the moment of weight about the fore poppet which is also effectively constant; the moment of buoyancy about the fore poppet; the moment of weight about the after end of the ways and the moment of buoyancy about the after end of the ways.

The stability at the point of pivoting can be calculated in a similar way to that adopted for docking, as described later. There will be a high hogging bending moment acting on the hull girder which must be assessed. The maximum force on the fore poppet will be the difference between the weight and the buoyancy at the moment the ship pivots about the fore poppet. The forces acting are also needed to ensure the launching structures are adequately strong, bearing in mind that at that stage of build some elements of structure may be incomplete.

The calculations associated with launching have remained substantially the same in principle over the years. However, these days more detailed studies of the strength of the ship, both overall and locally in way of the poppets, can be carried out using finite element analyses. A simulation can be prepared, in effect automating the process of moving the ship progressively down the slip and ensuring forces and moments are in balance at each point.

Sideways launching

In some cases shipyards, particularly those building small ships, lie on relatively narrow rivers into which a conventional stern first launch is not practicable. In these cases a sideways launch is adopted. The ship is built parallel to the river bank and the launch ways are normal to the line of keel and set 3–5 m apart, the supporting cradle being adjusted accordingly. One advantage of sideways launching is that the ship can be built on a level keel.

Typically the ship slides down the ways which at a certain point tilt and the ship ‘drops’ into the water creating a sizeable wave. Due to the resistance of the water to sideways movement the ship does not travel far from the bank but it will roll fairly violently. Openings in the hull that might become immersed must be made watertight. In order that the ship travels far enough from the river bank to prevent it rolling back on to the launch ways relatively high launch velocities are used.

DOCKING

Most large ports and shipyards have fixed dock installations. Wet docks are used to accommodate ships while they are loading or unloading.
If tidal there must be sufficient depth at low tide to enable the ship to remain afloat. Otherwise it must be checked that the ship can be allowed to ground on the dock floor without damage. *Dry, or graving, docks* are used to enable the ship's bottom and underwater fittings to be worked on. They are essentially large holes in the ground, lined with masonry, and provided with a means to close off the entrance once the ship is in the dock so that the water can be pumped out. Hinged gates or *caissons* are the usual means of closing the entrance. The caissons are either floated into position and then ballasted down or they slide across the entrance. In all cases the closure must be designed so that the water pressure from outside the dock makes it watertight. The dock has a line of blocks on which the ship sits when the water has receded. In addition the ship is supported by *breast shores* which are set up between the ship’s side and the wall of the dock. Other shores support the turn of bilge and stern overhangs.

Where no fixed facilities are available *floating docks* can be provided. A floating dock comprises a large flat pontoon with side walls, the pontoon and walls being divided into a number of tanks. The tanks can be flooded to cause the dock to sink down low for the ship to enter between the side walls. They are then pumped out to lift the dock and ship together. Floating docks, being mobile, confer flexibility in operation. However they require special care in use to avoid damage to the dock itself or the vessel being docked.

**Docking in a graving dock**

For each ship a *docking plan* will have been prepared by the builder, showing:

- A profile indicating points to which shore supplies of electricity, power, etc. can be run.
- Deck plans.
- Sections of the ship at which breast shores can be set up. For example, at positions of transverse bulkheads.
- Details of projections that might foul the dock entrance or the blocks. For instance, the propellers may project below the line of the keel and bilge keels must be allowed for.

The docking plan is used, in conjunction with the plans of the dock to establish the acceptable combinations of tide, draught and trim for which docking is feasible. The dock entrance is smaller in section than the general dock but the top of the dock blocks is usually higher than the sill of the entrance. The ship is docked on the centreline of the dock unless more than one ship is being docked at the same time.
The position of the ship along the length of the dock is dictated by the positions of the shore supplies and access positions. Some dock blocks may have to be removed in way of projections below the line of keel. The alignment of the block tops is carefully checked before the dock is flooded ready to receive the ship. Comparing the ship and dock sections allows the length of breast shores to be established, making allowance for the wedges which are driven home finally to hold the ship once it is sitting firmly on the blocks. The positions of the two ends of the breast shores are marked on the ship and the side of the dock. Any other shores can be determined from the plans.

The dock is flooded, the dock closure device opened and the ship is drawn into the dock by winches. It is aligned with the fore and aft marks on the side of the dock and with ropes across the dock marked to show the centreline. The dock is closed and the water is pumped out. As the water level drops the ship’s keel approaches the top of the blocks. The ship’s trim will have been adjusted so that it is not much different from the slope of the block tops and so that the after cut-up will touch the blocks first. The breast shores are held loosely in position on ropes. When the after cut-up touches the blocks, a force begins to build up at the cut-up. This force causes the ship to trim by the bow. As the water recedes further the ship trims until the keel touches the blocks along the length of the keel. Then the breast shores can be finally secured to hold the ship against tipping. Once the dock is dry bilge shores and shores supporting overhangs can be positioned.

For vessels with a very rounded form, for instance submarines, a cradle is set up in the dock before flooding up. When the vessel enters the dock it is manoeuvred above this cradle and the water pumped out. Positioning is more critical in this case.

**Floating docks**

There are many floating docks available worldwide ranging from small docks with a lift capacity of less than 500 tonnes to the ones capable of lifting ships of up to 100 000 tonnes. They have the advantages that they can be:

1. Taken to ports/harbours which have no graving dock facilities and in transit care must be taken to ensure the dock is seaworthy.
2. Heeled and trimmed to match a damaged ship’s condition and provide partial support while assessments are made of the damage.

A floating dock usually takes the form of a U-shaped box structure with side walls mounted on a base pontoon. A large part of the structure
is devoted to ballast tanks which are free flooded to sink the dock so that the ship can be moved into the correct position within the dock. The dock, with the ship, is then raised by carefully controlled pumping out of the ballast tanks. The sequence of pumping is such as to limit the longitudinal deflection of the dock (and hence the ship in it) to avoid undue longitudinal bending moments.

The dock stability, transverse and longitudinal, is high when it is at its operating freeboard with the deck of the pontoon above the water level. A case of minimum transverse stability usually arises when the water level is between the pontoon deck and the top of the docking blocks. A metacentric height of 1.0–1.5 m is commonly accepted but some operators demand twice this.

**Shiplifts**

Shiplifts are devices providing a means of lifting ships vertically out of the water to a level where they can be worked on.

The main elements of a shiplift are as follows:

1. An articulated steel platform, generally wood decked, arranged for end on or longitudinal transfer.
2. Wire rope hoists along each side of the platform, operated by constant speed electric motors.
3. A load monitoring system to ensure a proper distribution of loads so as not to cause damage to the ship or the platform.
4. A cradle configured to suit the ship’s hull form.

The lifting capacity of a shiplift is expressed in terms of the maximum load per metre, the maximum distributed load (MDL) that can be distributed along the centreline of the platform. The actual weight of ship that can be lifted depends upon the distribution of weight along the length. Although many shiplifts are for relatively small vessels of say 1000 tonnes they can be designed to lift ships of 30 000 tonnes or more. One was designed for vessels of 80 000 tonnes deadweight.

A transfer system is usually provided on shore so that one lift can serve a number of ship fitting positions including refitting sheds. This increases the value of the lift considerably. Usually a rail mounted system is used but other transfer methods can be adopted.

**Economics**

Docking a ship is an expensive business and over the years much effort has been devoted to increasing the intervals between dockings and the
time need in dock. Measures taken have included:

(1) Developing hull coatings which remain effective for longer, including so-called self-polishing paints.
(2) Using cathodic protection systems to protect the hull and its fittings against corrosion.
(3) Designing underwater features so that they can be removed and replaced with the ship still afloat.
(4) Developing means of repairing under water, such as underwater welding, using divers or providing watertight enclosures, called habitats, enabling underwater fittings to be worked on in the dry while the ship is afloat.
(5) Using mobile staging, or mechanized platforms to enable the hull to be accessed in dock without using extensive scaffolding which is expensive of money and time.
(6) Using refit and repair by replacement.

Stability when docking

When a ship is partially supported by the dock blocks, its stability will be different from that when floating freely (Figure 8.3).

A ship usually has a small trim by the stern as it enters dock and as the water is pumped out it sits first on the blocks at the after end of the keel – the sternframe or the after cut-up. As the water level drops the ship trims until the keel touches the blocks over its entire length. It is then that the force on the sternframe or after cut-up will be greatest and this is usually the point at which the stability is at its most critical.
Suppose the force at the time the keel touches along the whole length is \( w \), and that it acts a distance \( x \) aft of the centre of flotation. Then, if \( t \) is the change of trim since entering dock:

\[
xw = t(MCT)
\]

The value of \( w \) can be found using the value of moment to change trim (MCT) read from the hydrostatics. The MCT value used should be that appropriate to the actual waterline at the instant concerned and the density of water in the dock. As the mean draught will itself be dependent upon \( w \) an approximate value can be found using the mean draught on entering dock followed by a second calculation when this value of \( w \) has been used to calculate a new mean draught. Referring to the figure, the righting moment acting on the ship, assuming a very small heel, \( \varphi \), is:

\[
\text{Righting moment} = (W - w)GM \sin \varphi - wKG \sin \varphi = [WGM - w(GM - KG)] \sin \varphi = (WGM - wKM) \sin \varphi = \left(GM - \frac{w}{W} KM\right) W \sin \varphi
\]

Should the expression inside the brackets become negative the ship will be unstable and may tip over. Whilst the breast shores will hold the ship to a degree it may slip off the top of the blocks.

**Example 8.1**

Just before entering drydock a ship of 5000 tonnes mass floats at draughts of 2.7 m forward and 4.2 m aft. The length between perpendiculars is 150 m and the water has a density of 1025 kg/m\(^3\). Assuming the blocks are horizontal and the hydrostatic data given are constant over the variation in draught involved, find the force on the heel of the sternframe, which is at the after-perpendicular, when the ship is just about to settle on the dock blocks, and the metacentric height at that instant.

Hydrostatic data: \( KG = 8.5 \) m, \( KM = 9.3 \) m, MCT 1 m =105 MNm, longitudinal centre of flotation (LCF) = 2.7 m aft of amidships.

**Solution**

Trim lost when touching down = 4.2 - 2.7 = 1.5 m

Distance from heel of sternframe to LCF = \( \frac{150}{2} - 2.7 \)

= 72.3 m
Moment applied to ship when touching down = $w \times 72.3$

Trimming moment lost by ship when touching down = $1.5 \times 105 = 157.5 \text{ MNm}$

Hence, thrust on keel, $w = \frac{157.5}{72.3} = 2.18 \text{ MN}$

Loss of $GM$ when touching down = $(w/W)KM$

\[
= \frac{2.18 \times 10^3 \times 9.3}{5000 \times 9.81} = 0.41 \text{ m}
\]

Metacentric height when touching down = $9.3 - 8.5 - 0.41 = 0.39 \text{ m}$

**GROUNDING**

With few exceptions ships are not intended to ground and when they do, the hull and underwater fittings may be damaged. The extent of damage will depend upon a number of factors, including:

1. the nature of the seabed;
2. the speed and angle of impact;
3. the sea state at the time of grounding and up until the ship can be refloated;
4. the area of ship’s hull which impacts the seabed.

If the area of hull in contact with a smooth seabed is relatively small the stability can be calculated in a manner similar to that described for docking. However, the force the ship experiences will, in general, not be on the centreline so that it will cause the ship to heel as well as trim. The value of the force can be calculated as that which will cause the ship to heel and trim so that, at the point of contact, the draught is equal to the depth of water at that point. The force will vary as the tide falls and rises and the tidal variations must be predicted to enable the maximum force to be assessed. The force will vary also due to any wave action. The Master can determine the changes in ballast and load distribution to allow the ship to lift clear.

**Stability on grounding**

Grounding is usually as a result of an accident although some small ships are deliberately allowed to settle on the bottom at their unloading
birth. The principles governing the ship’s stability in these cases are the same as those outlined above, noting that the ship may heel as well as change trim. If the seabed is rocky then the ship’s outer bottom may be punctured allowing water to enter and leading to further stability changes. The assessment needed will then be similar to the damaged stability case but with a ground reaction super-imposed.

**Stability when partially grounded in mud**

At some tidal berths a ship may settle, perhaps unwittingly, into a muddy bottom as the tide falls. Although a somewhat artificial case, it is instructive to consider the principles involved in a rectangular barge partially supported by homogeneous mud.

Assume:

1. length of barge = \( L \)
2. beam = \( B \)
3. draught is uniform and = \( T \) initially when floating freely in water
4. the centre of gravity is amidships and on the centreline
5. density of water = \( \rho \)
6. density of mud = \( k\rho \)

The weight of water displaced when floating freely = \( TBL\rho \)

This must equal the weight of water and mud displaced when partly supported by the mud.

![Diagram of stability when grounding in mud](image)
If the tide falls \( t \) after the barge first touches the mud, then the depth of water becomes \( T - t \). Assume that at that time the depth of barge in mud is \( d \), then:

\[
TBLp = (T - t)BLp + dBkp
\]

Hence, \( t = dk \)

To put numbers to this, if \( k = 2 \) and the tide falls by 2 m, \( 2 = 2d \), that is \( d = 1 \) m.

In this case the vessel settles into the mud by an amount equal to half the fall in tide.

For the ship floating freely the transverse stability can be calculated in the usual way. That is,

\[
KB = T/2; \quad BM = I/V = \left(1/12\right)(B^3L/BLT) = B/12T
\]

\[
KM = KB + BM = T/2 + B^2/12T
\]

When partly in the mud, if the barge is heeled through a small angle it experiences righting moments due to the transfer of buoyancy between the wedges of water at the surface and due to a transfer of mud buoyancy, the latter being offset by a transfer the other way of the equivalent wedge of water. The net effect, felt by the barge, is due to the transfer of mud wedges which leads to a righting moment \( k \) times that experienced by the freely floating body.

Hence, \( BM = ki/V \)

\( KB \) has changed and can be found by taking moments about the keel:

\[
KB = (T - t)B[d + (T - t)/2] + kdBd/2]/B(T - t + kd)
\]

\[
= (kd^2 + 2d(T - t) + (T - t)^2)/2(T - t + kd)
\]

If the initial draught is 5 m and the fall of tide is 2 m the ship sinks 1 m into the mud and:

\[
KB = \left[2(1)^2 + 2 \times 1 \times 3 + 3^2\right]/2(3 + 2 \times 1) = 1.7 \text{ m}
\]

Knowing the length and beam of the barge will lead to values of \( BM \) and hence of \( KM \). If \( KG \) is known \( GM \) values follow, noting that \( KG \) is constant.
SUMMARY

The launching and dockings of a ship are potentially hazardous activities. What happens during these operations, and the calculations associated with them, have been discussed. Care in preparing for and during docking should ensure a safe transition between the afloat condition and sitting on blocks. Grounding is a more serious matter, particularly if the hull is punctured, but it has only been possible to outline the problems in very general terms.
Although resistance and propulsion are dealt with separately in this book this is merely a convention. In reality the two are closely interdependent although in practice the split is a convenient one. The resistance determines the thrust required of the propulsion device. Then propulsion deals with providing that thrust and the interaction between the propulsor and the flow around the hull.

When a body moves through a fluid it experiences forces opposing the motion. As a ship moves through water and air it experiences both water and air forces. The water and air masses may themselves be moving, the water due to currents and the air as a result of winds. These will, in general, be of different magnitudes and directions. The resistance is studied initially in still water with no wind. Separate allowances are made for wind and the resulting distance travelled corrected for water movements. Unless the winds are strong the water resistance will be the dominant factor in determining the speed achieved.

FLUID FLOW

Classical hydrodynamics leads to a flow pattern past a body of the type shown in Figure 9.1.

As the fluid moves past the body the spacing of the streamlines changes, and the velocity of flow changes, because the mass flow within streamlines is constant. Bernouilli’s theorem applies and there are
corresponding changes in pressure. For a given streamline, if \( p, \rho, v \) and \( h \) are the pressure, density, velocity and height above a selected datum level, then:

\[
\frac{p}{\rho} + \frac{v^2}{2} + gh = \text{constant}
\]

Simple hydrodynamic theory deals with fluids without viscosity. In a non-viscous fluid a deeply submerged body experiences no resistance. Although the fluid is disturbed by the passage of the body, it returns to its original state of rest once the body has passed. There will be local forces acting on the body but these will cancel each other out when integrated over the whole body. These local forces are due to the pressure changes occasioned by the changing velocities in the fluid flow.

In studying fluid dynamics it is useful to develop a number of non-dimensional parameters with which to characterize the flow and the forces. These are based on the fluid properties. The physical properties of interest in resistance studies are the density, \( \rho \), viscosity, \( \mu \) and the static pressure in the fluid, \( p \). Taking \( R \) as the resistance, \( V \) as velocity and \( L \) as a typical length, dimensional analysis leads to an expression for resistance:

\[
R = f[L^2 V^b \rho^c \mu^d g^e p^f]
\]

The quantities involved in this expression can all be expressed in terms of the fundamental dimensions of time, \( T \), mass, \( M \) and length \( L \). For instance resistance is a force and therefore has dimensions \( ML/T^2 \). \( \rho \) has dimensions \( M/L^3 \) and so on. Substituting these fundamental dimensions in the relationship above:

\[
\frac{ML}{T^2} = f \left[ L^a \left( \frac{L}{T} \right)^b \left( \frac{M}{L^3} \right)^c \left( \frac{M}{LT} \right)^d \left( \frac{L}{T^2} \right)^e \left( \frac{M}{LT^2} \right)^f \right]
\]

Equating the indices of the fundamental dimensions on the two sides of the equation the number of unknown indices can be reduced to three and the expression for resistance can be written as:

\[
R = \rho V^2 L^2 f \left[ \frac{\mu}{\rho VL}, \left( \frac{gL}{V^2} \right)^e, \left( \frac{p}{\rho V^2} \right)^f \right]
\]
The expression for resistance can then be written as:

$$R = \rho V^2 L^2 \left[ f_1 \left( \frac{\mu}{\rho V L} \right), f_2 \left( \frac{g L}{V^2} \right), f_3 \left( \frac{p}{\rho V^2} \right) \right]$$

Thus the analysis indicates the following non-dimensional combinations as likely to be significant:

$$\frac{R}{\rho V^2 L^2}, \frac{V L}{\mu}, \frac{V}{(g L)^{0.5}}, \frac{p}{\rho V^2}$$

The first three ratios are termed, respectively, the resistance coefficient, Reynolds’ number, and Froude number. The fourth is related to cavitation and is discussed later. In a wider analysis the speed of sound in water, $\alpha$, and the surface tension, $\sigma$, can be introduced. These lead to non-dimensional quantities $V/\alpha$, and $\sigma/g \rho L^2$ which are termed the Mach number and Weber number. These last two are not important in the context of this present book and are not considered further. The ratio $\mu/\rho$ is called the kinematic viscosity and is denoted by $\nu$. At this stage it is assumed that these non-dimensional quantities are independent of each other. The expression for the resistance can then be written as:

$$R = \rho V^2 L^2 \left[ f_1 \left( \frac{V}{V L} \right), f_2 \left( \frac{gL}{V^2} \right) \right]$$

Consider first $f_2$ which is concerned with wave-making resistance. Take two geometrically similar ships or a ship and a geometrically similar model, denoted by subscripts 1 and 2.

$$R_{w1} = \rho_1 V_1^2 L_1^2 f_2 \left( \frac{gL_1}{V_1^2} \right) \quad \text{and} \quad R_{w2} = \rho_2 V_2^2 L_2^2 f_2 \left( \frac{gL_2}{V_2^2} \right)$$

Hence:

$$\frac{R_{w2}}{R_{w1}} = \frac{\rho_2}{\rho_1} \times \frac{V_2^2}{V_1^2} \times \frac{L_2^2}{L_1^2} \times \frac{f_2(gL_2/V_2^2)}{f_2(gL_1/V_1^2)}$$
The form of \( f_2 \) is unknown, but, whatever its form, provided \( gL_1/V_1^2 = gL_2/V_2^2 \) the values of \( f_2 \) will be the same. It follows that:

\[
\frac{R_{w2}}{R_{w1}} = \frac{\rho_2 V_2^2 L_2^2}{\rho_1 V_1^2 L_1^2}
\]

Since \( L_1/V_1^2 = L_2/V_2^2 \), this leads to:

\[
\frac{R_{w2}}{R_{w1}} = \frac{\rho_2 L_2^3}{\rho_1 L_1^3} \quad \text{or} \quad \frac{R_{w2}}{R_{w1}} = \frac{\Delta_2}{\Delta_1}
\]

For this relationship to hold \( V_1/(gL_1)^{0.5} = V_2/(gL_2)^{0.5} \) assuming \( \rho \) is constant.

Putting this into words, the wave-making resistances of geometrically similar forms will be in the ratio of their displacements when their speeds are in the ratio of the square roots of their lengths. This has become known as Froude’s law of comparison and the quantity \( V/(gL)^{0.5} \) is called the Froude number. In this form it is non-dimensional. If \( g \) is omitted from the Froude number, as it is in the presentation of some data, then it is dimensional and care must be taken with the units in which it is expressed. When two geometrically similar forms are run at the same Froude number they are said to be run at corresponding speeds.

The other function in the total resistance equation, \( f_1 \), determines the frictional resistance. Following an analysis similar to that for the wave-making resistance, it can be shown that the frictional resistance of geometrically similar forms will be the same if:

\[
\frac{v_1}{V_1L_1} = \frac{v_2}{V_2L_2}
\]

This is commonly known as Rayleigh’s law and the quantity \( VL/\nu \) is called the Reynolds’ number. As the frictional resistance is proportional to the square of the length, it suggests that it will be proportional to the wetted surface of the hull. For two geometrically similar forms, complete dynamic similarity can only be achieved if the Froude number and Reynolds’ number are equal for the two bodies. This would require \( V/(gL)^{0.5} \) and \( VL/\nu \) to be the same for both bodies. This cannot be achieved for two bodies of different size running in the same fluid.

**TYPES OF RESISTANCE**

When a moving body is near or on the free surface of the fluid, the pressure variations around it are manifested as waves on the surface.
Energy is needed to maintain these waves and this leads to a resistance. Also all practical fluids are viscous and movement through them causes tangential forces opposing the motion. Because of the way in which they arise the two resistances are known as the wave-making resistance and the viscous or frictional resistance. The viscosity modifies the flow around the hull, inhibiting the build up of pressure around the after end which is predicted for a perfect fluid. This effect leads to what is sometimes termed viscous pressure resistance or form resistance since it is dependent on the ship’s form. The streamline flow around the hull will vary in velocity causing local variations in frictional resistance. Where the hull has sudden changes of section they may not be able to follow the lines exactly and the flow ‘breaks away’. For instance, this will occur at a transom stern. In breaking away, eddies are formed which absorb energy and thus cause a resistance. Again because the flow variations and eddies are created by the particular ship form, this resistance is sometimes linked to the form resistance. Finally the ship has a number of appendages. Each has its own characteristic length and it is best to treat their resistances (they can generate each type of resistance associated with the hull) separately from that of the main hull. Collectively they form the appendage resistance.

Because wave-making resistance arises from the waves created and these are controlled by gravity, whereas frictional resistance is due to the fluid viscosity, it is to be expected that the Froude and Reynolds’ numbers are important to the two types respectively, as was mentioned above. Because it is not possible to satisfy both the Froude number and the Reynolds’ number in the model and the ship, the total resistance of the model cannot be scaled directly to the full scale. Indeed because of the different scaling of the two components it is not even possible to say that, if one model has less total resistance than another, a ship based on the first will have less total resistance than one based on the second. It was Froude who, realizing this, proposed that the model should be run at the corresponding Froude number to measure the total resistance, and that the frictional resistance of the model be calculated and subtracted from the total. The remainder, or residuary resistance, he scaled to full scale in proportion to the displacement of the ship to model. To the result he added an assessment of the skin friction resistance of the ship. The frictional resistance in each case was based on that of the equivalent flat plate. Although not theoretically correct this does yield results which are sufficiently accurate and Froude’s approach has provided the basis of ship model correlations ever since.

Although the different resistance components were assumed independent of each other in the above non-dimensional analysis, in practice each type of resistance will interact with the others. Thus the waves created will change the wetted surface of the hull and the drag it experiences
from frictional resistance. Bearing this in mind, and having discussed the general principles of ship resistance, each type of resistance is now discussed separately.

**Wave-making resistance**

A body moving on an otherwise undisturbed water surface creates a varying pressure field which manifests itself as waves because the pressure at the surface must be constant and equal to atmospheric pressure. From observation when the body moves at a steady speed, the wave pattern seems to remain the same and move with the body. With a ship the energy for creating and maintaining this wave system must be provided by the ship’s propulsive system. Put another way, the waves cause a drag force on the ship which must be opposed by the propulsor if the ship is not to slow down. This drag force is the *wave-making resistance*.

A submerged body near the surface will also cause waves. It is in this way that a submarine can betray its presence. The waves, and the associated resistance, decrease in magnitude quite quickly with increasing depth of the body until they become negligible at depths a little over half the body length.

*The wave pattern*

The nature of the wave system created by a ship is similar to that which Kelvin demonstrated for a moving pressure point. Kelvin showed that the wave pattern had two main features: diverging waves on each side of the pressure point with their crests inclined at an angle to the direction of motion and transverse waves with curved crests intersecting the centreline at right angles. The angle of the divergent waves to the centreline is \( \sin \frac{11}{7} \), that is just under 20°, Figure 9.2.
A similar pattern is clear if one looks down on a ship travelling in a calm sea. The diverging waves are readily apparent to anybody on board. The waves move with the ship so the length of the transverse waves must correspond to this speed, that is their length is $\frac{2\pi V^2}{g}$.

The pressure field around the ship can be approximated by a moving pressure field close to the bow and a moving suction field near the stern. Both the forward and after pressure fields create their own wave system as shown in Figure 9.3. The after field being a suction one creates a trough near the stern instead of a crest as is created at the bow. The angle of the divergent waves to the centreline will not be exactly that of the Kelvin wave field. The maximum crest heights of the divergent waves do lie on a line at an angle to the centreline and the local crests at the maxima are at about twice this angle to the centreline. The stern generated waves are less clear, partly because they are weaker, but mainly because of the interference they suffer from the bow system.

Interference effects
In addition to the waves created by the bow and stern others may be created by local discontinuities along the ship’s length. However the qualitative nature of the interference effects in wave-making resistance are illustrated by considering just the bow and stern systems. The transverse waves from the bow travel aft relative to the ship, reducing in height. When they reach the stern-generated waves they interact with them. If crests of the two systems coincide the resulting wave is of greater magnitude than either because their energies combine. If the crest of one coincides with a trough in the other the resultant energy will be less. Whilst it is convenient to picture two wave systems interacting, in fact the bow wave system modifies the pressure field around the stern so that the waves it generates are altered. Both wave systems are moving with the ship and will have the same lengths. As ship speed
increases the wavelengths increase so there will be times when crests combine and others when crest and trough become coincident. The ship will suffer more or less resistance depending upon whether the two waves augment each other or partially cancel each other out. This leads to a series of *humps and hollows* in the resistance curve, relative to a smoothly increasing curve, as speed increases. This is shown in Figure 9.4.

![Figure 9.4 Humps and hollows in resistance curve](image)

This effect was shown experimentally by Froude (1877) by testing models with varying lengths of parallel middle body but the same forward and after ends. Figure 9.5 illustrates some of these early results. The residuary resistance was taken as the total measured resistance less a calculated skin friction resistance.

Now the distance between the two pressure systems is approximately \( 0.9 L \). The condition therefore that a crest or trough from the bow system should coincide with the first stern trough is:

\[
\frac{V^2}{0.9 L} = \frac{g}{N\pi}
\]

The troughs will coincide when \( N \) is an odd integer and for even values of \( N \) a crest from the bow coincides with the stern trough. The most pronounced hump occurs when \( N = 1 \) and this hump is termed the *main hump*. The hump at \( N = 3 \) is often called the *prismatic hump* as it is greatly affected by the ship’s prismatic coefficient.

*Scaling wave-making resistance*

It has been shown that for geometrically similar bodies moving at corresponding speeds, the wave pattern generated is similar and the wave-making resistance can be taken as proportional to the displacements of
the bodies concerned. This assumes that wave-making was unaffected by the viscosity and this is the usual assumption made in studies of this sort. In fact there will be some viscosity but its major effects will be confined to the boundary layer. To a first order then, the effect of viscosity on wave-making resistance can be regarded as that of modifying the hull shape in conformity with the boundary layer addition. These effects are relatively more pronounced at model scale than the full scale which means there is some scale effect on wave-making resistance. For the purposes of this book this is ignored.

**Frictional resistance**

Water is viscous and the conditions for dynamic similarity are geometric similarity and constancy of Reynolds’ number. Due to the viscosity
the particles immediately adjacent to the hull adhere to it and move at the speed of the ship. At a distance from the hull the water is at rest. There is a velocity gradient which is greatest close to the hull. The volume of water which moves with the body is known as the boundary layer. Its thickness is usually defined as the distance from the hull at which the water velocity is 1 per cent of the ship speed.

Frictional resistance is associated with Reynolds because of the study he made of flow through pipes. He showed that there are two distinct types of flow. In the first, laminar flow, each fluid particle follows its own streamlined path with no mass transfer between adjacent layers. This flow only occurs at relatively low Reynolds’ numbers. At higher numbers the steady flow pattern breaks down and is replaced by a more confused flow pattern called turbulent flow.

Reynolds showed that different laws of resistance applied to the two flow types. Further, if care was taken to ensure that the fluid entered the mouth of the pipe smoothly the flow started off as laminar but at some distance along the tube changed to turbulent. This occurred at a critical velocity dependent upon the pipe diameter and the fluid viscosity. For different pipe diameters, \( d \), the critical velocity, \( V_c \), was such that \( V_c d/\nu \) was constant. Below the critical velocity, resistance to flow was proportional to the velocity of flow. As velocity increased above the critical value there was an unstable region where the resistance appeared to obey no simple law. At higher velocity again the flow was fully turbulent and resistance became proportional to \( V \) raised to the power 1.723.

Reynolds’ work related to pipes but qualitatively the conclusions are relevant to ships. There are two flow regimes, laminar and turbulent. The change from one to the other depends on the critical Reynolds’ number and different resistance laws apply.

Calculations have been made for laminar flow past a flat surface, length \( L \) and wetted surface area \( S \), and these lead to a formula developed by Blassius, as:

\[
Specific\ resistance\ coefficient = \frac{R_i}{\frac{1}{2} \rho S V^2} = 1.327 \left( \frac{VL}{\nu} \right)^{0.5}
\]

Plotting the values of \( C_f \) against Reynolds’ number together with results for turbulent flow past flat surfaces gives Figure 9.6.

In line with Reynolds’ conclusions the resistance at higher numbers is turbulent and resistance is higher. The critical Reynolds’ number at which breakdown of laminar flow occurs depends upon the smoothness of the surface and the initial turbulence present in the fluid. For a smooth flat plate it occurs at a Reynolds’ number between \( 3 \times 10^5 \) and
In turbulent flow the boundary layer still exists but in this case, besides the molecular friction force there is an interaction due to momentum transfer of fluid masses between adjacent layers. The transition from one type of flow to the other is a matter of stability of flow. At low Reynolds’ numbers, disturbances die out and the flow is stable. At the critical value the laminar flow becomes unstable and the slightest disturbance will create turbulence. The critical Reynolds’ number for a flat plate is a function of the distance, $l$, from the leading edge and is given by:

$$\text{Critical Reynolds’ number} = \frac{V l}{\nu}$$

Ahead of the point defined by $l$ the flow is laminar. At $l$ transition begins and after a transition region turbulence is fully established. For a flat plate the critical Reynolds’ number is about $10^6$. A curved surface is subject to a pressure gradient and this has a marked affect on transition. Where pressure is decreasing transition is delayed. The thickness of the turbulent boundary layer is given by:

$$\frac{\delta x}{L} = 0.37 (R_L)^{-0.2}$$

where $L$ is the distance from the leading edge and $R_L$ is the corresponding Reynolds’ number.

Even in turbulent flow the fluid particles in contact with the surface are at rest relative to the surface. There exists a very thin laminar sub-layer. Although thin, it is important as a body appears smooth if the surface roughness does not project through this sub-layer. Such a body is said to be hydraulically smooth.

The existence of two flow regimes is important for model tests conducted to determine a ship’s resistance. If the model is too small it may be running in the region of mixed flow. The ship obviously has turbulent flow over the hull. If the model flow was completely laminar this could be allowed for by calculation. However this is unlikely and the
small model would more probably have laminar flow forward turning to turbulent flow at some point along its length. To remove this possibility models are fitted with some form of turbulence stimulation at the bow. This may be a trip wire, a strip of sandpaper or a line of studs.

**Formulations of frictional resistance**

Dimensional analysis suggests that the resistance can be expressed as:

\[
C_f = \frac{R_f}{\frac{1}{2} \rho SV^2} = F \left( \frac{\nu L}{V} \right)
\]

The function of Reynolds’ number has still to be determined by experiment. Schoenherr (1932) developed a formula, based on all the available experimental data, in the form:

\[
\frac{0.242}{(C_f)^{0.5}} = \log_{10}(R_n C_f)
\]

from which Figure 9.7 is plotted.

![Figure 9.7 Schoenherr line](image)

In 1957 the International Towing Tank Conference (ITTC) [Hadler (1958)] adopted a *model-ship correlation line*, based on:

\[
C_f = \frac{R_f}{\frac{1}{2} \rho SV^2} = \frac{0.075}{(\log_{10} R_n - 2)^2}
\]
The term correlation line was used deliberately in recognition of the fact that the extrapolation from model to full scale is not governed solely by the variation in skin friction. \( C_f \) values from Schoenherr and the ITTC line are compared in Figure 9.8 and Table 9.1.

![Figure 9.8 Comparison of Schoenherr and ITTC 1957 lines](image)

**Table 9.1** Comparison of coefficients from Schoenherr and ITTC formulae

<table>
<thead>
<tr>
<th>Reynolds’ number ( \frac{V}{V_f} )</th>
<th>Schoenherr</th>
<th>ITTC 1957</th>
</tr>
</thead>
<tbody>
<tr>
<td>( 10^6 )</td>
<td>0.00441</td>
<td>0.004688</td>
</tr>
<tr>
<td>( 10^7 )</td>
<td>0.00293</td>
<td>0.003000</td>
</tr>
<tr>
<td>( 10^8 )</td>
<td>0.00207</td>
<td>0.002083</td>
</tr>
<tr>
<td>( 10^9 )</td>
<td>0.00153</td>
<td>0.001531</td>
</tr>
<tr>
<td>( 10^{10} )</td>
<td>0.00117</td>
<td>0.001172</td>
</tr>
</tbody>
</table>

**Eddy making resistance**

In a non-viscous fluid the lines of flow past a body close in behind it creating pressures which balance out those acting on the forward part of the body. With viscosity, this does not happen completely and the pressure forces on the after body are less than those on the fore body. Also where there are rapid changes of section the flow breaks away from the hull and eddies are created.

The effects can be minimized by *streamlining* the body shape so that changes of section are more gradual. However, a typical ship has many features which are likely to generate eddies. Transom sterns and stern frames are examples. Other eddy creators can be appendages such as the bilge keels, rudders and so on. Bilge keels are aligned with the smooth water flow lines, as determined in a circulating water channel,
to minimize the effect. At other loadings and when the ship is in waves the bilge keels are likely to create eddies. Similarly rudders are made as streamlined as possible and breakdown of flow around them is delayed by this means until they are put over to fairly large angles. In multi-shaft ships the shaft bracket arms are produced with streamlined sections and are aligned with the local flow. This is important not only for resistance but to improve the flow of water into the propellers.

Flow break away can occur on an apparently well rounded form. This is due to the velocity and pressure distribution in the boundary layer. The velocity increases where the pressure decreases and vice versa. Bearing in mind that the water is already moving slowly close into the hull, the pressure increase towards the stern can bring the water to a standstill or even cause a reverse flow to occur. That is the water begins to move ahead relative to the ship. Under these conditions separation occurs. The effect is more pronounced with steep pressure gradients which are associated with full forms.

Appendage resistance

Appendages include rudders, bilge keels, shaft brackets and bossings, and stabilizers. Each appendage has its own characteristic length and therefore, if attached to the model, would be running at an effective Reynolds' number different from that of the main model. Thus, although obeying the same scaling laws, its resistance would scale differently to the full scale. That is why resistance models are run naked. This means that some allowance must be made for the resistance of appendages to give the total ship resistance. The allowances can be obtained by testing appendages separately and scaling to the ship. Fortunately the overall additions are generally relatively small, say 10 to 15 per cent of the hull resistance, and errors in their assessment are not likely to be critical.

Wind resistance

In conditions of no natural wind the air resistance is likely to be small in relation to the water resistance. When a wind is blowing the fore and aft resistance force will depend upon its direction and speed. If coming from directly ahead the relative velocity will be the sum of wind and ship speed. The resistance force will be proportional to the square of this relative velocity. Work at the National Physical Laboratory (Shearer and Lynn, 1959–1960) introduced the concept of an ahead resistance coefficient (ARC) defined by:

$$ARC = \frac{\text{fore and aft component of wind resistance}}{\frac{1}{2} \rho V_k^2 A_T}$$
where $V_R$ is the relative velocity and $A_T$ is the transverse cross section area.

For a tanker, the ARC values ranged from 0.7 in the light condition to 0.85 in the loaded condition and were sensibly steady for winds from ahead and up to 50° off the bow. For winds astern and up to 40° off the stern the values were −0.6 to −0.7. Between 50° off the bow and 40° off the stern the ARC values varied approximately linearly. Two cargo ships showed similar trends but the ARC values were about 0.1 less. The figures allowed for the wind’s velocity gradient with height. Because of this ARC values for small ships would be relatively greater and if the velocity was only due to ship speed they would also be greater. Data is also available (Iwai and Yajima, 1961) for wind forces on moored ships.

**CALCULATION OF RESISTANCE**

Having discussed the general nature of the resistance forces a ship experiences and the various formulations for frictional resistance it is necessary to apply this knowledge to derive the resistance of a ship. The model, or data obtained from model experiments, is still the principal method used. The principle followed is that stated by Froude. That is, the ship resistance can be obtained from that of the model by:

1. Measuring the total model resistance by running it at the corresponding Froude number.
2. Calculating the frictional resistance of the model and subtracting this from the total leaving the residuary resistance.
3. Scaling the model residuary resistance to the full scale by multiplying by the ratio of the ship to model displacements.
4. Adding a frictional resistance for the ship calculated on the basis of the resistance of a flat plate of equivalent surface area and roughness.
5. Calculating, or measuring separately, the resistance of appendages.
6. Making an allowance, if necessary, for air resistance.

**ITTC method**

The resistance coefficient is taken as $C = (\text{Resistance})/\frac{1}{2} \rho SV^2$. Subscripts $t$, $v$, $r$ and $f$ for the total, viscous, residual and frictional resistance components. Using subscripts $m$ and $s$ for the model and ship, the following relationships are assumed:

$$C_{sm} = (1 + k)C_{fm}$$
where \( k \) is a form factor.

\[
C_{rs} = C_{rm} = C_{rm} - C_{vm}
\]

\[
C_{sa} = (1 + k) C_{fs} + \delta C_{f}
\]

where \( \delta C_{f} \) is a roughness allowance.

\[
C_{sa} = C_{vs} + C_{rs} + \text{(air resistance)}
\]

The values of \( C_{f} \) are obtained from the ITTC model-ship correlation line for the appropriate Reynolds’ number. That is, as in Table 9.2:

\[
C_{f} = \frac{0.075}{(\log_{10} R_n - 2)^2}
\]

\( k \) is determined from model tests at low speed and assumed to be independent of speed and scale.

The roughness allowance is calculated from:

\[
\delta C_{f} = \left[ 105 \left( \frac{k_s}{L} \right)^3 - 0.64 \right] \times 10^{-3}
\]

where \( k_s \) is the roughness of hull, i.e., \( 150 \times 10^{-6} \text{m} \) and \( L \) is the length on the waterline.

The contribution of air resistance to \( C_{sa} \) is taken as 0.001 \( A_T/S \) where \( A_T \) is the transverse projected area of the ship above water.

The method of extrapolating to the ship from the model is illustrated diagrammatically in Figure 9.9. It will be noted that if the friction lines used are displaced vertically but remain parallel, there will be no difference in the value of total resistance calculated for the ship.

<table>
<thead>
<tr>
<th>Reynolds’ number</th>
<th>( C_{f} )</th>
<th>Reynolds’ number</th>
<th>( C_{f} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>( 10^5 )</td>
<td>0.008333</td>
<td>( 10^8 )</td>
<td>0.002083</td>
</tr>
<tr>
<td>( 5 \times 10^5 )</td>
<td>0.005482</td>
<td>( 5 \times 10^8 )</td>
<td>0.001671</td>
</tr>
<tr>
<td>( 10^6 )</td>
<td>0.004688</td>
<td>( 10^9 )</td>
<td>0.001531</td>
</tr>
<tr>
<td>( 5 \times 10^6 )</td>
<td>0.003397</td>
<td>( 5 \times 10^9 )</td>
<td>0.001265</td>
</tr>
<tr>
<td>( 10^7 )</td>
<td>0.003000</td>
<td>( 10^{10} )</td>
<td>0.001172</td>
</tr>
<tr>
<td>( 5 \times 10^7 )</td>
<td>0.002309</td>
<td>( 5 \times 10^{10} )</td>
<td>0.000991</td>
</tr>
</tbody>
</table>
the actual frictional resistance taken is not critical as long as the error is the same for model and ship and all the elements making up the residuary resistance obey the Froude law of comparison. It is the slope of the skin friction line that is most important.

Notwithstanding this, the skin friction resistance should be calculated as accurately as possible so that an accurate wave-making resistance is obtained for comparing results between different forms and for comparing experimental results with theoretical calculations.

**Wetted surface area**

To obtain the frictional resistance it is necessary to calculate the wetted surface area of the hull. The most direct way of doing this is to plot the girths of the ship at various points along its length to a base of ship length. The area under the curve so produced is approximately the desired wetted surface area. This is the way Froude derived his circular S values and the method should be used when using Froude data. For a more accurate value of the actual wetted surface area some allowance must be made for the inclination of the hull surface to the centreline plane especially towards the ends of the ship. This can be done by assessing a mean hull surface length in each section and applying this as a correction factor to the girth readings. Alternatively an overall mean surface length can be found by averaging the distances round the waterline boundaries for a range of draughts.

A number of approximate formulae are available for estimating wetted surface area from the principal hull parameters. With the usual notation and taking $T$ as the draught, and $\Delta$ as the volume of displacement those proposed by various people have been

\[ S = L(C_B B + 1.7T) \]
Taylor, \( S = C(\Delta L)^{0.5} \)

where \( C \) is a constant depending upon the breadth/draught ratio and the midship section coefficient.

**Example 9.1**

To illustrate the use of a model in calculating ship resistance a worked example is given here. The ship is 140 m long, 19 m beam, 8.5 m draught and has a speed of 15 knots. Other details are:

- Block coefficient = 0.65
- Midship area coefficient = 0.98
- Wetted surface area = 3300 m²
- Density of sea water = 1025 kg/m³

Tests on a geometrically similar model 4.9 m long, run at corresponding speed, gave a total resistance of 19 N in fresh water whose density was 1000 kg/m³.

**Solution**

\[
\begin{align*}
\text{Speed of model} &= 15 \left( \frac{4.9}{140} \right)^{0.5} = 2.81 \text{ knots} = 1.44 \text{ m/s} \\
\text{Wetted surface of model} &= 3300 \left( \frac{4.9}{140} \right)^2 = 4.04 \text{ m²} \\
\text{Speed of ship} &= V_s = \frac{15 \times 1852}{3600} = 7.717 \text{ m/s}
\end{align*}
\]

If the kinematic viscosity for fresh water is \( 1.139 \times 10^{-6} \text{m}^2/\text{s} \) and that for sea water is \( 1.188 \times 10^{-6} \text{m}^2/\text{s} \), the Reynolds’ numbers can be calculated for model and ship.

\[
\begin{align*}
\text{For model} & \quad R_n = \frac{4.9 \times 1.44}{1.139 \times 10^{-6}} = 6.195 \times 10^6 \\
\text{For ship} & \quad R_n = \frac{140 \times 15 \times 1852}{3600 \times 1.188 \times 10^{-6}} = 9.094 \times 10^8
\end{align*}
\]
Schoenherr

The values of $C_f$ for model and ship are $3.172 \times 10^{-3}$ and $1553 \times 10^{-3}$ respectively. Now:

\[
C_{fm} = \frac{R_{tm}}{\frac{1}{2} \rho SV^2} = \frac{19}{\frac{1}{2} \times 1000 \times 4.04 \times 1.44^2} = 0.004536
\]

$C_{fm} = 0.003172$

$C_{wm} = C_{ws}$

$C_{fs} = 0.001553$

$C_{ts} = 0.002917$

\[
R_{ts} = \frac{1}{2} \rho SV^2 \times C_{ts} = \frac{1}{2} \times 1025 \times 3300 \times 7.717^2 \times 0.002917 = 293800 \text{ N}
\]

This makes no allowance for roughness. The usual addition for this to $C_f$ is 0.0004. This would give a $C_{ts}$ of 0.003317 and the resistance would be 334100 N.

**ITTC correlation line**

This gives:

\[
C_f = \frac{0.075}{(\log_{10} R_n - 2)^2}
\]

which yields:

For the model $C_{fm} = 0.003266$

For the ship $C_{fs} = 0.001549$

Hence:

$C_{wm} = C_{ws} = 0.004536 - 0.003266 = 0.001270$

$C_{ts} = 0.001270 + 0.001549 = 0.002819$

\[
R_{ts} = \frac{1}{2} \times 1025 \times 3300 \times 7.717^2 \times 0.002819 = 283900 \text{ N}
\]

Making the same allowance of 0.0004 for roughness, yields:

$R_{ts} = 324200 \text{ N}$
Apart from tests of individual models a great deal of work has gone into ascertaining the influence of hull form on resistance. The tests start with a parent form and then vary systematically a number of form parameters which are considered likely to be significant. Such a series of tests is called a methodical series or a standard series. The results can show how resistance varies with the form parameters used and are useful in estimating power for new designs before the stage has been reached at which a model can be run. To cover $n$ values of $m$ variables would require $m^n$ tests so the amount of work and time involved can be enormous. In planning a methodical series great care is needed in deciding the parameters and range of variables.

One methodical series is that carried out by Admiral D.W. Taylor (1933). He took as variables the prismatic coefficient, displacement to length ratio and beam to draught ratio. With eight, five and two values of the variables respectively he tested 80 models. Taylor standardized his results on a ship length of 500ft (152 m) and a wetted surface coefficient of 15.4. He plotted contours of $R_f/\Delta$ with $V/L^{0.5}$ and $\Delta/(L/100)^3$ as in Figure 9.10. $R_f/\Delta$ was in pounds per ton displacement. Taylor also presented correction factors for length and contours for wetted surface area correction. The residuary resistance, $R_r$, was plotted in a similar way but with prismatic coefficient in place of $V/L^{0.5}$ as abscissa, see Figure 9.11.

Taylor’s data was re-analysed (Gertler, 1954) using $C_f$ and $C_r$ instead of resistance in pounds per ton of displacement. Frictional resistance was calculated from the Schoenherr formula rather than being based on the Froude data used by Taylor. A typical chart from the re-analysed data is given in Figure 9.12.

More recent methodical series for merchant ships have been by BSRA and DTMB. The former varied block coefficient, length to displacement ratio, breadth to draught ratio and longitudinal position of the LCB. Data was presented in circular C form to a base of block coefficient for various speeds. Correction factors are presented for the variation in the other parameters. The forms represent single screw ships with cruiser sterns. The DTMB data covers the same variables as the BSRA tests. Data is presented in circular C form and uses both the Froude skin friction correction and the ITTC 1957 ship-model correlation line.

A designer must consult the methodical series data directly in order to use it to estimate the resistance of a new design. Unless the new design is of the type and within the general range of the variables covered by the methodical series errors are likely. In this case other data may be available from which to deduce correction factors.
Figure 9.10 Contours of frictional resistance in pounds per ton displacement for 500 ft ship

Charts for $V/\sqrt{L} = 0.60$

Figure 9.11 Taylor’s contours of residuary resistance in pounds per ton displacement
ROUGHNESS

It will be clear that apart from the wetted surface area and speed the major factor in determining the frictional resistance is the roughness of the hull. This is why so many researchers have devoted so much time to this factor. For slow ships the frictional resistance is the major part of the total and it is important to keep the hull as smooth as possible.

Owing to the increase in boundary layer thickness, the ratio of a given roughness amplitude to boundary layer thickness decreases along the length of the hull. Protrusions have less effect at the after end than forward. In the towing trials of HMS Penelope, the hull roughness, measured by a wall roughness gauge, was found to be 0.3 mm mean apparent amplitude per 50 mm. This mean apparent amplitude per 50 mm gauge length is the standard parameter used in the UK to represent hull roughness. Roughness can be considered under three headings:

1. **Structural roughness.** This depends upon the design and method of construction. In a riveted ship the plate overlaps and edges and the rivet heads constituted roughness. These are avoided in modern welded construction but in welded hulls the plating exhibits a waviness between frames, particularly in thin plating, and this is also a form of roughness.

2. **Corrosion.** Steel corrodes in sea water creating a roughened surface. Modern painting systems are reasonably effective in reducing corrosion all the while the coating remains intact. If it is
abraded in one area then corrosion is concentrated at that spot and pitting can be severe. This is bad from the structural point of view as well as for frictional resistance. Building ships on covered slipways and early plate treatments to reduce corrosion both reduce the initial hull roughness on completion of build. To reduce corrosion during build and in operation, many ships are now fitted with cathodic protection systems, either active or passive. These are discussed briefly under structure.

(3) **Fouling.** Marine organisms such as weed and barnacles can attach themselves to the hull. This would represent a very severe roughening if steps were not taken to prevent it. Traditionally the underwater hull has been coated with anti-fouling compositions. Early treatments contained toxic materials such as compounds of mercury or copper which leached out into the water and prevented the marine growth taking a hold on the hull. Unfortunately these compounds also pollute the general ocean and other treatments are now used. Fouling is very dependent upon the time a ship spends in port relative to its time at sea, and the ocean areas in which it operates. Fouling increases more rapidly in port and in warmer waters. In the *Lucy Ashton* towing trials it was found that the frictional resistance increased by about 5 per cent over 40 days, that is by about \( \frac{1}{2} \) of 1 per cent per day. This was a common allowance made for time out of dock but with modern coatings a lower allowance is appropriate.

For an operator the deterioration of the hull surface with time results in a slower speed for a given power or more power being needed for a given speed. This increases running costs which must be set against the costs of docking, cleaning off the underwater hull and applying new coatings.

The Schoenherr and ITTC resistance formulations were intended to apply to a perfectly smooth surface. This will not be true even for a newly completed ship. The usual allowance for roughness is to increase the frictional coefficient by 0.0004 for a new ship. The actual value will depend upon the coatings used. In the *Lucy Ashton* trials two different coatings gave a difference of 5 per cent in frictional resistance. The standard allowance for roughness represents a significant increase in frictional resistance. To this must be added an allowance for time out of dock.

**FORM PARAMETERS AND RESISTANCE**

There can be no absolutes in terms of optimum form. The designer must make many compromises. Even in terms of resistance one form may be better than another at one speed but inferior at another speed.
Another complication is the interdependence of many form factors, including those chosen for discussion below. In that discussion only generalized comments are possible.

Frictional resistance is directly related to the wetted surface area and any reduction in this will reduce skin friction resistance. This is not, however, a parameter that can be changed in isolation from others. Other form changes are likely to have most effect on wave-making resistance but may also affect frictional resistance because of consequential changes in surface area and flow velocities around the hull.

Length

An increase in length will increase frictional resistance but usually reduce wave-making resistance but this is complicated by the interaction of the bow and stern wave systems. Thus while fast ships will benefit overall from being longer than slow ships, there will be bands of length in which the benefits will be greater or less.

Prismatic coefficient

The main effect is on wave-making resistance and choice of prismatic coefficient is not therefore so important for slow ships where it is likely to be chosen to give better cargo carrying capacity. For fast ships the desirable prismatic coefficient will increase with the speed to length ratio.

Fullness of form

Fullness may be represented by the block or prismatic coefficient. For most ships resistance will increase as either coefficient increases. This is reasonable as the full ship can be expected to create a greater disturbance as it moves through the water. There is evidence of optimum values of the coefficients on either side of which the resistance might be expected to rise. This optimum might be in the working range of high speed ships but is usually well below practical values for slow ships. Generally the block coefficient should reduce as the desired ship speed increases.

In moderate speed ships, power can always be reduced by reducing block coefficient so that machinery and fuel weights can be reduced. However, for given overall dimensions, a lower block coefficient means less payload. A balance must be struck between payload and resistance based on a study of the economics of running the ship.
Slimness

Slimness can be defined by the ratio of the length to the cube root of the volume of displacement or in terms of a volumetric coefficient which is the volume of displacement divided by the cube of the length. For a given length, greater volume of displacement requires steeper angles of entrance and run for the waterplane endings. Increase in volumetric coefficient or reduction in circular M can be expected, therefore, to lead to increased resistance. Generally in high speed forms with low block coefficient, the displacement length ratio must be kept low to avoid excessive resistance. For slow ships this is not so important. Fast ships require larger length to beam ratios than slow ships.

Breadth to draught ratio

Generally resistance increases with increase in breadth to draught ratio within the normal working range of this variable. This can again be explained by the angles at the ends of the waterlines increasing and causing a greater disturbance in the water. With very high values of beam to draught ratio the flow around the hull would tend to be in the vertical plane rather than the horizontal. This could lead to a reduction in resistance.

Longitudinal distribution of displacement

Even when the main hull parameters have been fixed it is possible to vary the distribution of displacement along the ship length. This distribution can be characterized by the longitudinal position of the centre of buoyancy (LCB). For a given block coefficient the LCB position governs the fullness of the ends of the ship. As the LCB moves towards one end that end will become fuller and the other finer. There will be a position where the overall resistance will be minimized. This generally varies from just forward of amidships for slow ships to about 10 per cent of the length aft of amidships for fast ships. In considering the distribution of displacement along the length the curve of areas should be smooth. Sudden changes of curvature could denote regions where waves or eddies will be created.

Length of parallel middle body

In high speed ships with low block coefficient there is usually no parallel middle body. To get maximum capacity at minimum cost, high block coefficients are used with parallel middle body to avoid the ends becoming too full. For a given block coefficient, as the length of parallel middle body increases the ends become finer. There will be an optimum value of parallel middle body for a given block coefficient.
Section shape
It is not possible to generalize on the shape of section to adopt but slow to moderate speed ships tend to have U-shaped sections in the fore body and V-shaped sections aft. It can be argued that the U-sections forward keep more of the ship’s volume away from the waterline and so reduce wave-making.

Bulbous bow
The principle of the bulbous bow is that it is sized, shaped and positioned so as to create a wave system at the bow which partially cancels out the ship’s own bow wave system, so reducing wave-making resistance. This can only be done over a limited speed range and at the expense of resistance at other speeds. Many merchant ships operate at a steady speed for much of their lives so the bulb can be designed for that speed. It was originally applied to moderate to high speed ships but has also been found to be beneficial in relatively slow ships such as tankers and bulk carriers and these ships now often have bulbous bows. The effectiveness of the bulb in the slower ships, where wave-making resistance is only a small percentage of the total, suggests the bulb reduces frictional resistance as well. This is thought to be due to the change in flow velocities which it creates over the hull. Sometimes the bulb is sited well forward and it can extend beyond the fore perpendicular.

Triplets
The designer cannot be sure of the change in resistance of a form, as a result of small changes, unless data is available for a similar form as part of a methodical series. However, changes are often necessary in the early design stages and it is desirable that their consequences should be known. One way of achieving this is to run a set of three models early on. One is the base model and the other two are the base model with one parameter varied by a small amount. Typically the parameters changed would be beam and length and the variation would be a simple linear expansion of about 10 per cent of all dimensions in the chosen direction. Because only one parameter is varied at a time the models are not geometrically similar. The variation in resistance, or its effective power, of the form can be expressed as:

\[
\frac{dR}{R} = \frac{a_1 dL}{L} + \frac{a_2 dB}{B} + \frac{a_3 dT}{T}
\]
The values of $a_i$ etc., can be deduced from the results of the three experiments.

MODEL EXPERIMENTS

Full scale resistance trials are very expensive. Most of the knowledge on ship resistance has been gained from model experiment. W. Froude was the pioneer of the model experiment method and the towing tank which he opened in Torquay in 1872 was the first of its kind. The tank was in effect a channel about 85 m long, 11 m wide and 3 m deep. Over this channel ran a carriage, towed at a uniform speed by an endless rope, and carrying a dynamometer. Models were attached to the carriage through the dynamometer and their resistances were measured by the extension of a spring. Models were made of paraffin wax which is easily shaped and altered. Since Froude's time great advances have been made in the design of tanks, their carriages and the recording equipment. However, the basic principles remain the same. Every maritime nation now has towing tanks. An average good form can be improved by 3–5% by model tests, hence fuel savings pay for all the testing.

Early work on ship models was carried out in smooth water. Most resistance testing is still in this condition but now tanks are fitted with wavemakers so that the added resistance in waves can be studied. Wavemakers are fitted to one end of the tank and can generate regular or long crested irregular waves. For these experiments the model must be free to heave and pitch and these motions are recorded as well as the resistance. In towing tanks, testing is limited to head and following seas. Some discussion of special seakeeping basins was presented in Chapter 12 on seakeeping. Such basins can be used to determine model performance when manoeuvring in waves.

FULL SCALE TRIALS

The final test of the accuracy of any prediction method based on extrapolation from models must be the resistance of the ship itself. This cannot be found from speed trials although the overall accuracy of power estimation can be checked by them as will be explained in Chapter 10. In measuring a ship's resistance it is vital to ensure that the ship under test is running in open, smooth water. That is to say the method of towing or propelling it must not interfere with the flow of water around the test vessel. Towing has been the usual method adopted.

The earliest tests were conducted by Froude on HMS Greyhound in 1874. Greyhound was a screw sloop and was towed by HMS Active, a
vessel of about 30.9 MN/displacement, using a 58 m towrope attached to the end of a 13.7 m outrigger in *Active*. Tests were carried out with *Greyhound* at three displacements ranging from 11.57 MN to 9.35 MN, and over a speed range of 3 to 12.5 knots.

The pull in the towrope was measured by dynamometer and speed by a log. Results were compared with those derived from a model of *Greyhound* and showed that the curve of resistance against speed was of the same character as that from the model but somewhat higher. This was attributed to the greater roughness of the ship surface than that assumed in the calculations. Froude concluded that the experiment ‘substantially verify the law of comparison which has been propounded by me as governing the relation between the resistance ships and their models’.

In the late 1940s, the British Ship Research Association carried out full scale tests on the former Clyde paddle steamer, *Lucy Ashton*. The problems of towing were overcome by fitting the ship with four jet engines mounted high up on the ship and outboard of the hull to avoid the jet efflux impinging on the ship or its wake. Most of the tests were at a displacement of 3.9 MN. Speeds ranged from 5 to 15 knots and the influence of different hull conditions were investigated. Results were compared with tests on six geometrically similar models of lengths ranging from 2.7 to 9.1 m. Estimates of the ship resistance were made from each model using various skin friction formulae, including those of Froude and Schoenherr, and the results compared to the ship measurements.

Generally the Schoenherr formulae gave the better results, Figure 9.13. The trials showed that the full scale resistance is sensitive to small roughness. Bituminous aluminium paint gave about 5 per cent less skin friction resistance and 3.5 per cent less total resistance, than red oxide paint. Fairing the seams gave a reduction of about 3 per cent in total resistance. Forty days fouling on the bituminous aluminium hull increased skin frictional resistance by about 5 per cent, that is about \( \frac{1}{3} \) of 1 per cent per day. The results indicated that the interference between skin friction and wave-making resistance was not significant over the range of the tests.

Later trials were conducted on the frigate HMS *Penelope* by the Admiralty Experiment Works. *Penelope* was towed by another frigate at the end of a mile long nylon rope. The main purpose of the trial was to measure radiated noise and vibration for a dead ship. Both propellers were removed and the wake pattern measured by a pitot fitted to one shaft. Propulsion data for *Penelope* were obtained from separate measured mile trials with three sets of propellers. Correlation of ship and model data showed the ship resistance to be some 14 per cent higher than predicted over the speed range 12 to 13 knots. There appeared to
Figure 9.13 Lucy Ashton data

- Measured model resistance and measured ship resistance (the estimated wind and air resistance has been deducted from the latter)
- Schoenherr friction line
- Model resistance corrected for tank boundary restriction
- Key: Measured model resistance and measured ship resistance (the estimated wind and air resistance has been deducted from the latter)
- Model resistance corrected for tank boundary restriction and shallow water effects

\[
\log_{10} \left( \frac{C_f}{R_n} \right) = \frac{1}{\sqrt{\frac{\pi}{2}}} \left( \frac{2}{R_n} \right) \left( \frac{V}{S} \right)
\]

Scale of \( \frac{R}{\frac{1}{2} \rho V^2 S} \)

Key:
- Model resistance corrected for tank boundary restriction and shallow water effects
- 9 ft model
- 12 ft model
- 16 ft model
- 20 ft model
- 24 ft model
- 30 ft model

Scale of Reynolds' number \( R_n = \frac{\pi}{2} \cdot \frac{V}{S} \)
be no significant wake scale effects. Propulsion data showed higher thrust, torque and efficiency than predicted.

EFFECTIVE POWER

The effective power at any speed is defined as the power needed to overcome the resistance of the naked hull at that speed. It is sometimes referred to as the towrope power as it is the power that would be expended if the ship were to be towed through the water without the flow around it being affected by the means of towing. Another, higher, effective power would apply if the ship were towed with its appendages fitted. The ratio of this power to that needed for the naked ship is known as the appendage coefficient. That is:

\[
\text{the appendage coefficient} = \frac{\text{Effective power with appendages}}{\text{Effective power naked}}
\]

Froude, because he dealt with Imperial units, used the term effective horsepower or ehp. Even in mathematical equations the abbreviation ehp was used. The abbreviation now used is \( P_E \).

For a given speed the effective power is the product of the total resistance and the speed. Thus returning to the earlier worked example, the effective powers for the two cases considered, would be:

1. Using Schoenherr:
   - Total resistance = 334 100 N, allowing for roughness
   - Effective power = 2578 kW

2. Using the ITTC line:
   - Total resistance = 324 200 N
   - Effective power = 2502 kW

As will be seen in the next Chapter, the effective power is not the power required of the main machinery in driving the ship at the given speed. This latter power will be greater because of the efficiency of the propulsor used and its interaction with the flow around the hull. However, it is the starting point for the necessary calculations.

SUMMARY

The different types of resistance a ship experiences in moving through the water have been identified and the way in which they scale with size
discussed. In practice the total resistance is considered as made up of frictional resistance, which scales with Reynolds’ number, and residuary resistance, which scales with the Froude number. This led to a method for predicting the resistance of a ship from model tests. The total model resistance is measured and an allowance for frictional resistance deducted to give the residuary resistance. This is scaled in proportion to the displacements of ship and model to give the ship’s residuary resistance. To this is added an allowance for frictional resistance of the ship to give the ship’s total resistance. Various ways of arriving at the skin friction resistance have been explained together with an allowance for hull roughness.

The use of individual model tests, and of methodical series data, in predicting resistance have been outlined. The few full scale towing tests carried out to validate the model predictions have been discussed.

Finally the concept of effective power was introduced and this provides the starting point for discussing the powering of ships which is covered in the next chapter.
The concept of effective power was introduced in Chapter 9. This is the power needed to tow a naked ship at a given speed and it is the starting point for discussing the propulsion of the ship. In this chapter means of producing the driving force are discussed together with the interaction between the propulsor and the flow around the hull. It is convenient to study the propulsor performance in open water and then the change in that performance when placed close behind a ship. There are many different factors involved so it is useful to outline the general principles before proceeding to the detail.

GENERAL PRINCIPLES

When a propulsor is introduced behind the ship it modifies the flow around the hull at the stern. This causes an augmentation of the resistance experienced by the hull. It also modifies the wake at the stern and therefore the average velocity of water through the propulsor. This will not be the same as the ship speed through the water. These two effects are taken together as a measure of hull efficiency. The other effect of the combined hull and propulsor is that the flow through the propulsor is not uniform and generally not along the propulsor axis. The ratio of the propulsor efficiency in open water to that behind the ship is termed the relative rotative efficiency. Finally there will be losses in the transmission of power between the main machinery and the propulsor. These various effects can be illustrated by the different powers applying to each stage.

Extension of effective power concept

The concept of effective power \( P_E \) can be extended to cover the power needed to be installed in a ship in order to obtain a given speed. If the installed power is the shaft power \( P_S \) then the overall propulsive efficiency is determined by the propulsive coefficient, where:

\[
\text{Propulsive coefficient (PC)} = \frac{P_E}{P_S}
\]
The intermediate stages in moving from the effective to the shaft power are usually taken as:

- Effective power for a hull with appendages = \( P'_E \)
- Thrust power developed by propulsors = \( P_T \)
- Power delivered by propulsors when propelling ship = \( P_D \)
- Power delivered by propulsors when in open water = \( P'_D \)

With this notation the overall propulsive efficiency can be written:

\[
PC = \frac{P_E}{P_s} = \frac{P'_E}{P'_E} \times \frac{P_T}{P'_T} \times \frac{P'_D}{P'_D} \times \frac{P_D}{P_S}
\]

The term \( P_E/P'_E \) is the inverse of the appendage coefficient. The other terms in the expression are a series of efficiencies which are termed, and defined, as follows:

- \( P'_E/P_T = \text{hull efficiency} = \eta_H \)
- \( P_T/P'_D = \text{propulsor efficiency in open water} = \eta_O \)
- \( P'_D/P_D = \text{relative rotative efficiency} = \eta_R \)
- \( P_D/P_S = \text{shaft transmission efficiency} \)

This can be written:

\[
PC = \left( \frac{\eta_H \times \eta_O \times \eta_R}{\text{appendage coefficient}} \right) \times \text{Transmission efficiency}
\]

The expression in brackets is termed the quasi-propulsive coefficient (QPC) and is denoted by \( \eta_D \). The QPC is obtained from model experiments and to allow for errors in applying this to the full scale an additional factor is needed. Some authorities use a QPC factor which is the ratio of the propulsive coefficient determined from a ship trial to the QPC obtained from the corresponding model. Others use a load factor, where:

\[
\text{load factor} = (1 + x) = \frac{\text{Transmission efficiency}}{\text{QPC factor} \times \text{appendage coefficient}}
\]

In this expression the overload fraction, \( x \), is meant to allow for hull roughness, fouling and weather conditions on trial.
The student should note that some authorities use $P_{EA}$ for the effective power of the hull with appendages. More importantly some use the term propulsive coefficient as the ratio $P_E/P_S$. It is important in using data from any source to check the definitions used.

It remains to establish how the hull, propulsor and relative rotative efficiencies can be determined. This is dealt with later in this chapter.

**PROPULSORS**

Propulsion devices can take many forms. They all rely upon imparting momentum to a mass of fluid which causes a force to act on the ship. In the case of air cushion vehicles the fluid is air but usually it is water. By far and away the most common device is the propeller. This may take various forms but attention in this chapter is focused on the fixed pitch propeller. Before defining such a propeller it is instructive to consider the general case of a simple actuator disc imparting momentum to water.

**Momentum theory**

In this theory the propeller is replaced by an actuator disc, area $A$, which is assumed to be working in an ideal fluid. The actuator disc imparts an axial acceleration to the water which, in accordance with Bernoulli’s principle, requires a change in pressure at the disc, Figure 10.1.

*Figure 10.1* (a) Pressure; (b) Absolute velocity; (c) Velocity of water relative to screw
It is assumed that the water is initially, and finally, at pressure $p_0$. At the actuator disc it receives an incremental pressure increase $dp$. The water is initially at rest, achieves a velocity $aV_a$ at the disc, goes on accelerating and finally has a velocity $bV_a$ at infinity behind the disc. The disc is moving at a velocity $V_a$ relative to the still water. Assuming the velocity increment is uniform across the disc and only the column of water passing through the disc is affected:

**Velocity of water relative to the disc**

$$V_a(1 + a)$$

where $a$ is termed the *axial inflow factor*, and:

**Mass of water acted on in unit time**

$$\rho AV_a(1 + a)$$

Since this mass finally achieves a velocity $bV_a$, the change of momentum in unit time is:

$$\rho AV_a(1 + a)bV_a$$

Equating this to the thrust generated by the disc:

$$T = \rho AV_a^2(1 + a)b$$

The work done by the thrust on the water is:

$$Tav_a = \rho AV_a^3(1 + a)ab$$

This is equal to the kinetic energy in the water column,

$$\frac{\rho AV_a(1 + a)(bV_a)^2}{2}$$

Equating this to the work done by the thrust:

$$\rho AV_a^3(1 + a)ab = \frac{\rho AV_a^3(1 + a)b^2}{2}$$

and $a = \frac{b}{2}$

That is half the velocity ultimately reached is acquired by the time the water reaches the disc. Thus the effect of a propulsor on the flow around the hull, and therefore the hull’s resistance, extends both ahead and astern of the propulsor.
The useful work done by the propeller is equal to the thrust multiplied by its forward velocity. The total work done is this plus the work done in accelerating the water so:

\[ \text{Total work} = \rho AV_a^3 (1 + a)ab + \rho AV_a^3 (1 + a)b \]

The efficiency of the disc as a propulsor is the ratio of the useful work to the total work. That is:

\[ \text{efficiency} = \frac{\rho AV_a^3 (1 + a)b}{\rho AV_a^3(1 + a)b + (1 + a)b} = \frac{1}{1 + a} \]

This is termed the ideal efficiency. For good efficiency \( a \) must be small. For a given speed and thrust the propulsor disc must be large, which also follows from general considerations. The larger the disc area the less the velocity that has to be imparted to the water for a given thrust. A lower race velocity means less energy in the race and more energy usefully employed in driving the ship.

So far it has been assumed that only an axial velocity is imparted to the water. In a real propeller, because of the rotation of the blades, the water will also have rotational motion imparted to it. Allowing for this it can be shown (Carlton, 1994) that the overall efficiency becomes:

\[ \eta = \frac{1 - a'}{1 + a} \]

where \( a' \) is the rotational inflow factor. Thus the effect of imparting rotational velocity to the water is to reduce efficiency further.

THE SCREW PROPELLER

A screw propeller may be regarded as part of a helicoidal surface which, when rotating, 'screws' its way through the water.

A helicoidal surface

Consider a line AB, perpendicular to line AA', rotating at uniform angular velocity about AA' and moving along AA' at uniform velocity. Figure 10.2. AB sweeps out a helicoidal surface. The pitch of the surface is the distance traveled along AA' in making one complete revolution. A propeller with a flat face and constant pitch could be regarded as having its face trace out the helicoidal surface. If AB rotates at \( N \)
revolutions per unit time, the circumferential velocity of a point, distant $r$ from $AA'$, is $2\pi Nr$ and the axial velocity is $NP$. The point travels in a direction inclined at $\theta$ to $AA'$ such that:

$$\tan \theta = \frac{2\pi Nr}{NP} = \frac{2\pi r}{P}$$

If the path is unwrapped and laid out flat the point will move along a straight line as in Figure 10.3.

Propellers can have any number of blades but three, four and five are most common in marine propellers. Reduced noise designs often have more blades. Each blade can be regarded as part of a different helicoidal surface. In modern propellers the pitch of the blade varies with radius so that sections at different radii are not on the same helicoidal surface.
Propeller features

The diameter of a propeller is the diameter of a circle which passes tangentially through the tips of the blades. At their inner ends the blades are attached to a boss, the diameter of which is kept as small as possible consistent with strength. Blades and boss are often one casting for fixed pitch propellers. The boss diameter is usually expressed as a fraction of the propeller diameter.

![Diagram of propeller features](image)

**Figure 10.4** (a) View along shaft axis; (b) Side elevation

The blade outline can be defined by its projection onto a plane normal to the shaft. This is the projected outline. The developed outline is the outline obtained if the circumferential chord of the blade, that is the circumferential distance across the blade at a given radius, is set out against radius. The shape is often symmetrical about a radial line called the median. In some propellers the median is curved back relative to the rotation of the blade. Such a propeller is said to have skew back. Skew is expressed in terms of the circumferential displacement of the blade tip. Skew back can be advantageous where the propeller is operating in a flow with marked circumferential variation. In some propellers the face in profile is not normal to the axis and the propeller is said to be raked. It may be raked forward or back, but generally the latter to improve the clearance between the blade tip and the hull. Rake is usually expressed as a percentage of the propeller diameter.

Blade sections

A section is a cut through the blade at a given radius, that is it is the intersection between the blade and a circular cylinder. The section can be laid out flat. Early propellers had a flat face and a back in the form...
of a circular arc. Such a section was completely defined by the blade width and maximum thickness.

Modern propellers use aerofoil sections. The median or camber line is the line through the mid-thickness of the blade. The camber is the maximum distance between the camber line and the chord which is the line joining the forward and trailing edges. The camber and the maximum thickness are usually expressed as percentages of the chord length. The maximum thickness is usually forward of the mid-chord point. In a flat face circular back section the camber ratio is half the thickness ratio. For a symmetrical section the camber line ratio would be zero. For an aerofoil section the section must be defined by the ordinates of the face and back as measured from the chord line.

The maximum thickness of blade sections decreases towards the tips of the blade. The thickness is dictated by strength calculations and does not necessarily vary in a simple way with radius. In simple, small, propellers thickness may reduce linearly with radius. This distribution gives a value of thickness that would apply at the propeller axis were it not for the boss. The ratio of this thickness, $t_o$, to the propeller diameter is termed the blade thickness fraction.

**Pitch ratio**
The ratio of the pitch to diameter is called the pitch ratio. When pitch varies with radius that variation must be defined. For simplicity a nominal pitch is quoted being that at a certain radius. A radius of 70 per cent of the maximum is often used for this purpose.
**Blade area**

Blade area is defined as a ratio of the total area of the propeller disc. The usual form is:

\[
\text{Developed blade area ratio} = \frac{\text{developed blade area}}{\text{disc area}}
\]

In some earlier work, the developed blade area was increased to allow for a nominal area within the boss. The allowance varied with different authorities and care is necessary in using such data. Sometimes the projected blade area is used, leading to a *projected blade area ratio*.

**Handing of propellers**

If, when viewed from aft, a propeller turns clockwise to produce ahead thrust it is said to be right handed. If it turns anti-clockwise for ahead thrust it is said to be left handed. In twin screw ships the starboard propeller is usually right handed and the port propeller left handed. In that case the propellers are said to be outward turning. Should the reverse apply they are said to be inward turning. With normal ship forms inward turning propellers sometimes introduce manoeuvring problems which can be solved by fitting outward turning screws. Tunnel stern designs can benefit from inward turning screws.

**Forces on a blade section**

From dimensional analysis it can be shown that the force experienced by an aerofoil can be expressed in terms of its area, \(A\); chord, \(c\); and its velocity, \(V\), as:

\[
\frac{F}{\rho AV^2} = f\left(\frac{V}{V_e}\right) = f(R_n)
\]

Another factor affecting the force is the attitude of the aerofoil to the velocity of flow past it. This is the *angle of incidence* or *angle of attack*. Denoting this angle by \(\alpha\), the expression for the force becomes:

\[
\frac{F}{\rho AV^2} = f(R_n, \alpha)
\]

This resultant force \(F\), Figure 10.6, can be resolved into two components. That normal to the direction of flow is termed the *lift*, \(L\), and the
other in the direction of the flow is termed the *drag*, \(D\). These two forces are expressed non-dimensionally as:

\[
C_L = \frac{L}{\frac{1}{2} \rho AV^2} \quad \text{and} \quad C_D = \frac{D}{\frac{1}{2} \rho AV^2}
\]

Each of these coefficients will be a function of the angle of incidence and Reynolds’ number. For a given Reynolds’ number they depend on the angle of incidence only and a typical plot of lift and drag coefficients against angle of incidence is presented in Figure 10.7.

Initially the curve for the lift coefficient is practically a straight line starting from a small negative angle of incidence called the *no lift angle*. As the angle of incidence increases further the curve reduces in slope and then the coefficient begins to decrease. A steep drop occurs when the angle of incidence reaches the *stall angle* and the flow around the aerofoil breaks down. The drag coefficient has a minimum value near
the zero angle of incidence, rises slowly at first and then more steeply as the angle of incidence increases.

**Lift generation**

Hydrodynamic theory shows the flow round an infinitely long circular cylinder in a non-viscous fluid as in Figure 10.8.

![Figure 10.8 Flow round circular cylinder](image)

At points A and B the velocity is zero and these are called *stagnation points*. The resultant force on the cylinder is zero. This flow can be transformed into the flow around an aerofoil as in Figure 10.9, the stagnation points moving to A' and B'. The force on the aerofoil in these conditions is also zero.

![Figure 10.9 Flow round aerofoil without circulation](image)

In a viscous fluid the very high velocities at the trailing edge produce an unstable situation due to shear stresses. The potential flow pattern breaks down and a stable pattern develops with one of the stagnation points at the trailing edge, Figure 10.10.

![Figure 10.10 Flow round aerofoil with circulation](image)
The new pattern is the original pattern with a vortex superimposed upon it. The vortex is centred on the aerofoil and the strength of its circulation depends upon the shape of the section and its angle of incidence. Its strength is such as to move B' to the trailing edge. It can be shown that the lift on the aerofoil, for a given strength of circulation, \( \tau \), is:

\[
L = L = \rho V \tau
\]

The fluid viscosity introduces a small drag force but has little influence on the lift generated.

**Three-dimensional flow**

The simple approach assumes an aerofoil of infinite span in which the flow would be two-dimensional. The lift force is generated by the difference in pressures on the face and back of the foil. In practice an aerofoil will be finite in span and there will be a tendency for the pressures on the face and back to try to equalize at the tips by a flow around the ends of the span reducing the lift in these areas. Some lifting surfaces have plates fitted at the ends to prevent this ‘bleeding’ of the pressure. The effect is relatively greater the less the span in relation to the chord. This ratio of span to chord is termed the aspect ratio. As aspect ratio increases the lift characteristics approach more closely those of two-dimensional flow.

**Pressure distribution around an aerofoil**

The effect of the flow past, and circulation round, the aerofoil is to increase the velocity over the back and reduce it over the face. By Bernouilli’s principle there will be corresponding decreases in pressure over the back and increases over the face. Both pressure distributions contribute to the total lift, the reduced pressure over the back making the greater contribution as shown in Figure 10.11.

![Figure 10.11 Pressure distribution on aerofoil](image)

"Figure 10.11 Pressure distribution on aerofoil"
The maximum reduction in pressure occurs at a point between the mid-chord and the leading edge. If the reduction is too great in relation to the ambient pressure in a fluid like water, bubbles form filled with air and water vapour. The bubbles are swept towards the trailing edge and they collapse as they enter an area of higher pressure. This is known as *cavitation* and is bad from the point of view of noise and efficiency. The large forces generated when the bubbles collapse can cause physical damage to the propeller.

**PROPELLER THRUST AND TORQUE**

Having discussed the basic action of an aerofoil in producing lift, the action of a screw propeller in generating thrust and torque can be considered. The momentum theory has already been covered. The actuator disc used in that theory must now be replaced by a screw with a large number of blades.

**Blade element theory**

This theory considers the forces on a radial section of a propeller blade. It takes account of the axial and rotational velocities at the blade as deduced from the momentum theory. The flow conditions can be represented diagrammatically as in Figure 10.12.

*Figure 10.12 Forces on blade element*
Consider a radial section at $r$ from the axis. If the revolutions are $N$ per unit time the rotational velocity is $2\pi Nr$. If the blade was a screw rotating in a solid it would advance axially at a speed $NP$, where $P$ is the pitch of the blade. As water is not solid the screw actually advances at a lesser speed, $V_a$. The ratio $V_a/ND$ is termed the *advance coefficient*, and is denoted by $J$. Alternatively the propeller can be considered as having ‘slipped’ by an amount $NP - V_a$. The slip or slip ratio is:

$$\text{Slip} = (NP - V_a)/NP = 1 - J/p$$

where $p$ is the *pitch ratio* $= P/D$.

In Figure 10.12 the line OB represents the direction of motion of the blade relative to still water. Allowing for the axial and rotational inflow velocities, the flow is along OD. The lift and drag forces on the blade element, area $dA$, shown will be:

$$dL = \frac{1}{2} \rho V_1^2 C_L \, dA = \frac{1}{2} \rho C_L [V_\alpha^2 (1 + a)^2 + 4\pi^2 r^2 (1 - a')^2] b dr$$

where:

$$V_1^2 = V_\alpha^2 (1 + a)^2 + 4\pi^2 r^2 (1 - a')^2$$

$$dD = \frac{1}{2} \rho V_1^2 C_D \, dA = \frac{1}{2} \rho C_D [V_\alpha^2 (1 + a)^2 + 4\pi^2 r^2 (1 - a')^2] b dr$$

The contributions of these elemental forces to the thrust, $T$, on the blade follows as:

$$dT = dL \cos \varphi - dD \sin \varphi = dL \left( \cos \varphi - \frac{dD}{dL} \sin \varphi \right)$$

$$= \frac{1}{2} \rho V_1^2 C_L (\cos \varphi - \tan \beta \sin \varphi) b dr$$

where:

$$\tan \beta = dD/dL = C_D/C_L.$$ 

$$= \frac{1}{2} \rho V_1^2 C_L \frac{\cos(\varphi + \beta)}{\cos \beta} b dr$$

Since $V_1 = V_\alpha (1 + a)/\sin \varphi$,

$$dT = \frac{1}{2} \rho C_L \frac{V_\alpha^2 (1 + a)^2 \cos(\varphi + \beta)}{\sin^2 \varphi \cos \beta} b dr$$
The total thrust acting is obtained by integrating this expression from the hub to the tip of the blade. In a similar way, the transverse force acting on the blade element is given by:

\[ dM = dL \sin \varphi + dD \cos \varphi = dL \left( \sin \varphi + \frac{dD}{dL} \cos \varphi \right) \]

\[ = \frac{1}{2} \rho V_1^2 \sin(\varphi + \beta) \frac{\sin \varphi}{\cos \varphi} bdr \]

Continuing as before, substituting for \( V_1 \) and multiplying by \( r \) to give torque:

\[ dQ = rdM = \frac{1}{2} \rho C_L \frac{V_1^2 (1 + a)^2 \sin(\varphi + \beta)}{\sin^2 \varphi \cos \beta} brdr \]

The total torque is obtained by integration from the hub to the tip of the blade.

The thrust power of the propeller will be proportional to \( TV_a \) and the shaft power to \( 2\pi NQ \). So the propeller efficiency will be \( TV_a / 2\pi NQ \). Correspondingly there is an efficiency associated with the blade element in the ratio of the thrust to torque on the element. This is:

\[ \text{blade element efficiency} = \frac{V_a}{2\pi Nr} \times \frac{1}{\tan(\varphi + \beta)} \]

But from Figure 10.12,

\[ \frac{V_a}{2\pi Nr} = \frac{V_a(1 + a)}{2\pi Nr(1 + a')} \times \frac{1 - a'}{1 + a} = \frac{1 - a'}{1 + a} \tan \varphi \]

This gives a blade element efficiency:

\[ \frac{1 - a'}{1 + a} \times \frac{\tan \varphi}{\tan(\varphi + \beta)} \]

This shows that the efficiency of the blade element is governed by the ‘momentum factor’ and the blade section characteristics in the form of the angles \( \varphi \) and \( \beta \), the latter representing the ratio of the drag to lift coefficients. If \( \beta \) were zero the blade efficiency reduces to the ideal efficiency deduced from the momentum theory. Thus the drag on the blade leads to an additional loss of efficiency.
The simple analysis ignores many factors which have to be taken into account in more comprehensive theories. These include:

1. The finite number of blades and the variation in the axial and rotational inflow factors.
2. Interference effects between blades.
3. The flow around the tip from face to back of the blade which produces a tip vortex modifying the lift and drag for that region of the blade.

It is not possible to cover adequately the more advanced propeller theories in a book of this nature. For those the reader should refer to a more specialist treatise (Carlton, 1994). Theory has developed greatly in recent years, much of the development being possible because of the increasing power of modern computers. So that the reader is familiar with the terminology mention can be made of:

1. **Lifting line models.** In these the aerofoil blade element is replaced with a single bound vortex at the radius concerned. The strength of the vortices varies with radius and the line in the radial direction about which they act is called the *lifting line*.

2. **Lifting surface models.** In these the aerofoil is represented by an infinitely thin bound vortex sheet. The vortices in the sheet are adjusted to give the lifting characteristics of the blade. That is they are such as to generate the required circulation at each radial section. In some models the thickness of the sections is represented by source-sink distributions to provide the pressure distribution across the section. Pressures are needed for studying cavitation.

3. **Surface vorticity models.** In this case rather than being arranged on a sheet the vortices are arranged around the section. Thus they can represent the section thickness as well as the lift characteristics.

4. **Vortex lattice models.** In such models the surface of the blade and its properties are represented by a system of vortex panels.

**PRESENTATION OF PROPELLER DATA**

Dimensional analysis was used in the last chapter to deduce meaningful non-dimensional parameters for studying and presenting resistance. The same process can be used for propulsion.
Thrust and torque

It is reasonable to expect the thrust, $T$, and the torque, $Q$, developed by a propeller to depend upon:

1. its size as represented by its diameter, $D$;
2. its rate of revolutions, $N$;
3. its speed of advance, $V_a$;
4. the viscosity and density of the fluid it is operating in;
5. gravity.

The performance generally also depends upon the static pressure in the fluid but this affects cavitation and will be discussed later. As with resistance, the thrust and torque can be expressed in terms of the above variables and the fundamental dimensions of time, length and mass substituted in each. Equating the indices of the fundamental dimensions leads to a relationship:

$$T = \rho V^2 D^2 \left[ f_1 \left( \frac{ND}{V_a} \right), f_2 \left( \frac{v}{V_a D} \right), f_3 \left( \frac{gD^2}{V_a^3} \right) \right]$$

As required this gives thrust in the units of force and the various expressions in brackets are non-dimensional. $f_1$ is a function of advance coefficient and is likely to be important. $f_2$ is a function of Reynolds’ number. Whilst relevant to the drag on the propeller blades due to viscous effects its influence is likely to be small in comparison with the other dynamic forces acting. It is therefore neglected at this stage. $f_3$ is a function of Froude number and is concerned with gravity effects. Unless the propeller is acting close to a free surface where waves may be created, or is being tested behind a hull, it too can be ignored.

Hence for deeply immersed propellers in the non-cavitating condition, the expression for thrust reduces to:

$$T = \rho V^2 D^2 \times f_T \left( \frac{ND}{V_a} \right)$$

For two geometrically similar propellers, operating at the same advance coefficient the expression in the brackets will be the same for both. Hence using subscripts 1 and 2 to denote the two propellers:

$$\frac{T_1}{T_2} = \frac{\rho_1}{\rho_2} \times \frac{V_1^2}{V_2^2} \times \frac{a_1^2}{a_2^2} \times \frac{D_1^2}{D_2^2}$$
If it is necessary to take Froude number into account:

\[
\frac{gD_1}{V_{a1}^2} = \frac{g D_2}{V_{a2}^2}
\]

To satisfy both Froude number and advance coefficient:

\[
\frac{T_1}{T_2} = \frac{\rho_1}{\rho_2} \times \frac{D_1^3}{D_2^3} = \frac{\rho_1}{\rho_2} \lambda^3
\]

where \(\lambda\) is the ratio of the linear dimensions.

Since \(ND/V_a\) is constant:

\[
\frac{N_1}{N_2} = \frac{V_{a1}}{V_{a2}} \times \frac{D_2}{D_1} = \frac{1}{\lambda^{0.5}}
\]

Thus for dynamic similarity the model propeller must rotate faster than the corresponding ship propeller in the inverse ratio of the square root of the linear dimensions.

The thrust power is the product of thrust and velocity and for the same Froude number:

\[
\frac{P_{T1}}{P_{T2}} = \frac{\rho_1}{\rho_2} \lambda^{3.5}
\]

Correspondingly for torque it can be shown that:

\[
Q = \rho V_a^2 D^3 \times f_Q \left(\frac{ND}{V_a}\right)
\]

The ratio of torques for geometrically similar propellers at the same advance coefficient and Froude number will be as the fourth power of the linear dimensions. That is:

\[
\frac{Q_1}{Q_2} = \frac{\rho_1}{\rho_2} \lambda^3
\]

**Coefficients for presenting data**

It has been shown that:

\[
T = \rho V_a^2 D^2[f_T(J)] \quad \text{and} \quad Q = \rho V_a^2 D^3[f_Q(J)]
\]
Substituting $V_a = NDJ$ in these expressions:

$$T = \rho N^2 D^4 J^2 [f_T(J)] \quad \text{and} \quad Q = \rho N^2 D^5 J^2 [f_Q(J)]$$

$J^2 [f(J)]$ is a new function of $J$, say $F(J)$, and thus:

$$T = \rho N^2 D^4 F_T(J) \quad \text{and} \quad Q = \rho N^2 D^5 F_Q(J)$$

Non-dimensional coefficients for thrust and torque are:

$$K_T = T/\rho N^2 D^4 = F_T(J) \quad \text{and} \quad K_Q = Q/\rho N^2 D^5 = F_Q(J)$$

The other parameter of concern is the *propeller efficiency* which can be defined as the ratio of output to the input power. Thus:

$$\eta_o = \frac{TV_a}{2\pi QN} = \frac{K_T}{K_Q} \times \frac{J}{2\pi}$$

Thrust and torque coefficients and efficiency when plotted against advance coefficient produce plots as in Figure 10.13. Both thrust and torque coefficients decrease with increasing advance coefficient whereas efficiency rises to a maximum and then falls off steeply.

This format is good for presenting the data for a given propeller but not very useful for design purposes. In design the problem is usually to find the diameter and pitch of a propeller to provide the desired power at set revolutions and speed. The thrust power, $P_T$, is the product of thrust and speed.
Thrust power \(T \equiv V_a = \rho V_a^3 D^2 f_1(J) = \frac{\rho V_a^5}{N^2 f_1(J)}\)

That is \(P_T(N^2/\rho V_a^5) = G(J)\), where \(G\) is a new function of \(J\).

Taylor used \(U\) to denote thrust power and using seawater as the fluid, dropped \(\rho\) and took the square root of the left hand side of the above equation to give a coefficient \(B_U\). He used a corresponding coefficient, \(B_P\), for shaft power which he designated \(P\). That is:

\[
B_U = \frac{NU^{0.5}}{V_a^{2.5}} \quad \text{and} \quad B_P = \frac{NP^{0.5}}{V_a^{2.5}}
\]

For a series of propellers in which the only parameter varied was pitch ratio, Taylor plotted \(B_U\) or \(B_P\) against pitch ratio in the form of contours for constant \(\delta\) values, \(\delta\) being the reciprocal of the advance coefficient. A typical plot is shown in Figure 10.14.

![Figure 10.14 Typical Taylor plot](image)

To use the plot the designer decides upon a value of revolutions for a given power and advance coefficient. This gives \(B_U\) or \(B_P\). Erecting an ordinate at this value gives a choice of values of \(\delta\) from which the diameter
is obtained. Associated with each diameter is a value of pitch ratio. For a given \( B_p \) the maximum efficiency that can be obtained is that defined by the efficiency contour which is tangential to the ordinate at that \( B_p \). In other words a line of maximum efficiency can be drawn through the points where the efficiency contours are vertical. Such a line is shown in Figure 10.14. The intersection of this line with the designer’s \( B_p \) value establishes the pitch and diameter of the most efficient propeller.

Taylor used as units the horse power, speed in knots, \( N \) in revolutions per minute and diameter in feet. With these units:

\[
B_p = 33.08 \left( \frac{K_Q}{J^5} \right)^{0.5} \quad \text{and} \quad \delta = \frac{ND}{V_a} = 101.27
\]

Keeping speed in knots and \( N \) in revolutions per minute, but putting diameter in metres and power in kilowatts:

\[
B_p = 1.158 \frac{NP^{0.5}}{V_a^{2.5}} \quad \text{and} \quad \delta = 3.2808 \frac{ND}{V_a}
\]

The Taylor method of presentation is widely used for plotting model propeller data for design purposes.

**Open water tests**

Open water tests of propellers are used in conjunction with tests behind models to determine the wake and relative rotative efficiency. Also methodical propeller testing is carried out in a towing tank. The propeller is powered from the carriage through a streamlined housing. It is pushed along the tank with the propeller ahead of the housing so that the propeller is effectively in undisturbed water. Records of thrust and torque are taken for a range of carriage speeds and propeller revolutions, that is advance coefficient. Such tests eliminate cavitation and provide data on propeller in uniform flow. This methodical series data can be used by the designer, making allowance for the actual flow conditions a specific design is likely to experience behind the hull it is to drive.

There have been many methodical series. Those by Froude, Taylor, Gawn, Troost and van Lammeren are worthy of mention. The reader should refer to published data if it is wished to make use of these series. A typical plot for a four bladed propeller from Troost’s series is presented in Figure 10.15.
HULL EFFICIENCY ELEMENTS

The propeller behind the ship

So far the resistance of the ship and the propeller performance have been treated in isolation. When the two are brought together there will be interaction effects.

Wake

The presence of the ship modifies the flow conditions in which the propeller works. The water locally will have a velocity relative to the ship and due to this *wake*, as it is called, the average speed of advance of the propeller through the local water will differ from the ship speed. The wake comprises three main elements:

1. The velocity of the water as it passes round the hull varies, being less than average at the ends.
2. Due to viscous effects the hull drags a volume of water along with it creating a boundary layer.
3. The water particles in the waves created by the passage of the ship move in circular orbits.

![Propeller Diagram](image)

*Figure 10.15* Propeller diagram
The first two of these will reduce the velocity of flow into the propeller. The last will reduce or increase the velocity depending upon whether there is a crest or trough at the propeller position. If the net result is that the water is moving in the same direction as the ship the wake is said to be positive. This is the case for most ships but for high speed ships, with a large wave-making component in the wake, it can become negative. The wake will vary across the propeller disc area, being higher close to the hull or behind a structural element such as a shaft bracket arm. Thus the blades operate in a changing velocity field as the propeller rotates leading to a variable angle of incidence. The pitch cannot be constantly varied to optimize the angle and an average value has to be chosen. That is the design of each blade section is based on the mean wake at any radius.

Model tests in a towing tank can be used to study the wake but it must be remembered that the boundary layer thickness will be less relatively in the ship. Model data has to be modified to take account of full-scale measurements as discussed later.

In preliminary propeller design, before the detailed wake pattern is known, an average speed of flow over the whole disc is taken. This is usually expressed as a fraction of the speed of advance of the propeller or the ship speed. It is termed the \textit{wake fraction} or the \textit{wake factor}. Froude used the speed of advance and Taylor the ship speed in deriving the wake fraction, so that if the difference in ship and local water speed is $V_w$:

\[
Froude \text{ wake fraction}, \quad w_F = \frac{V_w}{V_a}
\]

\[
Taylor \text{ wake fraction}, \quad w_T = \frac{V_w}{V_s} \quad \text{where} \quad V_w = V_s - V_a
\]

These are merely two ways of defining the same phenomenon. Generally the wake fraction has been found to be little affected by ship speed although for ships where the wave-making component of the wake is large there will be some speed effect due to the changing wave pattern with speed. The full-scale towing trials of HMS \textit{Penelope} indicated no significant scale effect on the wake. (Canham, 1974)

The wake will vary with the after end shape and the relative propeller position. The wake fraction can be expected to be higher for a single screw ship than for twin screws. In the former the Taylor wake fraction may be as high as 0.25 to 0.30.

\textit{Relative rotative efficiency}

The wake fraction was based on the average wake velocity across the propeller disc. As has been explained, the flow varies over the disc and
in general will be at an angle to the shaft line. The propeller operating in these flow conditions will have a different efficiency to that it would have if operating in uniform flow. The ratio of the two efficiencies is called the relative rotative efficiency. This ratio is usually close to unity and is often taken as such in design calculations.

**Augment of resistance, thrust deduction**

In the simple momentum theory of propeller action it was seen that the water velocity builds up ahead of the propeller disc. This causes a change in velocity of flow past the hull. The action of the propeller also modifies the pressure field at the stern. If a model is towed in a tank and a propeller is run behind it in the correct relative position, but run independently of the model, the resistance of the model is greater than that measured without the propeller. The propeller causes an augment in the resistance. The thrust, \( T \), required from a propeller will be greater than the towrope resistance, \( R \). The propeller-hull interaction effect can be regarded as an augment of resistance or a reduction in thrust. This leads to two expressions of the same phenomenon.

\[
\text{Augment of resistance, } a = \frac{T - R}{R}
\]

and:

\[
\text{Thrust deduction factor, } t = \frac{T - R}{T}
\]

**Hull efficiency**

Using the thrust deduction factor and Froude’s notation:

\[
T(1 - t) = R \quad \text{and} \quad TV_s(1 - t) = RV_s = TV'_s(1 + w_F) (1 - t)
\]

Now \( TV'_s \) is the thrust power of the propeller and \( RV_s \) is the effective power for driving the ship, with appendages, at \( V_s \). Thus:

\[
P'_E = (P_T) (1 + w_F) (1 - t)
\]

Using Taylor’s notation, \( P'_E = (P_T) (1 - t)/(1 - w_T) \).

In terms of augment of resistance \( (1 - t) \) can be replaced by \( 1/(1 + a) \).

The ratio of \( P'_E \) to \( P_T \) is called the hull efficiency and for most ships is a little greater than unity. This is because the propeller gains from the energy already imparted to the water by the hull. Augment and wake
are functions of Reynolds’ number as they arise from viscous effects. The variation between model and ship is usually ignored and the error this introduces is corrected by applying a factor obtained from ship trials.

The factors augment, wake and relative rotative efficiency are collectively known as the *hull efficiency elements*.

**Quasi-propulsive coefficient (QPC)**

As already explained, this coefficient is obtained by dividing the product of the hull, propeller and relative rotative efficiencies by the appendage coefficient. If the overall *propulsive coefficient* is the ratio of the naked model effective power to the shaft power:

\[
\text{The propulsive coefficient} = \frac{QPC}{H_{\text{naked model}}}
\]

The transmission efficiency can be taken as 0.98 for ships with machinery aft and 0.97 for ships with machinery amidships. The difference is due to the greater length of shafting in the latter.

Since the energy of rotation in the propeller wake represents a loss of efficiency various ways have been proposed for recovering this energy. One obvious way is to introduce a stator behind the propeller to straighten out the flow. This is done as the final stage of the integrated unit known as a pump jet. In another application the stator is mounted separately on the forward side of the fixed rudder support. In others the rudder itself is designed so that the wake is diverted in slightly different directions above and below the propeller axis. Modern computational fluid dynamics methods make it possible to design such devices with some accuracy instead of relying, as in the past, on tunnel tests and trial and error.

**Determining hull efficiency elements**

Having debated in qualitative terms, all the elements involved in propulsion it remains to quantify them. This can be done in a series of model tests. The model is fitted with propellers which are driven through a dynamometer which registers the shaft thrust, torque and revolutions. With the model being towed along the tank at its corresponding speed for the ship speed under study, the propellers are run at a range of revolutions straddling the self-propulsion point for the model. The model would already have been run without propellers to find its resistance. Data from the test can be plotted as in Figure 10.16. The *self-propulsion point* for the model is the point at which the propeller thrust equals the model resistance with propellers fitted. The difference
between this resistance, or thrust, and the resistance of the model alone, is the augment of resistance or thrust deduction.

The propeller is now run in open water and the value of advance coefficient corresponding to the thrust needed to drive the model is determined. This leads to the average flow velocity through the propeller which can be compared to the ship speed corresponding to the self-propulsion point. The difference between the two speeds is the wake assuming an uniform distribution across the propeller disc. The difference in performance due to the wake variation across the disc is given by relative rotative efficiency which is the ratio of the torques needed to drive the propeller in open water and behind the model at the revolutions for self-propulsion.

Although the propellers used in these experiments are made as representative as possible of the actual design, they are small. The thrust and torque obtained are not accurate enough to use directly. The hull efficiency elements obtained are used with methodical series data or specific cavitation tunnel tests to produce the propeller design.

CAVITATION

The lift force on a propeller blade is generated by increased pressure on the face and reduced pressure on the back, the latter making the greater contribution, Figure 10.11. If the reduction in pressure on the back is great enough cavities form and fill up with air coming out of solution and by water vapour. Thus local pressures in the water are important to the study of propellers. In deriving non-dimensional parameters that might be used to characterize fluid flow, it can be shown that the parameter associated with the pressure, \( p \), in the fluid is \( p/\rho V^2 \). There is always an ‘ambient’ pressure in water at rest due to atmospheric pressure acting on the surface plus a pressure due to the
water column above the point considered. If the water is moving with a velocity \( V \) then the pressure reduces to say, \( p_V \), from this ambient value, \( p_o \), according to Bernoulli’s principle.

### Comparing ship and model under cavitating conditions

For dynamic similarity of ship and model conditions the non-dimensional quantity must be the same for both. That is, using subscripts \( m \) and \( s \) for model and ship:

\[
\frac{\rho_m}{\rho_m V_m^2} \text{ must equal } \frac{p_s}{\rho_s V_s^2}
\]

If the propellers are to operate at the same Froude number, as they would need to if the propeller-hull combination is to be used for propulsion tests:

\[
V_m = \frac{V_s}{(\lambda)^{0.5}}
\]

where \( \lambda \) is the ratio of the linear dimensions. That is:

\[
p_m = \frac{\rho_m}{\rho_s} \times \frac{p_s}{\lambda}
\]

Assuming water is the medium in which both model and ship are run, the difference in density values will be negligible. For dynamic similarity the pressure must be scaled down in the ratio of the linear dimensions. This can be arranged for the water pressure head but the atmospheric pressure requires special action. The only way in which this can be scaled is to run the model in an enclosed space in which the pressure can be reduced. This can be done by reducing the air pressure over a ship tank and running a model with propellers fitted at the correctly scaled pressure as is done in a special *depressurized towing tank* facility at MARIN in the Netherlands. The tank is 240 m long, 18 m wide with a water depth of 8 m. The pressure in the air above the water can be reduced to 0.03 bar. The more usual approach is to use a *cavitation tunnel*.

### Cavitation number

The value \((p_o - p_V)/\rho V^2\) or \((p_o - p_V)/\frac{1}{2} \rho V^2\) is called the *cavitation number*. Water contains dissolved air and at low pressures this air will
come out of solution and below a certain pressure, the vapour pressure of water, water vapour forms. Hence, as the pressure on the propeller blade drops, bubbles form. This phenomenon is called cavitation and will occur at a cavitation number given by:

\[
\sigma = \frac{p_0 - e}{\frac{1}{2} \rho V^2}
\]

where \(e\) is water vapour pressure.

The actual velocity experienced, and the value of \(p_0\), vary with position on the blade. For a standard, a representative velocity is taken as speed of advance of the propeller through the water and \(p_0\) is taken at the center of the propeller hub. For a local cavitation number the actual velocity at the point concerned, including rotational velocity and any wake effects, and the corresponding \(p_0\) for the depth of the point at the time must be taken. Blade elements experience different cavitation numbers as the propeller rotates and cavitation can come and go.

**Occurrence and effects of cavitation**

Since cavitation number reduces with increasing velocity cavitation is most likely to occur towards the blade tips where the rotational component of velocity is highest. It can also occur near the roots, where the blade joins the hub, as the angle of incidence can be high there. The greatest pressure reduction on the back of the blade occurs between the mid-chord and the leading edge so bubbles are likely to form there first. They will then be swept towards the trailing edge and as they enter a region of higher pressure they will collapse. The collapse of the bubbles generates very high local forces and these can damage the blade material causing it to be ‘eaten away’. This phenomenon is called erosion.

Water temperature, dissolved air or other gases, and the presence of nuclei to provide an initiation point for bubbles, all affect the pressure at which cavitation first occurs. Face cavitation usually appears first near the leading edge of the section. It results from an effective negative angle of incidence where the wake velocity is low. This face cavitation disappears as the propeller revolutions and slip increase. Tip vortex cavitation is next to appear, resulting from the low pressure within the tip vortex. As the pressure on the back of the blade falls further the cavitation extends from the leading edge across the back until there is a sheet of cavitation. When the sheet covers the whole of the back of the blade the propeller is said to be fully cavitating or supercavitating. Propellers working in this range do not experience erosion on the back and the drag due to the frictional resistance to flow over
the back disappears. Thus when fairly severe cavitation is likely to occur anyway there is some point in going to the super-cavitation condition as the design aim. *Super-cavitating propellers* are sometimes used for fast motor boats.

Flat faced, circular back sections tend to have a less peaky pressure distribution than aerofoil sections. For this reason they have often been used for heavily loaded propellers. However, aerofoil sections can be designed to have a more uniform pressure distribution and this approach is to be preferred. For a given thrust, more blades and greater blade area will reduce the average pressures and therefore the peaks. It will be found that heavily loaded propellers have much broader blades than lightly loaded ones.

A useful presentation for a designer is the *bucket diagram*. This shows, Figure 10.17, for the propeller, the combinations of cavitation number and angle of attack or advance coefficient for which cavitation can be expected. There will be no cavitation as long as the design operates within the bucket. The wider the bucket the greater the range of angle of attack or advance coefficient for cavitation free operation at a given cavitation number.

![Figure 10.17 Cavitation bucket](image)

**The cavitation tunnel**

A cavitation tunnel is a closed channel in the vertical plane as shown in Figure 10.18. Water is circulated by means of an impeller in the lower horizontal limb. The extra pressure here removes the risk of the
impeller itself cavitating. The model propeller under test is placed in a working section in the upper horizontal limb. The working section is provided with glass viewing ports and is designed to give uniform flow across the test section. The water circulates in such a way that it meets the model propeller before passing over its drive shaft. That is the propeller is effectively tested in open water. A vacuum pump reduces the pressure in the tunnel and usually some form of de-aerator is fitted to reduce the amount of dissolved air and gas in the tunnel water. Usually the model is tested with the water flow along its axis but there is often provision for angling the drive shaft to take measurements in an inclined flow.

A limitation of straight tunnel tests is that the ship wake variations are not reproduced in the model test. If the tunnel section is large enough this is overcome by fitting a model hull in the tunnel modified to reproduce the correctly scaled boundary layer at the test position. In these cases the flow to the propeller must be past the hull. An alternative is to create an artificial wake by fixing a grid ahead of the model propeller. The grid would be designed so that it reduced the water velocities differentially to produce the correctly scaled wake pattern for the hull to which the propeller is to be fitted.
Cavitation tunnel tests
Experiments are usually conducted as follows:

1. The water speed is made as high as possible to keep Reynolds’ number high and reduce scaling effects due to friction on the blades. Since wave effects are not present and the hull itself is not under test the Froude number can be varied.
2. The model is made to the largest possible scale consistent with avoiding tunnel wall effects.
3. The shaft revolutions are adjusted to give the correct advance coefficient.
4. The tunnel pressure is adjusted to give the desired cavitation number at the propeller axis.
5. A series of runs are made over a range of shaft revolutions, that being a variable which is easy to change. This gives a range of advance coefficients. Tests can then be repeated for other cavitation numbers.

Figure 10.19 shows typical curves of thrust and torque coefficient and efficiency to a base of advance coefficient for a range of cavitation number. Compared with non-cavitating conditions values of all three parameters fall off at low advance coefficient, the loss being greater the greater the cavitation number.
When cavitation is present the propeller can be viewed using a stroboscopic light set at a frequency which makes the propeller seem stationary to the human eye. Photographs can be taken to illustrate the degree of cavitation present. A similar technique is used in propeller viewing trials at sea when the operation of the propeller is observed through special glass viewing ports fitted in the shell plating.

The propeller, particularly when cavitating, is a serious noise source. It would be useful to be able to take noise measurements in a cavitation tunnel. This is not possible in most tunnels because of the background noise levels but in recent years a few tunnels have been built which are suited to acoustical measurements.

OTHER PROPULSOR TYPES

So far attention has been focused on the fixed pitch screw propeller as this is the most common form of propulsor. Others are described briefly below.

**Controllable pitch propeller**

The machinery must develop enough torque to turn the propeller at the revolutions appropriate to the power being developed or the machinery will lock up. This matching is not always possible with fixed blades and some ships are fitted with propellers in which the blades can be rotated about axes normal to the drive shaft. These are termed *controllable pitch propellers* (CPPs). The pitch can be altered to satisfy a range of operating conditions which is useful in tugs and trawlers. For such ships there is a great difference in the propeller loading when towing or trawling and when running free. The machinery can be run at constant speed so that full power can be developed over the range of operating conditions.

The pitch of the blades is changed by gear fitted in the hub and controlled by linkages passing down the shaft. Thus the CPP has a larger boss than usual which limits the blade area ratio to about 0.8 which affects cavitation performance. It is also mechanically fairly complex which limits the total power that can be transmitted. By reversing the pitch an astern thrust can be produced thus eliminating the need for a reversing gear box. Variation in thrust for manoeuvring can be more rapid as it only involves changing blade angle rather than shaft revolutions, but for maximum acceleration or deceleration there will be an optimum rate of change of blade angle.

The term controllable pitch propeller should not be confused with a *variable pitch propeller*. The latter term is applied to propellers in which pitch varies with radius, the blades themselves being fixed.
Self pitching propellers

A propeller which has found favour for auxiliary yachts and motorsailers in recent years is the self pitching propeller (Miles et al. 1993). The blades are free to rotate through 360° about an axis approximately at right angles to the drive shaft. The angle the blades take up, and therefore their pitch, is dictated solely by the hydrodynamic and centrifugal forces acting.

Surface piercing propellers

Those ships which have a large draught difference between the loaded and light condition may run, in the latter, with the propeller only partially immersed. However, the true surface piercing propeller is one that is designed to operate in this condition in order to gain certain advantages, usually in high speed craft. At the design condition the waterline passes through the hub. Advantages claimed (Stamford, 2000) are ability to operate in shallow water, improved efficiency, reduced appendage drag and avoidance of cavitation effects through ventilation.

Shrouded or ducted propellers

The propeller (Ryan and Glover, 1972) is surrounded by a shroud or duct as depicted in Figure 10.20. The objects are to improve efficiency, avoid erosion of banks in confined waterways and shield noise generated on the blades.

![Figure 10.20 Shrouded propeller](image)

The duct can be designed so that it contributes to ahead thrust so offsetting the drag of the shroud and its supports. Most early applications were to ships with heavily loaded propellers like tugs. Its use is now being extended and it is considered suitable for large tankers.

Pump jets

This is an advanced variant of the ducted propeller (Heggstad, 1981) for use in warships, particularly submarines, where noise reduction is
important. A rotor with a large number of blades operates between sets of stator blades the whole being surrounded by a specially shaped duct. The rotational losses in the wake are eliminated, cavitation is avoided and there is no resultant heeling torque acting on the ship. The last point is of significance for single screw submarines.

**Contra-rotating propellers (CRPs)**

Another way of eliminating the net heeling torque is to use two propellers on the one shaft line rotating in opposite directions. It has been concluded (Glover, 1966–1967) that they can be useful in large tankers where by using slow running CRPs the quasi-propulsive coefficient can be increased by up to 20 per cent. In high speed dry cargo ships, where propeller diameter may be restricted by draught, propeller efficiency may be increased by 12 per cent. Like CPPs, CRPs introduce mechanical complications.

One CRP system of about 60 MW, intended for a large 25 knot container ship, claimed:

- fuel savings of 12 per cent compared with a conventional propeller;
- a diameter reduction of 10 per cent because the thrust is shared between two propellers;
- reductions in cavitation and hull vibrations.

**Azimuthing propellers**

These are propellers mounted in a housing, or pod, which can rotate through a full circle to give thrust in any direction. Early applications, typically to tugs which require good manoeuvrability, relied on mechanical transmission of power to the pod which limited the power of the installation. Nowadays a number of large ships, including cruise ships and Ro-Ro ferries are fitted with diesel electric propulsion for a range of reasons. This gives them the opportunity to use large pods which are essentially motors driving a propeller. Units transmitting 5–8 MW of power are typical but units of about 15 MW have been fitted in large cruise ships. Fast, large container ships are also candidates for high power azimuthing pods. The propeller is mounted on the motor’s rotor and in some units two propellers are mounted on the rotor, one forward and one aft of the pod. This permits a greater blade area with an associated increase in efficiency. In yet others the two propellers are mounted independently, using two motors in the pod. It is claimed that such ships are cheaper to run and can be smaller to carry a given payload, besides having the advantages of good manoeuvrability conferred by the rotation of the pods. Separate stern thrusters are not needed in such ships.
Some advantages of pod propulsion are greatly improved manoeuvrability, less space required within the main hull and greater freedom in siting the propeller and in developing the hull form for greater efficiency.

**Vertical axis propeller**

This is essentially a horizontal disc, rotating about a vertical axis, which carries a series of vertical blades which can rotate about their own vertical axes. The individual vertical blades have aerofoil sections and generate lift forces by the same principles as those described for the screw propeller. By controlling the angle of the blades as the horizontal disc turns, a thrust can be produced in any desired direction. Vertical axis propellers are fitted in tugs and ferries for good manoeuvrability. Drive again is usually through bevel gears with a limitation on the power.

The reader should consult Chapter 13 on Manoeuvring concerning the use of these propellers to improve ship manoeuvrability and to note the related cycloidal rudder.

**Water jet propulsion**

This type of propulsion has become more common in recent years for high speed craft. Water is drawn into the ship and then pushed out at the stern to develop thrust. The ejecting unit can be steerable to give a varying thrust direction. It is attractive for craft where it is desired to have no moving parts outside the hull. For this reason early applications were for craft operating in very shallow water. The water jet can be discharged either above or below water. Some hydrofoil craft use the system, discharging above water.

**Paddle wheels**

A paddle wheel is a ring of paddles rotating about a horizontal transverse axis. In very simple craft the paddles are fixed but in craft requiring greater efficiency their angle is changed as the wheel rotates. When fitted either side of a ship they can exert a large turning moment on the ship by being run one ahead and the other astern. Unfortunately this leads to a wide vessel. For use in narrow waterways the paddle wheel is mounted at the stern giving rise to the *stern wheeler* on the rivers of the USA.

**Wind**

The wind was the only means, apart from oars, of propelling ships for many centuries. It has always been popular for pleasure craft. The rise
in fuel costs and public concern with conserving energy sources has
rekindled interest. Some ships have sails to use in place of their
engines when wind conditions are suitable. Other applications have
harnessed modern technology to use the old idea of rotating cylinders,
the *Flettner rotor* concept, more effectively.

**SHIP TRIALS**

A complete range of trials is carried out on a ship when complete to
confirm that the ship meets its specification. Amongst these is a speed
trial which has the following uses:

1. To demonstrate that the desired speed is attained. There are
   usually penalties imposed if a ship fails to meet the specified
   speed but it would be uneconomic to provide too much power.
   This illustrates the importance of a designer being able to pre-
   dict resistance and powering accurately in the design stages.
2. To provide a feedback on the effectiveness of prediction methods
   and provide factors to be applied to overcome any shortcomings
   in the methods.
3. To provide data on the relationships between shaft revolutions,
   ship speed and power for use by the master.

To meet the last two aims it is desirable to gather data at a range of
speeds. Therefore trials are run at progressively higher speeds up to
the maximum. For that reason they are often called *progressive speed
trials*. The engine designer may wish to take readings of a wide range
of variables concerned with the performance of the machinery itself.
The naval architect, however, is concerned with the shaft revolutions,
thrust, torque and speed achieved relative to the water. Thrust is not
always measured. It can be measured by a special thrust meter but
more commonly by a series of electrical resistance strain gauges fitted
to the shaft. Torque is measured by the twist experienced by an accu-
ately known length of shaft. This leaves the problem of determining
the speed of the ship.

**Speed measurement**

Ships are provided with a means of speed measurement, usually in the
form of a pitot tube, or *pitot log*, projecting below the keel. This is not
accurate enough for speed trial purposes. Indeed the speed trial is
often used to calibrate the log.
Traditionally a ship has been taken to a *measured mile* for speed trials although nowadays use can be made of accurate position fixing systems which are available in many areas. The measured mile, Figure 10.21,

![Figure 10.21 Measured mile](image)

comprises a number of posts set up on land at known distances apart. These distances are not necessarily exactly one nautical mile but it simplifies analysis if they are. The posts are in parallel pairs clearly visible from the sea. There may be two pairs as in the figure, or three pairs to give a double reading on each run. By noting the time the ship takes to transit between adjacent pairs of posts, the speed relative to land is obtained. For accuracy a number of precautions are needed:

1. The ship must be travelling at right angles to the line of posts.
2. The ship must have reached a steady speed for the power used by the time it passes the line of the first pair of posts.
3. The depth of water must be adequate to avoid the speed being affected due to squat and trim.
4. A clear day with little or no wind and calm seas is needed.
5. The ship must be newly out of dock, with a clean bottom. If this condition is not met some allowance may be needed for the increased resistance due to time out of dock.
(6) After passing the last pair of posts the ship must continue on for some way and then turn for the return run, reaching a steady speed before passing the first set of posts. This may involve a run on of several miles and an easy turn to minimize the drop in speed associated with turning.

(7) The displacement must be accurately obtained by measuring the ship’s draughts and the density of the water.

If there were no wind, current or tide, one run at each power setting would theoretically be enough and the speed through the water would be the same as that relative to land. In any practical situation a number of runs are needed in each direction so that the results can be analysed to remove current and tidal effects.

**Determining speed through the water**

It is usually assumed that the current and tide effects will vary with time in accordance with an equation of the type:

\[ V_t = a_0 + a_1 t + a_2 t^2 \]

where \( a_0, a_1 \) and \( a_2 \) are constants.

What concerns the ship is the component of tide along the ship’s line of transit on the measure mile. This is to be understood when tide is mentioned. Suppose four runs are made, two in each direction. Two will be with the tide and two against. Using subscripts to denote the speeds recorded on the runs:

\[
\begin{align*}
V_1 &= V + a_0 \\
V_2 &= V - a_0 - a_1 t_1 - a_2 t_1^2 \\
V_3 &= V + a_0 - a_1 t_2 + a_2 t_2^2 \\
V_4 &= V - a_0 - a_1 t_3 - a_2 t_3^2 
\end{align*}
\]

where \( V \) is the speed through the water and the runs are at times zero and \( t_1, t_2 \) and \( t_3 \).

The four equations can be solved for the three unknowns and the speed relative to the water found. To illustrate this take the simple case where the four runs are made at equal time intervals. In this case \( t_1 \) can be taken as \( t, t_2 \) as \( 2t \) and \( t_3 \) as \( 3t \). The equations become:

\[
\begin{align*}
V_1 &= V + a_0 \\
V_2 &= V - a_0 - a_1 t - a_2 t^2 \\
V_3 &= V + a_0 - 2a_1 t + 4a_2 t^2 \\
V_4 &= V - a_0 - 3a_1 t - 9a_2 t^2 
\end{align*}
\]
The unknown \( a_0 \) can be eliminated by adding successive pairs of equations, yielding three equations for \( 2V \). Adding successive pairs of these eliminates \( a_2 \) and so on, giving, finally:

\[
8V = V_1 + 3V_2 + 3V_3 + V_4,
\]

from which \( V \) follows.

If the tide varied linearly with time three runs would be enough. A higher order equation for tide can be used if more runs are made. Usually four runs are adequate.

**Trial condition**

Ideally trials would be carried out for each of the likely operating conditions. This would be expensive and time consuming. The key condition is that for which the contract speed is defined which is usually the deep load condition. If this level of loading cannot be achieved some lesser load is specified with a correspondingly higher speed to be obtained. In some ships the load condition can be achieved by water ballasting. The trial is carried out in calm conditions which are easy to define for contract purposes but are not representative of the average conditions a ship will meet in service. Increasingly it is realised that it is this speed that is of real interest and this has led to a lot of effort being devoted to obtaining and analysing voyage data. The advent of accurate positioning systems facilitates (using bearing beacons or satellites) for measurement of speed, albeit relative to land, in a whole range of weather conditions during the service life.

**Plotting trials data**

The results from the ship trial can be plotted as in Figure 10.22. The revolutions will be found to plot as a virtually straight line against speed.

![Trials data](image)
Power increases rapidly with speed. If enough readings are available the humps and hollows due to the interaction of bow and stern wave systems will be detectable. The figure shows a plot of **Admiralty coefficient**. This coefficient, or constant, is effectively the inverse of circular C and is given by:

\[
\frac{V^3 \Delta^2}{\text{Power}}
\]

A comparison of the power measured on trial and that estimated from model tests, gives a ship-model correlation factor. This data can be used for future similar ships.

**Wake fraction from ship trials**

If shaft torque is measured a torque coefficient can be calculated from the shaft revolutions and propeller diameter. The advance coefficient can be found from the ship speed and a plot made as in Figure 10.23.

From open water propeller tests the value of advance coefficient corresponding to any given torque coefficient can be found. This yields a value of \( V_a \). The wake is the difference between the ship speed and \( V_a \). This is the mean wake through the propeller disc. In the absence of open water model tests methodical series data can be used but with less accuracy.
MAIN MACHINERY POWER

The objectives of the resistance and propulsion testing have been to develop an efficient hull form and propulsor design and to establish the main machinery power needed to drive the ship at the design speed. The point has been reached in the analysis where the last aim can be met.

The general principles involved were outlined at the beginning of this chapter. In the previous chapter an example was given illustrating the calculation of a hull’s effective power. This same ship can be used to calculate the machinery power needed to propel it at the 15 knots for which the effective power was 2502 kW allowing for roughness.

Continuing:

\[ P_E \text{ for rough hull} = 2502 \text{ kW} \]
\[ \text{Appendage allowance, say 5 per cent} = 125 \]
\[ P'_E \text{ in smooth water} = 2627 \]

If the hull efficiency elements and the quasi-propulsive coefficient determined from experiment were Taylor wake fraction = 0.27, hull efficiency = 1.15, QPC = 0.75 and relative rotative efficiency = 1.00, then:

Required delivered power = \[ \frac{2627}{0.75} = 3503 \text{ kW} \]
Transmission loss at say 2 per cent = 70
Required installed power = 3573 kW

This is the power for calm conditions. If 20 per cent is allowed for average service conditions the installed power to maintain 15 knots in these conditions is 4288 kW.

The actual power to be fitted will depend upon the powers of the machinery sets available. For the present example it is assumed that the closest power available is 4275 kW and that the slight difference is accepted by the designer. It follows that:

Power at propeller = 4190 kW
Speed of advance of propeller = \[ 15(1 - 0.27) = 10.95 \text{ knots} \]

The choice of propeller revolutions is generally a compromise between propeller performance and machinery characteristics. Propellers are more efficient at low revolutions and machinery is lighter, for a given power, at high revolutions. Reduction gear can be fitted to bridge the
gap but the cost and weight must be set against the advantages gained. It is assumed initially that propeller revolutions are to be 100.

\[
B_p = \frac{1.158Np^{0.5}}{V_a^{2.5}} = \frac{1.158 \times 100 \times (4190)^{0.5}}{\left(10.95\right)^{2.5}} = 18.89
\]

From the propeller curves presented in Figure 10.15, which are for a four bladed propeller of 0.4 blade area ratio:

\[
\delta = 3.2808ND/V_a = 178
\]

Pitch ratio = \( p = 0.8 \)

Efficiency = \( \eta = 0.655 \)

These are the values for maximum efficiency. Since \( D = (\delta \times V_a)/3.2808N = 5.94 \text{ m.} \)

Pitch = \( pD = 4.75 \text{ m.} \)

QPC = (hull efficiency) \times (propeller open \( \eta \)) \times (RRE)

\[
= 1.15 \times 0.655 \times 1.00 = 0.75
\]

This QPC happens to be the same as that assumed in the calculation of power. Had it differed significantly then a repeat calculation would have been needed using the new value. The process can be repeated for other propeller revolutions to see how the propeller dimension and QPC would vary. For \( N = 110, \)

\[
B_p = \frac{1.158 \times 110 \times (4190)^{0.5}}{\left(10.95\right)^{2.5}} = 20.78
\]

From Figure 10.15, \( \delta = 183, \ p = 0.78, \ \eta = 0.645. \) Hence,

\[
\text{diameter} = \frac{183 \times 10.95}{110 \times 3.2808} = 5.55 \text{ m}
\]

pitch = \( 0.78 \times 5.55 = 4.33 \text{ m}, \)

QPC = \( 1.15 \times 0.645 \times 1.00 = 0.74 \)
For $N = 120$, 

$$B_p = \frac{1.158 \times 120 \times (4190)^{0.5}}{(10.95)^{2.5}} = 22.67$$

and:

- diameter = 5.29
- pitch = 3.97
- QPC = 0.73

These results confirm that as expected a higher revving propeller is smaller in diameter and is less efficient.

Figure 10.15 did not allow for cavitation and should cavitation be a problem curves from cavitation tunnel tests should be used.

**SUMMARY**

As was stated at the beginning of the last chapter, resistance and propulsion are interdependent and the separation of the two is artificial although convenient. It is appropriate therefore in this summary to cover the work of both chapters.

There is resistance to the passage of a ship through the water. The resistance of the naked hull measured in model tests can be considered as comprising two components, the frictional and the residuary resistance. These components scale differently in moving from the model to full-scale. The residuary resistance, for geometrically similar hulls at corresponding speeds, scales as the ratio of the displacements. The frictional resistance component is estimated from experimental data and scaled in relation to Reynolds’ number. The naked hull resistance must take account of surface roughness and be increased to allow for appendages. Where necessary an allowance can be made for the resistance of the above water form due to its passage through the air although in the absence of a natural wind this is likely to be small.

Fitting a propulsor modifies the flow around the hull causing an augment in resistance the hull experiences and modifying the wake in which the propulsor must generate its thrust. The flow through the propulsor is not uniform so the efficiency will vary from that found in open water tests. Taking all these factors into account the power to be delivered by the propulsor for a given ship speed can be calculated. The power required of the main propulsion machinery follows after making allowance for transmission losses.
This analysis process is illustrated in Figure 10.24, and leads to the power needed in calm seas with no natural wind. This is usually the condition for which the required ship speed is set down in the contract and which is aimed for in the speed trial conducted on completion of the ship. In service the ship will seldom be in these conditions. For more realistic powers and speeds allowance must be made for the wind resistance on the above water form and the effects of waves on the hull resistance and propulsor performance. This involves assessing the average conditions a ship is likely to meet or the range of conditions and their probability of occurrence.
So far this book has concentrated on situations where the ship, as a rigid body, is static or moving slowly between positions of equilibrium. Whilst unrealistic in real-life terms such approaches have been necessary to study flotation and stability. In reality the ship is a flexible structure subject to many fluctuating forces – both internal and external. These are outlined in the chapters dealing with the ship’s internal and external environment.

The responses of the ship to these forces include:

(1) The motions of a ship as a rigid body; its roll, pitch and heave which are at relatively low frequency.
(2) The distortion of the ship as an elastic structure – bending and torsion – again at relatively low frequency.
(3) Higher frequency responses such as vibration and slamming.

THE BASIC RESPONSES

The various responses are dealt with in separate chapters but it is useful to set the scene by describing briefly the basic response of an elastic system to applied forces.

Oscillatory motion

Simple vibrations

The simplest case of oscillatory motion is where the restoring force acting on a body is proportional to its displacement from a position of stable equilibrium. This is the case of a mass on a spring which is the fundamental building block from which the response of complex structures can be arrived at, by considering them as combinations of many masses and springs. In the absence of any damping the body, once disturbed, would oscillate indefinitely. Its distance from the equilibrium position would vary sinusoidally and such motion is said to be
simple harmonic. This type of motion is met in the study of ship motions in still water. The presence of damping, due say to friction or viscous effects, causes the motion to die down with time. The motion is also affected by added mass effects due to the vibrating body interacting with the fluid around it. These are not usually significant for a body vibrating in air but in water they can be important. There are many standard texts to which the reader can refer for a mathematical treatment of these motions. The important findings are merely summarized here.

The motion is characterized by its amplitude, \( A \), and period, \( T \). For undamped motions the displacement at any time, \( t \), is given by:

\[
A \sin \left( \frac{k}{M}^{0.5} t + \delta \right)
\]

where:

- \( M \) is the mass of the body,
- \( k \) is the force acting per unit displacement, and
- \( \delta \) is a phase angle.

The period of this motion is \( T = 2\pi (M/k)^{0.5} \), and its frequency is \( n = 1/T \). These are said to be the system’s natural period and frequency.

**Damping**

All systems are subject to some damping, the simplest case being when the damping is proportional to the velocity. The effect is to modify the period of the motion and cause the amplitude to diminish with time.

The period becomes \( T_d = 2\pi/\left[ (k/M) - (\mu/2M)^2 \right]^{0.5} \), frequency being \( 1/T_d \), where \( \mu \) is a damping coefficient such that damping force equals \( \mu \) (velocity).

Successive amplitudes decay according to the equation

\[
A \exp[-(\mu/2M)t].
\]

As the damping increases the number of oscillations about the mean position will reduce until finally the body does not overshoot the equilibrium position at all. The system is then said to be dead beat.

When damping is not proportional to the angular or linear velocity the differential equation is not capable of easy solution. For more background on these types of motion reference should be made to standard textbooks.
Regular forced vibrations
Free vibrations can occur when for instance, a structural member is struck an instantaneous blow. More generally the disturbing force will continue to be applied to the system for a longish period and will itself fluctuate in amplitude. The simplest type of disturbing force to assume for analysis purposes is one with constant amplitude varying sinusoidally with time. This would be the case where the ship is in a regular wave system. The differential equation of motion, taking $x$ as the displacement at time $t$, becomes:

$$M \frac{d^2x}{dt^2} + \mu \frac{dx}{dt} + kx = F_0 \sin \omega t$$

The solution of this equation for $x$ is the sum of two parts. The first part is the solution of the equation with no forcing function. That is, it is the solution of the damped oscillation previously considered. The second part is an oscillation at the frequency of the applied force. It is $x = B \sin(\omega t - \gamma)$.

After a time the first part will die away leaving the oscillation in the frequency of the forcing function. This is called a forced oscillation. It is important to know its amplitude, $B$, and the phase angle, $\gamma$. These can be shown to be:

$$B = \frac{F_0}{k} \times \frac{1}{\left[(1 - \Lambda^2)^2 + (\mu^2 \Lambda^2 / Mk)^{0.5}\right]}$$

and

$$\tan \gamma = \frac{\mu \Lambda}{(Mk)^{0.5}} \times \frac{1}{(1 - \Lambda^2)}$$

In these expressions $\Lambda$ is called the tuning factor and is equal to $\omega/(k/M)^{0.5}$. That is the tuning factor is the ratio of the frequency of the applied force to the natural frequency of the system. Since $k$ represents the stiffness of the system, $F_0/k$ is the displacement which would be caused by a static force $F_0$. The ratio of the amplitude of the dynamic displacement to the static displacement is termed the magnification factor, $Q$. $Q$ is given by:

$$Q = \left[ (1 - \Lambda^2)^2 + \frac{\mu^2 \Lambda^2}{Mk} \right]^{0.5}$$
Curves of magnification factor can be plotted against tuning factor for a range damping coefficients as in Figure 11.1. At small values of $\Lambda$, $Q$ tends to unity and at very large values it tends to zero. In between these extremes the response builds up to a maximum value which is higher the lower the damping coefficient. If the damping were zero the response would be infinite. For lightly damped systems the maximum displacement occurs very close to the system’s natural frequency and the tuning factor can be taken as unity. Where the frequency of the applied force is equal to the system’s natural frequency it is said that there is resonance. It is necessary to keep the forcing frequency and natural frequency well separated if large amplitude vibrations are to be avoided. At resonance the expression for the phase angle gives $\gamma = \tan^{-1} \infty$, giving a phase lag of 90°.

In endeavouring to avoid resonance it is important to remember that many systems have several natural frequencies associated with different deflection profiles or modes of vibration. An example is a vibrating beam that has many modes, the first three of which are shown in Figure 11.2. All these modes will be excited and the overall response may show more than one resonance peak.

Irregular forcing function

In the above the forcing function was assumed sinusoidal and of constant amplitude. The more general case would be a force varying in an
irregular way. In this case the force can be analysed to obtain its constituent regular components as was done for the waves in an irregular sea. The vibratory response of the system to the irregular force can then be taken as the sum of its responses to all the regular components.

Ship motions

The theory of simple harmonic responses can be applied readily to the motions a ship would experience if subject to a small disturbance in still water. This can lead to the natural periods of oscillation in roll, pitch and heave. The motion following removal of the disturbing force is that to be considered.

Rolling

If $\varphi$ is the inclination to the vertical at any instant, and the ship is stable, there will be a moment acting on it tending to return it to the upright (Figure 11.3). Since small disturbances are assumed the value of this moment will be proportional to $\varphi$ and given by:

\[
\text{Displacement} \times GM_T \times \varphi
\]

This is the condition for simple harmonic motion with a period $T_\varphi$, defined by:

\[
T_\varphi = 2\pi \left( \frac{k_s^2}{gGM_T} \right)^{0.5} = \frac{2\pi k_s}{(gGM_T)^{0.5}}
\]

where $k_s$ is the radius of gyration about a fore and aft axis.
This period is independent of $\varphi$ and such rolling is said to be isochronous. The relationship holds for most ships up to angles of about $10^\circ$ from the vertical. It will be noted that the greater $GM_T$ the shorter the period. A ship with a short period of roll is said to be stiff and one with a long period of roll is termed tender. Most people find a slower motion, that is a tender ship, less unpleasant.

**Pitching**

This is controlled by a similar equation to that for roll. In this case:

$$T_\theta = \frac{2\pi k_y}{(gGM_L)^{0.5}} \text{ for small angles.}$$

**Heaving**

If $z$ is the downward displacement at any instant there will be a net upward force on the ship, that is one tending to reduce $z$, which has a magnitude of $\rho g A_w z$ and the resulting motion is defined by:

$$\rho \frac{d^2 z}{dt^2} = -\rho g A_w z$$

where $A_w$ is the waterplane area.

Again the motion is simple harmonic, this time of period:

$$T_z = 2\pi \left( \frac{\nabla}{gA_w} \right)^{0.5}$$

**Added mass and damping**

Added mass and damping will affect these motions and their periods as discussed earlier. Added mass values vary with the frequency of motion.
but, to a first order, this variation can be ignored. Typically the effect for rolling is to increase the radius of gyration by about 5 per cent. In heaving its influence is greater and may amount to as much as an apparent doubling of the mass of the ship.

The more general aspects of ship motions are discussed in chapter 12 on Seakeeping.

SHIP VIBRATIONS

Vibrations are dealt with as either local vibrations or main hull vibrations. The former are concerned with a small part of the structure, perhaps an area of deck. The frequencies are usually higher, and the amplitudes lower, than the main hull vibrations. Because there are so many possibilities and the calculations can be complex they are not usually studied directly during design except where large excitation forces are anticipated. Generally the designer avoids machinery which generate disturbing frequencies close to those of typical ship type structures. Any faults are corrected as a result of trials experience. This is often more economic than carrying out extensive design calculations as the remedy is usually a matter of adding a small amount of additional stiffening.

Main hull vibrations are a different matter. If they do occur the remedial action may be very expensive. They must therefore be looked at in design. The hull may bend as a beam or twist like a rod about its longitudinal axis. These two modes of vibration are called flexural and torsional respectively. Flexing may occur in a vertical or horizontal plane but the vertical flexing is usually the more worrying. Except in lightly structured ships the torsional mode is not usually too important.

Flexural vibrations

When flexing in the vertical or horizontal planes the structure has an infinite number of degrees of freedom and the mode of vibration is described by the number of nodes which exist in the length. The fundamental mode is the two-node as shown in Figure 11.5.

This yields a displacement at the ends of the ship since there is no rigid support there. This is often referred to as a free-free mode and differs from that which would be taken up by a structural beam where there would be zero displacement at one end at least. The next two higher modes have three and four nodes. All are free-free and can occur in both planes. Associated with each mode is a natural frequency of free vibration, the frequency being higher for the higher modes. If the ship were of uniform rigidity and uniform mass distribution along its length and was supported at its ends, the frequencies of the higher modes would be simple multiples of the fundamental. In practice ships
differ from this although perhaps not as much as might be expected, as is shown in Table 11.1 (Dieudonne, 1959). It will be noted that the greater mass of a loaded ship leads to a reduction in frequency.

**Table 11.1 Typical ship vibration frequencies (cpm)**

<table>
<thead>
<tr>
<th>Ship type</th>
<th>Length (m)</th>
<th>Condition of loading</th>
<th>Frequency of vibration</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Vertical</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>2 node</td>
</tr>
<tr>
<td>Tanker</td>
<td>227</td>
<td>Light</td>
<td>59</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Loaded</td>
<td>52</td>
</tr>
<tr>
<td>Passenger ship</td>
<td>136</td>
<td>Light</td>
<td>104</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Loaded</td>
<td></td>
</tr>
<tr>
<td>Cargo ship</td>
<td>85</td>
<td>Light</td>
<td>150</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Loaded</td>
<td>135</td>
</tr>
<tr>
<td>Cargo ship</td>
<td>130</td>
<td>Light</td>
<td>106</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Loaded</td>
<td>85</td>
</tr>
<tr>
<td>Destroyer</td>
<td>160</td>
<td>Average action</td>
<td>85</td>
</tr>
</tbody>
</table>

**Torsional vibration**

In this case the displacement is angular and a one-node mode of vibration is possible. Figure 11.6 shows the first three modes.
Coupling

It is commonly assumed for analysis purposes that the various modes of vibration are independent and can be treated separately. In some circumstances, however, vibrations in one mode can generate vibration in another. In this case the motions are said to be **coupled**. For instance in a ship a horizontal vibration will often excite torsional vibration because of the non-uniform distribution of mass in the vertical plane.

**CALCULATIONS**

**Formulae for ship vibration**

The formulae for uniform beams suggests that for the ship an approximation will be given by a formula of the type:

\[
\text{Frequency} = \text{Const.} \left( \frac{EI}{MF^3} \right)^{0.5}
\]

Suggestions for the value of the constant for different ship types have been made but these can only be very approximate because of the many variables involved in ships. The most important are:

1. Mass and stiffness distribution along the length.
2. Departure from ordinary simple theory due to shear deflection and structural discontinuities.

*Figure 11.6* (a) One-node; (b) Two-node; (c) Three-node
(3) Added mass.
(4) Rotary inertia.

**Direct calculation of vibration**

Empirical formulae enable a first shot to be made at the frequency of vibration. The accuracy will depend upon the amount of data available from ships on which to base the coefficients. It is desirable to be able to calculate values directly taking account of the specific ship characteristics and loading. These days a full finite element analysis could be carried out to give the vibration frequencies, including the higher order modes. Before such methods became available there were two methods used for calculating the two-node frequency:

1. The **deflection method** or **full integral method**.
2. The **energy method**.

**The deflection method**

In this method the ship is represented as a beam vibrating in simple harmonic motion in which, at any moment, the deflection at any position along the length is $y = f(x)\sin pt$. The function $f(x)$ for non-uniform mass and stiffness distribution is unknown but it can be approximated by the curve for the free-free vibration of a uniform beam.

Differentiating $y$ twice with respect to time gives the acceleration at any point as proportional to $y$ and the square of the frequency. This leads to the dynamic loading. Integrating again gives the shear force and another integration gives the bending moment. A double integration of the bending moment curve gives the deflection curve. At each stage the constants of integration can be evaluated from the end conditions. The deflection curve now obtained can be compared with that originally assumed for $f(x)$. If they differ significantly a second approximation can be obtained by using the derived curve as the new input to the calculation.

In using the deflection profile of a uniform beam it must be remembered that the ship’s mass is not uniformly distributed, nor is it generally symmetrically distributed about amidships. This means that in carrying out the integrations for shear force and bending moment the curves produced will not close at the ends of the ship. In practice there can be no force or moment at the ends so corrections are needed. A bodily shift of the base line for the shear force curve and a tilt of the bending moment curve are used.

In the calculation the mass per unit length must allow for the mass of the entrained water using one of the methods described for dealing with added virtual mass. The bending theory used ignores shear deflection
and rotary inertia effects. Corrections for these are made at the end by applying factors to the calculated frequency.

*The energy method*

This method uses the principle that, in the absence of damping, the total energy of a vibrating system is constant. Damping exists in any real system but for ships it is acceptable to ignore it for the present purpose. Hence the sum of the kinetic and potential energies is constant.

In a vibrating beam the kinetic energy is that of the moving masses and initially this is assumed to be due to linear motion only. Assuming simple harmonic motion and a mass distribution, the kinetic energy is obtained from the accelerations deduced from an assumed deflection profile and frequency. The potential energy is the strain energy of bending.

When the beam is passing through its equilibrium position the velocity will be a maximum and there will be no bending moment at that instant. All the energy is kinetic. Similarly when at its maximum deflection the energy is entirely potential. Since the total energy is constant the kinetic energy in the one case can be equated to the potential energy in the other.

As in the deflection method the initial deflection profile is taken as that of a uniform bar. As before allowance is made for shear deflection and for rotary inertia. Applying this energy method to the case of the simply supported, uniform section, beam with a concentrated mass \( M \) at mid-span and assuming a sinusoidal deflection curve, yields a frequency of:

\[
\frac{1}{2\pi} \left( \frac{\pi^4 EI}{2Mt^3} \right)^{0.5} \text{ compared with } \frac{1}{2\pi} \left( \frac{48EI}{Mt^3} \right)^{0.5} \text{ for the exact solution.}
\]

Since \( \pi^4/2 \) is 48.7 the two results are in good agreement. This simple example suggests that as long as the correct end conditions are satisfied there is considerable latitude in the choice of the form of the deflection profile.

*Calculation of higher modes*

It might be expected that the frequencies of higher modes could be obtained by the above methods by assuming the appropriate deflection profile to match the mode needed. Unfortunately, instead of the assumed deflection curve converging to the correct one it tends to diverge with successive iterations. This is due to the profile containing a component of the two-node profile which becomes dominant. Whilst ways have been developed to deal with this, one would today choose to carry out a finite element analysis.
Approximate formulae

It has been seen that the mass and stiffness distributions in the ship are important in deriving vibration frequencies. Such data is not available in the early design stages when the designer needs some idea of the frequencies for the ship. Hence there has always been a need for simple empirical formulae. Schlick (1884) suggested that:

\[
\text{Frequency} = \text{Const.} \left( \frac{EI_a}{ML^3} \right)^{0.5}
\]

where \(I_a\) is the moment of inertia of the midship section.

This formula has severe limitations and various authorities have proposed modifications to it.

Burrill (1934–1935) suggested one allowing for added mass and shear deflection.

The frequency was given as:

\[
\text{Frequency} = \text{Const.} \times \left( \frac{I}{\Delta L^3} \right)^{0.5} \frac{1}{\left(1 + \frac{B}{2T}\right)^{0.5} (1 + r_s)^{0.5}}
\]

where \(r_s\) is the deflection correction factor.

Todd (1961) adapted Schlick to allow for added mass, the total virtual displacement being given by:

\[
\Delta_v = \Delta \left( \frac{B}{3T} + 1.2 \right)
\]

He concluded that \(I\) should allow for superstructures in excess of 40 per cent of the ship length. For ships with and without superstructure the results for the two-node vibration generally obeyed the rule:

\[
\text{Frequency} = 238\,660 \left( \frac{I}{\Delta_v L^3} \right)^{0.5} + 29
\]

if \(I\) is in \(m^4\), dimensions in \(m\) and \(\Delta_v\) is in MN.
By approximating the value of $I$, Todd proposed:

$$\text{Frequency} = \text{Const.} \times \left( \frac{BD^3}{\Delta I^3} \right)^{0.5}$$

Typical values of the constant in SI units, were found to be

- Large tankers (full load) 11 000
- Small tankers (full load) 8 150
- Cargo ships (60 per cent load) 9 200

Many other approximate formulae have been suggested. The simpler forms are acceptable for comparing ships which are closely similar. The designer must use the data available to obtain the best estimate of frequency allowing for the basic parameters which control the physical phenomenon.

VIBRATION LEVELS

Amplitudes of vibration

It has been seen that the amplitude of oscillation of a simple mass spring combination depends upon the damping and magnification factor. The situation for a ship is more complex. Allowance must be made for at least the first three or four modes, superimposing the results for each. This can be done by finite element analysis and once the amplitude has been obtained the corresponding hull stress can be evaluated.

The question then arises as to whether the amplitude of vibration is acceptable. Limitations may be imposed by the reactions of humans, equipment or by strength considerations. Sensitive equipment can be protected by placing them on special mounts and this is done quite extensively in warships in particular. Human beings respond mainly to the vertical acceleration they experience. Curves are published (BS 6634; ISO 6954) indicating the combinations of frequency and displacement that are likely to be acceptable.

Checking vibration levels

It will be appreciated by now that accurate calculation of vibration levels is difficult. It is possible to put a check upon the levels likely to be achieved as the ship nears structural completion by using a vibration exciter. The exciter is simply a device for generating large vibratory forces by rotating an out of balance weight. Placed at appropriate positions in the ship it can be activated and the structural response to known forces measured.
Reducing vibration

Ideally vibration would be eliminated completely but this is not a realistic goal. In practice a designer aims to:

1. Balance all forces in reciprocating and rotary machinery and in the propeller.
2. Provide good flow into the propeller and site it clear of the hull.
3. Avoid resonance by changing the stiffness of components or varying the exciting frequencies.
4. Use special mounts to shield sensitive equipment from the vibration.
5. Fit a form of vibration damper, either active or passive.

The two main sources of vibration are the machinery and propellers.

Vibration testing of equipment

Most equipments are fitted in a range of ships and in different positions in a ship. Thus their design cannot be tailored to too specific a vibration specification. Instead they are designed to standard criteria and then samples are tested to confirm that the requirements have been met. These tests include endurance testing for several hours in the vibration environment. Table 11.2 gives test conditions for naval equipments to be fitted to a number of warship types.

Table 11.2 Vibration response and endurance test levels for surface warships

<table>
<thead>
<tr>
<th>Ship type</th>
<th>Region</th>
<th>Standard test level</th>
<th>Endurance tests</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Peak values and</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>frequency range</td>
<td></td>
</tr>
<tr>
<td>Minesweeper size and above</td>
<td>Masthead</td>
<td>1.25 mm, 5 to 14 Hz</td>
<td>1.25 mm, 14 Hz</td>
</tr>
<tr>
<td></td>
<td></td>
<td>0.3 mm, 14 to 23 Hz</td>
<td>0.3 mm, 23 Hz</td>
</tr>
<tr>
<td></td>
<td></td>
<td>0.125 mm, 23 to 33 Hz</td>
<td>0.125 mm, 33 Hz</td>
</tr>
<tr>
<td></td>
<td>Main</td>
<td>0.125 mm, 5 to 33 Hz</td>
<td>0.125 mm, 33 Hz</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Each 1 hour</td>
<td></td>
</tr>
<tr>
<td>Smaller than minesweeper</td>
<td>Masthead and</td>
<td>0.2 mm or a velocity of 0.2 mm, 50 Hz</td>
<td></td>
</tr>
<tr>
<td></td>
<td>main</td>
<td>65 mm/s whichever is less.</td>
<td>For 3 hours</td>
</tr>
<tr>
<td></td>
<td></td>
<td>7 to 300 Hz</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Aftermost ( \frac{1}{3} ) of</td>
<td>0.4 mm or a velocity of 0.4 mm, 24 Hz</td>
<td></td>
</tr>
<tr>
<td></td>
<td>ship length</td>
<td>60 mm/s whichever is less.</td>
<td>For 3 hours</td>
</tr>
<tr>
<td></td>
<td></td>
<td>7 to 300 Hz</td>
<td></td>
</tr>
</tbody>
</table>
In Table 11.2 the masthead region is that part of the ship above the main hull and superstructure. The main hull includes the upper deck, internal compartments and the hull.

SUMMARY

The simple dynamic responses of a hull to cyclic forces, including vibrations have been reviewed. Levels of vibration must meet internationally agreed standards. Some simplified formulae are given upon which preliminary design assessments can be based. Considerable advances have been made in recent years in methods of analysis available to tackle vibration but the mathematics is beyond the scope of this book. Having calculated, during design, the vibration amplitudes expected, these can be checked as the build nears completion, by setting up and running a vibration generator on board. Finally the ship’s acceptance trials are the final demonstration of how successful a designer has been in reducing vibration levels to acceptable limits.
In their broadest sense the terms *seakeeping* and *seaworthiness* cover all those features of a vessel which influence its ability to remain at sea in all conditions, for which it has been designed, and carry out its intended mission. They should, therefore, embrace stability, strength, manoeuvrability and endurance as well as the motions of the ship and related phenomena. In this chapter only those aspects of a ship’s performance directly attributable to the action of the waves are considered. Other aspects are discussed in later chapters.

Considered as a rigid body, a ship has six degrees of freedom. They are the three rotations of roll (*or* heel), pitching (*or* trim) and yaw, together with the three translations of heave, surge and sway. For a stable ship the motions of roll, pitch and heave are oscillatory and these are the three motions dealt with here. The other three degrees of freedom will be excited in a seaway but are of lesser importance. As the ship is flexible other degrees of freedom will be excited but these are dealt with under strength and vibration.

**SEAKEEPING QUALITIES**

**Motions**

Excessive motions are to be avoided if possible. They make for discomfort of passengers and crew, make the crew less efficient and make some tasks difficult, perhaps impossible. Apart from their amplitudes the phasing of motions can have significance. Phasing generally creates an area of minimum motion about two-thirds of the length from the bow. This becomes a ‘desirable’ area and in a cruise liner would be used for the more important passenger spaces.

**Speed and powering**

In waves a ship experiences a greater resistance and the propulsor is working under less favourable conditions. These combined, possibly, with increased air resistance due to wind, cause a reduction in speed
for a given power. The severity of motions, slamming and wetness can usually be alleviated by decreasing speed and a master may reduce speed voluntarily for this reason on top of any enforced reduction. For many ships their schedule is of great importance. The concept of ship routeing can be used to avoid the worst sea conditions and so suffer less in delay, danger and discomfort and saving on fuel. Savings of the order of 10 to 15 hours have been made in this way on the Atlantic crossing. Computerized weather routeing systems are now fitted to a number of ships allowing the master greater control rather than having to rely upon instructions from shore.

**Wetness**

The bow can dig into the waves throwing water over the forecastle. At lesser motions spray is driven over the forward part of the ship. The main factors affecting these phenomena are the relative motion of the bow and wave surface and the freeboard forward.

**Slamming**

Sometimes the pressures exerted by the water on the ship’s hull become very large and what is known as slamming occurs. Slamming is characterized by a sudden change in vertical acceleration followed by a vibration of the ship’s girder in its natural frequencies. The region of the outer bottom between 10 and 25 per cent of the length from the bow is the most vulnerable area.

**SHIP MOTIONS**

The natural periods of oscillation in the three degrees of freedom, chosen to be dealt with in this chapter were considered in Chapter 11 on Ship dynamics. That chapter dealt with small disturbances, for larger excursions the proportionality breaks down and the resulting motions become more complex.

**Motions in regular waves**

It was seen earlier that the apparently random surface of the sea can be represented by the summation of a large number of regular sinusoidal waves, each with its own length, height, direction and phase. Further it was postulated that the response of the ship in such a sea could be taken as the summation of its responses to all the individual wave components. Hence the basic building block for the general study of motions in a seaway is the response to a regular sinusoidal wave.
For simplicity it is assumed that the pressure distribution within the wave is unaffected by the presence of the ship. This is a common assumption first made by R.E. Froude in his study of rolling and it is often referred to as Froude’s hypothesis.

**Rolling in a beam sea**

The rolling a ship experiences is most severe in a beam sea. With Froude’s hypothesis, the equation for motion will be that for still water with a forcing function added. This force arises from the changes in pressures acting on the hull due to the wave and could be found by integrating the pressures over the whole of the wetted surface.

The resultant force acting on a particle in the surface of a wave must act normal to the surface. If the wavelength is long compared to the beam of the ship, and it is these longer waves which will cause the more severe rolling, it is reasonable to assume that there is a resultant force acting on the ship normal to an ‘effective surface’, taking account of all the subsurfaces interacting with the ship. This is a useful concept proposed by William Froude, who further assumed that the effective wave slope is that of the subsurface passing through the centre of buoyancy of the ship. With these assumptions and neglecting the added mass and damping, the equation of motion takes the form:

\[
\frac{\Delta k^2}{g} \times \frac{d^2\varphi}{dt^2} + \Delta GM_T(\varphi - \varphi') = 0
\]

where \( \varphi' = \alpha \sin \omega t \), \( \alpha \) being the maximum slope of the effective wave.

If the subscript 0 relates to unresisted rolling in still water it can be shown that the solution to the equation for resisted motion takes the form:

\[
\varphi = \varphi_0 \sin(\omega_0 t + \beta) + \frac{\omega_0^2 \alpha}{\omega_0^2 - \omega^2} \sin \omega t
\]

In this expression the first term is the free oscillation in still water and the second is a forced oscillation in the period of the wave.

When damping is present the free oscillation dies out in time, leaving the forced oscillation modified somewhat by the damping. In a truly regular wave train the ship would, after a while, roll only in the period of the wave. The highest forced roll amplitudes occur when the period of the wave is close to the natural period of roll when it is said to resonate. Thus heavy rolling of a ship at sea is mainly at frequencies close to its natural frequency.
Pitching and heaving in regular waves

For these motions attention is directed to head seas as these are the more severe case. It is not reasonable to assume the wave long in relation to the length of the ship and the wave surface can no longer be represented by a straight line. However the general approach of a forcing function still applies.

When a ship heads directly into a regular wave train it experiences hydrodynamic forces that can be resolved into a force at the centre of gravity and a moment about that point. As with rolling the resulting pitch and heave will be highest when the period of encounter with the waves is close to the natural period of motion in that mode. When the two periods are equal resonance occurs and it is only the action of the damping that prevents the amplitudes of motion becoming infinite. The amplitudes in practice may become quite large and in that case the master would normally change speed or course to change the period of encounter to avoid resonance. In the general study of oscillations the ratio of the periods of natural oscillation to that of the forcing function is known as the tuning factor. Damping, tuning factor and magnification are discussed in Chapter 11.

The amplitude of the pitching or heaving will also depend upon the height of the waves. It is usual to assume that the exciting forces are proportional to the wave height and, also, the resulting motion amplitude. This applies whilst the motions can be approximated to by a linear equation of motion.

PRESENTATION OF MOTION DATA

The presentation of motion data for a ship should be arranged so that it can be applied easily to geometrically similar ships in waves of varying amplitude. This is possible when the motions are linear, the basic assumptions being that:

1. Translations are proportional to the ratio of linear dimensions in waves whose lengths vary in the same way. For geometric similarity the speed varies so that $V^2/L$ is constant.
2. Angular motions can be treated the same way bearing in mind that the maximum wave slope is proportional to wave height.
3. All motion amplitudes vary linearly with wave height.
4. Natural periods of motion vary as the square root of the linear dimension.

These assumptions permit the results of model experiments to be applied to the full scale ship. In watching model experiments the
motion always seems rather ‘rapid’ because of the way period changes. Thus a \( \frac{1}{5} \) scale model will pitch and heave in a period only a fifth of the full scale ship. A typical presentation of heave data is as in Figure 12.1.

![Graph showing response amplitude operators](image)

*Figure 12.1* Response amplitude operators

Because wave period is related to wavelength the abscissa can equally be shown as the ratio of wave to ship length. The ordinates of the curve are known as response amplitude operators (RAOs) or transfer functions.

**MOTIONS IN IRREGULAR SEAS**

Usually a designer wishes to compare the seakeeping behaviour of two or more designs. If one design exhibited more acceptable response operators in all waves and at every speed of interest, the decision would be easy. Unfortunately usually one design will be superior under some conditions and another will be better under other conditions. The designer, then, needs some way of comparing designs in the generality of wave conditions.

It was seen that the energy spectrum was a very useful means of representing the nature of an irregular wave system. It is equally valuable in the study of a ship’s motions in irregular seas. Before proceeding, the spectrum needs to be modified to reflect the fact that the ship is moving through the waves, whereas the wave spectra so far discussed are those recorded at a fixed point.

*Period of encounter*

As far as ship motions are concerned it is the period of encounter with the waves that is important rather than the absolute period of the wave. This is because the ship is moving relative to the waves and it will meet successive peaks and troughs in a shorter or longer time interval depending upon whether it is advancing into the waves or is travelling in their direction. The situation can be generalized by considering the ship at an angle to the wave crest line as shown in Figure 12.2.

Measured at a fixed point the wave period is \( T_w = \lambda/V_w \). If the ship is travelling at \( V_s \) at an angle to the direction of wave advance, in a time \( T_E \) the
ship will have travelled $T_E V_s \cos \alpha$ in the wave direction and the waves will have travelled $T_E V_w$. If $T_E$ is the period of encounter the difference in the distances must be one wave length $\lambda$, and:

$$\lambda = T_E (V_w - V_s \cos \alpha)$$

and hence:

$$T_E = \frac{\lambda}{V_w - V_s \cos \alpha} = \frac{T_w}{1 - \frac{V_s}{V_w} \cos \alpha}$$

If the ship travels in the same direction as the waves the period of encounter is greater than the wave period. If it is running into the waves the period of encounter is less.

**Energy spectra**

*Modification of wave energy spectrum*

From the expression for $T_E$ it follows that:

$$\omega_E = \frac{2\pi}{T_E} = \omega \left(1 - \frac{V_s}{V_w} \cos \alpha\right) = \omega \left(1 - \frac{\omega V_s}{g} \cos \alpha\right)$$

If the abscissae of the ‘absolute’ wave spectra are multiplied by $[1 - (\omega V_s/g) \cos \alpha]$ the abscissae of what can be called an encounter
spectrum are found. Ignoring any influence of the ship’s presence on the waves, the area under the spectrum must remain the same, that is:

\[ S(\omega_E)\,d\omega_E = S(\omega)\,d\omega \quad \text{and} \quad S(\omega_E) = S(\omega)\frac{d\omega}{d\omega_E} \]

\[ = S(\omega)\left(1 - \frac{2\omega V_s}{g}\cos\alpha\right)^{-1} \]

So the ordinates of the spectrum must be multiplied by \([1 - (2\omega V_s/g)\cos\alpha]^{-1}\). In the case of a ship moving directly into the waves, that is \(\alpha = 180^\circ\), the multiplying factors become:

\[ [1 + \omega V_s/g] \text{ for abscissae, and } [1 + 2\omega V_s/g]^{-1} \text{ for ordinates.} \]

**Obtaining motion energy spectra**

The energy spectrum for any given motion can be obtained by multiplying the ordinate of the wave encounter spectrum by the square of the RAO for the motion concerned at the corresponding encounter frequency. Take heave as an example. If the response amplitude operator is \(Y_z(\omega_E)\) for encounter frequency \(\omega_E\), then the energy spectrum for the heave motions is:

\[ S_z(\omega_E) = [Y_z(\omega_E)]^2 S_w(\omega_E) \]

where \(S_w(\omega_E)\) is the wave energy spectrum.

This is illustrated in Figure 12.3 where \(AA = (AB)^2(AC)\).

Having created the motion spectrum, its area can be found and various attributes of the motions deduced as they were for the wave system itself. Thus if \(m_{ho}\) is the area under the heave spectrum:

- average heave amplitude \(= 1.25(m_{ho})^{0.5}\)
- significant heave amplitude \(= 2(m_{ho})^{0.5}\)
- average amplitude of 1/10 highest heaves \(= 2.55(m_{ho})^{0.5}\)

Such values as the significant motion amplitude in the given sea can be used to compare the performance of different designs in that sea. There remains the need to consider more than one sea, depending upon the areas of the world in which the design is to operate, and to take into account their probability of occurrence.
LIMITING FACTORS

A number of factors, apart from its general strength and stability, may limit a ship’s ability to carry out its intended function (Lloyd and Andrew, 1977). Ideally these would be definable and quantifiable but generally this is not possible except in fairly subjective terms. The limits may be imposed by the ship itself, its equipment or the people on board. The seakeeping criteria most frequently used as potentially limiting a ship’s abilities are speed in waves, slamming, wetness and human reactions.

**Speed in waves**

As the waves become more severe the power needed to propel the ship at a given speed increases. This is because of increased water and air resistance and the fact that the propulsors are working under adverse conditions. At some point the main machinery will not be able to provide the power needed and a speed reduction will be forced upon the master. The master may choose, additionally, to reduce speed to protect the ship against the harmful effects of slamming or wetness.

*Figure 12.3 Motion energy spectrum*
Slamming

Slamming is a high frequency transient vibration in response to the impact of waves on the hull, occurring at irregular intervals. The most vulnerable area is the ship’s outer bottom between about 10 and 25 per cent of the length from the bow. The impact may cause physical damage and can accelerate fatigue failure in this area. For this reason this area of the outer bottom should be given special attention during survey. Slamming is relatively local and often in a big ship, those on a bridge well aft may not be aware of its severity. Because the duration of the slam is only of the order of \( \frac{1}{30} \) of a second, it does not perceptibly modify the bodily motion of the ship but the ensuing vibration can last for 30 seconds. A prudent master will reduce speed when slamming badly. This speed reduction leads to less severe slamming or avoids it altogether. Often a change of direction helps. Lightly loaded cargo ships are particularly liable to slam with their relatively full form and shallow draught forward, and enforced speed reductions may be as high as 40 per cent. Slamming is less likely in high speed ships because of their finer form.

Slamming is likely when the relative velocity between the hull and water surface is large and when the bow is re-entering the water with a significant length of bottom roughly parallel to the sea surface. It is amplified if the bottom has a low rise of floor. The pressure acting in a slam can be shown to be proportional to the square of the velocity of impact and inversely proportional to the square of the tangent of the deadrise angle.

Wetness

By wetness is meant the shipping of heavy spray or green seas over the ship. The bow area is the region most likely to be affected and is assumed in what follows. It may limit a ship’s speed and the designer needs some way of assessing the conditions under which it will occur and how severe it will be. To some degree wetness is subjective and it certainly depends upon the wind speed and direction as well as the wave system. In the past it was often studied by running models in waves but it is now usually assessed by calculating the relative motion of the bow and the local sea surface. The assumption made is that the probability of deck wetness is the same as that of the relative motion exceeding the local freeboard. The greater the difference, the wetter the ship is likely to be.

Direct model study of such phenomena can, of course, be made by running the model in a representative wave train over a longish period although spray does not scale accurately. Tests in regular waves can assist in a simple slamming investigation in which two designs are directly compared. It is now usual to assess slamming by calculating the relative motion of the bow and the local sea surface. The assumption made is that the probability of deck wetness is the same as that of the
relative motion exceeding the local freeboard. The greater the difference, the wetter the ship is likely to be.

Increased freeboard, say by increasing sheer forward is one means of reducing wetness. At sea the master can reduce wetness by reducing speed and, usually, changing the ship’s heading relative to the predominant waves. Good round down on the deck will help clear water quickly. A bulwark can be used to increase the effective freeboard but in that case adequate freeing ports are needed to prevent water becoming trapped on the deck. The size of freeing ports to be fitted is laid down in international regulations. The designer would avoid siting other than very robust equipment in the area where green seas are likely. Any vents would face aft and water traps provided.

Even so vents do get carried away and water may enter the compartments below. This appears to have been the case of *MV Derbyshire*. The investigation into the loss of that ship has highlighted the need to design hatch covers to be capable of withstanding higher green seas loads than was previously regarded as adequate. It has also shown that abnormal waves are not so rare as previously thought.

**Propeller emergence**

The probability of the propeller emerging from the water, as the result of ship motions, can be assessed in a similar way to wetness. That is, by calculating the motion of the ship aft relative to the local sea surface. If the propeller does emerge, even partially, it will be less effective in driving the ship. It will tend to race and cause more vibration.

**Human performance**

It is a common experience that ship motions can cause nausea and then sickness. This discomfort can itself make people less efficient and make them less willing to work. Motions can make tasks physically more difficult to accomplish. Thus the movement of weights around the ship, say when replenishing a warship at sea, is made more difficult. Also tasks requiring careful alignment of two elements may become impossible without some mechanical aid. Over and above this the motions, and the drugs taken to alleviate the symptoms of motion sickness, may adversely affect a person’s mental dexterity.

In broad terms the effects of motion on human behaviour depend upon the acceleration experienced and its period. The effect is most marked at frequencies between about 0.15 to 0.2 Hz. The designer can help by locating important activities in areas of lesser motion, by aligning the operator position with the ship’s principal axes, providing an external visual frame of reference and providing good air quality free of odours.
OVERALL SEAKEEPING PERFORMANCE

The most common cause of large amplitude rolling, as shown by linear theory, is the closeness of the wave encounter frequency to the ship’s natural roll frequency. Large roll angles can also be experienced due to the fact that a ship’s effective metacentric height varies as it passes through waves. These are non-linear effects. One case is when waves are slowly overtaking a ship with largish waterplane area aft (for instance ships with a transom stern). Relatively large transverse stability variations can occur and roll angles of 40 degrees amplitude, or more, can rapidly build up. Secondly severe rolling can occur when the dominant encounter frequency is close to half the natural period of roll.

An overall assessment of seakeeping performance is difficult because of the many different sea conditions a ship may meet and the different responses that may limit the ship’s ability to carry out its function. A number of authorities have tried to obtain a single ‘figure of merit’ but this is difficult. The approach is to take the ship’s typical operating pattern over a period long enough to cover all significant activities. From this is deduced:

1. The probability of meeting various sea conditions, using statistics on wave conditions in various areas of the world (Hogben and Lumb, 1967).
2. The ship speed and direction in these seas.
3. The probability of the ship being in various conditions, deep or light load.
4. The ship responses that are likely to be critical for the ship’s operations.

From such considerations the probability of a ship being limited from any cause can be deduced for each set of sea conditions. These combined with the probability of each sea condition being encountered can lead to an overall probability of limitation. The relative merits of different designs can be ‘scored’ in a number of ways. Amongst those that have been suggested are:

1. The percentage of its time a ship, in a given loading condition, can perform its intended function, in a given season at a specified speed.
2. A generalization of (1) to cover all seasons and/or all speeds.
3. The time a ship needs to make a given passage in calm water compared with that expected under typical weather conditions.

It is really a matter for the designer to establish what is important to an owner and then assess how this might be affected by wind and waves.
ACQUIRING SEAKEEPING DATA

Computations of performance criteria require good data input, including that for waves, response operators and limitations experienced in ship operations.

Wave data

The sources of wave data have been discussed. The designer must select that data which is applicable to the design under review. The data can then be aggregated depending upon where in the world the ship is to operate and in which seasons of the year.

Obtaining the response amplitude operators

The designer can call upon theory, model testing and full scale trials. Fortunately modern ship motion theories can give good values of responses for most motions. The most difficult are the prediction of large angle rolling, due to the important non-linear damping which acts, and motions in quartering seas. The equations of motion can be written down fairly easily but the problem is in evaluating the various coefficients in the equations. Most modern approaches are based on a method known as strip theory or slender body theory. The basic assumptions are those of a slender body, linear motion, a rigid and wall-sided hull, negligible viscous effects apart from roll damping and that the presence of the hull has no effect upon the waves. The hull is considered as composed of a number of thin transverse slices or strips. The flow about each element is assumed to be two-dimensional and the same as would apply if the body were an infinitely long oscillating cylinder of that cross section. In spite of what might appear fairly gross simplification, the theory gives good results in pitch and heave and with adjustment is giving improved predictions of roll. The same principles apply to calculating vibration frequencies as discussed in an earlier chapter.

To validate new theories or where theory is judged to be not accurate enough, and for ships of unconventional form, model tests are still required. Model tests are particularly useful for the study of motions which are strongly non-linear.

For many years long narrow ship tanks were used to measure motions in head and following regular waves. Subsequently the wavemakers were modified to create long crested irregular waves. In the 1950s, as the analytical tools improved, a number of special seakeeping basins were built. In these free models could be manoeuvred in short and long crested wave systems. For motions, the response operators can be measured directly by tests in regular seas but this involves running a large number
of tests at different speeds in various wavelengths. Using irregular waves
the irregular motions can be analysed to give the regular components to
be compared with the component waves. Because the irregular surface
does not repeat itself, or only over a very long period, a number of test
runs are needed to give statistical accuracy. The number of runs, how-
ever, is less than for testing in regular waves. A third type of model test
uses the transient wave approach. The wavemaker is programmed to gen-
erate a sequence of wave lengths which merge at a certain point along
the length of the tank to provide the wave profile intended. The model
is started so as to meet the wave train at the chosen point at the correct
time. The model then experiences the correct wave spectrum and the
resulting motion can be analysed to give the response operators. This
method can be regarded as a special case of the testing in irregular
waves. Whilst in theory one run would be adequate several runs are
usually made to check repeatability.

The model can be viewed as an analogue computer in which the func-
tions are determined by the physical characteristics of the model. To
give an accurate reproduction of the ship’s motion the model must be
ballasted to give the correct displacement, draughts and moments of
inertia. It must be run at the correct representative speed. To do all this
in a relatively small model is difficult particularly when it has to be self-
propelled and carry all the recording equipment. The model cannot be
made too large otherwise a long enough run is not achievable in the
confines of the tank. Telemetering of data ashore can help. Another
approach has been to use a large model in the open sea in an area
where reasonably representative conditions pertain.

It has been noted that some motions are non-linear. Some non-
linearity is introduced by the changes in the immersed hull shape as the
ship moves relative to the waves. The change can be very significant at
large motion amplitudes; the fore end of the ship may leave the water
completely before slamming back down into it. Another cause of non-
linearity, particularly for rolling, is the differing way in which damp-
ing forces vary with velocities of motion. The situation is still further
complicated by the cross coupling that occurs between motions. Both
effects become more pronounced at higher motion amplitudes and are
important in the study of extreme loadings to which a ship is subject.

One important non-linear effect is that as a wave travels along the
length of the ship the immersed hull volume and the shape of the water-
plane change. This causes a variation in the effective metacentric height.
With some forms there is a significant loss of stability and roll angles can
build up. Another non-linearity occurs in head or following seas when
the wave dominant encounter period is about half the natural roll
period. The rolling can build up very rapidly to alarming angles, even in
moderate following seas. This phenomenon is known as parametric rolling.
Modern theoretical methods can cope with most of these problems but they are complex. Fortunately for the designer RAOs derived from model tests in reasonably severe conditions will already include something of these factors. It does mean, however, that RAOs obtained in different sea spectra may differ somewhat because of differences in the actual non-linear and coupling effects experienced. These variations will be small and can be catered for by averaging data derived from a range of severe spectra. Then the overall motions derived from using the RAOs with some new spectrum would closely align with that a ship would experience in the corresponding seaway.

Wetness and slamming depend upon the actual time history of wave height in relation to the ship. Direct model study of such phenomena can only be made by running the model in a representative wave train over a longish period. However, tests in regular waves can assist in slamming investigations by enabling two designs to be compared or by providing a check on theoretical analyses.

Then there are full scale ship trials. Some full scale data has been obtained for correlation with theory and model results. These indicate that model tests do give good results provided the waves in which they are tested are representative of the actual sea conditions. Direct correlation is difficult because of the need to find sea conditions approximating a long crested sea state during the trial period when the ship is rigged with all the measuring gear. A lot of useful statistical data, however, on the long term performance can be obtained from statistical recorders of motions and strains during the normal service routine. Such recorders are now fitted in many warships and merchant ships.

**Deriving the motions**

Based on the above it can be seen that there are three ways of assessing the motions in a given wave system for which the energy spectrum is defined.

- **Statistically.** Once the RAOs have been found the energy spectra for the various motions can be found as already discussed. The probabilities of exceeding certain levels of motion follow.
- **Frequency domain simulation.** Accepting that an irregular sea can be represented by a the superimposition of a number of regular sinusoidal waves in random phase, the responses to those wave components can be found and combined to give the ship response. The actual response at any instant will depend upon the phase relationships at that instant. To give a reasonably representation of a ship’s behaviour the simulation must be continued over a long time period. Otherwise the results may only apply to a relatively quiescent period, or to a particularly severe period.
• **Time domain simulation.** This approach does not use the RAOs. Instead a specific spectrum of waves is assumed and the ship is placed in the resulting sea. The forces and moments acting on the ship are calculated and its changes in attitude deduced. The immersed hull form will be varying with time and cross coupling effects due to these changes will be built in to the analysis. It will be appreciated that this method is useful in studying wetness and slamming as these depend upon the actual time history of wave height in relation to the ship. Again the simulation must be carried out over a long period to get good results.

These three methods present increasingly heavy demands on computational skills. The first is very useful in comparing the expected general behaviour of different hull forms. The second is useful for the actual sequence of motions a ship may experience, although not directly related to the sea surface. The last can show how the ship moves relative to the sea surface showing, for instance, how freeboard varies with time. It is the preferred method for studying extreme loading conditions.

**Deducing criteria**

It is not always easy to establish exactly what are limiting criteria for various shipboard operations. They will depend to some extent upon the ability of the people involved. Thus an experienced helicopter pilot will be able to operate from a frigate in conditions which might prove dangerous for a lesser pilot. The criteria are usually obtained from careful questioning and observation of the crew. Large motion simulators can be used for scientific study of human performance under controlled conditions. These can throw light upon how people learn to cope with difficult situations. The nature of the usual criteria has already been discussed.

**EFFECT OF SHIP FORM**

It is difficult to generalize on the effect of ship form changes on seakeeping because changing one parameter, for instance moving the centre of buoyancy, usually changes others. Methodical series data should be consulted where possible but in very general terms, for a given sea state:

1. Increasing size will reduce motions.
2. Increasing length will reduce the likelihood of meeting waves long enough to cause resonance.
3. Higher freeboard leads to a drier ship.
4. Flare forward can reduce wetness but may increase slamming.
A high length/draught ratio will lead to less pitch and heave in long waves but increase the chances of slamming. A bulbous bow can reduce motions in short waves but increase them in long waves.

Because form changes can have opposite effects in different wave conditions, and a typical sea is made up of many waves, the net result is often little change. For conventional forms it has been found (Ewing and Goodrich, 1967) that overall performance in waves is little affected by variations in the main hull parameters. Local changes can be beneficial. For instance fine form forward with good rise of floor can reduce slamming pressures.

STABILIZATION

A ship’s rolling motions can be reduced by fitting a stabilization system. In principle pitch motions can be improved in the same way but in practice this is very difficult. An exception is the fitting of some form of pitch stabilizer between the two hulls of a catamaran which is relatively shorter than a conventional displacement ship. In this section attention is focused on roll stabilization. The systems may be passive or active.

Bilge keels

Of the passive systems, bilge keels (Figure 12.4) are the most popular and are fitted to the great majority of ships. They are effectively plates projecting from the turn of bilge and extending over the middle half to two-thirds of the ship’s length. To avoid damage they do not normally protrude beyond the ship’s side or keel lines, but they need to penetrate the boundary layer around the hull. They cause a body of water to move with the ship and create turbulence thus dampening the motion and causing an increase in period and reduction in amplitude.

Although relatively small in dimension the bilge keels have large levers about the rolling axis and the forces on them produce a large moment opposing the rolling. They can produce a reduction in roll amplitude of more than a third. Their effect is generally enhanced by ahead speed. They are aligned with the flow of water past the hull in still water to reduce their drag in that state. When the ship is rolling the drag will increase and slow the ship a little.

Passive tanks

These use the movement of water in specially designed tanks to oppose the rolling motion. The tank is U-shaped and water moves from one side to the other and then back as the ship inclines first one way and then
the other. Because of the throttling effect of the relatively narrow lower limb of the U joining the two sides of the tank, the movement of water can be made to lag behind the ship movements. By adjusting the throttling, that is by ‘tuning’ the tank, a lag approaching 90° can be achieved. Unfortunately the tank can only be tuned for one frequency of motion. This is chosen to be the ship’s natural period of roll as this is the period at which really large motions can occur. The tank will stabilize the ship at zero speed but the effect of the tank’s free surface on stability must be allowed for.

**Active fins**

This is the most common of the active systems. One or more pairs of stabilizing fins are fitted. They are caused to move by an actuating system in response to signals based on a gyroscopic measurement of roll motions. They are relatively small although projecting out further than the bilge keels. The whole fin may move or one part may be fixed and
the after section. A flap on the trailing edge may be used to enhance the lift force generated. The fins may permanently protrude from the bilge or may, at the expense of some complication, be retractable, Figure 12.5.

Figure 12.5 Stabilizer fin

The lift force on the fin is proportional to the square of the ship’s speed. At low speed they will have little effect although the control system can adjust the amplitude of the fin movement to take account of speed, using larger fin angles at low speed.

**Active tanks**

This is similar in principle to the passive tank system but the movement of water is controlled by pumps or by the air pressure above the water surface. The tanks either side of the ship may be connected by a lower limb or two separate tanks can be used. Figure 12.6 shows a system in
TYPICAL AIR VALVE ARRANGEMENT

Stabilizer machinery compartment to have forced ventilation

Flap valves
Adjustable tappets

Double acting valve control cylinder

Air duct

Air transfer duct (supplied by shipbuilder)

Starters

Directional control valve
Gyro control gear
Power unit for valve control

Float valve in open position

Splash guard

Wing tank

Figure 12.6 Tank stabilizer
which the air pressure above the water on the two sides is controlled to 'tune' the system. The air duct contains valves operated by a roll sensing device. The system can be tuned for more than one frequency. As with the passive system it can stabilize at zero ship speed. It does not require any projections outside the hull.

The capacity of the stabilization system is usually quoted in terms of the steady heel angle it can produce with the ship underway in still water. This is then checked during trials. It is possible to use modern theories to specify performance in waves but this would be difficult to check contractually.

SUMMARY

It has been shown that a ship’s motions in irregular ocean waves can be synthesized from its motions in regular waves. The energy spectrum has been shown to be a powerful tool in the study of motions as it was in the study of waves. Factors limiting a ship’s seakeeping capabilities, including the degradation of human performance, have been discussed and it has been seen how they can be combined to give an overall assessment of the probability that a ship will be able to undertake its intended mission. Means of limiting motions by stabilization have been outlined.

It has only been possible to deal with the subject in an elementary way. Rigorous treatments are available such as Lloyd (1989).
All ships must be able to control their speed and follow an intended course when in transit. Additionally, when entering congested waterways or harbours, they must be able to position themselves accurately. Vessels used for oil drilling or extraction often need to hold a particular position relative to the seabed with great precision.

To achieve this a ship must have the means of producing ahead and astern thrust, turning moments and lateral thrust. The last two are provided by rudders of various types assisted, in some cases, by lateral thrust units at the bow and/or stern. Ahead and astern thrust is usually provided by the main propulsion system as dealt with in Chapter 10 on propulsion. Because rudders are usually sited close to the propulsors there will be an interaction between the two. Where more than one shaft is fitted, a turning moment can be produced by going ahead on one shaft and astern on the other.

The ease with which a vessel can maintain a straight course, or be made to turn, will depend upon its directional stability. Sometimes this characteristic is known as the ship’s dynamic stability but should not be confused with dynamical stability. A number of measures are used to define the manoeuvring characteristics of a ship and these are discussed. They are defined and measured in still water conditions. The influence of wind, waves and current must be allowed for in applying the data to practical sea-going conditions. Wind effects can be very important especially in ships with large superstructures such as cruise liners and ferries. Indeed strong winds may prevent a ship turning into the wind if it has large windage areas aft. When operating close aboard another ship, close to a bank, or in shoaling water, the ship experiences additional forces that may throw it off the intended course.

A submarine is a special case as it operates in three dimensions with a need to control its position and attitude in depth as well as azimuth. Submarines are dealt with in one section and the rest of the chapter is devoted to surface vessels.
It was seen in an earlier chapter that when a ship, at rest in still, water is disturbed in the horizontal plane there are no hydrostatic forces to return it to its original position or to increase the movement. The ship is in neutral equilibrium. When a moving ship is disturbed in yaw it is acted upon by hydrodynamic forces which may be stabilizing or destabilizing. If stabilizing, the ship will take up a new steady line of advance but unless some corrective action is applied, by using the rudder for example, this will not be the original line of advance. The vessel is said to be directionally stable in these conditions but clearly this stability differs from that discussed in considering inclinations from the vertical. A ship is said to be directionally stable if, after being disturbed in yaw, it takes up a new straight line path.

An arrow is an example of a directionally very stable body. If gravity is ignored the flight of an arrow is a straight line. If it is disturbed, say by a gust of wind, causing it to take up an angle of attack relative to its line of motion, the aerodynamic forces on its tail feathers will be much greater than those on the shank. The disturbing force will push the arrow sideways and the moment from the force on the tail will reduce the angle of attack. The arrow will oscillate a little and then settle on a new straight line path. The arrow, like a weathercock, has a high degree of directional stability.

For a ship form it is not clear from looking at the lines whether it will be stable or not. By analogy with the arrow, good stability requires that the resultant hydrodynamic moment following a disturbance should tend to reduce yaw. The disturbing force is said to act at the hull’s centre of lateral resistance. For stability this must be aft of the centre of gravity and it is to be expected that a cut away bow, a large skeg aft and trim by the stern would all tend to improve stability. That is about as much as one can deduce from the general hull shape at this stage. A degree of directional stability is desirable otherwise excessive rudder movements will be needed to maintain a straight course. Too much stability makes a ship difficult to turn.

Consider a small disturbing force in the horizontal plane. In general this will be the net effect of external forces (due to wind, say) and ship generated forces (due to propeller forces and rudder movements, say). The fore and aft component of this force will merely cause the ship to slow or speed up a little. The transverse component will lead to a sideways velocity and acceleration, and angular velocity and acceleration in yaw. As the ship responds, additional hydrodynamic forces will be brought into play. When the disturbing force is removed these hydrodynamic forces will persist for a while. They will either tend to increase the deviations in course already experienced, or decrease them. In these
cases the ship is said to have unstable or stable directional stability, respectively.

Ignoring the fore and aft components a small applied transverse force can be regarded as a transverse force at the centre of gravity and a moment about that point. The point at which it effectively acts is usually termed the *centre of lateral pressure*. Following a short period of imbalance the ship will settle down with a steady transverse velocity and yaw velocity, at which the hydrodynamic forces induced on the hull balance the applied force and moment. There will be a point along the length of the ship at which an applied force leads only to a transverse velocity with no yaw velocity. That is, the ship’s head will remain pointing in the same direction. This point is commonly called the *neutral point* and is usually about a third of the length from the bow.

If the sideways force is applied aft of the neutral point and to starboard the ship will turn to port. If it is applied forward of the neutral point the ship turns in the direction of the force. The greater the distance the force is from the neutral point the greater the turning moment on the ship. Thus rudders placed aft are more effective than rudders at the bow would be, by a factor of about five for typical hull forms. Aft they can benefit from the propeller race aft as well and are less vulnerable in a collision.

**MANOEUVRING**

**Turning a ship**

From simple mechanics it will be appreciated that to cause a ship to move in a circle requires a force to act on it, directed towards the centre of the circle. That force is not provided by the rudder directly. The rudder exerts a moment on the ship which produces an angle of attack between the ship’s heading and its direction of advance. This angle of attack causes relatively large forces to act on the hull and it is the component of these, directed towards the centre of the circle, that turns the ship. The fore and aft components will slow the ship down which is a noticeable feature of a ship’s behaviour in turning. It is interesting to note that the force on the rudder itself actually reduces the resultant inward force.

**Measures of manoeuvrability**

These are not easily quantified although there has been much discussion on the matter. Large ocean going ships spend most of their transit time in the open seas, steering a steady course. They can use tugs to assist with manoeuvring in confined waters so the emphasis will probably be
on good directional stability. Poor inherent directional stability can be compensated for by fitting an auto pilot but the rudder movements would be excessive and the steering gear would need more maintenance. For ships such as short haul ferries the designer would aim for good rudder response to help the ships avoid collision and to assist berthing and unberthing.

If possible the parameters used to define manoeuvrability should be directly related to the performance the master desires. This is not easy and use is made of a number of standard manoeuvres which can be carried out full scale and during model experiments. Other movements can be created in a model for measuring the stability derivatives, that cannot be directly simulated at full scale. The measures commonly studied are now described.

**The turning circle**

The motion of a ship turning in a circle is shown in Figure 13.1.

As the rudder is put over there is a force which pushes the ship sideways in the opposite direction to which it wishes to turn. As the hydrodynamic forces build up on the hull the ship slows down and starts to

![Figure 13.1 Turning circle](image-url)
turn in a steadily tightening circle until a steady state speed and radius of turn is reached. A number of parameters are used to define the turning performance. They are:

1. The **drift angle**, which at any point is the angle between the ship’s head and its direction of motion. This varies along the length, increasing the further aft it is measured. Unless otherwise specified the drift angle at the ship’s centre of gravity is to be understood.

2. The **advance**, which is the distance travelled by the ship’s centre of gravity, in the original direction of motion, from the instant the rudder is put over. Usually the advance quoted is that for a 90° change of heading although this is not the maximum value.

3. The **transfer** which is the lateral displacement of the ship’s centre of gravity from the original path. Usually transfer is quoted for 90° change of heading.

4. The **tactical diameter** which is the value of the transfer for 180° change of heading although this is not the maximum transfer. It is usual to quote a tactical diameter to length ratio, $TD/L$. Modern frigates at high speed and full rudder turn with a $TD/L$ of about 3. For smaller turning circles such as may be required of a mine countermeasures vessel lateral thrust units or azimuthing propellers would be used. A value of 4.5 would be regarded as good for most merchant ships but a value greater than 7 as very poor.

5. The **diameter of the steady turning circle**. The steady state is typically reached at some point between 90° and 180° change of heading.

6. The **steady speed on turn**. Due to the fore and aft component of the hydrodynamic forces the ship slows down during the turn. Unless engine power is increased it may be only 60 per cent of the approach speed. The steady speed is reached as the diameter steadies. If a ship does need to reverse direction, as might be the case of a frigate hunting a submarine, the time to turn through 180° is likely to be more important than a really small diameter of turn. Because of the loss of speed on turn such ships would choose a lesser rudder angle to get round quickly and to avoid the need to accelerate so much after the turn.

7. The **turning rate**. The quickest turn might not be the tightest. A frigate would turn at about 3° per second. Half this rate would be good for merchant ships and values of 0.5–1 would be more typical.

8. The **pivoting point**. This is the foot of the perpendicular from the centre of the turning circle to the middle line of the ship, extended if necessary. This is the point at which the drift angle will be zero and it is typically about $\frac{1}{6}$ of the length from the bow.
(9) The *angle of heel during the turn*. A ship typically heels in to the turn as the rudder is initially applied. On the steady turn it heels outwards, the heeling moment being due to the couple produced by the athwartships components of the net rudder and hull hydrodynamic forces and the acceleration force acting at the centre of gravity which is caused by the turning of the ship. It is countered by the ship's stability righting moment.

If the steady radius of turn is $R$, Figure 13.2, and the steady heel is $\varphi$ and the transverse components of the forces on the hull and rudder

![Figure 13.2 Ship heeling in turn](image)

are $F_h$ and $F_r$, acting at $KH$ and $KR$ above the keel then:

$$F_h - F_r = \frac{\Delta V^2}{Rg}$$

and the heeling moment is:

$$\frac{\Delta V^2}{Rg} KG + F_r (KR) - F_h (KH) = (F_h - F_r) (KG - KH) - F_i (KH - KR)$$

For most ships $(KH - KR)$ will be small and the heeling moment becomes $(F_h - F_i) GH$. This leads to an angle of heel such that:

$$\Delta GM \sin \varphi = (F_h - F_i) GH = \frac{\Delta V^2}{Rg} GH, \quad \text{giving} \quad \sin \varphi = \frac{GH}{GM} \times \frac{V^2}{Rg}$$

This is only an approximation to the angle as it is difficult to estimate the centre of lateral resistance for a heeled hull. In some high speed
turns the heel can be quite pronounced. It is important in passenger carrying ships and may influence the choice of metacentric height.

The zig-zag manoeuvre

A ship does not often turn through large angles and seldom through even a half circle. Thus the turning circle is not realistic in terms of movements of a ship in service. It is also difficult to measure the initial reaction to the rudder accurately in this manoeuvre. On the other hand a ship does often need to turn through angles of 10° to 30°. It is the initial response of the ship to the rudder being put over that can be vital in trying to avoid a collision. This initial response is studied in the zig-zag manoeuvre. In it the ship proceeds on a straight course at a steady speed, a rudder angle of 20° is applied and held until the ship’s head has changed by 20° and then the rudder is reversed to 20° the other way and held until the ship’s head has changed 20° in the opposite direction. The manoeuvre is repeated for different speeds, rudder angles and heading changes.

![Figure 13.3 Zig-zag manoeuvre](image)

The important measurements from the manoeuvre, Figure 13.3, are:

1. The overshoot angle. This is the amount the heading increases by after the rudder is reversed. Large angles would represent a ship in which the helmsman would have difficulty in deciding when to take rudder off to check a turn. Values of 5.5 and 8.5° would be reasonable aims for ships at 8 and 16 knots respectively,
varying roughly with speed. The angle does not depend upon ship length.

(2) The times to the first rudder reversal and the first maximum heading change. It has been suggested (Burcher, 1991) that for reasonable designs, times to change heading by 20° would be of the order of 80 to 30 seconds for a 150 metre ship over the range 6 to 20 knots. The time would be roughly proportional to length.

(3) The steady overshoot angle and the period of the cycle once a steady condition is reached.

The spiral manoeuvre

This is a manoeuvre aimed at giving a feel for a ship’s directional stability. From an initial straight course and steady speed the rudder is put over say 15° to starboard. After a while the ship settles to a steady rate of turn and this is noted. The rudder angle is then reduced to 10° starboard and the new steady turn rate noted. This is repeated for angles of 5°S, 5°P, 10°P, 15°P, 10°P and so on. The resulting steady rates of turn are plotted against rudder angle.

If the ship is stable there will be a unique rate of turn for each rudder angle. If the ship is unstable the plot has two ‘arms’ for the smaller rudder angles, depending upon whether the rudder angle is approached from above or below the value. Within the rudder angles for which

![Figure 13.4 Spiral manoeuvre](image-url)
there is no unique response it is impossible to predict which way the
ship will turn, let alone the turn rate, as this will depend upon other
disturbing factors present in the ocean. The manoeuvre does not give
a direct measure of the degree of stability, although the range of rud-
der angles over which response is indeterminate is a rough guide. To
know the minimum rudder angle needed to ensure the ship turns in
the desired direction is very useful.

**The pull-out manoeuvre**

This manoeuvre (Burhcer, 1991) is also related to the directional stabil-
ity of the ship. The rudder is put over to a certain angle and held until
the ship is turning at a steady rate. The rudder is returned to amidships
and the change in the turn rate with time is noted. For a stable ship the
turn rate will reduce to zero and the ship takes up a new steady straight
line course. A plot of the log of the rate of turn against time is a straight
line after a short transition period. If the ship is unstable the turn rate
will not reduce to zero but there will remain some steady rate of turn.
The area under the plot of turn rate against time gives the total heading
change after the rudder angle is taken off. The smaller this is the more
stable the ship.

If the ship is conducting turning trials it will be in a state of steady turn-
ing at the end of the run. If the rudder is centred the pull-out man-
ouevre can be carried out immediately for that speed and rudder angle.

**MANOEUVRING DEVICES**

**Rudder types**

The rudder is the most common form of manoeuvring device fitted in
ships. Its action in causing the ship to turn has already been discussed.
In this section it is proposed to review briefly some of the more common
types.

*Conventional rudders*

These have a streamlined section to give a good lift to drag ratio
and are of double-plate construction. They can be categorized accord-
ing to the degree of balance. That is how close the centre of pressure
is to the rudder axis. A balanced rudder will require less torque to turn
it. They are termed *balanced, semi-balanced* or *unbalanced*. The other
method of categorization is the arrangement for suspending the rud-
der from the hull. Some have a pintle at the bottom of the rudder,
others one at about mid depth and others have no lower pintle. The last are termed \textit{spade rudders} and it is this type which is most commonly fitted in warships.

Different rudder types are shown in Figures 13.5 to 13.7. The arrangements are self explanatory.

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{figure13_5.png}
\caption{Balanced rudders: (a) Simplex; (b) Spade}
\end{figure}
A number of special rudders have been proposed and patented over the years. The aim is usually to improve the lift to drag ratio achieved. A flap rudder, Figure 13.8, uses a flap at the trailing edge to improve the lift by changing aerofoil shape. Typically, as the rudder turns, the flap goes to twice the angle of the main rudder but in some rudders the flaps can be moved independently. A variant is the Flettner rudder which uses two narrow flaps at the trailing edge. The flaps move so as to assist the main rudder movement reducing the torque required of the steering gear.

In semi-balanced and unbalanced rudders the fixed structure ahead of the rudder can be shaped to help augment the lateral force at the rudder.
Active rudders
These are usually spade type rudders but incorporating a fairied housing with a small electric motor driving a small propeller. This provides a 'rudder' force even when the ship is at rest when the hydrodynamic forces on the rudder would be zero. It is used in ships requiring good manoeuvrability at very low speeds.

The kitchen rudder
This rudder is a two-part tube shrouding the propeller and turning about a vertical axis. For ahead propulsion the two halves of the tube are opened to fore and aft flow. For turning the two halves can be
moved together to deflect the propeller race. The two halves can be moved to block the propeller race and reverse its flow.

**Vertical axis propeller**
This type of propeller is essentially a horizontal disc carrying a number of aerofoil shaped vertical blades. As the disc turns the blades are caused to turn about their vertical axes so that they create a thrust. For normal propulsion the blades are set so that the thrust is fore and aft. When the ship wishes to turn the blades are adjusted so that the thrust is at an angle. They can produce lateral thrust even at low ship speed.

**Cycloidal rudder**
Developed by Voith Schneider as a derivation of their cycloidal, or vertical axis, propeller. Like the propeller, the rudder has a rotor casing with a vertical axis of rotation. This rotor is turned by a reduction gear and can be powered by diesel, gas turbine or electric motor.

The cycloidal rudder has two blades only, each much deeper than the blades of a vertical axis propeller. It has two modes of operation:

1. **Passive.** In this mode the rotor does not rotate continuously but turns through limited angles so that the locked rudder blades develop steering forces in a way similar to a conventional rudder.
Figure 13.10 Vertical axis rudder: (a) Construction; (b) Operation
(2) **Active.** In this mode the rudder is operated like a vertical axis propeller to develop a thrust controllable in magnitude and direction (0 to 360°).

The cycloidal rudder has been shown, by trials, to have good shock resistance and low water borne noise levels.

**Lateral thrust units**

It is sometimes desirable to be able to control a ship’s head and course independently. This situation can arise in mine countermeasure vessels which need to follow a certain path relative to the ground in conditions of wind and tide. Other vessels demanding good positional control are offshore rigs. This leads to a desire to have the ability to produce lateral thrusts at the bow as well as the stern. It has been seen that bow rudders are likely to be ineffective because of their proximity to the neutral point. The alternative is to put a thrust unit, usually a contra-rotating propeller, in a transverse tube. Such devices are called *lateral thrust units* or *bow thrust units* when fitted forward. Their efficiency is seriously reduced by a ship’s forward speed, the thrust being roughly halved at about two knots. Some offshore rigs, and ships needing to hold a precise position over the seabed, have dynamic positional control provided by a number of computer controlled lateral thrust units.

**Rotating propulsion pods**

Many ships, including some very large ones, are now using rotating, or azimuthing, pods to carry their main propulsion propellers. In such cases the vessels can be steered by rotating these units.

**Rudder forces and torques**

(Conventional rudders only are considered here)

**Rudder forces**

Rudders are streamlined to produce high lift with minimum drag. They are symmetrical to produce the same lift characteristics whichever way they are turned. The force on the rudder, $F$, depends upon the cross-sectional shape, area $A$, the velocity $V$ through the water and the angle of attack $\alpha$.

$$F = \text{Const.} \rho AV^2 f(\alpha)$$

The constant depends upon the cross section and the rudder profile, in particular the ratio of the rudder depth to its chord length and the degree of rounding off on the lower corners. The lift is also sensitive to the clearance between the upper rudder surface and the hull. If this is very small the lift is augmented by the mirror image of the rudder in
the hull. $f(\alpha)$ increases roughly linearly with $\alpha$ up to the stall angle which is typically about 35°. $f(\alpha)$ will then decrease.

Various approximate formulae have been proposed for calculating $F$. An early one was:

$$F = 577AV^2 \sin \alpha \text{ newtons}$$

In this an allowance was made for the effect of the propeller race by multiplying $V$ by 1.3 for a rudder immediately behind a propeller and by 1.2 for a centreline rudder behind twin screws. Other formulations based on the true speed of the ship are:

$$F = 21.1AV^2 \alpha \text{ newtons for ahead motion}$$
$$F = 19.1AV^2 \alpha \text{ newtons for astern motion}$$
$$F = 18.0AV^2 \alpha \text{ newtons}$$

The first two were proposed for twin rudders behind twin screws and the third for a centreline rudder behind a single screw. If wind or water tunnel data is available for the rudder cross section this should be used to calculate the lift and the centre of pressure position. Typically the rudder area in merchant ships is between $\frac{1}{60}$ and $\frac{1}{70}$ of the product of length and draught.

**Rudder torques**

To establish the torque needed to turn a rudder it is necessary to find the position on the rudder at which the rudder force acts. That position is the *centre of pressure*. For a rectangular flat plate of breadth $B$ at angle of attack $\alpha$, this can be taken as $(0.195 + 0.305 \sin \alpha)B$ aft of the leading edge. For a typical rudder section it has been suggested (Gawn, 1943) that the centre of pressure for a rectangular rudder can be taken at $K(\text{chord length})$ aft of the leading edge, where:

$$K = 0.35 \text{ for a rudder aft of a fin or skeg, the ship going ahead.}$$
$$\quad = 0.31 \text{ for a rudder in open water.}$$

The open water figure is used for both configurations for a ship going astern.

For a non-rectangular rudder an approximation to the centre of pressure position can be obtained by dividing the rudder into a number of rectangular sections and integrating the individual forces and moments over the total area. This method can also be used to estimate the vertical location of the centre of pressure, which dictates the bending moment on the rudder stock or forces on the supporting pintles.
Example 13.1
A rudder with an area of 20 m² when turned to 35° has the centre of pressure 1.2 m from the stock centreline. If the ship speed is 15 knots, and the rudder is located aft of the single propeller, calculate the diameter of the stock able to take this torque, assuming an allowable stress of 70 MN/m².

Solution
Using the simple formula from above to calculate the rudder force and a factor of 1.3 to allow for the screw race:

\[ F = AV^2 \sin \alpha \]
\[ = 577 \times 20 \times (15 \times 1.3 \times 0.5144)^2 \times \sin 35° \]
\[ = 0.666 \text{ MN} \]

Torque on rudder stock = 0.666 × 1.2 = 0.799 MNm

This can be equated to \( qJ/r \) where \( r \) is the stock radius, \( q \) is the allowable stress, and \( J \) is the second moment of area about a polar axis equal to \( \pi r^4/2 \). Hence

\[ r^3 = 2T/\pi q = \frac{0.799 \times 2}{70\pi} = 0.00727 \]

\[ r = 0.194 \text{ m} \] and diameter of stock = 0.388 m

In practice it would be necessary to take into account the shear force and bending moment on the stock in checking that the strength was adequate. The bending moment and shear forces will depend upon the way the rudder is supported. If astern speeds are high enough the greatest torque can arise then as the rudder is less well balanced for movements astern.

SHIP HANDLING
Several aspects of the handling of a ship are not brought out by the various manoeuvres discussed above.

Handling at low speed
At low speed any hydrodynamic forces on the hull and rudders are small since they vary as the square of the speed. The master must use
other means to manoeuvre the ship, including:

(1) Using one shaft, in a twin shaft ship, to go ahead while the other
goes astern.

(2) When leaving, or arriving at, the dockside a stern or head rope can
be used as a pivot while going ahead or astern on the propeller.

(3) Using the so-called paddle wheel effect which is a lateral force aris-
ing from the non-axial flow through the propeller. The force
acts so as to cause the stern to swing in the direction it would
move had the propeller been a wheel running on a hard surface.
In twin screws the effects generally balance out when both shafts
are acting to provide ahead or astern thrust. In coming alongside
a jetty a short burst astern on one shaft can ‘kick’ the stern in
towards the jetty or away from it depending which shaft is used.

(4) Using one of the special devices described above. For instance a
Kitchen rudder, a vertical axis propeller or a lateral thruster.

**Broaching**

A ship is liable to *broach* when it is travelling at about the same speed as
following or quartering waves, that is when the waves are slowly overtak-
ing it. When in relatively long steep waves a ship can experience an insta-
ibility in yaw which is pronounced when its centre of gravity lies on the
down slope of the wave towards the trough. Towards the trough the wave
forces tend to turn the ship across the waves. If at some point the steer-
ing system is unable to control heading there follows a rapid build up of
deviation from the initial course. As the ship is brought broadside on to
the waves, its forward momentum will induce a large rolling moment.
Often the effect is worsened by a reduction in the hydrostatic stability of
the ship due to the wave forces on the hull. Capsize may result from the
action of a single wave or from the cumulative effects of a series of waves.

Broaching has been well known as a hazard, particularly in small
ships, for a very long time. The mechanisms concerned have only
recently succumbed to analytical treatment because it is a highly non-
linear phenomenon.

**Interaction between ships**

As discussed in the chapter on resistance a ship creates a pressure field
as it moves through the water. The field shows a marked increase in
pressure near the bow and stern with a suction over the central portion
of the ship. This pressure field acts for quite an area around the ship.
Anything entering and disturbing the pressure field will cause a
change in the forces on the ship, and suffer forces on itself. If one ship
passes close to another in overtaking it, the ships initially repel each other. This repulsion force reduces to zero as the bow of the overtaking ship reaches the other ship’s amidships and an attraction force builds up. This is at a maximum soon after the ships are abreast after which it reduces and becomes a repelling force as the two ships part company. When running abreast the ships experience bow outward moments. As they approach or break away they suffer a bow inward moment. Such forces are very important for ships when they are replenishing at sea.

Similar considerations apply when a ship approaches a fixed object. For a vertical canal bank or jetty the ship experiences a lateral force and yaw moment. Open structure jetties will have much less effect than a solid one. In shallow water the reaction is with the sea bed and the ship experiences a vertical force and trimming moment resulting in a bodily sinkage and trim by the stern. This can cause a ship to ground in water which is nominally several feet deeper than the draught (Dand, 1981).

The sinkage is known as squat. This phenomenon has become more important with the increasing size of tankers and bulk carriers. Squat is present even in deep water due to the different pressure field around the ship at speed. It is accentuated, as well as being more significant, in shallow water. In a confined waterway a blockage effect occurs once the ship’s sectional area exceeds a certain percentage of the waterway’s cross section. This is due to the increased speed of the water which is trying to move past the ship.

For narrow channels a blockage factor and a velocity-return factor (Barrass, 1978) have been defined as:

Blockage factor, \( S = \frac{\text{Ship’s breadth} \times \text{draught}}{\text{Canal breadth} \times \text{depth}} = \frac{A_s}{A_c} \)

Velocity-return factor, \( S_v = \frac{A_s}{A_c - A_s} = \frac{S}{1 - S} \)

A formula for estimating squat at speed \( V \) in open or confined water is:

Maximum squat = \( \frac{C_B \times S_v^3 \times V^{2.08}}{30} \) metres

\( C_B \) being the block coefficient.

A simplified formula for open water (Barrass, 1978) is:

Maximum squat = \( \frac{C_B \times V^2}{100} \) metres

Other approximate approaches (Dand, 1977) are to take squat as 10 per cent of the draught or as 0.3 metres for every five knots of speed.
DYNAMIC STABILITY AND CONTROL OF SUBMARINES

Modern submarines can travel at high speed but sometimes their mission requires them to move very slowly. These two speed regimes pose quite different situations as regards their dynamic stability and control in the vertical plane. The submarine’s static stability dominates the low speed performance but has negligible influence at high speed. For motions in the horizontal plane the submarine’s problems are similar to those of a surface ship except that the submarine, when deep, experiences no free surface effects. At periscope depth the free surface becomes important as it affects the forces and moments the submarine experiences, but again mainly in the vertical plane.

A submarine must avoid hitting the sea bed or exceeding its safe diving depth and, to remain covert, must not break surface. It has a layer of water in which to manoeuvre which is only about two or three ship lengths deep. At high speed there is little time to take corrective action should anything go wrong. By convention submarines use the term pitch angle for inclinations about a transverse horizontal axis (the trim for surface ships) and the term trim is used to denote the state of equilibrium when submerged. To trim a submarine it is brought to neutral buoyancy with the centres of gravity and buoyancy in line.

The approach to the problem is like that used for the directional stability of surface ships but bearing in mind that:

1. The submarine is positively stable in pitch angle. So if it is disturbed in pitch while at rest it will return to its original trim angle.
2. The submarine is unstable for depth changes due to the compressibility of the hull.
3. It is not possible to maintain a precise balance between weight and buoyancy as fuel and stores are used up.

The last two considerations mean that the control surfaces must be able to provide a vertical force to counter any out of balance force and moment in the vertical plane. To control depth and pitch separately requires two sets of control surface, the hydroplanes, one forward and one aft.

A mathematical treatment of motions in the vertical plane leads to a number of interesting relationships as shown by Nonweiler (1961). If $M_w$ and $Z_w$ represent the rates at which the hydrodynamic pitching moment ($M$) and vertical force ($Z$) on the submarine vary with the vertical velocity, and $V$ is the speed:

1. The steady path in the vertical plane cannot be a circle unless $BG$ is zero.
2. There is a point along the length at which an applied vertical force causes a depth change but no change in pitch angle. This
point is called the *neutral point* and is the equivalent of the neutral point for horizontal motions, already referred to. The neutral point is $M_w/\lambda_w$ ahead of the centre of gravity.

(3) A second point, known as the *critical point*, is distant $mgBG/VZ_w$ aft of the neutral point. A vertical force applied at the critical point will cause no change of depth but will change the pitch angle. A downward force forward of the critical point will increase depth, a downward force aft of the critical point will reduce depth. Thus at this point there is a reversal of the expected result of applying a vertical force.

(4) As speed drops the critical point moves aft. At some speed, perhaps two or three knots, the critical point will fall on the after hydroplane position. The speed at which this happens is termed the *critical speed*.

![Diagram of Neutral and Critical Points](Chap-13.png)

*Figure 13.11* Neutral and critical points

**MODIFYING THE MANOEUVRING PERFORMANCE**

As with other aspects of ship performance it is difficult, and sometimes dangerous, to generalize on the effect of design changes on a ship’s manoeuvring qualities. This is because so many factors interact and what is true for one form may not be true for another. Broadly however it can be expected that:

(1) Stern trim improves directional stability and increases turning diameter.

(2) A larger rudder can improve directional stability and give better turning.
(3) Decrease in draught can increase turning rate and improve directional stability. This is perhaps due to the rudder becoming more dominant relative to the immersed hull.

(4) Higher length to beam ratios lead to a more stable ship and greater directional stability.

(5) Quite marked changes in metacentric height, whilst affecting the heel during a turn, have little effect on turning rate or directional stability.

(6) For surface ships at a given rudder angle the turning circle increases in diameter with increasing speed but rate of turn can increase. For submarines turning diameters are little affected by speed.

(7) A large skeg aft will increase directional stability and turning circle diameter.

(8) Cutting away the below water profile forward can increase directional stability.

By and large the hull design of both a surface ship and a submarine is dictated by considerations other than manoeuvring. If model tests show a need to change the handling performance, this would normally be achieved by modifying the areas and positions of the control surfaces and skegs, which is usually quite effective.

**UNDERWATER VEHICLES**

Apart from military submarines which are usually quite large there are now many other underwater vehicles, including:

(1) Streamlined vehicles, of moderate size and depth capability, for taking tourists underwater to see the marine life. Generally their handling is similar to larger submarines but their structural strength need not be so high.

(2) Small manned vehicles capable of operating at great depth. In this case, strength is paramount and the capsule carrying the crew may well be spherical for efficiency. They are usually provided with lights, cameras and manipulators to assist in survey of the seabed and wrecks and the retrieval of small items. The finding of the Titanic at the bottom of the Atlantic helped to bring these vehicles to the public notice.

(3) Small unmanned vehicles operated remotely from a mother ship. Usually the mother ship is a surface ship but it can be another, larger, submersible. These vehicles have the advantage
that they can venture into areas that would be regarded as too hazardous for manned vehicles. They are also fitted with cameras and lights and they may have their own manipulators.

The small submersibles generally operate at low speed and they are not streamlined. Indeed they are often simply a framework on which cameras and other equipment are fixed. Control is by means of propellers, some giving vertical, and some horizontal, thrust. If an umbilical is fitted for power and/or control, the drag on the cable is high when the craft is at depth. This will restrict speed still further and the vehicle may experience trouble with holding its position against underwater currents.

SUMMARY

The reasons a ship requires certain levels of manoeuvrability have been discussed and the difficulties in defining any standard parameters for studying the matter pointed out. Various standard manoeuvres used in defining a vessel’s directional stability and turning performance have been described. A number of rudder types and other devices for manoeuvring ships have been reviewed. The special case of a submarine and submersibles moving in three dimensions has been touched upon together with the action of the control forces in controlling pitch angle and depth.
Anyone who has been at sea in rough weather will be only too aware that a ship is heavily loaded and strained. It moves about quite violently and the structure groans as the parts move relative to each other. Looking at the waves causing the motion the impression is one of utter confusion. The individual will have become aware of two fundamental difficulties facing a naval architect, those of identifying the loading to which the structure is subjected and of calculating its response to that loading. The task of assessing the adequacy of a ship’s structure is perhaps the most complex structural engineering problem there is. The stresses generated in the material of the ship and the resulting deformations must both be kept within acceptable limits by careful design and each element of the structure must play its part. There is generally no opportunity to build a prototype and the consequences of getting things wrong can be catastrophic.

Many local strength problems in a ship can be solved by methods employed in general mechanical or civil engineering provided the boundary conditions are adequately defined. This chapter concentrates on the peculiarly naval architectural problem of the strength of a hull in still water and in waves. From a consideration of the overall strength and loading of the hull it is possible to consider the adequacy of the strength of its constituent parts, the plating and grillages. The global calculations indicate stresses or strains acting in local areas to be taken into account in designing local details.

The complete structural problem is a dynamic one but, as with many other aspects of naval architecture, the situation in calm water is considered first. Even in this state the ship is subject to the forces of hydrostatic pressure and the weight of the ship and all it carries. Indeed, care is necessary when loading ships in port to ensure that the structure is not overloaded. Ships have been lost in harbour. In 1994 the OBO carrier *Trade Daring*, a ship of 145 000 dwt, broke in half while loading iron and manganese ore. Although this was a relatively old ship the lesson is there to be learnt.
A ship’s ability to withstand very high occasional loading is ensured by designing to stress levels which are likely to be met perhaps only once in the life of the ship. Failures in ship structures are much more likely to be due to a combination of fatigue and corrosion. These cumulative failure mechanisms are increasingly determining the ship structure and its likely useful life span.

MODES OF FAILURE

To provide some logical progression through this difficult topic it is instructive to consider first the various ways in which a ship’s structure may fail and the possible consequences. Although of rather complex make-up, the ship is essentially an elastic beam floating on the water surface and subject to a range of fluctuating and quasi-steady loads. Those loads will generate bending moments and shear forces which may act over the ship as a whole or be localized. The former will include the action of the sea. The latter will include the forces on heavy items composed of gravity forces and dynamic forces due to the accelerations imparted by the ship’s motion. Then there is the thrust due to the main propulsion forces.

Failure can be said to occur when the structure can no longer carry out its intended function. If, in failing, one element merely sheds its load on to another which can withstand it there is usually no great safety problem although remedial action may be necessary. If, however, there is a ‘domino’ effect and the surrounding structural elements fail in their turn the result can be loss of the ship. Failure may be due to the structure:

1. Becoming distorted due to being strained past the yield point. This will lead to permanent set and the distortion may lead to systems being unable to function. For instance, the shafts may be unable to turn because the bearings are out of alignment.

2. Cracking. This occurs when the material can no longer sustain the load applied and it parts. The loading may exceed the ultimate strength of the material or, more likely, failure is due to fatigue of the material leading to a crack and then fracture. Even where the crack does not lead to complete fracture it can lead to leakage and thus cause the structure to fail in one of its intended functions.

3. Instability. Very large deflections can occur under relatively light loads. In effect the structure behaves like a crippled strut.

The approach, then, to a study of a ship’s structural strength is to assess the overall loading of the hull, determine the likely stresses and strains
this engenders and the ability of the main hull girder to withstand them. Then local forces can be superimposed on the overall effects to ensure that individual elements of the structure are adequate and will continue to play their part in the total structure.

**Stresses**

Whilst stress can be used as the yardstick by which to judge some aspects of failure it is not adequate for all. However it is appropriate to consider the stresses in a hull and how realistic calculations of them might be.

The first problem encountered is that due to the manufacturing process the ship has *built-in stresses*. In particular the rolling of the basic structural elements and their subsequent welding into the hull will induce strain and stress. The welding process can also introduce imperfections which act as discontinuities and cause stress concentrations, hence the importance of radiographic examination of welds to identify significant defects for remedial action. The resulting stresses can be high enough to cause local yielding of the material causing a redistribution of load locally. There will remain certain strains which are an unknown quantity but which will add to the probability of failure under extra applied loads, particularly in fatigue. Modern welding methods and greater accuracy of build geometry can reduce the levels of built-in strain but they do remain.

The next problem is the sheer complexity of the loading patterns and of the ship structure. Whilst modern research and computer methods provide an ability to deal with more and more complexity, some simplification of the load and structure is still needed. A simple example will illustrate this. Finite element analysis, which is discussed in outline later, is a very powerful tool but the finer the mesh used in way of a discontinuity, say the tip of a crack, the higher the stress obtained by calculation. In the limit it becomes infinite. Clearly some yielding will take place but the naval architect is left with the task of deciding what is acceptable. This can be determined by comparing theory with model or full scale experiments. Thus, as in the powering of ships, model tests have an important role to play in improving our understanding of structural strength and modes of failure.

Traditionally the naval architect has treated the problem of overall hull strength as an equivalent static one, making fairly gross simplifications and then relying upon a comparison with the results of corresponding calculations for previously successful ships. This had the merit that although the stresses derived were nominal, and might bear no relation to the actual stresses, the new ship was likely to be satisfactory in service provided it did not differ significantly from the ships with
which it was compared. The big drawback of the method was that it was a 'play safe' one. It could not tell the designer whether the new ship was grossly overdesigned or close to the limit of what was acceptable. Also novel hull forms cannot safely be compared with past forms and using new materials will complicate the comparative methods. The growing importance of ensuring structural weight is kept to a minimum has driven the naval architect to adopt more realistic design methods as they have become available. Even these, however, must be used with some caution because they cannot yet take account of every factor affecting the problem.

NATURE OF THE SHIP'S STRUCTURE

Some ships are made from glass reinforced plastics but the vast majority are of steel with possibly some aluminium in the superstructure areas. The following remarks relate to metal ships although GRP ships obey the same general principles. The complete structure is composed of panels of plating, normally rectangular and supported on the four edges. They are subject to normal and in plane loads. Together with their supporting stiffeners in the two directions, a group of plating elements become a grillage which may be nominally in one plane or curved in one or two directions. Grillages are combined to create the hull, decks and bulkheads, all mutually supportive. Additional support is provided by pillars and strong frameworks, for instance hatch coamings.

Since, as will be seen, the major forces the hull must withstand are those due to longitudinal bending, the ship structure must be such that much of the material is disposed in the fore and aft direction. That is, the hull is primarily longitudinally structured, whilst taking account of transverse strength needs. The principal longitudinal elements are the decks, shell plating, inner bottom all of which are in the form of grillages, and additional longitudinal strengthening to these. The plating itself is relatively thin and the spacing of the stiffeners must be such as to prevent buckling. The transverse stiffening on decks, the beams, and on the side shell, the side frames, is usually by a variety of rolled sections. Transverse stiffening in the bottom consists of vertical plates, known as floors, extending from the outer to the inner bottom. Longitudinal stiffening of the bottom is by rolled section or plating called longitudinal girders or simply longitudinals. The central longitudinal keel girder is one of considerable importance. It is continuous fore and aft, extending from the flat keel to the tank top or inner bottom. Sided longitudinal girders are intercostal. That is, they are cut at each floor and welded to them. The resulting 'egg box' type construction of the double bottom
is a very strong one and is capable of taking large loads such as those during docking and of resisting the loads caused by running aground.

Generally ships now use a longitudinal system of stiffening. Most warships have used it for many years. It was adopted in some merchant ships quite early, for example in the Great Eastern, but then gave way to transversely framed structures known as the Isherwood System (Isherwood, 1908). It consists of stiffening decks, side and bottom by longitudinal members the spacing being approximately equal to the spacing of beams and frames in transversely framed ships. In turn the longitudinals are supported by deep transverse members at a spacing of about 3 to 4 metres. These and the transverse bulkheads provide the necessary transverse strength.

The original Isherwood system was applied to tankers. The restriction in cargo space due to the deep transverses made it less popular for dry cargo ships. However, it is now most usual in these ships to find the decks and bottom longitudinally stiffened and the side structure transversely stiffened (Murrey, 1965; Meek et al., 1972; McCallum, 1974; Yuille and Wilson, 1960).

If decks, stiffened by transverse beams, were supported only at the sides of the ship, they would need to be very strong to carry the loads. Their dimensions, or scantlings, would become large. Introducing some support at intermediate positions reduces the span of the beams and hence their strength requirement and leads to a more efficient structure in terms of strength to weight ratio. This could be done by pillars but these restrict access in the holds. Usually heavy longitudinal members are used supported in turn by a few pillars and heavy transverse members at the hatches. The hatch end beams are themselves supported by longitudinal centreline bulkheads clear of the hatch opening. In areas which are predominantly longitudinally stiffened, deep transverse members are used for support.

Most structural elements contribute to the overall strength of the ship girder and have some local strength function as well. For instance the bottom and side shell must sustain water pressures normal to their surfaces, acting as struts with end and lateral loading. Side structure must withstand the loads due to coming alongside a jetty. Decks and bulkheads must withstand the weight of equipments mounted on them.

FORCES ON A SHIP

**Forces on a ship in still water**

The buoyancy forces acting on a ship must equal in total the sum of the weight of the ship. However, over any given unit length of the hull the
forces will not balance out. If the mass per unit length at some point is \( m \) and the immersed cross-sectional area is \( a \), then at that point:

\[
\begin{align*}
\text{buoyancy per unit length} &= \rho g a \\
\text{the weight per unit length} &= mg \\
\text{Hence the net force per unit length} &= \rho g a - mg
\end{align*}
\]

If this net loading is integrated along the length there will be, for any point, a force tending to shear the structure such that:

\[
\text{Shear force, } S = \int (\rho g a - mg) \, dx
\]

the integration being from one end to the point concerned.

Integrating a second time gives the longitudinal bending moment. That is:

\[
\text{Longitudinal bending moment, } M = \int S \, dx = \int \int (\rho g a - mg) \, dx \, dx
\]

Put the other way, load per unit length = \( dS/dx = d^2 M/dx^2 \).

For any given loading of the ship the draughts at which it floats can be calculated. Knowing the weight distribution, and finding the buoyancy distribution from the Bonjean curves, gives the net load per unit length. Certain approximations are needed to deal with distributed loads such as shell plating. Also the point at which the net force acts may not be in the centre of the length increment used and typically the weight distribution at any point is assumed to have the same slope as the curve of buoyancy plotted against length. However, these approximations are not usually of great significance and certain checks can be placed upon the results. First the shear force and bending moment must be zero at the ends of the ship. If after integration there is a residual force or moment this is usually corrected arbitrarily by assuming the difference can be spread uniformly along the ship length. From the relationships deduced above when the net load is zero the shear force will have a maximum or minimum value and the moment curve will show a point of inflexion. Where net load is a maximum the shear force curve has a point of inflexion. Where shear force is zero, the bending moment is a maximum or minimum. Besides causing stresses in the structure the forces acting cause a deflection of the ship longitudinally. By simple beam theory it can be shown that the deflection \( y \) at any point is given by the equation:

\[
EI \times \frac{d^2 y}{dx^2} = \text{bending moment.}
\]
When the ship is distorted so as to be concave up it is said to **sag** and the deck is in compression with the keel in tension. When the ship is convex up it is said to **hog**. The deck is then in tension and the keel in compression.

High still water forces and moments, besides being bad in their own right, are likely to mean high values in waves as the values at sea are the sum of the still water values and those due to a superimposed wave. The still water values can be used to determine which are likely to be stressful ship loading conditions.

The static forces of weight and buoyancy also act upon a transverse section of the ship as shown in Figure 14.1.

![Figure 14.1 Loads on ship section](image)

The result is a transverse distortion of the structure which the structure must be strong enough to resist. In addition these forces can produce a local deformation of structure. The hydrostatic loads tend to dish plating between the supporting frames and longitudinals. The deck grillages must support the loads of equipment and cargo.

Thus there are three contributions made by items of structure, namely to the longitudinal, transverse and local strength. Longitudinal strength in a seaway is considered first.

**Forces on a ship in a seaway**

The mass distribution is the same in waves as in still water assuming the same loading condition. The differences in the forces acting are the buoyancy forces and the inertia forces on the masses arising from the motion accelerations, mainly those due to pitch and heave. For
the present the inertia forces are ignored and the problem is treated as a quasi-static one by considering the ship balanced on a wave. The buoyancy forces vary from those in still water by virtue of the different draughts at each point along the length due to the wave profile and the pressure changes with depth due to the orbital motion of the wave particles. This latter, the *Smith effect* referred to when discussing the trochoidal wave, is usually ignored these days in the standard calculation to be described next. Ignoring the dynamic forces and the Smith effect does not matter as the results are used for comparison with figures from previous, successful, ships calculated in the same way with the same assumptions.

The standard calculation is one that was used for many years. Nowadays the naval architect can extend the programs for predicting ship motion to give the forces acting on the ship. Such calculations have been compared with data from model experiments and full scale trials and found to correlate quite well.

**The standard static longitudinal strength approach**

The ship is assumed to be poised, in a state of equilibrium, on a trochoidal wave of length equal to that of the ship. Clearly this is a situation that can never occur in practice but the results can be used to indicate the maximum bending moments the ship is likely to experience in waves. The choice of wave height is important. To a first order it can be assumed that bending moments will be proportional to wave height. Two heights have been commonly used $L/20$ and $0.607 (L)^{0.5}$ where $L$ is in metres. In recent years the latter has been more generally used because it was felt to represent more closely the wave proportions likely to be met in deep oceans. Steeper waves have been used for smaller vessels operating in areas such as the North Sea. It is a matter for the naval architect to decide in the light of the intended service areas of the ship.

![Sagging and Hogging](image)

*Figure 14.2* Ship on wave
Two conditions are considered, one with a wave crest amidships and the other with wave crests at the ends of the ship. In the former the ship will hog and in the latter it will sag. In some cases in the past the hogging and sagging was exaggerated by modifying the mass distribution. There was little point as the calculation was a comparative one only and the resulting condition was an artificial one. It has not been done for some years. By moving the ship to various positions in relation to the wave crest the cycle of bending moment experienced by the ship can be computed.

The bending moments obtained include the still water moments. It is useful to separate the two as, whilst the still water bending moment depends upon the mass distribution besides the buoyancy distribution, the bending moment due to the waves themselves depends only on the geometry of the ship and wave.

First the ship must be balanced on the wave. This is not easy and can involve a number of successive approximations to the ship’s attitude before the buoyancy force equals the weight and the centre of buoyancy is in line with the centre of gravity. One method of facilitating the process was proposed by Muckle (1954). Assume now that a balance has been obtained and the buoyancy and mass distribution curves are as shown in Figure 14.3.

![Buoyancy and mass distributions](Image)

*Figure 14.3* Bouyancy and mass distributions

If $A$ is the cross-sectional area at any point, allowing for the wave profile, the net load per unit length at that point is $\rho gA - mg$, from which the shearing force and bending moment are:

$$F = \int(\rho gA - mg)dx$$

$$M = \int Fdx = \int\int(\rho gA - mg)dx
dx$$

The integrals are evaluated by dividing the ship into a number of sections, say 40, calculating the mean buoyancy and weight per unit length
in each section, and evaluating the shearing force and bending moment by approximate integration.

*Shearing force and bending moment curves*

Typical curves are shown in Figure 14.4. Both shearing force and bending moment must be zero at the ends of the ship. The shearing force rises to a maximum value at points about a quarter of the length from the ends and is zero near amidships. The bending moment curve rises to a maximum at the point where the shearing force is zero, and has points of inflexion where the shearing force has a maximum value.

![Figure 14.4 Shearing force and bending moment](image)

The influence of the still water bending moment on the total moment is shown in Figure 14.5. For a ship with a given total mass and still water draughts, the wave sagging and hogging moments are effectively constant for a given wave. If the still water moment is changed by varying the mass distribution the total moment alters by the same

![Figure 14.5 Still water and wave bending moments](image)
amount. Whether the greater bending moment occurs in sagging or hogging depends on the type of ship depending, inter alia, upon its block coefficient. At low block coefficients the sagging bending moment is likely to be greater than the hogging, the difference reducing as block coefficient increases.

**Example 14.1**

Consider a vessel of constant rectangular cross section, 140 m long, 20 m beam and 13 m deep, with total mass 25,830 tonnes, 20,830 of which is uniformly spread over the length and the rest distributed uniformly over the central 10 m. Calculate the bending moments and shearing forces.

![Wave buoyancy](sagging)

![Wave buoyancy](hogging)

Still water buoyancy

Figure 14.6

**Solution**

The mass distribution curve will be as in Figure 14.6 and the maximum bending moment will be at amidships.

The still water buoyancy distribution will be constant at

\[
\text{The still water buoyancy} = \frac{25,830}{140} = 184.5 \text{ t/m}
\]

The \(BM\) due to buoyancy

\[
\text{The } BM \text{ due to buoyancy} = \frac{25,830}{2} \times \frac{140}{4} \times \frac{9.81}{1000}
\]

\[
= 4434 \text{ MNm}
\]

The \(BM\) due to weight

\[
\text{The } BM \text{ due to weight} = \left( \frac{20,830}{2} \times \frac{140}{4} + \frac{5000}{2} \times \frac{5}{2} \right) \times \frac{9.81}{1000}
\]

\[
= 3637 \text{ MNm}
\]

The net \(BM = 797 \text{ MNm sagging moment.}\)
Suppose now that the vessel is poised on a sinusoidal wave equal to its own length and of height $0.607(L)^{0.5}$, that is a wave 140 m long and 7.2 m high. The wave height at any point above the still water level, when the wave crests are at the ends, is:

$$h = \frac{7.2}{2} \cos \frac{2\pi x}{140}$$

and:

$$\text{Buoyancy per metre} = 1.025 \times 20 \times 3.6 \times 9.81 \times 10^{-3} \cos \frac{2\pi x}{140}$$

$$= 0.724 \cos \frac{2\pi x}{140} \text{ MN/m}$$

By integration, the wave shearing force is:

$$F = \int 0.724 \cos \frac{2\pi x}{140} \, dx$$

$$= 0.724 \times \frac{140}{2\pi} \sin \frac{2\pi x}{140} + \text{a constant.}$$

Since $F$ is zero at $x = 0$, the constant is zero.

Integrating again the bending moment is:

$$M = \int 0.724 \times \frac{140}{2\pi} \sin \frac{2\pi x}{140} \, dx$$

$$= -0.724 \times \frac{140^2}{4\pi^2} \cos \frac{2\pi x}{140} + \text{a constant.}$$

Since $M = 0$ at $x = 0$, the constant $= 0.724 \times 140^2/4\pi^2 = 359$ and:

$$M = 359 \left(1 - \cos \frac{2\pi x}{140}\right)$$

Putting $x = 70$ the wave bending moment at amidships is found to be 718 MNm sagging. With the wave crest amidships the wave moment
would be of the same magnitude but hogging. The total moments are obtained by adding the still water and wave moments, giving:

\[
\text{Sagging} = 797 + 718 = 1515 \text{MNm} \\
\text{Hogging} = 797 - 718 = 79 \text{MNm}
\]

Had the mass of 5000 tonnes been distributed uniformly over the whole ship length the still water bending moment would have been zero, giving equal sagging and hogging bending moments of 718 MNm.

A designer often has to make small changes to an initial design or an operator makes changes to the loading. Rather than carry out a full repeat calculation, the influence of small weight changes can be demonstrated by influence lines.

**Influence lines**

The ship will not often be in the condition assumed in the standard calculation. It is useful for a designer or an operator to be able to assess readily the effects on longitudinal strength of additions or removals of weight relative to the standard distribution. For small weight changes, influence lines can be used to show the effect on the maximum bending moment due to a unit weight added at any point along the length. Lines are drawn for the hogging and sagging conditions. The lines are found by taking a unit weight at some point along the length and calculating the parallel sinkage and trim this causes to the ship balanced on the wave. It can be shown that if the maximum bending moment occurs at \( k \) and the centre of flotation is at \( f \) aft of amidships respectively, the increase in maximum bending moment per unit weight can be represented by two straight lines as in Figure 14.7 in which \( E = I_0/I \)

\[
\begin{align*}
\delta M &= \frac{L(1 - E)}{2} - (F + k) + Ef \\
E &= \frac{EL}{2} - F + Ef \\
-(F + Ek) + Ef
\end{align*}
\]

*Figure 14.7 Influence lines*
and \( F = \frac{M_A}{A} \), and \( M_A \) and \( I_A \) are the first and second moments of the area of the waterplane about an axis at the point of maximum bending moment. \( A \) and \( I \) are the area and least second moment of area of the complete waterplane.

In this approximation the centre of flotation and the point of maximum bending moment are assumed to be close, and \( k \) and \( f \) are positive if aft of amidships. The ordinate at any point in Figure 14.7 represents the increase in the maximum bending moment if a small unit weight is added at that point.

**Response of the structure**

Having determined the shear forces and bending moments it is necessary to find the stresses in the structure and the overall deflection of the hull. For a beam in which the bending moment at some point \( x \) from one end is \( M \), the stress \( f \) at \( z \) from the neutral axis of the section is given by:

\[
 f = \frac{M_z}{I} = \frac{M}{Z}
\]

where \( I \) is the second moment of area about the neutral axis of the section at \( x \) and \( Z = I/z \) is called the section modulus.

The maximum stresses will occur when \( z \) is a maximum, that is at the top and bottom of the section. They will be equal if the neutral axis is at mid-depth of the section.

This relationship was derived for beams subject to pure bending and in which plane sections remained plane. Although a ship’s structure is much more complex, applying the simple formula has been found to give reasonable results.

**SECTION MODULUS**

A value of the section modulus, at any point along the length of the ship, is needed to convert bending moments into stresses.

**Calculation of section modulus**

The first section modulus to be considered is that for amidships as it is in that area that the maximum bending moments are likely. Two cases have to be considered. The first is when all the material is the same. The second is when different materials are present in the section.
To contribute effectively to the section modulus, material in the cross-section must be continuous for a reasonable length fore and aft. Typically the members concerned are the side and bottom plating, keel, deck plating, longitudinal deck and shell stiffeners and any longitudinal bulkheads. The structure must not be such that it is likely to buckle under load and fail to take its fair share of the load. Because wide panels of thin plating are liable to shirk their load it is usual to limit the plating contribution to 70 times its thickness if the stiffener spacing is greater than this. Having decided which structural elements will contribute, the section modulus is calculated in a tabular form.

An assumed neutral axis (ANA) is chosen at a convenient height above the keel. The area of each element above and below the ANA, the first and second moments about the ANA and the second moments about each element’s own centroid are calculated. The differences of the first moments divided by the total area gives the distance of the true NA from the ANA. The second moments of area give the moment of inertia about the ANA and this can be corrected for the position of the true NA. This is illustrated for the diagrammatic cross section shown in Figure 14.8, noting that the second moments of thin horizontal members about their own centroids will be negligible.

![Diagram](https://example.com/diagram.png)

It will be noted that material in the centre of the top two decks is not included. This is to compensate for the large hatch openings in these decks. Because of the ship’s symmetry about its middle line, it is adequate to carry out the calculation for one side of the ship and then double the resulting answer. In this example the ANA has been taken at the keel.
Table 14.1 Calculation of properties of simplified section in Figure 14.8

<table>
<thead>
<tr>
<th>Item</th>
<th>Scantlings</th>
<th>Area (m²)</th>
<th>Lever about keel (m)</th>
<th>Moment about keel (m³)</th>
<th>Second moment about own centroid (m⁴)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Upper deck</td>
<td>6 × 0.022</td>
<td>0.132</td>
<td>13</td>
<td>1.716</td>
<td>22.308</td>
</tr>
<tr>
<td>Second deck</td>
<td>6 × 0.016</td>
<td>0.096</td>
<td>10</td>
<td>0.960</td>
<td>9.600</td>
</tr>
<tr>
<td>Side shell</td>
<td>13 × 0.014</td>
<td>0.182</td>
<td>6.5</td>
<td>1.183</td>
<td>7.690</td>
</tr>
<tr>
<td>Tank top</td>
<td>10 × 0.018</td>
<td>0.180</td>
<td>1.5</td>
<td>0.270</td>
<td>0.405</td>
</tr>
<tr>
<td>Bottom shell</td>
<td>10 × 0.020</td>
<td>0.200</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Centre girder</td>
<td>1.5 × 0.006</td>
<td>0.009</td>
<td>0.75</td>
<td>0.007</td>
<td>0.005</td>
</tr>
<tr>
<td>Summations</td>
<td>0.799</td>
<td>4.136</td>
<td>40.008</td>
<td>2.565</td>
<td></td>
</tr>
</tbody>
</table>

From Table 14.1, the height of the NA above the keel
\[ \frac{4.136}{0.799} = 5.18 \text{ m} \]

Second moment of area of half section about keel
\[ 40.008 + 2.565 = 42.573 \text{ m}^4 \]

and about the actual NA
\[ 42.573 - 0.799 (5.18)^2 = 21.163 \text{ m}^4 \]

For the whole section the Z values are:

\[ Z_{\text{deck}} = \frac{42.326}{7.82} = 5.41 \text{ m}^3 \]

\[ Z_{\text{keel}} = \frac{42.326}{5.18} = 8.17 \text{ m}^3 \]

If the bending moments for this structure are those calculated in Example 14.1, the stresses can be found. The still water stresses are:

In the deck \[ \frac{797}{5.41} = 147.3 \text{ MN/m}^2 \]

In the keel \[ \frac{797}{8.17} = 97.6 \text{ MN/m}^2 \]
The wave bending stresses are:

In the deck = \( \frac{718}{5.41} = 132.7 \text{ MN/m}^2 \)

In the keel = \( \frac{718}{8.17} = 87.9 \text{ MN/m}^2 \)

This gives total stresses in the deck of 280.0 or 14.6 \text{ MN/m}^2 compression, and in the keel stresses of 185.5 or 9.7 \text{ MN/m}^2 tension, depending upon whether the ship is sagging or hogging.

The sagging stresses would be too high for a mild steel ship and some action would be needed. One way would be to spread the central 5000 tonne load uniformly over the whole ship length. This would reduce the stresses to 132.7 \text{ MN/m}^2 in the deck and 87.9 \text{ MN/m}^2 in the keel. If it was desired to increase the section modulus to reduce the stresses, the best place to add material would be in the keel or upper deck, whichever had the higher stress. That is, to add material as far from the NA as possible. However, the change in the lower of the two original stresses must be watched. The general problem of adding material to a cross section is discussed later.

**Sections with two materials**

Some ships’ strength cross section is composed of two different materials. Typically the hull may be steel and the superstructure aluminium. Other materials used may be wood or reinforced plastic. In such a case it is convenient to think in terms of an effective modulus in one of the materials. Usually this would be in terms of steel.

The stress, \( \sigma \), in a beam at a point \( z \) from the NA is \( Ez/R \), where \( R \) is the radius of curvature. Provided transverse sections of the beam or ship remain plane, this relationship will hold as the extension or strain at any given \( z \) will be the same. For equilibrium of the section, the net force across it must be zero. Hence using subscripts s and a for steel and aluminium:

\[
\sum (\sigma_s A_s + \sigma_a A_a) = 0 \quad \text{and} \quad \sum \left( \frac{E_s A_s z_s}{R} \right) + \left( \frac{E_a A_a z_a}{R} \right) = 0
\]

that is:

\[
\sum \left( A_s z_s + \frac{E_s}{E_s} \right) A_s z_s = 0
\]
The corresponding bending moment is:

\[ M = \sum (\sigma_A A_z^2 + \sigma_s A_a z_a) \]
\[ = \frac{E_s}{R} \sum \left( A_z^2 + \frac{E_s}{E_s} A_a z_a^2 \right) \]
\[ = \frac{E_s I_E}{R} \]

where \( I_E \) is the effective second moment of area.

The composite cross-section can therefore be considered made up of material \( s \), usually steel, if an effective area of material \( a \) is used in place of the actual area. The effective area is the actual multiplied by the ratio \( E_a/E_s \). For different steels the ratio is effectively unity, for aluminium alloy/steel it is about \( \frac{1}{3} \) and for grp/steel it is about \( \frac{1}{10} \).

**Changes to section modulus**

It is often desirable to change the section modulus in the early design stages. The effect of changes is not always immediately obvious. Consider the addition of an element of structure above the neutral axis, but below the upper deck, as in Figure 14.9. Assume the element is of area \( a \) and that the original section had area \( A \), and radius of gyration \( k \). With the addition of \( a \) the NA is raised by \( az/(A+a) = \delta z \).

![Figure 14.9 Changing section modulus](Image)

The new second moment of inertia is:

\[ I + \delta I = I + az^2 - (A + a) \left( \frac{az}{A + a} \right)^2 \quad \text{and} \quad \delta I = \frac{Aaz^2}{A + a} \]
For a given bending moment, the stresses will not increase if the section modulus is not reduced. This condition is that:

\[
\frac{I + \delta I}{z + \delta z} - \frac{I}{z} \text{ is greater than zero, that is } \frac{\delta I}{I} \text{ is greater than } \frac{\delta z}{z}
\]

As depicted \( \delta I \) is positive and \( \delta z/z_2 \) is negative so \( \delta I/I \) is always greater than \( \delta z/z \) at the deck provided the material is added within the section. At the keel the condition becomes:

\[
\frac{Aaz^2}{Ak^2 (A + a)} \text{ must be greater than } az/z_1 (A + a) \text{ or } z \text{ must be greater than } k^2/z_1
\]

Thus to achieve a reduction in keel stress the material must be added at a height greater than \( k^2/z_1 \) from the neutral axis.

Corresponding relationships can be worked out for material added below the neutral axis. If the new material is added above the upper deck then the maximum stress will occur in it rather than in the main deck. It can be shown that in this case there is a minimum area that must be added at any given height in order to reduce the stress in the deck.

**SUPERSTRUCTURES**

Superstructures and deckhouses are major discontinuities in the ship girder. They contribute to the longitudinal strength but will not be fully efficient in so doing. They should not be ignored as, although this would ‘play safe’ in calculating the main hull strength, it would run the risk that the superstructure itself would not be strong enough to take the loads imposed on it at sea. Also they are potential sources of stress concentrations, particularly at their ends, which should be above a transverse bulkhead and not close to highly stressed areas.

A superstructure is joined to the main hull at its lower boundary. As the ship sags or hogs this boundary becomes compressed and extended respectively. Thus the superstructure tends to be arched in the opposite sense to the main hull. If the two structures are not to separate, there will be shear forces due to the stretch or compression and normal forces trying to keep the two in contact.

The ability of the superstructure to accept these forces, and contribute to the section modulus for longitudinal bending, is regarded as an efficiency. It is expressed as:

\[
\text{Superstructure efficiency} = \frac{\sigma_0 - \sigma_a}{\sigma_0 - \sigma}
\]
where $\sigma_0$, $\sigma_s$, and $\sigma$ are the upper deck stresses if no superstructure were present, the stress calculated and that for a fully effective superstructure.

The efficiency of superstructures can be increased by making them long, extending them the full width of the hull, keeping their section reasonably constant and paying careful attention to the secureings to the main hull. Using a low modulus material for the superstructure, for instance GRP, can ease the interaction problems. With a Young’s modulus of the order of $\frac{1}{10}$ of that of steel, the superstructure makes little contribution to the longitudinal strength. In the past some designers have used expansion joints at points along the length of the superstructure. The idea was to stop the superstructure taking load. Unfortunately they also introduce a source of potential stress concentration and are now avoided.

Nowadays a finite element analysis would be carried out to ensure the stresses were acceptable where the ends joined the main hull. A typical mesh is shown in Figure 14.10.

![Figure 14.10 Superstructure mesh (courtesy RINA)](image)

**Example 14.2**

The midship section of a steel ship has the following particulars:

- Cross-sectional area of longitudinal material = 2.3 m$^2$
- Distance from neutral axis to upper deck = 7.6 m
- Second moment of area about the neutral axis = 58 m$^4$
A superstructure deck is to be added 2.6 m above the upper deck. This deck is 13 m wide, 12 mm thick and is constructed of aluminium alloy. If the ship must withstand a sagging bending moment of 450 MNm, calculate the superstructure efficiency if, with the superstructure deck fitted, the stress in the upper deck is measured as 55 MN/m².

Solution
Since this is a composite structure, the second moment of an equivalent steel section must be found first. The stress in the steel sections can then be found and, after the use of the modular ratio, the stress in the aluminium.

Taking the Young’s modulus of aluminium as 0.322 that of steel, the effective steel area of the new section is:

\[
2.3 + (13 \times 0.012)0.322 = 2.35 \text{ m}^2
\]

The movement upwards of the neutral axis due to adding the deck:

\[
(13 \times 0.012) (7.6 + 2.6) \frac{0.322}{2.35} = 0.218 \text{ m}
\]

The second moment of the new section about the old NA is:

\[
58 + 0.322 (13 \times 0.012) (7.6 + 2.6)^2 = 63.23 \text{ m}^4
\]

The second moment about the new NA is:

\[
63.23 - 2.35(0.218)^2 = 63.12 \text{ m}^4
\]

The distance to the new deck from the new NA = \(7.6 + 2.6 - 0.218 = 9.98\) m

Stress in new deck (as effective steel) = \(\frac{450 \times 9.98}{63.12} = 71.15 \text{ MN/m}^2\)

Stress in deck as aluminium = \(0.322 \times 71.15 = 22.91 \text{ MN/m}^2\)

The superstructure efficiency relates to the effect of the superstructure on the stress in the upper deck of the main hull. The new stress in that deck, with the superstructure in place, is given as 55 MN/m². If the superstructure had been fully effective it would have been:

\[
\frac{450(7.6 - 0.218)}{63.12} = 52.63 \text{ MN/m}^2
\]
With no superstructure the stress was  

\[
\frac{450 \times 7.6}{58} = 58.97 \text{ MN/m}^2
\]

Hence the superstructure efficiency

\[
\frac{58.97 - 55}{58.97 - 52.63} = 62.6\%.
\]

STANDARD CALCULATION RESULTS

Stresses associated with the standard calculation

The arbitrary nature of the standard strength calculation has already been discussed. Any stresses derived from it can have no meaning in absolute terms. That is they are not the stresses one would expect to measure on a ship at sea. Over the years, by comparison with previously successful designs, certain values of the derived stresses have been established as acceptable. Because the comparison is made with other ships, the stress levels are often expressed in terms of the ship’s principal dimensions. Two formulae which although superficially quite different yield similar stresses are:

\begin{align*}
(1) & \quad \text{Acceptable stress} = 77.2 \left( \frac{L}{304.8} + 1 \right) \text{ MN/m}^2 \text{ with } L \text{ in metres.} \\
(2) & \quad \text{Acceptable stress} = 23(L)^{\frac{1}{3}} \text{ MN/m}^2
\end{align*}

Until 1960 the classification societies used tables of dimensions to define the structure of merchant ships, so controlling indirectly their longitudinal strength. Vessels falling outside the rules could use formulae such as the above in conjunction with the standard calculation but would need approval for this. The societies then changed to defining the applied load and structural resistance by formulae. Although stress levels as such are not defined they are implied. In the 1990s the major societies agreed, under the International Association of Classification Societies (IACS), a common standard for longitudinal strength. This is based on the principle that there is a very remote probability that the load will exceed the strength over the ship’s lifetime.

The still water loading, shear force and bending moment are calculated by the simple methods already described. To these are added the
wave induced shear force and bending moments represented by the formulae:

\[
\text{Hogging BM} = 0.19MCL^2BC_b \text{kN m}
\]
\[
\text{Sagging BM} = -0.11MCL^2B(C_b + 0.7) \text{kN m}
\]

where dimensions are in metres and:

\[C_b \geq 0.6\]

and \[C = 10.75 - \left(\frac{300 - L}{100}\right)^{1.5}\] for \(90 \leq L \leq 300\) m

\[= 10.75\] for \(300 < L < 350\) m

\[= 10.75 - \left(\frac{L - 350}{150}\right)^{1.5}\] for \(350 \leq L\)

\(M\) is a distribution factor along the length. It is taken as unity between 0.4\(L\) and 0.65\(L\) from the stern; as \(2.5x/L\) at \(x\) metres from the stern to 0.4\(L\) and as \(1.0 - (x - 0.65L)/0.35L\) at \(x\) metres from the stern between 0.65\(L\) and \(L\).

The IACS propose taking the wave induced shear force as:

\[
\text{Hogging SF} = 0.3F_1CLB(C_b + 0.7) \text{kN}
\]
\[
\text{Sagging SF} = -0.3F_2CLB(C_b + 0.7) \text{kN}
\]

\(F_1\) and \(F_2\) vary along the length of the ship. If \(F = 190C_b/[110 (C_b + 0.7)]\), then moving from the stern forward in accordance with:

<table>
<thead>
<tr>
<th>Distance from stern</th>
<th>0</th>
<th>0.2–0.3</th>
<th>0.4–0.6</th>
<th>0.7–0.85</th>
<th>1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Length</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(F_1)</td>
<td>0</td>
<td>0.92(F)</td>
<td>0.7</td>
<td>1.0</td>
<td>0</td>
</tr>
<tr>
<td>(F_2)</td>
<td>0</td>
<td>0.92</td>
<td>0.7</td>
<td>(F)</td>
<td>0</td>
</tr>
</tbody>
</table>

Between the values quoted the variation is linear.

The formulae apply to a wide range of ships but special steps are needed when a new vessel falls outside this range or has unusual design features that might affect longitudinal strength.

The situation is kept under constant review and as more advanced computer analyses become available, as outlined later, they are adopted
by the classification societies. Because they co-operate through IACS the classification societies’ rules and their application are similar although they do vary in detail and should be consulted for the latest requirements when a design is being produced. The general result of the progress made in the study of ship strength has been more efficient and safer structures.

**Shear stresses**

So far attention has been focused on the longitudinal bending stress. It is also important to consider the shear stresses generated in the hull. The simple formula for shear stress in a beam at a point distant $y$ from the neutral axis is:

$$\text{Shear stress} = \frac{FA\bar{y}}{I}$$

where:

- $F$ = shear force
- $A$ = cross sectional area above $y$ from the NA of bending
- $\bar{y}$ = distance of centroid of $A$ from the NA
- $I$ = second moment of complete section about the NA
- $t$ = thickness of section at $y$

The distribution of shear stress over the depth of an I-beam section is illustrated in Figure 14.11. The stress is greatest at the neutral axis and zero at the top and bottom of the section. The vertical web takes by far the greatest load, typically in this type of section over 90 per cent. The flanges, which take most of the bending load, carry very little shear stress.

![Image of I-beam section with shear stress](image)

*Figure 14.11 Shear stress*

In a ship in waves the maximum shear forces occur at about a quarter of the length from the two ends. In still water large shear forces can
occur at other positions depending upon the way the ship is loaded. As with the I-beam it will be the vertical elements of the ship’s structure that will take the majority of the shear load. The distribution between the various elements, the shell and longitudinal bulkheads say, is not so easy to assess. The overall effects of the shear loading are to:

(1) Distort the sections so that plane sections no longer remain plane. This will affect the distribution of bending stresses across the section. Generally the effect is to increase the bending stress at the corners of the deck and at the turn of bilge with reductions at the centre of the deck and bottom structures. The effect is greatest when the hull length is relatively small compared to hull depth.

(2) Increase the deflection of the structure above that which would be experienced under bending alone. This effect can be significant in vibration.

**Hull deflection**

Consider first the deflection caused by the bending of the hull. From beam theory:

\[
\frac{M}{I} = \frac{E}{R}
\]

where \( R \) is the radius of curvature.

If \( y \) is the deflection of the ship at any point \( x \) along the length, measured from a line joining the two ends of the hull, it can be shown that:

\[
R = \frac{\left[ 1 + \left( \frac{dy}{dx} \right)^2 \right]^{1.5}}{\frac{d^2y}{dx^2}}
\]

For the ship only relatively small deflections are involved and \( (dy/dx)^2 \) will be small and can be ignored in this expression. Thus:

\[
\frac{-d^2y}{dx^2} = \frac{1}{R} \quad \text{and} \quad M = -EI \frac{d^2y}{dx^2}
\]

The deflection can be written as:

\[
y = -\int \int \frac{M}{EI} \, dx \, dx + Ax + B \quad \text{where } A \text{ and } B \text{ are constants.}
\]
In practice the designer calculates the value of $I$ at various positions along the length and evaluates the double integral by approximate integration methods.

Since the deflection is, by definition, zero at both ends $B$ must be zero. Then:

$$A = \frac{1}{L} \int_0^L \frac{M}{EI} \; dx \; \text{and} \; y = -\int_0^L \frac{M}{EI} \; dx + \frac{x}{L} \int_0^L \frac{M}{EI} \; dx \; dx$$

The shear deflection is more difficult to calculate. An approximation can be obtained by assuming the shear stress uniformly distributed over the ‘web’ of the section. If, then, the area of the web is $A_w$, then:

Shear stress $= \frac{F}{A_w}$

If the shear deflection over a short length, $dx$, is:

$$dy = \frac{F}{A_w C} \; dx$$

where $C$ is the shear modulus.

The shear deflection can be obtained by integration.

If the ratio of the shear to bending deflections is $r$, $r$ varies as the square of the ship’s depth to length ratio and would be typically between 0.1 and 0.2.

TRANVERSE STRENGTH

The loads on a transverse section of the ship in waves are those calculated from the motions of the ship including the inertia and gravity forces although in this case it is their transverse distribution, at a given position along the ship’s length, that is of interest. Additionally there may be forces generated by the movement of liquids within tanks, sloshing as it is termed. However, this dynamic loading in a seaway is not the complete story. The scantlings of the section must be able to withstand the loads at the waterline due to berthing and the racking strains imposed during docking.

The most satisfying approach would be to analyse the three dimensional section of the ship between main transverse bulkheads as a whole, having ascertained the boundary conditions from a global finite element analysis of the complete hull. This would be the approach...
adopted by those with access to the necessary computers and software. In many cases a simpler approach is needed.

For berthing loads it may be adequate to isolate a grillage in way of the waterline and assess the stresses in it due to the loads on fenders in coming alongside. In general, however, it is not reasonable to deal with side frames, decks and double bottom separately because of the difficulty of assessing the end fixities of the various members due to the presence of the others, and the influence of longitudinal stiffening. These are likely to be critical. For instance, a uniformly loaded beam, simply supported at its ends, has a maximum bending moment at its centre with zero moments at its ends. If the ends are fixed the maximum bending moment reduces by a third and is at the ends.

The usual approximation is to take a slice through the ship comprising deck beam, side frame and elements of plating and double bottom structure. This section is then loaded and analysed as a framework. The transverse strength of a superstructure is usually analysed separately but by the same technique. The frameworks the naval architect is concerned with are portals, in the superstructure, say, ship-shape rings in the main hull and circular rings in the case of submarine hulls. Transverse bulkheads provide great strength against racking of the framework. Some of this support will be transmitted to frames remote from the bulkhead by longitudinal members although these will themselves deflect under the loading as illustrated in Figure 14.12. Ignoring this support means results are likely to be conservative and should really be used as a guide to

---

**Figure 14.12 Transverse strains**
distributing structure and for comparison with similar successful designs, rather than to obtain absolute values of stress or deflection.

It is not appropriate in this book to deal with the analysis of frameworks in detail. There are many textbooks available to which reference should be made for detailed explanations and for an understanding of all the underlying assumptions. Very briefly, however, the methods of analysis available are:

(1) **Energy methods.** These are based on the theorem of Castigliano which postulates that the partial derivative of the total strain energy with respect to each applied load is equal to the displacement of the structure at the point of application in the direction of the load.

(2) **Moment distribution methods.** This is an iterative process. All members of the framework are initially considered fixed rigidly and the bending moments at the joints calculated. Then one joint is relaxed by allowing it to rotate. The bending moment acting is distributed between the members forming the joint according to their inertias and lengths. Half the distributed moment is transmitted to a member’s far end which is still held rigid. Joints are relaxed in turn and the process repeated until the moments are in balance.

(3) **Slope-deflection methods.** If $M$ is the bending moment at some point along a beam the area under the curve of $M/EI$ between two points on the beam gives the change in slope between those points. Further, if the moment of the curve between the points is taken about the first point, the moment gives the perpendicular distance of the first point from the tangent at the second point. By expressing the changes in deflection at the ends of portal members in terms of the applied loads and the (unknown) moments at their ends, a series of equations are produced which can be solved to give the unknown moments.

**SUMMARY**

It has been shown how the vertical bending moments and shearing forces a ship experiences in still water and in waves can be assessed together with a limited discussion on horizontal bending and torsion of the main hull. This vertical loading was used, with estimates of the hull modulus, to deduce the stresses and deflections of the hull. It has been suggested that the structure should be so designed that the maximum bending moment it can withstand is likely to be experienced only once in the life of the ship. Thus the chances of the hull failing from direct overloading are minimized.
The previous chapter discussed a ship’s longitudinal and transverse strength. This chapter considers the strength of structural elements making up the hull, some of the complicating factors and how structures may deteriorate and fail.

STRENGTH OF INDIVIDUAL STRUCTURAL ELEMENTS

In deciding which structure to include in the section modulus care is necessary to ensure that the elements chosen can in fact contribute and will not ‘shirk’ their share of the load. In this section the loading on, and strength of, individual elements is considered.

The basic structural element is a plate with some form of edge support. Combining the plates and their supporting members leads to grillages. Bulkheads, decks and shell are built up from grillages. Most of the key elements are subject to varying loading so that at times they will be in tension and at others in compression. Whilst a structure may be more than adequate to take the direct stresses involved, premature failure can occur through buckling in compression that is by instability. This may be aggravated by initial deformations and by lateral pressure on the plating as occurs in the shell and boundaries of tanks containing liquids.

Buckling

A structure subject to axial compression will be able to withstand loading up to a critical load below which buckling will not occur. Above this load a lateral deflection occurs and collapse will eventually follow. Euler showed that for an ideally straight column the critical load is:

\[ P_{cr} = \frac{\pi^2EI}{l^2} \]
where:
\( l \) = column length.
\( I \) = second moment of area of the cross section.

This formula assumes the ends of the column are pin jointed. The critical stress follows as:

\[
\sigma_{cr} = \frac{\pi^2 EI}{Al^2} = \frac{\pi^2 E}{(l/k)^2}
\]

where \( k \) is the radius of gyration.

If the ends of the strut were not pin jointed but prevented from rotating, the critical load and stress are increased fourfold. The ratio \( l/k \) is sometimes called the slenderness ratio. For a strip of plating between supporting members, \( k \) will be proportional to the plate thickness. Thus the slenderness ratio can be expressed as the ratio of the plate span to thickness.

When a panel of plating is supported on its four edges, the support along the edges parallel to the load application has a marked influence on the buckling stress. For a long, longitudinally stiffened panel, breadth \( b \) and thickness \( t \), the buckling stress is approximately:

\[
\sigma_{cr} = \frac{\pi^2 Et^2}{3(1 - \nu^2)b^2}
\]

where \( \nu \) is the Poisson’s ratio for the material.

For a broad panel, length \( S \), with transverse stiffening, the buckling stress is:

\[
\sigma_{cr} = \frac{\pi^2 Et^2 \left[ 1 + \left( \frac{S}{b} \right)^2 \right]^2}{12(1 - \nu^2) S^2}
\]

The ratio of the buckling stresses in the two cases, for plates of equal thickness and the same stiffener spacing is: \( 4\left[ 1 + (S/b)^2 \right]^{-2} \).

Assuming the transversely stiffened panel has a breadth five times its length, this ratio becomes 3.69. Thus the critical buckling stress in a longitudinally stiffened panel is almost four times that of the transversely stiffened panel, demonstrating the advantage of longitudinal stiffening.

The above formulae assume initially straight members, axially loaded. In practice there is likely to be some initial curvature. Whilst not affecting
the elastic buckling stress this increases the stress in the member due to the bending moment imposed. The total stress on the concave side may reach yield before instability occurs. On unloading there will be a permanent set. Practical formulae attempt to allow for this and one is the Rankine-Gordon formula. This gives the buckling load on a column as:

\[
\frac{f_c A}{1 + C(l/k)^2}
\]

where:
- \( f_c \) and \( C \) are constants depending on the material
- \( C \) depends upon the fixing conditions
- \( A \) is the cross-sectional area
- \( l/k \) is the slenderness ratio.

The Euler and Rankine-Gordon formulae are compared in Figure 15.1. At high slenderness ratios the two give similar results. At low slenderness ratios failure due to yielding in compression occurs first.

**Figure 15.1** Comparison of strut formulae

In considering the buckling strength of grillages the strength of the stiffening members must be taken into account besides that of the plating. The stiffening members must also be designed so that they do not *trip*. Tripping is the torsional collapse of the member when under lateral load. Tripping is most likely in asymmetrical sections where the free flange is in compression. Small *tripping brackets* can be fitted to support the free flange and so reduce the risk.

**Example 15.1**

In Example 14.2 on the aluminium superstructure determine whether a transverse beam spacing of 730 mm would be adequate to resist buckling.
Solution
Treating the new transversely stiffened deck as a broad panel and applying Euler’s equation for a strut, its buckling stress is given by the formula:

$$\pi^2 E t^2 \left[ 1 + \left( \frac{t}{b} \right)^2 \right]^2 \frac{1}{12(1 - \nu^2)} S^2$$

Taking Poisson’s ratio, $\nu$, as 0.33 the critical stress is:

$$\pi^2 \times 67,000 \times (0.012)^2 \frac{[1 + (0.73^2 / 13)]^2}{12[1 - (0.33^2)](0.73)^2} = 16.82 \text{ MN/m}^2$$

Since the stress in the aluminium deck is 22.91 MN/m$^2$ this deck would fail by buckling. The transverse beam spacing would have to be reduced to about 620 mm to prevent this.

These relationships indicate the key physical parameters involved in buckling but do not go very far in providing solutions to ship type problems.

Stress concentrations
Previously the general stresses in a structure were considered. There are several reasons why local stresses may exceed considerably those in the general vicinity. The design may introduce points at which the loads in a large structural element are led into a relatively small member. It is useful in looking at a structure to consider where the load in a member can go next. If there is no natural, and even, ‘flow’ then a concentration of stress can occur. Some such details are bound to arise at times, in way of large deck openings for instance, or where the superstructure ends. In such cases the designer must take care to minimize the stress concentration. Well rounded corners to hatch openings are essential and added thickness of plating abreast the hatches reduces the stress for a given load. The magnitude of this effect can be illustrated by the case of an elliptical hole in an infinitely wide plate subject to uniform tensile stress across the width. If the long axis of the ellipse is $2a$ and the minor axis is $2b$, then with the long axis across the plate the stresses at the ends of the long axis will be augmented by a factor $[1 + (2a/b)]$. If the hole is circular this concentration factor becomes 3. There will be a compressive stress at the ends of the minor axis equal in magnitude to the tensile stress in the plate. In practice there is little advantage in giving a hatch corner a radius of more than about
15 per cent of the hatch width. The side of the hatch should be aligned with the direction of stress otherwise there could be a further stress penalty of about 25 per cent.

Apart from design features built into the ship, stress concentrations can be introduced as the ship is built. Structural members may not be accurately aligned either side of a bulkhead or floor. This is why important members are made continuous and less important members are made intercostal, that is they are cut and secured either side of the continuous member. Other concentrations are occasioned by defects in the welding and other forming processes. Provided the size of these defects is not large, local redistribution of stresses can occur due to yielding of the material. However large defects, found perhaps as a result of radiographic inspection, should be repaired. Important structure should not have stress concentrations increased by cutting holes in them or by welding attachments apart from those absolutely necessary.

**Built-in stresses**

Taking mild steel as the usual material from which ships are built, the plates and sections used will already have been subject to strain before construction starts. They may have been rolled and unevenly cooled. Then in the shipyard they will be shaped and then welded. As a result they will already have residual stresses and strains before the ship itself is subject to any load. These built-in stresses can be quite large and even exceed the yield stress locally. Built-in stresses are difficult to estimate but in frigates (Somerville et al., 1977) it was found that welding the longitudinals introduced a compressive stress of 50 MPa in the hull plating, balanced by regions local to the weld where the tensile stresses reached yield.

**Fatigue**

Fatigue is by far and away the most common mechanism leading to failure (Nishida, 1994) in general engineering structures. It is of considerable importance in ships which are usually expected to remain in service for 20 years or more. Even when there is no initial defect present, repeated stressing of a member causes a crack to form on the surface after a certain number of cycles. This crack will propagate with continued stress repetitions. Any initial crack like defect will propagate with stress cycling. Crack initiation and crack propagation are different in nature and need to be considered separately.

Characteristically a fatigue failure, which can occur at stress levels lower than yield, is smooth and usually stepped. If the applied stressing is of
constant amplitude the fracture can be expected to occur after a defined number of cycles. Plotting the stress amplitude against the number of reversals to failure gives the traditional $S$–$N$ curve for the material under test. The number of reversals is larger the lower the applied stress until, for some materials including carbon steels, failure does not occur no matter how many reversals are applied. This lower level of stress is known as the fatigue limit. There is some evidence, however, that for steels under corrosive conditions there is no lower limit.

For steel it is found that a log–log plot of the $S$–$N$ data yields two straight lines as in Figure 15.2. Further, laboratory tests (Petershagen, 1986) of a range of typical welded joints have yielded a series of log–log $S$–$N$ lines of equal slope. Plots of $S$–$N$ curves for commonly occurring structural configurations are given in British Standards.

![Figure 15.2 S–N curve](image)

The standard data refers to constant range of stressing. Under these conditions the results are not too sensitive to the mean stress level provided it is less than the elastic limit. At sea, however, a ship is subject to varying conditions. This can be treated as a spectrum for loading in the same way as motions are treated. A transfer function can be used to relate the stress range under spectrum loading to that under constant amplitude loading. Based on the welded joint tests referred to above, it has been suggested that the permissible stress levels, assuming 20 million cycles as typical for a merchant ship’s life, can be taken as four times that from the constant amplitude tests. This should be associated with a safety factor of four thirds.
Unfortunately for the designer, using high tensile steels does not, in practical shipbuilding structures, lead to longer fatigue life. In fact if the higher UTS of the steel is relied upon the fatigue life will be worsened as the range of stressing will increase.

Fatigue life of a steel structure, then, is seen to be largely independent of the steel’s ultimate strength but will depend upon the stress level, structural continuity, weld geometry and imperfections.

**Cracking and brittle fracture**

In any practical structure cracks are bound to occur. Indeed the build process makes it almost inevitable that there will be a range of crack like defects present before the ship goes to sea for the first time. This is not in itself serious but cracks must be looked for and corrected before they can cause a failure. They can extend due to fatigue or brittle fracture mechanisms. Even in rough weather fatigue cracks grow only slowly, at a rate measured in mm/s. On the other hand, under certain conditions, a brittle fracture can propagate at about 500 m/s. The MV *Kurdistan* broke in two in 1979 (Corlett et al., 1988) due to brittle fracture. The MV *Tyne Bridge* suffered a 4 m crack (Department of Transport, 1988). At one time it was thought that thin plating did not suffer brittle fracture but this was disproved by the experience of RN frigates off Iceland in the 1970s. It is therefore vital to avoid the possibility of brittle fracture. The only way of ensuring this is to use steels which are not subject to this type of failure under service conditions encountered (Sumpter et al., 1989) and temperature is very important.

The factors governing brittle fracture are the stress level, crack length and material toughness. Toughness depends upon the material composition, temperature and strain rate. In structural steels failure at low temperature is by cleavage. Once a crack is initiated the energy required to cause it to propagate is so low that it can be supplied from the release of elastic energy stored in the structure. Failure is then very rapid. At higher temperatures fracture initiation is by growth and coalescence of voids and subsequent extension occurs only by increased load or displacement (Sumpter, 1986). The temperature for transition from one fracture mode to the other is called the transition temperature. It is a function of loading rate, structural thickness, notch acuity and material microstructure. The lower the transition temperature the tougher the steel.

Unfortunately there is no simple physical test to which a material can be subjected that will determine whether it is likely to be satisfactory in terms of brittle fracture. This is because the behaviour of the structure depends upon its geometry and method of loading. The choice is between a simple test like the Charpy test and a more elaborate and
expensive test under more representative conditions such as a Crack Tip Opening (Displacement), a CTO(D), test. The Charpy test is still widely used for quality control and International Association of Classification Societies (IACS) specify 27 J at −20°C for Grade D steel and 27 J at −40°C for Grade E steel.

Since cracks will occur, it is necessary to use steels which have good crack arrest properties. It is recommended (Sumpter et al., 1989) that one with a crack arrest toughness of 150–200 MPa(m)^0.5 is used. To provide a high level of assurance that brittle fracture will not occur, a Charpy crystallinity of less than 70 per cent at 0°C should be chosen. For good crack arrest capability and virtually guaranteed fracture initiation avoidance the Charpy crystallinity at 0°C should be less than 50 per cent. Special crack arrest strakes are provided in some designs. The steel for these should show a completely fibrous Charpy fracture at 0°C. It is not only the toughness of the steel that is important but also weld deposits should at least match that of the parent metal.

DYNAMICS OF LONGITUDINAL STRENGTH

The concept of considering a ship balanced on the crest, or in the trough, of a wave is clearly an artificial approach although one which has served the naval architect well over many years. In reality the ship in waves will be subject to constantly changing forces. Also the accelerations of the motions will cause dynamic forces on the masses comprising the ship and its contents. These factors must be taken into account in a dynamic analysis of longitudinal strength.

The strip theory for calculating ship motions was outlined briefly in the chapter on seakeeping. The ship is divided into a number of transverse sections, or strips, and the wave, buoyancy and inertia forces acting on each section are assessed allowing for added mass and damping. From the equations so derived the motions of the ship, as a rigid body, can be determined. The same process can be extended to deduce the bending moments and shear forces acting on the ship at any point along its length. This provides the basis for modern treatments of longitudinal strength.

As with the motions, the bending moments and shear forces in an irregular sea can be regarded as the sum of the bending moments and shear forces due to each of the regular components making up that irregular sea. The bending moments and shear forces can be represented by response amplitude operators and energy spectra derived in ways analogous to those used for the motion responses. From these the root mean square, and other statistical properties, of the bending moments and shear forces can be obtained. By assessing the various sea conditions
the ship is likely to meet on a voyage, or over its lifetime, the history of its loading can be deduced.

The response amplitude operators (RAOs) can be obtained from experiment as well as by theory. Usually in model tests a segmented model is run in waves and the bending moments and shear forces are derived from measurements taken on balances joining the sections. Except in extreme conditions the forces acting on the model in regular waves are found to be proportional to wave height. This confirms the validity of the linear superposition approach to forces in irregular seas. A typical plot of non-dimensional bending moment against frequency of encounter is presented in Figure 15.3. In this plot $h$ is the wave height.

![Figure 15.3 Bending moment plot](image)

Similar plots can be obtained for a range of ship speeds, the tests being done in regular waves of various lengths or in irregular waves. The merits of different testing methods were discussed in Chapter 12 on seakeeping. That chapter also described how the encounter spectrum for the seaway was obtained from the spectrum measured at a fixed point.

The process by which the pattern of bending moments the ship is likely to experience, is illustrated in Example 15.2. The RAOs may have been calculated or derived from experiment.

### Example 15.2

Bending moment response operators ($M/h$) for a range of encounter frequencies are:

<table>
<thead>
<tr>
<th>RAO ($M/h$) MN</th>
<th>0 103 120 106 95 77 64</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\omega_c$, rad/s</td>
<td>0 0.4 0.8 1.2 1.6 2.0 2.4</td>
</tr>
</tbody>
</table>

A sea spectrum, adjusted to represent the average conditions over the ship life, is defined by:

<table>
<thead>
<tr>
<th>$\omega_c$</th>
<th>0 0.4 0.8 1.2 1.6 2.0 2.4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Spectrum ord, m$^2$/s</td>
<td>0.106 0.325 0.300 0.145 0.060 0</td>
</tr>
</tbody>
</table>
The bending moments are the sum of the hogging and sagging moments, the hogging moment represented by 60 per cent of the total. The ship spends 300 days at sea each year and has a life of 25 years. The average period of encounter during its life is six seconds. Calculate the value of the bending moment that is only likely to be exceeded once in the life of the ship.

Solution
The bending moment spectrum can be found by multiplying the wave spectrum ordinate by the square of the appropriate RAO. For the overall response the area under the spectrum is needed. This is best done in tabular form using Simpson’s First Rule.

Table 15.1

<table>
<thead>
<tr>
<th>(\omega_e)</th>
<th>(S(\omega_e))</th>
<th>RAO</th>
<th>((RAO)^2)</th>
<th>(E(\omega_e))</th>
<th>Simpson’s multiplier</th>
<th>Product</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>0.4</td>
<td>0.106</td>
<td>103</td>
<td>10699</td>
<td>1124.6</td>
<td>4</td>
<td>4498.4</td>
</tr>
<tr>
<td>0.8</td>
<td>0.325</td>
<td>120</td>
<td>14400</td>
<td>4680.0</td>
<td>2</td>
<td>9360.0</td>
</tr>
<tr>
<td>1.2</td>
<td>0.300</td>
<td>106</td>
<td>11236</td>
<td>3370.8</td>
<td>4</td>
<td>13483.2</td>
</tr>
<tr>
<td>1.6</td>
<td>0.145</td>
<td>95</td>
<td>9025</td>
<td>1308.6</td>
<td>2</td>
<td>2617.2</td>
</tr>
<tr>
<td>2.0</td>
<td>0.060</td>
<td>77</td>
<td>5929</td>
<td>355.7</td>
<td>4</td>
<td>1422.8</td>
</tr>
<tr>
<td>2.4</td>
<td>0</td>
<td>64</td>
<td>4096</td>
<td>0</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Summation</td>
<td>31381.6</td>
<td></td>
</tr>
</tbody>
</table>

In Table 15.1 \(E(\omega_e)\) is the ordinate of the bending moment spectrum. The total area under the spectrum is given by:

\[
\text{Area} = \frac{0.4}{3} \times 31381.6 = 4184.2 \text{ MN}^2 \text{m}^2/\text{s}^2
\]

The total number of stress cycles during the ship’s life:

\[
= \frac{3600 \times 24 \times 300 \times 25}{6} = 1.08 \times 10^8
\]

Assuming the bending moment follows a Rayleigh distribution, the probability that it will exceed some value \(M_e\) is given by:

\[
\exp \left( \frac{-M_e^2}{2a} \right)
\]

where \(2a\) is the area under the spectrum.
In this case it is desired to find the value of bending moment that is only likely to be exceeded once in $1.08 \times 10^8$ cycles, that is its probability is $(1/1.08) \times 10^{-8} = 0.926 \times 10^{-8}$.

Thus $M_e$ is given by:

$$0.926 \times 10^{-8} = \exp \left( \frac{-M_e^2}{4184.2} \right)$$

Taking natural logarithms both sides of the equation:

$$-18.5 = \frac{-M_e^2}{4184.2} \text{ giving } M_e = 278 \text{ MN m}$$

The hogging moment will be the greater component at 60 per cent. Hence the hogging moment that is only likely to be exceeded once in the ship's life is 167 MN m.

Statistical recording at sea

For many years a number of ships have been fitted with statistical strain gauges. These have been of various types but most use electrical resistance gauges to record the strain. They usually record the number of times the strain lies in a certain range during recording periods of 20 or 30 minutes. From these data histograms can be produced and curves can be fitted to them. Cumulative probability curves can then be produced to show the likelihood that certain strain levels will be exceeded.

The strain levels are usually converted to stress values based on a knowledge of the scantlings of the structure. These are an approximation, involving assumptions as to the structure that can be included in the section modulus. However, if the same guidelines are followed as those used in designing the structure the data are valid for comparisons with predictions. Direct comparison is not possible, only ones based on statistical probabilities. Again to be of use it is necessary to record the sea conditions applying during the recording period. With short periods the conditions are likely to be sensibly constant. The sea conditions are recorded on a basis of visual observation related to the Beaufort scale. This was defined in the chapter on the environment but for this purpose it is usual to take the Beaufort numbers in five groups as in Table 15.2.

For a general picture of a ship's structural loading during its life the recording periods should be decided in a completely random manner. Otherwise there is the danger that results will be biased. If, for instance, the records are taken when the master feels the conditions are leading to significant strain the results will not adequately reflect the many
periods of relative calm a ship experiences. If they are taken at fixed
time intervals during a voyage they will reflect the conditions in certain
geographic areas if the ship follows the same route each time.

The data from a ship fitted with statistical strain recorders will give:

(1) the ship’s behaviour during each recording period. The values
of strain, or the derived stress, are likely to follow a Rayleigh
probability distribution.

(2) the frequency with which the ship encounters different weather
conditions.

(3) the variation of responses in different recording periods within
the same weather group.

The last two are likely to follow a Gaussian, or normal, probability
distribution.

The data recorded in a ship are factual. To use them to project ahead
for the same ship the data need to be interpreted in the light of the
weather conditions the ship is likely to meet. These can be obtained
from sources such as Ocean Wave Statistics (Hogben and Lumb, 1967).

For a new ship the different responses of that ship to the waves in the
various weather groups are also needed. These could be derived from
theory or model experiment as discussed above.

In fact a ship spends the majority of its time in relatively calm condi-
tions. This is illustrated by Table 15.3 which gives typical percentages of

Table 15.2

<table>
<thead>
<tr>
<th>Weather group</th>
<th>Beaufort number</th>
<th>Sea conditions</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>0 to 3</td>
<td>Calm or slight</td>
</tr>
<tr>
<td>II</td>
<td>4 to 5</td>
<td>Moderate</td>
</tr>
<tr>
<td>III</td>
<td>6 to 7</td>
<td>Rough</td>
</tr>
<tr>
<td>IV</td>
<td>8 to 9</td>
<td>Very rough</td>
</tr>
<tr>
<td>V</td>
<td>10 to 12</td>
<td>Extremely rough</td>
</tr>
</tbody>
</table>

Table 15.3 Percentage of time spent at sea in each weather group.

<table>
<thead>
<tr>
<th>Weather group</th>
<th>I</th>
<th>II</th>
<th>III</th>
<th>IV</th>
<th>V</th>
</tr>
</thead>
<tbody>
<tr>
<td>General routes</td>
<td>51</td>
<td>31</td>
<td>14</td>
<td>3.5</td>
<td>0.5</td>
</tr>
<tr>
<td>Tanker routes</td>
<td>71</td>
<td>23</td>
<td>5.5</td>
<td>0.4</td>
<td>0.1</td>
</tr>
</tbody>
</table>
time at sea spent in each weather group for two ship types. When the probabilities of meeting various weather conditions and of exceeding certain bending moments or shear forces in those various conditions are combined the results can be presented in a curve such as Figure 15.4. This shows the probability that the variable $x$ will exceed some value $x_j$ in a given number of stress cycles. The variable $x$ may be a stress, shear force or bending moment.

![Figure 15.4 Probability curve](image)

The problem faced by a designer is to decide upon the level of bending moment or stress any new ship should be able to withstand. If the structure is overly strong it will be heavier than it need be and the ship will carry less payload. If the structure is too weak the ship is likely to suffer damage. Repairs cost money and lose the ship time at sea. Ultimately the ship may be lost.

If a ship life of 25 years is assumed, and the ship is expected to spend on average 300 days at sea per year, it will spend 180,000 hours at sea during its life. If its stress cycle time is $t$ seconds it will experience:

$$180,000 \times 3600 / t$$

stress cycles.

Taking a typical stress cycle time of six seconds leads to just over $10^8$ cycles. If, in Figure 15.4 an ordinate is erected at this number of cycles, a stress is obtained which is likely to be exceeded once during the life of the ship. That is there is a probability of $10^{-8}$ that the stress will be exceeded. This probability is now commonly accepted as a reasonable design probability. The designer designs the structure so that the stress considered acceptable has this probability of occurrence.
Effective wave height

This probabilistic approach to strength is more realistic than the standard calculation in which the ship is assumed balanced on a wave. It would be interesting though, to see how the two might roughly compare. This could be done by balancing the ship, represented by the data in Figure 15.4, on waves of varying height to length ratio, the length being equal to the ship length. The stresses so obtained can be compared with those on the curve and an ordinate scale produced of the effective wave height. That is, the wave height that would have to be used in the standard calculation to produce that stress. Whilst it is dangerous to generalize, the stress level corresponding to the standard $L/20$ wave is usually high enough to give a very low probability that it would be exceeded. This suggests that the standard calculation is conservative.

HORIZONTAL FLEXURE AND TORSION

So far, attention has been focused on longitudinal bending of the ship's girder in the vertical plane. Generally the forces which cause this bending will also produce forces and moments causing the ship to bend in the horizontal plane and to twist about a fore and aft axis. The motions of rolling, yawing and swaying will introduce horizontal accelerations but the last two are modes in which the ship is neutrally stable. It is necessary therefore to carry out a detailed analysis of the motions and derive the bending moments and torques acting on the hull. Since these flexures will be occurring at the same time as the ship experiences vertical bending, the stresses produced can be additive. For instance the maximum vertical and horizontal stresses will be felt at the upper deck edges. However, the two loadings are not necessarily in phase and this must be taken into account in deriving the composite stresses.

Fortunately the horizontal bending moment maxima are typically only some 40 per cent of the vertical ones. Due to the different section moduli for the two types of bending the horizontal stresses are only about 35 per cent of the vertical values for typical ship forms. The differing phase relationships means that superimposing the two only increases the deck edge stresses by about 20 per cent over the vertical bending stresses. These figures are quoted to give some idea of the magnitude of the problem but should be regarded as very approximate.

Horizontal flexure and torsion are assuming greater significance for ships with large hatch openings such as in container ships. It is not possible to deal with them in any simple way although their effects will be included in statistical data recorded at sea if the recorders are sited carefully.
LOAD-SHORTENING CURVES

Theoretical and experimental studies by Smith et al. (1992) show that the stiffness and strength of rectangular plate elements of an orthogonally stiffened shell are strongly influenced by imperfections including residual stresses in the structure arising from the fabrication process and initial deformations of plate and stiffener. These studies were the culmination of a large research programme involving longitudinally loaded plates with stringers $b$ apart, between transverse frames $a$ apart. The plate thickness was $t$, the radius of gyration of a stringer with a width $b$ of plating was $r$ and the stringer area was $A_s$. The stress was $\sigma$ and strain $\varepsilon$ with subscript $o$ denoting yield. Stringers used were tee bars and flat plate. The following parameters were used:

\[ \text{Plate slenderness, } \beta = \frac{b}{t} \left( \frac{\sigma_o}{E} \right)^{0.5} \]
\[ \text{Stringer slenderness, } \lambda = \frac{a}{r\pi} \left( \frac{\sigma_o}{E} \right)^{0.5} \]
\[ \text{Stiffener area ratio } = \frac{A}{A_s} \text{ where } A = A_s + bt \]

The outcome of the research was a series of load-shortening curves as shown in Figure 15.5. These are for a range of stringer and plate slenderness with average imperfections. Average imperfections were defined as a residual stress 15 per cent of yield and a maximum initial plate deflection of $0.1t^2$.

The results are sensitive to stiffener area ratio, particularly for low $\lambda$ and high $\beta$, Figure 15.6, in which $\sigma_u$ is the ratio of the average compressive stress at failure over the plate and stiffener cross section to the yield stress. Peak stresses in Figure 15.5 correspond to the strengths indicated in Figure 15.6(b). Figure 15.7 shows the influence of lateral pressure on compressive strength for the conditions of Figure 15.5. The effect is most marked for high $\lambda$ and increases with $\beta$. $Q$ is the corresponding head of seawater.

The importance of the load-shortening curves is that they allow a designer to establish how elements of the structure will behave both before and after collapse and hence the behaviour of the ship section as a whole. Even after collapse elements can still take some stress. However, from Figure 15.5 for $\lambda$ equal to or greater than 0.6 the curves show a drastic reduction in strength post collapse. For that reason it is recommended that designs be based on $\lambda$ values of 0.4 or less and $\beta$ values of 1.5 or less.

Using such approaches leads to a much more efficient structure than would be the case if the designer did not allow the yield stress to be exceeded.
Figure 15.5 Load-shortening curves (courtesy RINA)
Figure 15.6 Compressive strength of panels (courtesy RINA)
Mention has been made several times of finite element analysis techniques which are the basis of modern computer based analysis methods in structures and hydrodynamics. These are very powerful techniques using the mathematics of matrix algebra. In this book it is only possible to give the reader a simplified explanation of the principles involved in the method. The structure is imagined to be split up into a series of elements, usually rectangular or triangular. The corners where the elements meet are called nodes. For each element an expression is derived for the displacement at its nodes. This gives strains and stresses. The displacements of adjoining elements are made compatible at each node and the forces related to the boundary forces. The applied loads and internal forces are arranged to be in equilibrium.

As an illustration, Figure 15.8 shows a plate girder supported at its ends and carrying a load. Simple beam formulae would not give accurate results if the beam is deep compared with its length. To apply finite
element analysis the beam is imagined to be split into small elements as shown. These are connected only at their corners, the nodes. Distortion of the beam under load leads to forces at the nodes. The displacements at any node must be the same for each element connected at that node. This condition and the boundary conditions enable the nodal forces to be calculated. The strains involved in the displacements lead to a pattern of stress distribution in the beam. The finer the mesh the more accurately the stress pattern will be represented. In a more complex structure such as that shown in Figure 15.9, elements of different shape and size can be used. Smaller elements would be used where it was suspected that the stresses would be highest and more variable.

The starting point in a comprehensive structural design approach would be a finite element analysis of the complete hull using a relatively coarse mesh. The data from this global analysis would then be used to define the boundary conditions for more limited areas which would be studied using a finer mesh.

STRUCTURAL SAFETY

Various modes of failure were outlined earlier. A designer must evaluate the probability of failure and reduce its likelihood. First a suitable
material must be chosen. For a steel ship this means a steel with adequate notch toughness in the temperatures and at the strain rates expected during service. Allowance must be made for residual stresses arising from the fabrication methods. Welding processes must be defined and controlled to give acceptable weld quality, to avoid undue plate distortion and defects in the weld. Openings must be arranged to reduce stress concentrations to a minimum. Allowance must be made for corrosion which is discussed later.

Even with these safeguards there will be many reasons why actual stresses might differ from those calculated. There remain a number of simplifying assumptions regarding structural geometry made in the calculations although with the modern analytical tools available these are much less significant than formerly. The plating will not be exactly the thickness specified because of rolling tolerances. Material properties will not be exactly those specified. Fabrication will lead to departures from the intended geometry. Intercostal structure will not be exactly in line either side of a bulkhead, say. Structure will become dented and damaged during service. All these introduce some uncertainty in the calculated stress values.

Then the loading experienced may differ from that assumed in the design. The ship may go into areas not originally planned. Weather conditions may not be as anticipated. Whilst many of these variations will average out over a ship’s life it is always possible that a ship will experience some unusually severe combination of environmental conditions. It may, if it is unlucky, meet a freak wave of the type discussed in the chapter on the external environment.

Using the concept of load-shortening curves for the hull elements it is possible to determine a realistic value of the ultimate bending moment a hull can develop before it fails. The designer can combine information on the likelihood of meeting different weather conditions with its responses to those conditions, to find the loading that is likely to be exceeded only once in a ship’s life. However, one would be unwise to regard these values as fixed because of the uncertainties discussed above. Instead it is prudent to regard both loading and strength as probability distributions as in Figure 15.10. In this figure load and strength must be expressed in the same way and this would usually be in terms of bending moment.

In Figure 15.10 the area under the loading curve to the right of point A represents the probability that the applied load will exceed the strength at A. The area under the strength curve to the left of A represents the probability that the strength will be less than required to withstand the load at A. The tails of the actual probability distributions of load and strength are difficult to define from recorded data unless assumptions are made as to their mathematical form. Many authorities
assume that the distributions are Rayleigh or Gaussian so that the tails are defined by the mean and variance of the distributions. They can then express the safety in terms of a load factor based on the average load and strength. This may be modified by another factor representing a judgement of the consequences of failure.

Having ascertained that the structure is adequate in terms of ultimate strength, the designer must look at the fatigue strength. Again use is made of the stressing under the various weather conditions the ship is expected to meet. This will yield the number of occasions the stress can be expected to exceed certain values. Most fatigue data for steels relate to constant amplitude tests so the designer needs to be able to relate the varying loads to this standard data as was discussed earlier.

CORROSION

Corrosion protection

The surface of all metalwork, inside and outside the ship, needs to be protected against the corrosive effects of the sea environment and of some of the cargoes carried. Most failures of marine structures are due to a combination of corrosion and fatigue. Both can be described as cumulative damage mechanisms. High tensile steels are as liable to corrosion as mild steel. Hence when they are used to produce a lighter weight structure, corrosion can assume even greater significance.

Types of corrosion

These can be classified as:

1. **General corrosion.** This occurs relatively uniformly over the surface and takes place at a predictable rate.
2. **Pitting.** Localized corrosion can occur under surface deposits and in crevices. Pits can act as stress raisers and initiate fatigue.
cracks, but the main concern with modern shipbuilding steels is penetration and subsequent pollution.

(3) **Differential aeration.** Debris and fouling on a surface can lead to different concentrations of oxygen which trigger local corrosion.

(4) **Galvanic action.** Sea water acts as an electrolyte so that electrochemical corrosion can occur. This may be between different steels or even between the same steel when subject to different amounts of working or when a partial oxide film is present. In the ‘cell’ that is created it is the anodic area that is eaten away. A few average values of electrical potential for different metals in sea water of 3.5 per cent salinity and 25°C are listed in Table 15.4. If the difference exceeds about 0.25 volts, significant corrosion of the metal with the higher potential can be expected.

<table>
<thead>
<tr>
<th>Material</th>
<th>Potential (volts)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Magnesium alloy sheet</td>
<td>−1.58</td>
</tr>
<tr>
<td>Galvanised iron</td>
<td>−1.06</td>
</tr>
<tr>
<td>Aluminium alloy (5% Mg)</td>
<td>−0.82</td>
</tr>
<tr>
<td>Aluminium alloy extrusion</td>
<td>−0.72</td>
</tr>
<tr>
<td>Mild steel</td>
<td>−0.70</td>
</tr>
<tr>
<td>Brass</td>
<td>−0.30</td>
</tr>
<tr>
<td>Austenitic stainless steel</td>
<td>−0.25</td>
</tr>
<tr>
<td>Copper</td>
<td>−0.25</td>
</tr>
<tr>
<td>Phosphor bronze</td>
<td>−0.22</td>
</tr>
</tbody>
</table>

(5) **Stress corrosion.** The combined action of corrosion and stress can cause accelerated deterioration of the steel and cracking. The cracks grow at a negligible rate below a certain stress intensity depending upon the metal composition and structure, the environment, temperature and strain rate. Above this threshold level the rate of crack propagation increases rapidly with stress intensity. Environment is important. The rate of crack propagation in normal wet air can be an order of magnitude higher than in a vacuum.

**Protection against corrosion**

*Protective coatings*

Painting can provide protection while the paint film is intact. If it fails in a local area serious pitting can occur. Careful preparation and
immediate priming are needed. Classification societies specify a comprehensive range of protective coatings for a ship’s structure depending upon the spaces concerned. Typical corrosion rates for different ship types against age of ship are presented in Figure 15.11.

![Figure 15.11 Corrosion rates (courtesy RINA)](image)

**Cathodic protection**
Two methods of protecting a ship’s hull are commonly used under the term *cathodic protection*. The first, a passive system, uses a sacrificial anode placed near the area to be protected. Typically this might be a piece of zinc or magnesium. The corrosion is concentrated on the anode. A more effective system, an active one, is to impress a current upon the area concerned, depressing the potential to a value below any naturally anodic area. The potential is measured against a standard reference electrode in the water. Typical current densities required to be effective are 32 mA/m² for painted steel and 110 mA/m² for bare steel, but they vary with water salinity and temperature as well as the ship’s
speed and condition of the hull. The system can be used to protect the inner surfaces of large liquid cargo tanks.

Monitoring off-line loads on the main hull at sea is now fairly routine with stress monitoring systems fitted to a number of bulk carriers. These systems are being developed to give the Master warning of impending structural problems and include on-line corrosion monitoring.

SUMMARY

The strength of the main elements of structure has been considered. The importance of stress concentrations, built in stresses, fatigue and cracking have been discussed. The ability of grillages to carry load post buckling was looked at leading, to an ultimate load carrying capability. It has been suggested that the structure should be so designed that the maximum bending moment it can withstand is likely to be experienced only once in the life of the ship. Thus the chances of the hull failing from direct overloading are minimized. Associated with fatigue is the behaviour of steels in the presence of crack like defects which act as stress concentrations and may cause brittle fracture below certain temperatures and at high strain rates. This highlighted the need to use notch ductile steels. The possible failure modes have been outlined and overall structural safety discussed. Corrosion mechanisms and how they can be controlled have been considered.
Besides the external environment, in which a ship may operate, the naval architect is concerned with the environment inside the vessel. Ships must be designed so as to provide a suitable environment for the continuous, efficient and safe working of equipment and crew. The environment should also be one in which crew and passengers will be comfortable. Vibration, noise and shock are all factors in that environment. The vibration levels, for instance, must be kept low for comfort and efficient functioning of machinery. Noise levels must also be kept below certain levels to avoid physical harm and facilitate communications. The vertical accelerations associated with ship motions must be reduced as much as possible at the critical frequencies, to reduce the likelihood of motion sickness. This is not simply a matter of comfort, although that is important, particularly in passenger vessels; the performance of the crew will be degraded by the conditions in which they have to work. Much attention is paid these days to what is known as human factors of which this is one element.

This chapter considers a ship’s internal environment and what can be done to make it acceptable.

IMPORTANT FACTORS

Ship motions and seasickness

To be seasick is very unpleasant as are the feelings of nausea that precede it. Besides causing discomfort to everyone on board, motions degrade the performance of the crew, both mentally and physically. For instance, moving a weight around a ship, particularly if its positioning is critical, is made more difficult the greater the motion amplitudes.

Research indicates that the most important factor, as far as human beings are concerned, is the vertical acceleration they experience. The most critical frequencies are those in the range 0.15–0.20 Hz. A number of measures are available to a designer to reduce motions and these are discussed in Chapter 12 on Seakeeping.
Temperature and humidity

Heat and odours are important factors in determining a person’s reactions to motions as well as general comfort. Thus there is a need to control the air quality in terms of temperature, humidity, purity and smells. Typically about 0.3 m³ of fresh air is introduced for each person per minute. A person generates about 45 watts of sensible heat and 150 watts latent heat, depending upon the level of activity. These figures, and the heat from machines, must be allowed for in the design of an air-conditioning system which must cater for a range of ambient conditions as outlined in Chapter 6 on The external environment. Good insulation is a help in preventing heat from outside the ship, or from hot spaces within it, getting into general accommodation areas.

In terms of moisture in the atmosphere it is the relative humidity that is important. This is the ratio of the amount of water present in the air to the maximum amount it can hold at that temperature. The higher the temperature the more water the air can hold. To assess the relative humidity two temperatures are recorded: the dry bulb and the wet bulb. At 100 per cent relative humidity the two temperatures are the same.

The air is then said to be saturated. Any lowering of temperature will lead to water condensing out and the temperature at which it occurs is known as the dew point. Air-conditioning systems use this fact to control humidity by first cooling and then heating air. At humidity levels below saturation the wet bulb temperature will be lower than the dry bulb, being reduced by evaporation – rather as a human being feels colder when in wet clothing. The degree of cooling will vary with the movement of air.

Thus how comfortable someone will feel depends upon temperature, humidity and air movement. This complicates matters and the concept of effective temperature is used. This is the temperature of still, saturated air which would produce the same feelings of comfort.

The aim is to maintain the temperature and humidity at such levels as people find comfortable. The problems of atmosphere management are most severe in submarines where the ship remains under water for long periods. Systems are fitted to remove carbon dioxide, add oxygen and remove a wide range of impurities.

Vibration

Vibrations, like motions, are unpleasant and make life on board ship more difficult. A ship is an elastic structure that vibrates when subject to periodic forces which may arise from within the ship or be due to external factors. Of the former type the unbalanced forces in main and auxiliary machinery can be important. Usually turbines and electric motors produce forces which are of low magnitude and relatively high frequency. Reciprocating machinery on the other hand produces larger
magnitude forces of lower frequency. Large main propulsion diesels are likely to pose the most serious problems particularly where, probably for economic reasons, four or five cylinder engines are chosen. They can have large unbalance forces at frequencies equal to the product of the running speed and number of cylinders and of the same order as those of the main hull vibration modes. Vibration forces transmitted to the ship’s structure can be much reduced by flexible mounting systems. In more critical cases vibration neutralizers can be fitted in the form of sprung and damped weights which absorb energy or active systems can be used which generate forces equal but in anti-phase to the disturbing forces. These last are expensive and are not commonly fitted.

Misalignment of shafts and propeller imbalance can cause forces at a frequency equal to the shaft revolutions. With modern production methods the forces involved should be small. A propeller operates in a non-uniform flow and is subject to forces varying at blade rate frequency, that is the product of the shaft revolutions and the number of blades. These are unlikely to be of concern unless there is resonance with the shafting system or ship structure. Even in uniform flow a propulsor induces pressure variations in the surrounding water and on the ship’s hull in the vicinity. The variations are more pronounced in non-uniform flow particularly if cavitation occurs. Stable cavitation over a relatively large area is equivalent to an increase in blade thickness and the blade rate pressures increase accordingly. If cavitation is unstable pressure variations may be many times greater. The number of blades directly affects frequency but has little effect on pressure amplitude.

A ship in waves is subject to varying hull pressures as the waves pass. The ship’s rigid body responses were dealt with under seakeeping. Some of the wave energy is transferred to the hull causing main hull and local vibrations. The main hull vibrations are usually classified as springing or whipping. The former is a fairly continuous and steady vibration in the fundamental hull mode due to the general pressure field. The latter is a transient caused by slamming or shipping green seas. Generally vertical vibrations are most important because the vertical components of wave forces are dominant. However, horizontal and torsional vibrations can become large in ships with large deck openings, such as container ships or ships of relatively light scantlings. The additional bending stresses due to vibration may be significant in fatigue because of their frequency. The stresses caused by whipping can be of the same order of magnitude as the wave bending stresses.

**Noise**

Noise levels are expressed in *decibels* (dB). In the open, sound intensity falls off inversely as the square of the distance from the source. At half
the distance the intensity will be quadrupled. Sound levels are subjective because a typical noise contains many components of different frequency and these will affect the human ear differently. To define a noise fully the strength of each component and its frequency must be specified. This is done by presenting a spectral plot of the noise. For human reactions to noise an alternative is to express noise levels in dB(A). The A weighted dB is a measure of the total sound pressure modified by weighting factors which vary with frequency. The end result reflects more closely a human’s subjective appreciation of noise. Humans are more sensitive to high (1000 Hz and over) than low (250 Hz and less) frequencies and this is reflected in the weighting factors.

Primary sources of noise are the same as those which generate vibration; that is, machinery, propulsors, pumps and fans. Secondary sources are fluids in systems, electrical transformers, and the sea and waves interacting with the ship. Noise from a source may be transmitted through the air surrounding the source or through the structure to which it is attached. The structure on which a machine is mounted can have a marked influence on the amounts of noise transmitted, but it is difficult to predict the transmission losses in typical structures; airborne noise may excite structure on which it impacts and directly excited structure will radiate noise to the air. Much of the noise from a propulsor will be transmitted into the water. That represented by pressure fluctuations on the adjacent hull will cause the structure to vibrate transmitting noise both into the ship and back into the water. Other transmission paths will be through the shaft and its bearings.

Apart from noise making it is hard to hear and be heard, crew performance can fall off because prolonged exposure to noise causes fatigue and disorientation. It can annoy and disturb sleep. High levels (about 130–140 dB) will cause pain in the ear and higher levels can cause physical harm to a person’s hearing ability. Thus noise effects can range from mere annoyance to physical injury. The International Maritime Organization (IMO) lay down acceptable noise levels in ships according to a compartment’s use (Table 16.1).

<table>
<thead>
<tr>
<th>Location</th>
<th>Permitted noise level (dB(A))</th>
</tr>
</thead>
<tbody>
<tr>
<td>Engine room</td>
<td>110</td>
</tr>
<tr>
<td>Workshops</td>
<td>85</td>
</tr>
<tr>
<td>Bridge</td>
<td>65</td>
</tr>
<tr>
<td>Mess room</td>
<td>65</td>
</tr>
<tr>
<td>Recreation space</td>
<td>65</td>
</tr>
<tr>
<td>Cabins</td>
<td>60</td>
</tr>
</tbody>
</table>
Reducing noise levels
Generally anything that helps reduce vibration will also reduce noise. Machinery can be isolated but any mounting system must take account of vibration, noise and shock. Because of the different frequencies at which these occur the problem can be difficult. A mount designed to deal with shock waves may actually accentuate the forces transmitted in low frequency hull whipping. Dual systems may be needed to deal with this problem. Airborne noise can be prevented from spreading by putting noisy items into sound booths or by putting sound absorption material on the compartment boundaries. Such treatments must be comprehensive. To leave part of a bulkhead unclad can negate, to a large degree, the advantage of cladding the rest of the bulkhead. Flow noise from pipe systems can be reduced by reducing fluid speeds within them, by avoiding sudden changes of direction or cross section and by fitting resilient mounts. Inclusion of a mounting plate of significant mass in conjunction with the resilient mount can improve performance significantly.

Where mounts are fitted to noisy machinery care is needed to see that they are not ‘short circuited’ by connecting pipes and cables, and clearances must allow full movement of the machine. In recent years, active noise cancellation techniques have been developing. The principle used is the same as that for active vibration control. The system generates a noise of equivalent frequency content and volume, but in anti-phase to the noise to be cancelled. Thus to cancel the noise of a funnel exhaust a loudspeaker producing a carefully controlled noise output could be placed at the exhaust outlet.

Shock
All ships are liable to collisions and in wartime they are liable to enemy attack. The most serious threat to a ship’s survival is probably an underwater explosion (Figure 16.1). The detonation of the explosive leads to the creation of a pulsating bubble of gas containing about half the energy of the explosion. This bubble migrates towards the sea surface and towards the hull of any ship nearby. It causes pressure waves which strike the hull. The frequency of the pressure waves is close to the fundamental hull frequencies of small ships, such as frigates and destroyers, and can cause considerable movement and damage. A particularly severe vibration, termed whipping, occurs when the explosion is set off a little distance below the keel. The pressure waves act on a large area of the hull and the ship whips. This whipping motion can lead to buckling, and perhaps breaking, of the hull girder.

Another major feature of any underwater explosion is the shock wave containing about a third of the total energy of the explosion. This
shock wave is transmitted through the water, and so into and through the ship’s structure. It causes shock and may lead to hull rupture. The intensity of shock experienced depends upon the size, distance and orientation of the explosion relative to the ship.

Generally equipments are fitted to more than one design and in different positions in any one ship so they must be able to cope with a range of shock conditions. The approach is to design to generalized shock grade curves. The overall design can be made more robust by providing shock isolation mounts for sensitive items and by siting system elements in positions where the structure offers more shock attenuation. This has the advantages that the item itself does not have to be so strong and the mounts can assist in attenuating any noise the equipment produces, reducing its contribution to the underwater noise signature.

In warships essential equipment is designed to remain operable up to a level of shock at which the ship is likely to be lost by hull rupture. The first of class of each new design of warship is subjected to a shock trial in which its resistance to underwater shock is tested by exploding large charges, up to 500 kg, fairly close to the hull.

**Illumination**

The levels of illumination aimed for will depend upon the activity within a compartment. Typically the level in lux, will be about 75 in
cabins, 100–150 in public rooms, 50 in passageways, 150–200 in machinery spaces. In passenger ships lighting is important not only to provide an adequate level of illumination but also for the moods it can create. The idea of a romantic candle lit dinner is perhaps a cliché but it is nevertheless true that lighting does affect the way people feel.

**SUMMARY**

It has been seen that the internal environment can have a major impact upon the comfort and mood of passengers, and on the efficiency of the crew. Some of the factors are controlled by international rules.
17 Ship types

An earlier chapter described the design process and a number of general ship attributes a designer needs to consider. This chapter now considers ‘the end result’, that is, the characteristics of different ship types designed to meet the specific needs of an owner. It is not possible to use a single ship to fully define a ship type, because:

- The number of variants on the basic themes has increased greatly in recent years.
- The largest ships of a type no longer give a good guide to the latest technology and trends. The balance of economic considerations can now lead to the desire for medium or small ships. For instance, medium sized cruise ships can visit more ports and islands.
- There is much greater variety, within a ship type, in terms of propulsion plant, manoeuvring devices and so on.
- Changing national and international rules and regulations, particularly those associated with safety, are dictating changes in design. The double hull tanker is an example.

This chapter discusses broad aspects of the design and layout of different ship types leaving the reader to go to other sources for details of individual ships. Such sources are:

- The registers of the classification societies.
- Symposia on ship types organized by the learned societies, such as the Royal Institution of Naval Architects (RINA).
- Books on individual ship types or individual ships.
- Publications such as Significant Ships and Significant Small Ships published by the RINA annually.

Economics and technology

In the mid-20th Century it was generally true that if a developing technology made a thing possible it was advantageous to do it. This is no longer true. Many things can now be achieved technically but are not
adopted for economic reasons. Thus the transatlantic liners in the 1930s vied for the Blue Riband. The companies would use the latest machinery and propellers to gain a half knot. These days the cruise liners do not attempt to achieve the speeds of those liners. Most of them have speeds of 22 or 23 knots and the only passenger ship to approach it has been the 345 m long Queen Mary 2 with a contract speed of over 29 knots. The phasing out of Concorde is an example from the world of air travel of economics overriding what technology has to offer.

MERCHANT SHIPS

The development of merchant ship types has been dictated largely by the nature of the cargo and the trade routes. They can be classified accordingly with the major types being:

- general cargo ships;
- container ships;
- tankers;
- dry bulk carriers;
- passenger ships;
- tugs.

General cargo ships

The industry distinguishes between break bulk cargo which is packed, loaded and stowed separately and bulk cargo which is carried loose in bulk. The general cargo carrier (Figure 17.1) is a flexible design of vessel which will go anywhere and carry a wide variety of cargo. The cargo may be break bulk or containers. Such vessels have several large clear open cargo-carrying spaces or holds. One or more decks may be present within the holds. These are known as 'tween decks and provide increased flexibility in loading and unloading, permit cargo segregation and improved stability. Access to the holds is by openings in the deck known as hatches.

Hatches are made as large as strength considerations permit in order to reduce the amount of horizontal movement of cargo within the ship. Typically the hatch width is about a third of the ship’s beam. Hatch covers are of various types. Pontoon hatches are quite common in ships of up to 10,000 dwt, for the upper deck and 'tween decks, each pontoon weighing up to 25 tonnes. They are opened and closed using a gantry or cranes. In large bulk carriers side rolling hatch covers are often fitted, opening and closing by movement in the transverse direction. Another type of cover is the folding design operated by hydraulics.
Figure 17.1 General cargo ship
The coamings of the upper or weather deck hatches are raised above the deck to reduce the risk of flooding in heavy seas. They are liable to distort a little due to movement of the structure during loading and unloading of the ship. This must be allowed for in the design of the securing arrangements. Coamings can provide some compensation for the loss of hull strength due to the deck opening.

A double bottom is fitted along the ship’s length, divided into various tanks. These may be used for fuel, lubricating oils, fresh water or ballast water. Fore and aft peak tanks are fitted and may be used to carry ballast and to trim the ship. Deep tanks are often fitted and used to carry liquid cargoes or water ballast. Water ballast tanks can be filled when the ship is only partially loaded in order to provide a sufficient draught for stability, better weight distribution for longitudinal strength and better propeller immersion.

Cranes and derricks are provided for cargo handling. Typically cranes have a lifting capacity of 10–25 tonnes with a reach of 10–20 m, but they can be much larger. General cargo ships can carry cranes or gantries with lifts of up to 150 tonnes. Above this, up to about 500 tonnes lift they are referred to as heavy lift ships.

The machinery spaces are often well aft but there is usually one hold aft of the accommodation and machinery space to improve the trim of the vessel when partially loaded. General cargo ships are generally smaller than the ships devoted to the carriage of bulk cargos. Typically their speeds range from 12 to 18 knots.

Refrigerated cargo ships (Reefers)
A refrigeration system provides low temperature holds for carrying perishable cargoes. The holds are insulated to reduce heat transfer. The cargo may be carried frozen or chilled and holds are at different temperatures according to requirements. The possible effect of the low temperatures on surrounding structure must be considered. Refrigerated fruit is carried under modified atmosphere conditions. The cargo is maintained in a nitrogen-rich environment in order to slow the ripening process. The costs of keeping the cargo refrigerated, and the nature of the cargo, make a shorter journey time desirable and economic and these vessels are usually faster than general cargo ships with speeds up to 22 knots. Up to 12 passengers are carried on some, this number being the maximum permitted without the need to meet full passenger ship regulations.

Container ships
Container ships (Figure 17.2) are a good example of an integrated approach to the problem of transporting goods. Once goods are placed
Figure 17.2 Container ship
in the container at a factory or depot, they can be carried by road, rail or sea, being transferred from one to another at road or rail depots or a port. The container need not be opened until it reaches its destination. This makes the operation more secure. The maritime interest is primarily in the ports and ships but any element of the overall system may impose restrictions on what can be done. Height of container is likely to be dictated by the tunnels and bridges involved in land transport. Weight is likely to be dictated by the wheel loadings of lorries. The handling arrangements at the main terminals and ports are specially designed to handle the containers quickly and accurately. The larger container ships use dedicated container ports and tend not to have their own cargo handling gantries.

The containers themselves are simply reusable boxes made of steel or aluminium. They come in a range of types and sizes. Details can be obtained from the web site of one of the operators. Nominal dimensions are lengths of 20, 40 and 45 ft, width of 8 ft and height 8.5 or 9.5 ft. Internal volumes and weight of goods that can be carried vary with the material. For a 20 ft general purpose steel container the internal capacity is about 33 m$^3$, weight empty is about 2.3 tonnes and the maximum payload is about 21.7 tonnes. Figures for a 40 ft container would be about 68 m$^3$, 3.8 tonnes and 26.7 tonnes, respectively. Aluminium containers will have about the same volume, weigh less and be able to carry a larger payload. They are used for most general cargoes and liquid carrying.

The cargo-carrying section of the ship is divided into several holds with the containers racked in special frameworks and stacked one upon the other within the hold space. Containers may also be stacked on hatch covers and secured by special lashings. Some modern ships dispense with the hatch covers, pumps dealing with any water that enters the holds. Each container must be of known all up weight and stowage arrangements must ensure the ship’s stability is adequate as well as meeting the offloading schedule if more than one port is involved. The ship’s deadweight will determine the total number of containers carried.

Cargo holds are separated by a deep web-framed structure to provide the ship with transverse strength. The structure outboard of the container holds is a box-like arrangement of wing tanks providing longitudinal and torsional strength. The wing tanks may be used for water ballast and can be used to counter the heeling of the ship when discharging containers. A double bottom is fitted which adds to the longitudinal strength and provides additional ballast space.

Accommodation and machinery spaces are usually located aft leaving the maximum length of full-bodied ship for container stowage. The overall capacity of a container ship is expressed in terms of the number of standard 20 ft units it can carry, that is, the number of *twenty-foot equivalent units* (TEU). Thus a 40-foot container is classed as 2 TEU.
The container ship is one application where the size of ship seems to be ever increasing to take advantage of the economies of scale. By the turn of the century 6000 TEU ships had become the standard for the main trade routes, and some 80 ships of 8000 TEU were on order plus some of 9200 TEU. Concept work was underway for ships of 14000 TEU size. Container ships tend to be faster than most general cargo ships, with speeds up to 30 knots. The larger ships can use only the largest ports. Since these are fitted out to unload and load containers the ship itself does not need such handling gear. Smaller ships are used on routes for which the large ships would be uneconomic, and to distribute containers from the large ports to smaller ports. That is, they can be used as feeder ships. Since the smaller ports may not have suitable handling gear the ships can load and offload their own cargos.

Some containers are refrigerated. They may have their own independent cooling plant or be supplied with cooled air from the ship’s refrigeration system. Because of the insulation required refrigerated containers have less usable volume. Temperatures would be maintained at about −27°C and for a freezer unit about −60°C. They may be carried on general cargo ships or on dedicated refrigerated container ships. One such dedicated vessel is a 21 knot, 30560 dwt ship of 2046 TEU capacity. The ship has six holds of which five are open. The hatchcover-less design enables the cell structure, in which the containers are stowed, to be continued above deck level giving greater security to the upper containers. Another advantage of the open hold is the easier dissipation of heat from the concentration of reefer boxes.

Barge carriers are a variant of the container ship. Standard barges are carried into which the cargo has been previously loaded. The barges, once unloaded, are towed away by tugs and return cargo barges are loaded. Minimal or even no port facilities are required and the system is particularly suited to countries with extensive inland waterways.

**Roll-on roll-off ships (Ro-Ro ships)**

These vessels (Figure 17.3) are designed for wheeled cargo, often in the form of trailers. The cargo can be rapidly loaded and unloaded through stern or bow doors and sometimes sideports for smaller vehicles. Train ferries were an early example of Ro-Ro ships.

The cargo-carrying section of the ship is a large open deck with a loading ramp usually at the aft end. Internal ramps lead from the loading deck to the other ’tween deck spaces. The cargo may be driven aboard under its own power or loaded by straddle carriers or fork lift trucks. One or more hatches may be provided for containers or general cargo, served by deck cranes. Where cargo, with or without wheels, is loaded and discharged by cranes the term lift-on lift-off (Lo-Lo) is used.
Figure 17.3 Ro-Ro Ship (courtesy RINA)
The structure outboard of the cargo decks is a box-like arrangement of wing tanks to provide longitudinal strength and adequate transverse stability. A double bottom is fitted along the complete length. Transverse bulkheads are limited to below the lowest vehicle deck so the side structure must provide adequate transverse and torsional strength. The machinery space and accommodation are located aft. Only a narrow machinery casing actually penetrates the loading deck. Sizes range considerably with about 16,000 dwt (28,000 displacement tonne) being quite common and speeds are relatively high and usually in the region of 18–22 knots.

The use of Ro-Ro ships as passenger ferries is discussed later.

**Bulk cargo carriers**

The volume of cargoes transported by sea in bulk increased rapidly in the second half of the 20th Century, leading to specialist ships. These were ships carrying cargoes which did not demand packaging and which could benefit from the economies of scale. Most bulk carriers are single deck ships, longitudinally framed with a double bottom, with the cargo-carrying section of the ship divided into holds or tanks. The hold or tank arrangements vary according to the range of cargoes to be carried. Framing is contained within the double bottom and wing tanks to leave the inner surfaces of the holds smooth. They are categorized as:

- **Panamax.** The dimensions of the ship being limited by the need to be able to transit the Panama Canal. The beam must be less than 32.25 m.
- **Suezmax.** The dimensions of the ship being limited by the need to be able to transit the Suez Canal. Draught to be less than 19 m.
- **Capesize.** Without the restrictions of the above types.
- **Handysize.** Generally less than about 50,000 tonnes.
- **Aframax.** This is a term applied to tankers in the range 80,000–120,000 dwt.

Bulk carriers can also be sub-divided into tankers and dry bulk carriers. The requirements, for instance the permitted lengths of cargo holds, vary with the size of ship and the following comments are for general guidance only.

**Tankers**

Tankers are used for the transport of liquids. They include:

- crude oil carriers;
- product tankers;
- gas tankers;
- chemical carriers.
CRUDE OIL CARRIERS
These carry the unrefined crude oil and they have significantly increased in size in order to obtain the economies of scale and to respond to the demands for more and more oil. Designations, such as Ultra Large Crude Carrier (ULCC) and Very Large Crude Carrier (VLCC), have been used for these huge vessels. The ULCC is a ship of 300,000 dwt or more; the VLCC is 200,000–300,000 dwt. Crude oil tankers with deadweight tonnages in excess of half a million have been built although the current trend is for somewhat smaller (130,000–150,000 dwt) vessels.

The cargo-carrying section of the tanker is usually divided into tanks by longitudinal and transverse bulkheads. The size and location of these cargo tanks is dictated by the International Maritime Organization (IMO) Convention MARPOL 1973/1978 which became internationally accepted in 1983. IMO requirements are built into those of the various classification societies. These regulations require the use of segregated ballast tanks and their location such that they provide a barrier against accidental oil spillage. The segregated ballast tanks must be such that the vessel can operate safely in ballast without using any cargo tank for water ballast.

Tankers ordered after 1993 had to comply with the MARPOL double hull regulation (Figure 17.4). This is opposed to single hull tankers where one or more cargo holds are bounded in part by the ship’s shell plating. In the double hull design the cargo tanks are completely surrounded by wing and double bottom tanks which can be used for ballast purposes. The USA, under its 1990 Oil Pollution Act required all newly built tankers trading in US waters to be of the double hull.
design. There has been debate on whether a double hull is the best way of reducing pollution following grounding or collision. IMO and classification societies are prepared to consider alternatives to the double hull. One alternative favoured by some is the mid-height depth deck design. In such ships a deck is placed at about mid-depth which will be well below the loaded waterline. This divides the cargo tanks into upper and lower tanks. A trunk is taken from the lower tank through the upper tank and vented. The idea is that if the outer bottom is breached the external water pressure will be greater than the pressure of hull from the lower tank and this will force oil up the vent trunk. Thus water enters the ship rather than oil escaping from it. Such tankers would still incorporate segregated ballast tanks outboard of the cargo tanks to safeguard against collision. Subsequent debate within IMO and the EU has led to a speeding up of the timetable for phasing out single hull tankers. For the detailed provisions recourse should be had to the regulations of the authorities concerned.

Segregated ballast tanks would include all the double bottom tanks beneath the cargo tanks, wing tanks and the fore and aft peak tanks. Each cargo tank would be discharged by pumps fitted in the aft pump room, each tank having its own suction arrangement which connects to the pumps, and a network of piping discharges the cargo to the deck from where it is pumped ashore. The accommodation and machinery spaces would be located aft and separated from the tank region by a cofferdam. Where piping serves several tanks, means must be provided for isolating each tank.

Experience shows that once any initial protective coatings breakdown, permanent ballast tanks suffer corrosion and regular inspection is vital. The builder must provide a high quality coating system and a back-up anode system to give a coverage of 10 mA/m² should be included to control corrosion after coating breakdown.

More and more ships are being fitted with equipment to measure actual strains during service. A typical system comprises a number of strain gauges at key points in the structure together with an accelerometer and pressure transducer to monitor bottom impacts. Results are available on the bridge to assist the master in the running of the ship. The information is stored and is invaluable in determining service loadings and long term fatigue data.

PRODUCT CARRIERS
After the crude oil is refined the various products are transported in product carriers. The refined products carried include gas oil, aviation fuel and kerosene. Product carriers are smaller than crude oil carriers and, because several different products are carried, they have greater sub-division of tanks. The cargo tank arrangement is again dictated by
MARPOL 73/78. Individual ‘parcels’ of various products may be carried at any one time which results in several separate loading and discharging pipe systems. The tank surfaces are usually coated to prevent contamination and enable a high standard of tank cleanliness to be achieved after discharge. Sizes range from about 18,000 up to 75,000 dwt with speeds of about 14–16 knots.

LIQUEFIED GAS CARRIERS
The most commonly carried liquefied gases are liquefied natural gas (LNG) and liquefied petroleum gas (LPG). They are kept in liquid form by a combination of pressure and low temperature. The combination varies to suit the gas being carried.

The bulk transport of natural gases in liquefied form began in 1959 and has steadily increased since then. Specialist ships are used to carry the different gases in a variety of tank systems, combined with arrangements for pressurizing and refrigerating the gas. Natural gas is released as a result of oil-drilling operations. It is a mixture of methane, ethane, propane, butane and pentane. The heavier gases, propane and butane, are termed ‘petroleum gases’. The remainder, largely methane, is known as ‘natural gas’. The properties, and behaviour, of these two basic groups vary considerably, requiring different means of containment and storage during transit.

NATURAL GAS CARRIERS
Natural gas is, by proportion, 75–95 per cent methane and has a boiling point of −162°C at atmospheric pressure. Methane has a critical temperature of −82°C, which means it cannot be liquefied by the application of pressure above this temperature. A pressure of 47 bar is necessary to liquefy methane at −82°C. LNG carriers are designed to carry the gas in its liquid form at atmospheric pressure and a temperature in the region of −164°C. The ship design must protect the steel structure from the low temperatures, reduce the loss of gas and avoid its leakage into the occupied regions of the vessel.

Tank designs are either self-supporting, membrane or semi-membrane. The self-supporting tank is constructed to accept any loads imposed by the cargo. A membrane tank requires the insulation between the tank and the hull to be load bearing. Single or double metallic membranes may be used, with insulation separating the two membrane skins. The semi-membrane design has an almost rectangular cross section and the tank is unsupported at the corners.

Figure 17.5 shows a novel design of a small LNG carrier in which boil off gases are totally contained within the tanks leaving easier choice of main propulsion diesels. More typically, an LNG carrier has
Figure 17.5 LNG carrier (courtesy RINA)
some five tanks of almost rectangular cross section, each having a central dome. They are supported and separated from the ship's structure by insulation which is a lattice structure of wood and various foam compounds.

The tank and insulation structure is surrounded by a double hull. The double bottom and ship's side regions are used for oil or water ballast tanks whilst the ends provide cofferdams between the cargo tanks. A pipe column is located at the centre of each tank and is used to route the pipes from the submerged cargo pumps out of the tank through the dome. The accommodation and machinery spaces are located aft and separated from the tank region by a cofferdam. LNG carriers have steadily increased in size and ships of around 140,000 m³ capacity are now on order. Speeds range from 16 to 19 knots.

PETROLEUM GAS CARRIERS
Petroleum gas may be propane, propylene, butane or a mixture. All three have critical temperatures above normal ambient temperatures and can be liquefied at low temperatures at atmospheric pressure, normal temperatures under considerable pressure, or some intermediate combination of pressure and temperature. The design must protect the steel hull where low temperatures are used, reduce the gas loss, avoid gas leakage and perhaps incorporate pressurized tanks. The fully pressurized tank operates at about 17 bar and is usually spherical or cylindrical in shape for structural efficiency.

Semi-pressurized tanks operate at a pressure of about 8 bar and temperatures in the region of −7°C. Insulation is required and a reliquefaction plant is needed for the cargo boil-off. Cylindrical tanks are usual and may penetrate the deck. Fully refrigerated atmospheric pressure tank designs may be self-supporting, membrane or semi-membrane types as in LNG tankers. The fully refrigerated tank designs operate at temperatures of about −45°C. A double hull type of construction is used.

An LPG carrier of about 50,000 dwt is shown in Figure 17.6. It is a flushed deck vessel with four holds. Within the holds there are four independent, insulated, prismatic cargo tanks, supported by a load bearing structure designed to take account of the interaction of movements and forces between the tanks and adjoining hull members. Topside wing, hopper side and double bottom tanks are mainly used for water ballast. Fuel is carried in a cross bunker forward of the engine room. Machinery and accommodation are right aft. It can carry various propane/butane ratios to provide flexibility of operation.

The double hull construction, cargo pumping arrangements, accommodation and machinery location are similar to an LNG carrier. A reliquefaction plant is, however, carried and any cargo boil-off is
Figure 17.6 LPG carrier (courtesy RINA)
returned to the tanks. LPG carriers exist in sizes up to around 95 000 m³. Speeds range from 16 to 19 knots.

CHEMICAL CARRIERS
A wide variety of chemicals is carried by sea. The cargo is often toxic and flammable so the ships are subject to stringent requirements to ensure safety of the ship and the environment. Different cargos are segregated by cofferdams. Spaces are provided between the cargo tanks and the ship's hull, machinery spaces and the forepeak bulkhead. Great care is taken to prevent fumes spreading to manned spaces.

Dry bulk carriers
These ships carry bulk cargos such as grain, coal, iron ore, bauxite, phosphate and nitrate. Towards the end of the 20th Century more than 1000 million tonnes of these cargoes were being shipped annually, including 180 million tonnes of grain. Apart from saving the costs of packaging, loading and offloading times are reduced.

As the volume of cargo carried increased so did the size of ship, taking advantage of improving technology. By the 1970s ships of 200 000 dwt were operating and even larger ships were built later. This growth in size has not been without its problems. In the 28 months from January 1990 there were 43 serious bulk carrier casualties of which half were total losses. Three ships, each of over 120 000 dwt, went missing. Nearly 300 lives were lost as a result of these casualties. To improve the safety of these ships IMO adopted a series of measures during the 1990s. These reflect the lessons learned from the losses of ships in the early 1990s and that of MV Derbyshire in 1980 whose wreck was found and explored by remotely controlled vehicles. Among factors being addressed are age, corrosion, fatigue, freeboard, bow height and strength of hatch covers. A formal safety assessment was carried out to guide future decisions on safety matters for bulk carriers.

In a general-purpose bulk carrier (Figure 17.7), only the central section of the hold is used for cargo. The partitioned tanks which surround the hold are used for ballast purposes. This hold shape also results in a self-trimming cargo. During unloading the bulk cargo falls into the space below the hatchway facilitating the use of grabs or other mechanical unloaders. Large hatchways are a particular feature of bulk carriers. They reduce cargo handling time during loading and unloading.

Combination carriers are bulk carriers which have been designed to carry any one of several bulk cargoes on a particular voyage, for instance ore, crude oil or dry bulk cargo.

Stability and loading manuals are supplied to every ship to provide the Master with the information to load, discharge and operate the ship safely. Loading computer programs are designed to provide, for any
Figure 17.7 General purpose bulk carrier (courtesy RINA)
condition of loading, a full set of deadweight, trim, stability and longitudinal strength calculations. IMO codes on trimming bulk cargoes require the cargo, with particular attention to cargoes that may liquefy, to be trimmed reasonable level to the boundaries of the compartment to minimize the risk of bulk material shift. The very high loading rates, up to 16 000 tonnes/hour, make the loading task one that needs careful attention.

An ore carrier usually has two longitudinal bulkheads which divide the cargo section into wing tanks and a centre hold which is used for ore. A deep double bottom is fitted. Ore, being a dense cargo, would have a very low centre of gravity if placed in the hold of a normal ship, leading to an excess of stability in the fully loaded condition. The deep double bottom raises the centre of gravity and the behaviour of the vessel at sea is improved. The wing tanks and the double bottoms provide ballast capacity. The cross section would be similar to that for an ore/oil carrier shown in Figure 17.8.

An ore/oil carrier uses two longitudinal bulkheads to divide the cargo section in centre and wing tanks which are used for the carriage of oil. When ore is carried, only the centre tank section is used for cargo. A double bottom is fitted but used only for water ballast.

The ore/bulk/oil (OBO) bulk carrier is currently the most popular combination bulk carrier. It has a cargo-carrying cross section similar to the general bulk carrier but the structure is significantly stronger. Large hatches facilitate rapid cargo handling. Many bulk carriers do not carry cargo handling equipment, since they trade between special terminals with special equipment. Combination carriers handling oil cargoes have their own cargo pumps and piping systems for discharging oil. They are required to conform to the requirement of MARPOL.
Deadweight capacities range from small to upwards of 200 000 tonnes. Taking a 150 000/160 000 tonne deadweight Capesize bulk carrier as typical, the ship is about 280 m in length, 45 m beam and 24 m in depth. Nine holds hold some 180 000 m$^3$ grain in total, with ballast tanks of 75 000 m$^3$ capacity. The speed is about 15.5 knots on 14 MW power. Accommodation about 30.

**Passenger ships**

Passenger ships can be considered in two categories, the cruise ship and the ferry. The ferry provides a link in a transport system and often has Ro-Ro facilities in addition to its passengers.

Considerable thought has been given to achieving rapid, and safe, evacuation and this is an area where computer simulation has proved very useful. For instance, quicker access is possible to lifeboats stowed lower in the ship’s superstructure, chutes or slides can be used for passengers to enter lifeboats already in the water, either directly into the boat or by using a transfer platform. It is important that such systems should be effective in adverse weather conditions and when the ship is heeled. Shipboard arrangements must be designed bearing in mind the land-based rescue organizations covering the areas in which the ship is to operate.

Free fall lifeboats, used for some years on offshore installations, are increasingly being fitted to tankers and bulk carriers. Drop heights of 30 m are now accepted and heights of 45 m have been tested. However, safe usage depends upon the potential users being fit and well trained. These conditions can be met in ships’ crews but is problematic for passenger ships. Passengers in, say, a cruise ship may not be fit and may even be partially handicapped.

As might be expected it is passenger ships that are most affected by changes in standards and thinking of society as a whole. In 1997 the Maritime and Coastguard agency issued a guidance note on the needs of disabled people. In 2000 the Ferries Working Group of the Disabled Persons Transport Advisory Committee (DPTAC) issued more detailed guidance.

**Cruise ships**

Cruise ships (Figure 17.9) have been a growth area. Between 1990 and 2000 the cruise market grew by 60 per cent and the size of ship has also grown with vessels now capable of carrying 3600 passengers at 22 knots. However, the largest cruise ships cannot use some ports and harbours in the more attractive locations. The ship has to anchor well out and ferry passengers ashore by smaller boats. This takes time and there are
Figure 17.9 Cruise liner (courtesy RINA)
now a number of small or medium sized ships to cater for passengers who want to visit the smaller islands.

In a cruise ship passengers are provided with a high standard of accommodation and leisure facilities. This results in a large superstructure as a prominent feature of the vessel. The many tiers of decks are fitted with large open lounges, ballrooms, swimming pools and promenade areas. Stabilizers are fitted to reduce rolling and bow thrusters are used to improve manoeuvrability.

**Large ferries**

Ocean-going ferries are a combination of Ro-Ro and passenger vessel. The vessel has three layers, the lower machinery space, the vehicle decks and the passenger accommodation. A large stern door and sometimes also a bow door provide access for the wheeled cargo to the various decks which are connected by ramps. Great care is needed to ensure these doors are watertight and proof against severe weather. There is usually a secondary closure arrangement in case the main door should leak. The passenger accommodation varies with length of the journey. For short-haul or channel crossings public rooms with aircraft-type seats are provided and for long distance ferries cabins and sleeping berths. Stabilizers and bow thrusters are usually fitted to improve seakeeping and manoeuvring. Size varies according to route requirements and speeds are usually around 20–22 knots.

When used as ferries, vehicles usually enter at one end and leave at the other. This speeds up loading and unloading but requires two sets of doors. There has been considerable debate on the vulnerability of Ro-Ro ships that should water get on to their vehicle decks. Various means of improving stability in the event of collision and to cater for human error in not securing entry doors, have been proposed. Since the loss of the *Herald of Free Enterprise* regulations have been tightened up. The later loss of the *Estonia* gave an additional impetus to a programme of much needed improvements.

**Tugs**

Tugs perform a variety of tasks and their design varies accordingly. They move dumb barges, help large ships manoeuvre in confined waters, tow vessels on ocean voyages and are used in salvage and firefighting operations. Tugs can be categorized broadly as inland, coastal or ocean going. Put simply, a tug is a means of applying an external force to any vessel it is assisting. That force may be applied in the direct or the indirect mode. In the former the major component of the pull is provided by the tug’s propulsion system. In the latter most of the pull is provided
by the lift generated by the flow of water around the tug’s hull, the tug’s own thrusters being mainly employed in maintaining its attitude in the water.

The main features of a tug (Figure 17.10) are an efficient design for free running and a high thrust at zero speed (the bollard pull), an ability to get close alongside other vessels, good manoeuvrability and stability.

Another way of classifying tugs is by the type and position of the propulsor units:

1. **Conventional tugs** have a normal hull, propulsion being by shafts and propellers, which may be open or nozzled, and of fixed or controllable pitch, or by steerable nozzles or vertical axis propellers. They usually tow from the stern and push with the bow.
2. **Stern drive tugs** have the stern cut away to accommodate twin azimuthing propellers. These propellers, of fixed or controllable pitch, are in nozzles and can be turned independently through 360° for good manoeuvrability. Because the drive is through two right angle drive gears these vessels are sometimes called Z-drive tugs. They usually have their main winch forward and tow over the bow or push with the bow.
3. **Tractor tugs** are of unconventional hull form, with propulsors sited under the hull about one-third of the length from the bow, and a stabilizing skeg aft. Propulsion is by azimuthing units or vertical axis propellers. They usually tow over the stern or push with the stern.

In most tug assisted operations the ship is moving at low speed. Concern for the environment, following the *Exxon Valdez* disaster, led to the US Oil Pollution Act of 1990. To help tankers, or any ship carrying hazardous cargo, which found themselves unable to steer, escort tugs were proposed.

In this concept the assisted ship may be moving at 10 knots or more. Success depends upon the weather conditions and the proximity of land or underwater hazards, as well as the type and size of tug. Some authorities favour a free-running tug so as not to endanger ship or tug in the majority (incident free) of operations. In this case the tug normally runs ahead of the ship. It has the problem of connecting up to the ship in the event of an incident. For this reason other authorities favour the tug being made fast to the escorted ship either on a slack or taut line.

The direct pull a tug can exert falls off with speed and indirect towing will be more effective at higher speeds. Tugs can be used as part of an integrated tug/barge system. This gives good economy with one propelled unit being used with many dumb units.
Figure 17.10 Tug (courtesy RINA)
The trends in tug design in the last decade of the 20th Century were:

- Tugs with azimuthing propulsion have effectively replaced conventional single and twin screw propelled tugs for harbour work.
- Lengths range up to 45 m but tugs of 30–35 m dominate.
- Powers are generally 2500–3000 kW with a few as high as 5000 kW.
- B/D and B/L ratios have increased to provide greater stability.
- Bollard pull varies with installed power and type of propulsion, being 60–80 tonnef at 5000 kW.
- Free running speeds range from 10 to 15 knots and tend to increase linearly with the square root of the length.

Icebreakers and ice strengthened ships

The main function of an icebreaker is to clear a passage through ice at sea, in rivers or in ports so that other ships can use the areas which would otherwise be denied to them. Icebreakers are vital to the economy of nations such as Russia with ports that are ice bound for long periods of the year and which wish to develop the natural resources within the Arctic. Icebreakers need:

- To be specially strengthened with steels which remain tough at low temperature.
- Extra structure in the bow and along the waterline.
- High power propulsion and manoeuvring devices which are not susceptible to ice damage. The shape of the stern is important here.
- A hull form that enables them to ride up over the ice. This is one way of forcing a way through ice; the ship rides over the ice edge and uses its weight to break the ice. The ship may be ‘rocked’ by transferring ballast water longitudinally. The hull is well rounded and may roll heavily as protruding stabilizers are unacceptable.
- Good hull sub-division.
- Special hull paints.

Icebreakers are expensive to acquire and operate.

Other ships which need to operate in the vicinity of ice are strengthened to a degree depending upon the perceived risk. Usually they can cope with continuous 1 year old ice of 50–100 cm thickness. Typically they are provided with a double hull, thicker plating forward and in the vicinity of the waterline, with extra framing. They have a flat hull shape and a rounded bow form. Rudders and propellers are protected from ice contact by the hull shape. Inlets for engine cooling water must not be allowed to become blocked.
HIGH SPEED CRAFT

These may have civil or military application so they are considered before going on to consider specific warship types.

A number of hull configurations and propulsion systems are discussed; each designed to overcome problems with other types or to confer some desired advantage. Thus catamarans avoid the loss of stability at high speed suffered by round bilge monohulls. They also provide large deck areas for passenger use or deployment of research or defence equipment. Hydrofoil craft benefit from reduced resistance by lifting the main hull clear of the water. Air cushion vehicles give the possibility of amphibious operation. The effect of waves on performance is minimized in the Small Waterplane Area Twin Hull (SWATH) concept. Some craft are designed to reduce wash so that they can operate at higher speeds in restricted waters.

The choice of design depends upon the intended service. In some cases a hybrid is used. Although most applications of these concepts have been initially to small craft some are now appearing in the medium size, especially for high speed ferry services.

In commercial applications one of the special characteristics, such as those mentioned above, may be the deciding factor in the adoption of a particular hull form. In other cases, particularly for ferries, it may be the extra speed which is a feature of several forms. One way of assessing the relative merits of different forms is what is termed the transport efficiency factor which is the ratio of the product of payload and speed to the total installed power.

Monohulls

Many high speed small monohulls have had hard chines. Round bilge forms at higher speeds have had stability problems. Hard chine forms with greater beam and reduced length give improved performance in calm water but experience high vertical accelerations in a seaway. Their ride can be improved by using higher deadrise angles leading to a ‘deep vee’ form. Current practice favours round bilge for its lower power demands at cruising speed and seakindliness, with the adoption of hard chines for Froude numbers above unity for better stability. One advantage of the round bilge form in seakeeping is that it can be fitted more readily with bilge keels to reduce rolling.

Surface effect ships (SESs)

The earliest form of SES was the hovercraft in which the craft was lifted completely clear of the water on an air cushion created by blowing air into a space under the craft and contained by a skirt. For these craft
propulsion is by airscrew of jet engines. In some later craft rigid side-
walls remain partially immersed when the craft is raised on its cushion 
and the skirt is limited to the ends. The sidewalls mean that the craft is 
not amphibious and cannot negotiate very shallow water. They do, 
however, improve directional stability and handling characteristics in 
winds. They also reduce the leakage of air from the cushion and so 
reduce the lift power needed and they enable more efficient water pro-
pellers or waterjets to be used for propulsion. For naval applications it 
is usual for the sidewalls to provide sufficient buoyancy so that when at 
rest with zero cushion pressure the cross structure is still clear of the water.

The effect of the air cushion is to reduce the resistance at high speeds, 
making higher speeds possible for a given power. For ferries, which 
operate close to their maximum speed for the major part of their pas-
sage, it is desirable to operate at high Froude number to get beyond 
the wavemaking hump in the resistance curve. This, and the wish to 
reduce the cushion perimeter length for a given plan form area, means 
that most SESs have a low length to beam ratio. In other applications 
the craft may be required to operate efficiently over a range of speeds. 
In this case a somewhat higher length to beam ratio is used to give bet-
ter fuel consumption rates at the lower cruising speeds.

SESs are employed as ferries on a number of short-haul routes. For 
the cushion craft shown in Figure 17.11 the passenger seating is located 
above the central plenum chamber with the control cabin one deck

![Figure 17.11 Air cushion vehicle](image-url)
higher. Ducted air propellers and rudders are located aft to provide forward propulsion and lateral control. Centrifugal fans driven by diesel engines create the air cushion. Manoeuvrability is helped by air jet driven bow thrusters.

Early SESs were relatively high cost, noisy craft requiring a lot of maintenance, particularly of the skirts which quickly became worn. As a result of experience with the early, mainly military, craft later versions are considerably improved in all these respects. Naval applications include landing small numbers of covert forces and in mine hunting. In the former, an amphibious craft can cross the exposed beach quickly. The latter use arises from the relative immunity of SESs to underwater explosions.

Hydrofoil craft

Hydrofoil craft make use of hydrodynamic lift generated by hydrofoils attached to the bottom of the craft. When the craft moves through the water a lift force is generated to counteract the craft’s weight, the hull is raised clear of the water and the resistance is reduced. High speeds are possible without using unduly large powers. Once the hull is clear of the water and not, therefore, contributing buoyancy, the lift required of the foils is effectively constant. As speed increases either the submerged area of foil will reduce or their angle of incidence must be reduced. This leads to two types of foil system:

1. Completely submerged, incidence controlled. The foils remain completely submerged, reducing the risk of cavitation, and lift is varied by controlling the angle of attack of the foils to the water. This is an ‘active’ system and can be used to control the way the craft responds to oncoming waves.

2. Fixed surface piercing foils. The foils may be arranged as a ladder either side of the hull or as a large curved foil passing under the hull. As speed increases the craft rises so reducing the area of foil creating lift. This is a ‘passive’ system.

Foils are provided forward and aft, the balance of area being such as to provide the desired ride characteristics. The net lift must be in line with the centre of gravity of the craft. Like the SES, the hydrofoil has been used for service on relatively short-haul journeys. Both types of craft have stability characteristics which are peculiarly their own.

Multi-hulled vessels

These include sailing catamarans, trimarans, offshore rigs, diving support vessels and ferries. Catamarans are not new as two twin hulled paddle steamers of about 90 m length were built in the 1870s for cross
channel service. They were liked by passengers for their seakeeping qualities but were overtaken fairly soon by other developments. The upper decks of catamarans provide large areas for passenger facilities in ferries or for helicopter operations. Their greater wetted hull surface area leads to increased frictional resistance but the relatively slender hulls can have reduced resistance at higher speeds, sometimes assisted by interference effects between the two hulls. A hull separation of about 1.25 times the beam of each hull is reasonable in a catamaran. Manoeuvrability is good.

High transverse stability and relatively short length mean that seakeeping is not always good. This has been improved in the wave piercing catamarans developed to reduce pitching, and in SWATH designs where the waterplane area is very much reduced and a large part of the displaced water volume is well below the waterline. The longitudinal motions can be reduced by using fins or stabilizers.

As a development of twin hull vessels the trimaran form has been proposed. Many design studies indicated many advantages with no significant disadvantages. To prove the concept, and particularly to prove the viability of the structure, a 98 m, 20 knot, demonstrator – RV Triton – was completed in 2000. Its structure was designed in accordance with the High Speed and Light Craft Rules of DNV. The main hull is of round bilge form. The side hulls are of multi-chine design on the outboard face with a plane inboard face. The main hull structure is conventional and integrated with a box girder like cross deck from which the side hulls extend. Propulsion is diesel electric with a single propeller, and rudder, behind the main hull with small side hull thrusters. The trials were extensive and in most cases successfully vindicated the theories. The pentamaran forms are developments of the trimaran with a slender main hull and two small hulls each side.

Comparisons of monohulls with multi-hull craft are difficult. Strictly designs of each type should be optimized to meet the stated requirements. Only then can their relative merits and demerits be established. For simpler presentations it is important to establish the basis of comparison be it equal length, displacement, or carrying capacity.

Multi-hull designs have a relatively high structural weight and often use aluminium to preserve payload. Wave impact on the cross structure must be avoided or minimized so high freeboard is needed together with careful shaping of the undersides. Because of their small waterplane areas, SWATH ships are very sensitive to changes in load and its distribution so weight control is vital.

**Rigid inflatable boats (RIBs)**

Inflatable boats have been in use for many years and, with a small payload, can achieve high speed. The first rigid inflatables came into being
in the 1960s with an inflatable tube surrounding a wooden hull. Much research has gone into developing very strong and durable fabrics for the tubes to enable them to withstand the harsh treatment these craft get. Later craft have used reinforced plastic and aluminium hulls. RIBs come in a wide range of sizes and types. Some are open, some have enclosed wheelhouse structures; some have outboard motors, others have inboard engines coupled to propellers or waterjets. Lengths range from about 4–16 m and speeds can be as high as 80 knots.

Uses are also wide in scope, ranging from leisure through commercial to rescue and military. Users include the military, coastguards, customs and excise, the RNLI, oil companies and emergency services. Taking the RNLI use as an example, the rigid lower hull is shaped to make the craft more seakindly and the inflatable collar safeguards against sinking by swamping.

**Comparison of high speed types**

All the types discussed in this section have advantages and disadvantages. As stated above for the multi-hulls, a proper comparison requires design studies to be created of each prospective type, to meet the requirement. However, some special requirement, such as the need to operate over land and sea, may suggest one particular form. For instance, a craft capable of running up on to a hard surface points to an air cushion vehicle. Many of these types of craft in use today are passenger carrying. SESs with speeds of over 40 knots are common, and can compete with air transport on some routes. Hydrofoils enjoy considerable popularity for passenger carrying on short ferry routes because of their shorter transit times. Examples are the surface piercing Rodriguez designs and the Boeing Jetfoil with its fully submerged foil system. Catamarans are used for rather larger high speed passenger ferries.

**WARSHIPS**

Some very interesting problems attach to the design of warships. A fighting ship needs to carry sensors to detect an enemy and weapons to defend itself and attack others. It must be difficult for an enemy to detect and be able to take punishment as well as inflict it. Its ability to survive depends upon its susceptibility to being hit and its vulnerability to the effects of a striking weapon. Susceptibility depends upon its ability to avoid detection and then, failing that, to prevent the enemy weapon hitting.
Stealth

A warship can betray its presence by a variety of signatures. All must be as low as possible to avoid detection by an enemy, to make it more difficult for enemy weapons to home in and to prevent the triggering of sensitive mines. The signatures include:

1. **Noise** from the propulsor, machinery or the flow of water past the ship. An attacking ship can detect noise by passive sonars without betraying its own presence. Noise levels can be reduced by special propulsor design, by fitting anti-noise mountings to noisy machines and by applying special coatings to the hull. Creating a very smooth hull reduces the risk of turbulence in the water.

2. **Radar cross section.** When illuminated by a radar a ship causes a return pulse depending upon its size and geometry. By arranging the structural shape so that the returning pulses are scattered over a wide arc the signal picked up by the searching ship is much weaker. Radar absorbent materials can be applied to the outer skin to absorb much of the incident signal.

3. **Infrared** emissions from areas of heat. The reader will be aware that instruments are used by rescue services to detect the heat from human bodies buried in debris. The principle is the same. The ship is bound to be warmer than its surroundings but the main heat concentrations can be reduced. The funnel exhaust can be cooled and can be pointed in a direction from which the enemy is less likely to approach.

4. **Magnetic.** Many mines are triggered by the changes in the local magnetic field caused by the passage of a ship. All steel ships have a degree of in-built magnetism which can be countered by creating opposing fields with special coils carrying electrical current. This treatment is known as *degaussing.* In addition the ship distorts the earth’s magnetic field. This effect can be reduced in the same way but the ship needs to detect the strength and direction of the earth’s field in order to know what correction to apply.

5. **Pressure.** The ship causes a change in the pressure field as it moves through the water and mines can respond to this. The effect can be reduced by the ship going slowly and this is the usual defensive measure adopted.

It is impossible to remove the signatures completely. Indeed there is no need in some cases as, for instance, the sea has a background noise level which helps to ‘hide’ the ship. The aim of the designer is to reduce the signatures to levels where the enemy must develop more sophisticated...
sensors and weapons, must take greater risk of being detected in order to detect, and to make it easier to seduce weapons aimed at the ship by means of countermeasures. Enemy radars can be jammed but acts such as this can themselves betray the presence of a ship. Passive protection methods are to be preferred.

Sensors

Sensors require careful siting to give them a good field of view and to prevent the ship’s signatures or motions degrading their performance. Thus search radars must have a complete 360° coverage and are placed high in the ship. Hull mounted sonars are usually fitted below the keel forward where they are remote from major noise sources and where the boundary layer is still relatively thin. Some ships carry sonars that can be towed astern to isolate them from ship noises and to enable them to operate at a depth from which they are more likely to detect a submarine.

Weapon control radars need to be able to match the arcs of fire of the weapons they are associated with. Increasingly this means 360° as many missiles are launched vertically at first and then turn in the direction of the enemy. Often more than one sensor is fitted. Sensors must be sited so as not to interfere with, or be affected by, the ship’s weapons or communications.

Own ship weapons

Even a ship’s own weapons can present problems for it, apart from the usual ones of weight, space and supplies. They require the best possible arcs and these must allow for the missile trajectory. Missiles create an efflux which can harm protective coatings on structure as well as more sensitive equipment on exposed decks. The weapons carry a lot of explosive material and precautions are needed to reduce the risk of premature detonation. Magazines are protected as much as possible from penetration by enemy light weapons and special firefighting systems are fitted and venting arrangements provided to prevent high pressure build up in the magazine in the event of a detonation. Magazine safety is covered by special regulations and trials.

Enemy weapons

Most warships adopt a policy of layered defence. The aim is to detect an enemy, and the incoming weapon, at the greatest possible range and engage it with a long range defence system. This may be a hard kill system, to take out the enemy vehicle or weapon, or one which causes
the incoming weapon to become confused and unable to press home its attack. If the weapon is not detected in time, or penetrates the first line of defence, a medium range system is used and then a short range one. Where an aircraft carrier is present in the task force, its aircraft would usually provide the first line of defence. It is in the later stages that decoys may be released. The incoming weapon’s homing system locks on to the decoy and is diverted from the real target although the resulting explosion may still be uncomfortably close. The shortest range systems are the close in weapon systems. These essentially are extremely rapid firing guns which put up a veritable curtain of steel in the path of the incoming weapon. At these very short ranges even a damaged weapon may still impact the ship and cause considerable damage.

Sustaining damage

Even very good defence systems can be defeated, if only by becoming saturated. The ship, then, must be able to withstand at least some measure of damage before it is put out of action completely and even more before it is sunk. The variety of conventional attack to which a ship may be subject is shown in Figure 17.12.

![Figure 17.12 Conventional weapon attack (courtesy RINA)](image)

The effects on the ship will generally involve a combination of structural damage, fire, flooding, blast, shock and fragment damage. The
ship must be designed to contain these effects within as small a space as possible by zoning, separating out vital functions so that not all capability is lost as a result of one hit, providing extra equipments (redundancy) and protection of vital spaces. This latter may be by providing splinter proof plating or by siting well below the waterline.

An underwater explosion is perhaps the most serious threat to a ship. This can cause whipping and shock as was discussed under shock in Chapter 16.

Vulnerability studies

General ship vulnerability was discussed earlier. Whilst important for all ships it is especially significant for warships because they can expect to receive damage in action with the enemy. Each new design is the subject of a vulnerability assessment to highlight any weak elements. The designer considers the probability of each of the various methods of attack an enemy might deploy, their chances of success and the likely effect upon the ship. The likelihood of retaining various degrees of fighting capability, and finally of simply surviving, is calculated. A fighting capability would be a function such as being able to destroy an incoming enemy missile. The contribution of each element of the ship and its systems to each fighting capability is noted, usually in diagrammatic form. For instance, to destroy a missile would require some detection and classification radar, a launcher and weapon, as well as electrics and chilled water services and a command system. Some elements will contribute to more than one capability. For each form of attack the probability of the individual elements being rendered non-operative is assessed using a blend of calculation, modelling and full scale data. If one element is particularly liable to be damaged, or especially important, it can be given extra protection or it can be duplicated to reduce the overall vulnerability. This modelling is similar to that used for reliability assessments. The assessments for each form of attack can be combined, allowing for the probability of each, to give an overall vulnerability for the design. The computations can become quite lengthy. Some judgements are very difficult to make and the results must be interpreted with care. For instance, reduced general services such as electricity may be adequate to support some but not all fighting capabilities. What then happens, in a particular battle, will depend upon which capabilities the command needs to deploy at that moment. For this reason the vulnerability results are set in the context of various engagement scenarios. In many cases, the full consequences of an attack will depend upon the actions taken by the crew in damage limitation. For instance, how effectively they deal with fire and how rapidly they close doors and valves to limit flooding. Recourse must
be made to exercise data and statistical allowances made for human performance.

Whilst such analyses may be difficult they can highlight design weaknesses early in the design process when they can be corrected at little cost.

**Types of warship**

Warships are categorized by their function, for instance the:

- aircraft carrier;
- guided missile cruiser;
- destroyer;
- frigate;
- mine countermeasure vessel;
- submarine;
- support ship;
- amphibious operations ship.

It is not possible to describe all these types but the following notes will provide a flavour of what is involved in warship design. It will be realized that various ship types usually operate as a group or task force. This will be reflected in their attributes. Thus a frigate will often act as an escort and must be sufficiently fast and manoeuvrable to hold and change station as the group changes course. The task force will exercise defence in depth – a layered defence. If a carrier is present its aircraft will provide a long range surveillance and attack capability. Then there will be long and medium range missile systems on the cruisers and destroyers, decoy systems to seduce the incoming weapon and, finally, a close-in weapon system. The range of sensors provided must match the requirements of the weapons fitted.

**Frigates and destroyers**

These tend to be maids of all work but with a main function which is usually anti-submarine or anti-aircraft. Their weapon and sensor fits and other characteristics reflect this (Figure 17.13). Usually the main armament is some form of missile system designed to engage the enemy at some distance from the ship, be it an aircraft or submarine. The missile having been fired from a silo or specialist launcher, may be guided all the way to the target by sensors in the ship or may be self-directing and homing. In the latter case, having been directed in the general direction of the target, the weapon’s own sensors acquire the target and control the final stages of attack, leaving the ship free to engage other targets. The use of helicopters greatly extends the area of ocean over which the ship can exert an influence.
Mine countermeasures vessels

Mine countermeasure ships may be either sweepers or hunters of mines, or combine the two functions in one hull. Modern mines can lie on the bottom and only become active when they sense a target with quite specific signature characteristics. They may then explode under the target or release a homing weapon. They may only react after a selected number of ships have passed nearby, or only at selected times. All these features make them difficult to render harmless.

Since sweeping mines depends upon either cutting their securing wires or setting them off by simulated signatures, to which they will react, the latest mines are virtually unsweepable. They need to be hunted, detection being usually by a high-resolution sonar. They can then be destroyed by placing a small charge alongside the mine to trigger it. The charge is usually laid by a remotely operated underwater vehicle. Because mine countermeasure vessels themselves are a target for the mines they are trying to destroy, the ship signatures must be extremely low and the hulls very robust. Nowadays hulls are often made from glass reinforced plastics and much of the equipment is specially made from materials with low magnetic properties.

Submarines

The submarine with its torpedoes proved a very potent weapon during the two world wars in the first half of the 20th Century in spite of its limited range of military missions. It could fire torpedoes, lay mines and land covert groups on an enemy coast. Since then its fighting capabilities have been extended greatly by fitting long range missile systems
which can be deployed against land, sea or air targets. The intercontinental ballistic missile, with a nuclear warhead, enabled the submarine to become the principal deterrent system of the major powers; cruise missiles can be launched against land targets well inland and without the need for the vessel to come to the surface. It still remains a difficult target for an enemy to locate and attack. Thus today the submarine is a versatile, multi-role vessel.

Submarines are dealt with here under warships because to date all large submarines have been warships. They present a number of special challenges to the naval architect:

- Although intended to operate submerged for most of the time they must be safe and manoeuvrable on the surface.
- Stability can be critical in the transition between the submerged and surfaced conditions.
- They are unstable in depth. Going deeper causes the hull to compress reducing the buoyancy force. Depth will go on increasing unless some action is taken.
- Underwater they manoeuvre in three dimensions, often at high speed. They must not betray their presence to an enemy by breaking the surface. Nor can they go too deep or they will implode. Thus manoeuvres are confined to a layer of water only a few ship lengths in depth.
- Machinery must be able to operate independently of the earth’s atmosphere.
- The internal atmosphere must be kept fit for the crew to breathe and free of offensive odours.
- Periscopes are provided for the command to see the outside world and other surface piercing masts can carry radar and communications.
- Escape and rescue arrangements must be provided to assist in saving the crew from a stricken submarine.

The layout of a typical conventional submarine is shown in Figure 17.14. Its main feature is a circular pressure hull designed to withstand high hydrostatic pressure. Since it operates in three dimensions the vessel has hydroplanes for controlling depth as well as rudders for movement in the horizontal plane. Large tanks, mainly external to the pressure hull, are needed which can be flooded to cause the ship to submerge or blown, using compressed air, for surfacing. Propulsion systems are needed for both the surfaced and submerged conditions. For nuclear submarines, or those fitted with some other form of air independent propulsion (AIP), the same system can be used. ‘Conventional’ submarines use diesels for surface operations and electric drive, powered by batteries,
when submerged. An air intake pipe or ‘snort’ mast can be fitted to enable air to be drawn into the boat at periscope. Batteries are being constantly improved to provide greater endurance underwater and much effort has been devoted to developing AIP systems to provide some of the benefits of nuclear propulsion without the great expense. Closed-cycle diesel engines, fuel cells and Stirling engines are possibilities. The systems still require a source of oxygen such as high-test peroxide or liquid oxygen. Fuel sources for fuel cell application include sulphur free diesel fuel, methanol and hydrogen. Nuclear propulsion is expensive and brings with it problems of disposing of spent reactor fuel. For these reasons increasing interest is being taken in fuel cells.

Having given a submarine a propulsion capability for long periods submerged, it is necessary to make provision for better control of the atmosphere for the crew. The internal atmosphere can contain many pollutants some becoming important because they can build up to dangerous levels over a long time. A much more comprehensive system of atmosphere monitoring and control is needed than that fitted in earlier conventional submarines.

Clancy (1993) describes in some detail *USS Miami* (SSN-755) and *HMS Triumph* (S-93) plus the ordering and build procedures, roles and missions. The reference includes the weapons and sensors fitted,
dimensions, diving depths and speeds. Diagrammatic layouts of these submarines (and sketches of others) are given. Anechoic tiling and radar absorbent materials, to improve stealth are mentioned.

The hydroplanes, fitted for changing and maintaining depth, can only exert limited lift, particularly when the submarine is moving slowly, so the vessel must be close to neutral buoyancy when submerged and the longitudinal centres of buoyancy and weight must be in line. It follows that the weight distribution before diving, and the admission of ballast water, when diving must be carefully controlled. The first task when submerged is to 'catch a trim', that is adjust the weights by the small amounts needed to achieve the balance of weight and buoyancy. Since there is no waterplane, when submerged the metacentre and centre of buoyancy will be coincident and BG will be the same for transverse and longitudinal stability. On the surface the usual stability principles apply but the waterplane area is relatively small. The stability when in transition from the submerged to the surfaced state may be critical and needs to be studied in its own right. The usual principles apply to the powering of submarines except that for deep operations there will be no wavemaking resistance. This is offset to a degree by the greater frictional resistance due to the greater wetted hull surface.

The pressure hull, with its transverse bulkheads, must be able to withstand the crushing pressures at deep diving depth. Design calculations usually assume axial symmetry of structure and loads. This idealization enables approximate and analytical solutions to be applied with some accuracy. Subsequently detailed analyses can be made of non axi-symmetric features such as openings and internal structure. The dome ends at either end of the pressure hull are important features subject usually to finite element analysis and model testing. Buckling of the hull is possible but to be avoided. Assessments are made of inter-frame collapse (collapse of the short cylinder of plating between frames under radial compression); inter-bulkhead collapse (collapse of the pressure hull plating with the frames between bulkheads) and frame tripping.

The design is developed so that any buckling is likely to be in the inter-frame mode and by keeping the risk of collapse at 1.5 times the maximum working pressure acceptably small. The effects of shape imperfections and residual stresses are allowed for empirically. Small departures from circularity can lead to a marked loss of strength and the pressure causing yield at 0.25 per cent shape imperfection on radius can be as little as half that required for perfect circularity.

If a stricken submarine is lying on the seabed the crew would await rescue if possible. For rescue at least one hatch is designed to enable a rescue submersible to mate with the submarine. The crew can then be transferred to the surface in small groups without getting wet or being
subject to undue pressure. The first such rescue craft, apart from some early diving bells, were the two Deep Submergence Rescue Vessels (DSRVs) of the USN. However, deteriorating conditions inside the damaged submarine may mean that the crew cannot await rescue in this way; the pressure may be rising due to water entry or the atmosphere may become polluted. In such cases the crew can escape from the submarine in depths down to 180 m. One- and two-man escape towers are fitted to allow rapid compression so limiting the body’s uptake of gas which would otherwise lead to the ‘bends’. A survival suit is worn to protect against hypothermia and a hood holds a bubble of gas for breathing. In Russian submarines emergency escape capsules are provided.

So far commercial applications of submarines have been generally limited to submersibles some of which have been very deep diving. Many are unmanned, remotely operated vehicles. Most of these applications have been associated with deep ocean research, the exploitation of the ocean’s resources, rescuing the crews of stricken submarines or for investigations of shipwrecks. A growing use is in the leisure industry for taking people down to view the colourful sub-surface world. In some types of operation the submersible may be the only way of tackling a problem such as the servicing of an oil wellhead in situ which is too deep for divers.

The search for, location and exploration of the wreck of MV Derbyshire used the capabilities of the Woods Hole Oceanographic Institution (WHOI). WHOI operates several research ships together with a number of submersibles including:

- The Alvin, a three-person submersible capable of diving to 4500 m.
- Argo, a deep-towed search and survey vehicle providing optical and acoustic imaging down to 6000 m.
- Jason/Media, an ROV system. Media serves as a transition point from the armoured cable to the neutrally buoyant umbilical. Jason surveys and samples the seabed using a range of equipments – sonar and photographic – and both vehicles can operate at 6000 m.

**SUMMARY**

The attributes of a number of merchant ship, high speed and warship types have been described to show how the general principles enunciated in earlier chapters can lead to significantly different types of vessel in response to different requirements.
Appendix A: Units, notation and sources

The text of this book is based on widely accepted international units and notation.

UNITS

The units used are those endorsed by the International Organisation for Standardisation, the Système International d’Unités (SI). The base units and some derived and supplementary units are given in Tables A.1 and A.2. Because some references the reader will need to use are in the older Imperial units some useful equivalent units are given in Table A.3.

Table A.1  SI base units

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<thead>
<tr>
<th>Quantity</th>
<th>Unit name</th>
<th>Unit symbol</th>
</tr>
</thead>
<tbody>
<tr>
<td>Length</td>
<td>metre</td>
<td>m</td>
</tr>
<tr>
<td>Mass</td>
<td>kilogram</td>
<td>kg</td>
</tr>
<tr>
<td>Time</td>
<td>second</td>
<td>s</td>
</tr>
<tr>
<td>Electric current</td>
<td>ampere</td>
<td>A</td>
</tr>
<tr>
<td>Thermodynamic temperature</td>
<td>kelvin</td>
<td>K</td>
</tr>
<tr>
<td>Amount of substance</td>
<td>mole</td>
<td>mol</td>
</tr>
<tr>
<td>Luminous intensity</td>
<td>candela</td>
<td>cd</td>
</tr>
</tbody>
</table>

Table A.2  Some derived and supplementary units

<table>
<thead>
<tr>
<th>Quantity</th>
<th>SI unit</th>
<th>Unit symbol</th>
</tr>
</thead>
<tbody>
<tr>
<td>Plane angle</td>
<td>radian</td>
<td>rad</td>
</tr>
<tr>
<td>Force</td>
<td>newton</td>
<td>N = kg m/s²</td>
</tr>
<tr>
<td>Work, energy</td>
<td>joule</td>
<td>J = Nm</td>
</tr>
<tr>
<td>Power</td>
<td>watt</td>
<td>W = J/s</td>
</tr>
<tr>
<td>Frequency</td>
<td>hertz</td>
<td>Hz = s⁻¹</td>
</tr>
<tr>
<td>Pressure, stress</td>
<td>pascal</td>
<td>Pa = N/m²</td>
</tr>
<tr>
<td>Area</td>
<td>square metre</td>
<td>m²</td>
</tr>
<tr>
<td>Volume</td>
<td>cubic metre</td>
<td>m³</td>
</tr>
</tbody>
</table>

(continued)
This book adopts the notation used by the international community, in particular by the International Towing Tank Conference and the International Ships Structure Congress. It has been departed from in some simple equations where the full notation would be too cumbersome.

Where there is more than one meaning of a symbol that applying should be clear from the context.

Where a letter is used to denote a ‘quantity’ such as length it is shown in *italics*. For a distance represented by the two letters at its extremities the letters are in italics. Where a letter represents a point in space it is shown without italics.

### Table A.2 (continued)

<table>
<thead>
<tr>
<th>Quantity</th>
<th>SI unit</th>
<th>Unit symbol</th>
</tr>
</thead>
<tbody>
<tr>
<td>Density</td>
<td>kilogram per cubic metre</td>
<td>kg/m(^3)</td>
</tr>
<tr>
<td>Velocity</td>
<td>metre per second</td>
<td>m/s</td>
</tr>
<tr>
<td>Angular velocity</td>
<td>radian per second</td>
<td>rad/s</td>
</tr>
<tr>
<td>Acceleration</td>
<td>metre per second squared</td>
<td>m/s(^2)</td>
</tr>
<tr>
<td>Surface tension</td>
<td>newton per metre</td>
<td>N/m</td>
</tr>
<tr>
<td>Pressure, stress</td>
<td>newton per square metre</td>
<td>N/m(^2)</td>
</tr>
<tr>
<td>Dynamic viscosity</td>
<td>newton second per metre squared</td>
<td>Ns/m(^2)</td>
</tr>
<tr>
<td>Kinematic viscosity</td>
<td>metre squared per second</td>
<td>m(^2)/s</td>
</tr>
<tr>
<td>Thermal conductivity</td>
<td>watt per metre kelvin</td>
<td>W/(m K)</td>
</tr>
<tr>
<td>Luminous flux</td>
<td>lumen (lm)</td>
<td>cd sr</td>
</tr>
<tr>
<td>Illuminence</td>
<td>lux (lx)</td>
<td>lm/m(^2)</td>
</tr>
</tbody>
</table>

### Table A.3 Some equivalent values

<table>
<thead>
<tr>
<th>Quantity</th>
<th>UK unit</th>
<th>Equivalent SI unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Length</td>
<td>foot</td>
<td>0.3048 m</td>
</tr>
<tr>
<td></td>
<td>mile</td>
<td>1609.34 m</td>
</tr>
<tr>
<td></td>
<td>nautical mile (UK)</td>
<td>1853.18 m</td>
</tr>
<tr>
<td></td>
<td>nautical mile (International)</td>
<td>1852 m</td>
</tr>
<tr>
<td>Area</td>
<td>sq. ft</td>
<td>0.0929 m(^2)</td>
</tr>
<tr>
<td>Volume</td>
<td>cub. ft</td>
<td>0.0283 m(^3)</td>
</tr>
<tr>
<td>Velocity</td>
<td>ft/s</td>
<td>0.3048 m/s</td>
</tr>
<tr>
<td></td>
<td>knot (UK)</td>
<td>0.514 77 m/s</td>
</tr>
<tr>
<td></td>
<td>knot (International)</td>
<td>0.514 44 m/s</td>
</tr>
<tr>
<td>Standard acceleration, g</td>
<td>32.174 ft/s(^2)</td>
<td>9.806 65 m(^2)/s</td>
</tr>
<tr>
<td>Mass</td>
<td>ton</td>
<td>1016.05 kg</td>
</tr>
<tr>
<td>Pressure</td>
<td>lbf/in(^2)</td>
<td>6894.76 N/m(^2)</td>
</tr>
<tr>
<td>Power</td>
<td>hp</td>
<td>745.7 W</td>
</tr>
</tbody>
</table>

**NOTATION**

This book adopts the notation used by the international community, in particular by the International Towing Tank Conference and the International Ships Structure Congress. It has been departed from in some simple equations where the full notation would be too cumbersome.

Where there is more than one meaning of a symbol that applying should be clear from the context.

Where a letter is used to denote a ‘quantity’ such as length it is shown in *italics*. For a distance represented by the two letters at its extremities the letters are in italics. Where a letter represents a point in space it is shown without italics.
Symbols

\[ a \] resistance augment fraction
\[ A \] area in general
\[ B, b \] breadth in general
\[ b \] span of hydrofoil or aerofoil
\[ C \] coefficient in general, modulus of rigidity
\[ D, d \] diameter in general, drag force, depth of ship
\[ E \] modulus of elasticity, Young’s modulus
\[ f \] frequency
\[ F \] force in general, freeboard
\[ F_n \] Froude number
\[ g \] acceleration due to gravity
\[ h \] height in general
\[ I \] moment of inertia in general
\[ J \] advance number of propeller, polar second moment
\[ k \] radius of gyration
\[ K, Q, K_T \] torque and thrust coefficients
\[ K, M, N \] moment components on body
\[ L \] length in general, lift force
\[ m \] mass
\[ M \] bending moment in general
\[ n \] rate of revolution, frequency
\[ p \] pressure intensity
\[ p, q, r \] components of angular velocity
\[ P \] power in general, propeller pitch, direct load
\[ Q \] torque
\[ R, r \] radius in general, resistance
\[ R_n \] Reynolds’ number
\[ S \] wetted surface
\[ t \] time in general, thickness, thrust deduction factor
\[ T \] draught, time for a complete cycle, thrust, period
\[ u, v, w \] velocity components in direction of \( x, y, z \)-axes
\[ U, V \] linear velocity
\[ W \] weight in general, external load
\[ x, y, z \] body axes and Cartesian co-ordinates
\[ X, Y, Z \] force components on body
\[ \alpha \] angular acceleration, angle of attack
\[ \beta \] leeway or drift angle
\[ \delta \] angle in general, deflection, permanent set
\[ \theta \] angle of pitch, trim
\[ \mu \] coefficient of dynamic viscosity
\[ \nu \] Poisson’s ratio, coefficient of kinematic viscosity
\[ \rho \] mass density
APPENDIX A: UNITS, NOTATION AND SOURCES

\( \varphi \)  
angle of loll, heel, list  

\( \omega \)  
angular velocity, circular frequency  

\( V, \nabla \)  
volume  

\( \Delta \)  
displacement force  

\( \eta \)  
efficiency in general  

\( \sigma \)  
cavitation number, direct stress  

\( \Lambda \)  
tuning factor  

Subscripts

Much of the notation above is qualified by a subscript in particular applications. The subscripts used are:

B  
block  

D  
developed (area), drag, delivered (power)  

E  
effective (power), encounter (waves)  

F  
frictional (resistance), Froude  

H  
hull  

L  
longitudinal, lift  

M  
midship section  

O  
open water (propeller)  

OA  
overall  

P  
longitudinal prismatic  

PP  
between perpendiculars  

Q  
torque  

R  
residuary (resistance), relative rotative (efficiency), rudder  

S  
shaft  

T  
transverse, total, thrust  

VP  
vertical prismatic  

W  
waterline, waterplane, wavemaking  

WP  
waterplane  

\( y \)  
yield (stress)  

\( \Theta \)  
pitching, trimming  

\( \varphi \)  
rolling, heeling  

\( \zeta \)  
wave elevation

SOURCES

The Internet

Increasingly students can obtain the information they are seeking on the Internet. For that reason some useful web site references are given below. Many others are given in the technical press, in articles and advertisements. Those associated with the regulatory bodies are useful in indicating the latest versions of rules and regulations. The web sites
of the learned societies include details of membership, papers and other publications. That of the Royal Institution of Naval Architects includes a Maritime Directory which provides links to the web sites of other professional, academic, industry, governmental and international organisations. This provides access to a wealth of data specific to the maritime industry.

**Learned societies**

Many references are to the papers of learned societies, published either in their transactions or in reports of conferences. Membership of a learned society is recommended as a means of keeping abreast of the many new developments in the discipline as they occur and also for the opportunity to meet like-minded people. Additionally, there is the status associated with membership of a recognised body with the possibility, in the UK, of being able to register as a member of the Engineering Council. Corresponding bodies exist in other countries. It is likely that such membership will become more important in future as an aid to obtaining particular posts in industry. Many of the societies allow students to join, and gain the benefits of membership, free or at low cost.

The principal societies, with their abbreviated titles and web sites, whose papers have been cited in this book are as follows:

- The Royal Institution of Naval Architects: RINA (INA before 1960) ([www.rina.org.uk](http://www.rina.org.uk)).
- The Society of Naval Architects and Marine Engineers: SNAME ([www.sname.org](http://www.sname.org)).
- The Society of Naval Architects of Japan: SNAJ ([www.snaj.or.jp](http://www.snaj.or.jp)).
- The Institute of Marine Engineers: IMarE ([www.imare.org.uk](http://www.imare.org.uk)). This society became The Institute of Marine Engineering, Science and Technology (IMarEST) in October, 2001.
- The Nautical Institute: NI ([www.nautinst.org](http://www.nautinst.org)).

It will be noted that many of the references used are for papers presented to the TRINA. This is because they are of high quality and are widely available. The TRINA has a division in Australia and branches in many other countries, including joint branches with the IMarEST.

Most learned societies produce journals on a regular basis, discussing recent developments of interest. Taking the RINA as an example, its journal *The Naval Architect*, is published 10 times a year, with a bi-monthly supplement *Warship Technology*. It also produces *Ship and Boat International* 10 times a year. These publications are available on CD-ROM besides hard copy. A title and key word search facility is available on the CD-ROMs to assist in finding specific articles and topics. A magazine, *Ship Repair and Conversion Technology*, is produced quarterly.
Another useful service provided by the RINA is a web-based *Guide to the Codes and Regulations for Small Craft*. Produced by the Department of Marine Technology at Newcastle University, for use by designers, builders and operators of small craft, it is contained in Small Craft Group section of the RINA web site.

**International and Government organisations**

The following organisations are referred to in the main text. Their web site addresses are brought together here for convenience of reference.

- **International Maritime Organisation (IMO)**
  - [www.imo.org](http://www.imo.org)

- **Department of the Environment, Transport and the Regions (DETR)**
  - [www.detr.gov.uk](http://www.detr.gov.uk)

- **UK Maritime and Coastguard Agency (MCA)**
  - [www.hmcoastguard.co.uk](http://www.hmcoastguard.co.uk)

- **US Coastguard**
  - [www.uscg.mil](http://www.uscg.mil)

- **Defence Evaluation and Research Agency (DERA) (UK)**
  - [www.dera.gov.uk](http://www.dera.gov.uk)

- **MARIN (Netherlands)**
  - [www.marin.nl](http://www.marin.nl)

- **David Taylor Model Basin (USA)**
  - [www50.dt.navy.mil](http://www50.dt.navy.mil)

- **British Standards Institution**
  - [www.bsi-global.com](http://www.bsi-global.com)

*Note:* In July 2001 DERA separated into two organisations:

- **QinetiQ.** An independent science and technology company.
  - [www.qinetiq.com](http://www.qinetiq.com)

- **The Defence Science and Technology Laboratory, an agency of the Ministry of Defence.** [www.dstl.gov.uk](http://www.dstl.gov.uk)

**References**

References are given at the end of the book, arranged by chapter to assist the reader in following up various aspects of the subject in more detail. In turn these references will provide information on the sources used and further references for additional reading if required.

Where the papers quoted are contained in the transactions of a learned society they are referred to as, for instance, *TRINA*, *TSNAME*, etc., plus year of publication. For references to articles in the *Naval Architect* the abbreviation *NA* is used.

Other references are taken from the published papers of research organisations, classification Societies, the British Standards Institute (BSI) and government organisations.
Appendix B: The displacement sheet and hydrostatics

Chapter 5 introduced the concepts of:

- Bonjean curves,
- a displacement sheet as a concise way of calculating the displacement of a body defined by a table of offsets, and
- hydrostatic curves.

Rather than place a lot of related numerical work in the main text an example of how they can be derived is placed in this appendix.

Table B.1 is a displacement sheet, using Microsoft Excel, for a vessel in which the waterplanes are 2 m apart and the sections 14.1 m apart. The actual half ordinates defining the underwater form of a body are shown in bold. For greater definition in way of the turn of bilge an intermediate waterplane has been introduced between waterplanes 5 and 6, the Simpson’s multipliers being adjusted accordingly. To simplify the arithmetic the appendages which would usually be found below number 6 waterplane and aft of ordinate 11, have been ignored.

The figures in Row 6 are obtained from multiplying the half ordinates in Row 5 by the corresponding Simpson’s multipliers in Row 3. Thus cell M6 is the product of the contents of cells M3 and M5. Cell R6 is the sum of the cells in Row 6 and represents the area of the section at ordinate 1 up to the summer water line (SWL). The figures in Column S are the result of multiplying the figures in Column R by the Simpson’s multipliers in Column B. Cell S28 is the sum of the figures in Column S and represents the volume of the immersed body. The figures in Column U are the products of Columns S and T. Cell U28 is the sum of the figures in Column U and represents the moment of the buoyancy force about amidships.

Correspondingly, the figure in Cell D7 is the product of the figure in cell C7 and the Simpson’s multiplier in cell B7. Then cell D28 is the sum of the figures in Column D and represents the area of waterplane 6.
## APPENDIX B: THE DISPLACEMENT SHEET AND HYDROSTATICS

### Table B.1 Displacement sheet

<table>
<thead>
<tr>
<th></th>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
<th>E</th>
<th>F</th>
<th>G</th>
<th>H</th>
<th>I</th>
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<td>3</td>
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### APPENDIX B: THE DISPLACEMENT SHEET AND HYDROSTATICS

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|    |    |    |    |    |    |    |    |    |    |    |
| 288.200 | 310.720 | 327.400 | 3903.66 | 815.18 |
| 576.4 | 1242.88 | 327.4 | 3903.66 |
| 1152.8 | 1242.88 | 0 | 8629.19 |
The figures in Row 30 are the result of multiplying the figures in Row 28 by the Simpson’s multipliers in Row 3. Cell R30 is the sum of the figures in Row 30 and represents the immersed volume of the body. Note: As a check on the accuracy of the arithmetic the figures in Cells S28 and R30 are the same at 3903.66. The figures in Row 33 are obtained by multiplying the figures in Row 30 by the corresponding levers in Row 31. Cell R33 is the sum of the figures in Row 33 and represents the moment of buoyancy about the SWL.

Since the ordinates are for half the hull the total hull volume is given by:

\[
\text{Volume} = 2 \times \left(\frac{2}{3}\right) \times \left(\frac{14.1}{3}\right) \times 3903.66 = 24463 \text{ m}^3.
\]

Displacement, in tonnes = 24463 (1.025) = 25075 tonnes in sea water.

The centre of buoyancy of the hull from amidships = 14.1(815.18)/(3903.66) = 2.94 m aft.

The centre of buoyancy below the SWL = 2(8629.19)/(3903.66) = 4.42 m.

If wished these figures can be calculated by Excel and placed in designated cells in the table.

Once a template has been created for the calculations it can be used repeatedly with new sets of ordinates. If one figure has to be changed in the table the computer will automatically correct all the related figures.

The computer naturally calculates figures to the full number of decimal places. The number printed out can be controlled by the relevant command. This should not lead the reader to suppose that the actual volume and centre of buoyancy position have been calculated this accurately. For one thing the ordinates used will have limited accuracy and this will be compounded by the use of approximate integration methods. As a check on the latter, Table B.2 compares the vertical centre of buoyancy position by taking moments about the SWL and the keel. It will be noted that the two figures added together correspond very closely to the draught of 10 m.

Waterplane and section areas

Embedded in Table B.1 are figures that can be used to derive the area of each waterplane and the area of each section up to the SWL. The former are in the second column of figures under each waterline (Columns D, F, H, etc.); the latter in the second row against each ordinate (Rows 6, 8, 10, etc.). These could be calculated within the main table, but for clarity of presentation they are here presented in Table B.3. The tonnes per cm immersion are calculated for each waterplane.
Table B.2 Comparison of VCB

<table>
<thead>
<tr>
<th>Waterline</th>
<th>Area</th>
<th>SM</th>
<th>F(V)</th>
<th>Lever</th>
<th>F(M) 1</th>
<th>Lever</th>
<th>F(M) 2</th>
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<td>30780</td>
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<td>2</td>
<td>23368</td>
<td>8</td>
<td>93472</td>
</tr>
<tr>
<td>3</td>
<td>2709</td>
<td>2</td>
<td>5418</td>
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<td>21672</td>
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<td>58080</td>
<td>4</td>
<td>38720</td>
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<td>5</td>
<td>1987</td>
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<td>8</td>
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<td>2</td>
<td>5961</td>
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<tr>
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<td>2</td>
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<td>9</td>
<td>29538</td>
<td>1</td>
<td>3282</td>
</tr>
<tr>
<td>6</td>
<td>1146</td>
<td>0.5</td>
<td>573</td>
<td>10</td>
<td>5730</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Volume</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CB below SWL</td>
<td>4.421033</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CB above keel</td>
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<td></td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

36695.5  162232  204723

Tables can be produced for each waterplane, similar to Table 4.2 in Chapter 4, to give the area, centroid position and the longitudinal and transverse moments of inertia. These are presented as Tables B.4–B.10.

Note: In the row against \( I(\text{long}) \) the first figure is the moment of inertia about amidships and the second is the inertia about the centre of flotation.

For the body up to 3WL and 5WL part-displacement sheets can be constructed as in Tables B.11 and B.12, respectively.

A convenient way of calculating the volume of displacement and vertical centre of buoyancy (VCB) position for waterlines 2 and 4 (as well as the SWL) is to plot the waterplane areas to obtain the figures for intermediate waterplanes as shown in Table B.13.

Bonjean curves

The Bonjean curves can be calculated, for any section, by integration up to each waterline in turn. The Simpson’s rule chosen in each case, and hence the multiplying factor to be used, will depend upon the number of ordinates. Table B.14 derives the section areas up to water-line 5.5 and between SWL and 2WL using Simpson’s 5, 8, 1 Rule. Table B.15 derives the section areas between the SWL and 4WL and 5WL using the 1, 3, 3, 1 Rule and between SWL and 5WL using the 1, 4, 1 Rule. Then a table of cross-sectional areas can be drawn up as in Table B.16 from which the Bonjean curves can be drawn. Figure B.1 uses the data in Table B.16 to show the Bonjean curves for ordinates 2, 3, 4 and 5.
Volumes and longitudinal centres of buoyancy

The section areas can be used to calculate the volumes of displacement up to each waterline and the corresponding longitudinal centres of buoyancy as in Table B.17.

Metacentric diagram

As discussed in Chapter 5, the metacentric diagram shows how the vertical centre of buoyancy and metacentre positions vary with draught. The VCB values have been found above. BM is given by \( \frac{I}{V} \) and values are derived in Table B.18 using \( I \) and \( V \) figures from the other tables. KM is KB + BM and thus a metacentric diagram can be produced for the body which is the subject of this appendix. Table B.18 also includes a calculation of the longitudinal metacentre position which is needed to obtain the hydrostatic curves. The metacentric diagram is plotted in Figure B.2.

Hydrostatic curves

Chapter 5 also introduced the concept of hydrostatic curves. The information in Table B.18, assuming a KG of 11 m, is used to plot Figure B.3.

Note: The data used in the Bonjean and hydrostatic curves can be derived in a number of different ways. Those above have been selected to give the reader an idea of the procedures involved.
### Table B.3 Waterplane and section areas

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<th>Waterplane areas</th>
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<th>5.5</th>
<th>5</th>
<th>4</th>
<th>3</th>
<th>2</th>
<th>SWL</th>
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<td></td>
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<tr>
<td>F(A)</td>
<td>121.94</td>
<td>174.54</td>
<td>211.34</td>
<td>257.48</td>
<td>288.20</td>
<td>310.72</td>
<td>327.40</td>
</tr>
<tr>
<td>Area = F(A) × 2 × (14.1/3)</td>
<td>1146.24</td>
<td>1640.68</td>
<td>1986.60</td>
<td>2420.31</td>
<td>2709.08</td>
<td>2920.77</td>
<td>3077.56</td>
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<td>TPC(tonnes/CM) = Area(1.025/100)</td>
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<td>29.94</td>
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<td>174.80</td>
<td>136.20</td>
<td>75.09</td>
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<tr>
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### Table B.4: SWL

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<th>yyy</th>
<th>F(I)_trans</th>
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Area = (2/3) × 14.1 × F(A) = 3077.56
Moment = -14293.1
Centre of flotation (CF) = -4.6443, -4.6443
$I$(long) = 3543346, 347965
$I$(trans) = 164258.9

### Table B.5: 2WL

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<th>F(M)</th>
<th>Lever</th>
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<th>yyy</th>
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Centre of flotation (CF) = -3.78456, -3.78456
$I$(long) = 3091168, 3049334
$I$(trans) = 152017.3
Table B.6 3WL

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Moment -7803.96
CF -2.88067 -2.88067
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I(trans) 134359.4

Table B.7 4WL

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CF -2.06341 -2.06341
I(long) 2071319 2061014
I(trans) 109541.9
Table B.8 5WL

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\[ \text{Moment} = -3560.02 \]

\[ \text{CF} = -1.79202 - 1.79202 \]

\[ I(\text{long}) = 1458086 \quad 1451706 \]

\[ I(\text{trans}) = 72957.44 \]

Table B.9 5.5WL

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\[ \text{Area} = (2/3) \times 14.1 \times F(A) = 1640.676 \]

\[ \text{Moment} = -3528.21 \]

\[ \text{CF} = -2.15046 - 2.15046 \]

\[ I(\text{long}) = 1100021 \quad 1092434 \]

\[ I(\text{trans}) = 46466.79 \]
### Table B.10  6WL

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Moment = -3109.39

CF = -2.71269

I(long) = 697329.3

I(trans) = 18056.23
### Table B.11  Part-displacement sheet Up to 3WL

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Volume

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Area to 4WL = F(A)(4WL) × 2 × 2 × (3/8) = 1.5 F(A)(4WL).
## APPENDIX B: THE DISPLACEMENT SHEET AND HYDROSTATICS

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Table B.18  KB and KM values

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*Additional data for plotting hydrostatic curves*

| Mass Disp | 0 | 1441 | 3313 | 7693 | 13136 | 18932 | 25075 |
| TPC | 11.75 | 16.82 | 20.36 | 24.81 | 27.77 | 29.94 | 31.54 |
| CF aft | 2.71 | 2.15 | 1.79 | 2.06 | 2.88 | 3.78 | 4.64 |
| LCB aft | 2.38 | 2.09 | 1.97 | 2.17 | 2.54 | 2.94 |

*Further if KG = 11 m*

| Long GM | 766 | 439 | 266 | 194 | 159 | 137 |
| MCT | 7830 | 10320 | 14510 | 18070 | 21350 | 24360 |

![Figure B.2](image-url)
Figure B.3
Appendix C: Glossary of terms

In many cases the fuller definition of these terms, and the context in which they are used, will be found in the main text. They can be found by reference to the index. Where appropriate the usually accepted abbreviation is given. Terms shown in bold in the explanations are defined elsewhere in the glossary.

Added mass. The effective increase in mass of a hull, due to the entrained water, when in motion.

Added weight method. One method used in the calculation of a ship’s damaged stability when it is partially flooded. It regards the water which has entered as an added weight, the basic hull envelope remaining. The other approach uses the concept of lost buoyancy.

Aframax. A term used for the largest dry bulk carriers.

After perpendicular (AP). See perpendiculars.

Air draught. The vertical distance from the summer waterline to the highest point in the ship, usually the top of a mast.

Amidships. The point that lies midway between the fore and after perpendiculars. It is also used to refer more generally to the central section of a ship.

Approximate integration. Simple, approximate, methods and rules used for calculating the areas of plane shapes and the volumes of three-dimensional shapes. The most commonly used in naval architecture are the Simpson’s rules.

Aspect ratio. The ratio of the span to the chord length of a lifting surface.

Attributes. Those features and characteristics of a ship that give it the capabilities demanded of it.

Availability. The likelihood that a given function will be available when needed.

Beam. The transverse width of the ship at any point. Unless otherwise specified the term applies to the maximum width of the hull.

Bending moments. The moments due to forces acting on a ship which are trying to distort the hull. The most important are those causing the ship to bend the hull in its vertical plane.
**Block coefficient** ($C_B$). One of the **coefficients of fineness**. It is the ratio of the volume of a ship’s underwater form to the volume of the circumscribing rectangular solid.

**Body plan.** A figure showing the cross sections of a ship’s hull.

**Bonjean curve.** A curve drawn for a transverse section of a ship the ordinate of which, at any given height, represents the area of the section up to that height.

**Bow and buttock lines.** Lines which mark the intersections of a ship’s hull by vertical planes parallel to its **centreline plane**. The bowlines relate to the forward part of the hull and the buttock lines to the after part. They are used in the fairing of hulls and also help visualize the flow past the hull.

**Brittle fracture.** A mode of failure of a material under load. It is associated with steels of low **toughness** at low temperature.

**Built-in stresses.** Stresses which are generated in a structure due to shaping and securing the plates and stiffeners and which remain there. Often called **residual stresses**. Many arise from the welding of the structure.

**Bulkhead.** A subdivision of the ship. Bulkheads provide means of compartmentalizing the ship to separate out different activities. If watertight they will limit the extent of flooding following damage. Bulkheads may be transverse or longitudinal.

**Bulkhead deck.** The uppermost weathertight deck to which transverse watertight bulkheads are taken. The concept is important to a study of the ability of a hull to sustain damage.

**Buoyancy.** The upward force acting on a floating, or submerged, body due to the water pressures on its boundary. It is equal in value to the weight of water displaced if the body is in **equilibrium**.

**Camber.** The amount by which a deck is higher at the centre than at the sides. Weather decks are cambered to assist in the shedding of water. Other decks usually have no camber in order to facilitate construction.

**Capesize.** A term applied to large cargo vessels that cannot transit either the Panama or Suez Canals. They are usually of the order of 120 000–180 000 DWT.

**Capsize.** A ship is said to capsize when it losses **transverse stability** and rolls over and sinks.

**Cavitation.** The formation of bubbles on an aerofoil section in areas of reduced pressure.

**Centre of buoyancy** (CB). That point through which the **buoyancy** force acts. It is defined in space by its longitudinal, vertical and transverse CB (respectively, LCB, VCB and TCB) position relative to a set of orthogonal axes. It is also the centroid of volume of the displaced water.
Centre of flotation (CF). The centroid of area of a waterplane. A small weight added, or removed, from the ship vertically in line with the CF will cause a change of draught without heel or trim. For a symmetrical ship the CF will be on the centreline and its position is given relative to amidships.

Centre of gravity (CG). The point through which the force due to gravity, that is the weight of the body, acts. Its position is defined in a similar way to the centre of buoyancy and is very important in calculations of stability.

Centreline plane. The forward and aft vertical plane splitting the ship into two. Most ships are symmetrical about their centreline planes. The hull form is defined by the distances of its outer surface from this plane.

Charpy test. A simple test indicating the toughness of a metal.

Classification societies. Bodies concerned with the construction and classification of ships ensuring that they meet all the international and national standards. A new vessel will be classified by one of the societies and will be subsequently checked by that society to ensure that those standards are maintained.

Coefficients of fineness. These relate to the underwater form and give a broad indication of the hull shape. They are the ratios of certain areas and volumes to their circumscribing rectangles or prisms.

Computer-aided design (CAD). Computer-based systems assisting in the design of ships and other products.

Computer-aided manufacture (CAM). Computer-based systems assisting in the building of ships or the manufacture of other products.

Containers. Boxes of standard dimension for the carriage of goods by road, rail and ship.

Cross curves of stability. A series of curves showing how a ship’s transverse stability varies, with displacement, for a range of heel angles.

Curve of statical stability. A plot showing how the righting lever experienced by a ship varies with angle as the ship is rotated about a fore and aft axis. It defines a ship’s stability at large angles. Also known as the GZ curve.

Damping. The dissipation of energy experienced by a moving or vibrating body.

Deadweight. The weight of cargo, fuel, water, crew and effects. It equals in value the displacement less the lightweight for the ship.

Directional stability. A measure of a ship’s stability in course keeping. See also dynamic stability.

Discounted cash flow. A means of assessing the net present worth of any artefact.

Displacement. The weight of water displaced by a freely floating body. It is equal to the weight of the ship if the ship is in equilibrium.
**Displacement sheet.** A convenient tabular means of calculating a ship’s displacement and the position of its centre of buoyancy.

**Draught.** The depth of any point on the ship’s hull below the waterline. Unless otherwise specified it is usually the draught at mid length.

**Drift angle.** The angle between a ship’s head and the direction in which it is moving.

**Dynamic stability.** Another term for the directional stability.

**Dynamical stability.** A measure of a ship’s ability to absorb the energy of waves. For any angle of heel it represents the energy needed to heel the ship to that angle.

**Energy spectrum.** A convenient way of showing how energy is distributed between frequencies. Used in defining waves and resulting ship motions.

**Expert systems.** Computer-based systems developed to help in the control and management of a ship.

**Fatigue.** Refers to the failure of material or a structure under repeated stress cycles.

**Flare.** The extra width of the deck compared with the beam at the waterline. Usually refers to the hull in the vicinity of the bow where the flare is likely to be most pronounced.

**Floodable length.** The length of the hull, at any point, that can flood without immersing the margin line. Important in studying the safety of ships.

**Formal safety assessment (FSA).** A process for assessing the safety of a ship by studying the risks, their likelihood and consequences.

**Forward perpendicular (FP).** See perpendiculars.

**Foundering.** A ship is said to founder when it sinks bodily into the water. That is it does not roll over (capsize) or sink by the bow or stern (plunge).

**Fracture.** The breaking of material under load. The nature of the fracture will vary with the toughness of the material.

**Freeboard.** The height of the deck at side above the waterline.

**Free surface effects.** Any free liquid surfaces inside the ship will reduce the effective stability.

**Gross tonnage.** See tonnage.

**GZ.** The distance from the centre of gravity to the line of action of the buoyancy force. It is a measure of a ship’s ability to resist heeling moments.

**GZ curve.** See curve of statical stability.

**Handysize.** A term applied to bulk carriers of 40 000–65 000 DWT.

**Heave.** The vertical movement of a ship, as a rigid body, in a seaway.

**Heel.** The slow angular movement of a ship about a fore and aft axis. Angular movements as a result of waves are referred to as rolling.

**Hogging.** A ship is said to hog when the hull is bent concave downwards by the forces acting on it. Hogging is the opposite of sagging.
Horizontal prismatic coefficient ($C_p$). One of the coefficients of fineness. It is the ratio of the underwater volume to the product of the area of the midship section and length.

Human factors. The study of human behaviour and needs.

Hydrostatic curves. A set of curves showing, for a range of draughts, the displacement, the TPC and MCT, and the positions of the CF, CB and metacentre.

Inclining experiment. A test carried out as a ship is completed to confirm the displacement and centre of gravity position of the ship.

International Maritime Organization (IMO). An international body concerned with the safety of ships.

Laminar flow. The smooth flow of water past a body before turbulence sets in.

Length. A distance measured in the fore and aft direction. Three lengths are of importance in defining a ship’s hull:

1. **Length between perpendiculars ($L_{BP}$)**. The length between the forward and aft perpendiculars.
2. **Length overall ($L_{OA}$)**. The distance between the forward most and after most points of the hull.
3. **Length on the waterline ($L_{WL}$)**. This will vary with draught. Unless otherwise specified it is taken as the length on the design waterline.

Lightweight. In simple terms the weight of hull and machinery. See deadweight.

Load line markings. Markings on the ship’s side defining the minimum freeboard allowable in different ocean areas and different seasons of the year.

Loll. A ship which is slightly unstable in the vertical position will heel until the GZ curve becomes zero. It is said to loll and the angle it takes up is the angle of loll.

Longitudinal centre of buoyancy (LCB). The fore and aft location of the centre of buoyancy.

Longitudinal centre of gravity (LCG). The fore and aft location of the centre of gravity.

Longitudinal stability. The stability of a ship for rotation (trim) about a transverse axis.

Lost buoyancy method. One approach to the calculation of a ship’s damaged stability when it is partially flooded. The other approach uses the concept of added weight.

Manoeuvrability. The ability of a ship to respond to its rudder and to steer a course.

Margin line. A line, at least 76 mm below the upper surface of the bulkhead deck. This line helps to define the amount of flooding a ship can safely take at any point along its length.
MARPOL. A statutory regulation of IMO dealing with the prevention of pollution.

Mean time to failure (MTF). A statistical representation of the failure rates of elements in a system.

Mean time to repair (MTR). As MTF but relating to the time needed to repair, or replace, the failed component.

Metacentre. The intersection of successive vertical lines through the centre of buoyancy as a ship is heeled progressively. For small inclinations the metacentre is on the centreline of the ship.

Metacentric diagram. A plot showing how the metacentre and centre of buoyancy change as draught increases.

Metacentric height (GM). The vertical separation of the metacentre and the centre of gravity as projected on to a transverse plane.

Midships. The midpoint between the two perpendiculars.

Midship area coefficient (C_M). One of the coefficients of fineness. It is the ratio of the underwater area of the midship section to that of the circumscribing rectangle.

Moment to change trim (MCT). The moment needed to change trim between perpendiculars by a unit amount, usually 1 m.

Moulded dimensions. Dimensions of the hull taken to the inside of the plating. Used in calculating the volumes of internal spaces.

Net tonnage. See tonnage.

Neutral stability. See stability.

Offsets. The distances of the outer hull surface from the centreline plane. The offsets define the hull shape and are usually presented in a table showing offsets for each waterline at each transverse section.

Panamax. A term applied to cargo vessels that are just able to pass through the Panama Canal. They are generally about 65 000–80 000 DWT.

Parallel middle body. A length of the ship, near amidships, which has a constant cross section.

Permeability. A measure of the free volume in a compartment defining the maximum amount of water that can enter as a result of damage. It will be less than unity because of stiffeners and equipment in the space.

Perpendiculars. Two vertical lines used in the definition of the length of a ship. They are:

1. the forward perpendicular which is through the intersection of the bow with the design waterline;
2. the after perpendicular which is through some convenient point aft, often the rudder pintle.

Pitching. The angular motion about a transverse axis experienced by a ship in a seaway.
**Plunging.** A ship is said to plunge when it sinks bow or stern first through loss of longitudinal stability.

**Port State Control (PSC).** Controls ensuring that a foreign ship using a port meets requirements laid down by the country concerned for the ship, its crew and the way it is operated.

**Pull-out manoeuvre.** A manoeuvre used to demonstrate the directional stability of a ship.

**Rake.** A feature inclined to the vertical in a transverse view is said to have rake. For instance, a bow, mast or the end of a superstructure block.

**Range of stability.** The range of heel angles over which a ship is stable that is over which the GZ is positive. It is shown on the curve of statical stability.

**Reserve of buoyancy.** The buoyancy that could be provided by the watertight volume of the ship above the design waterline.

**Residual stresses.** See built-in stresses.

**Resistance.** The retarding force a ship experiences when moving through the water. The two main components are the frictional resistance due to the passage of water over the hull and the resistance due to wavemaking.

**Rise of floor.** The amount by which the bottom of the hull rises from the keel to the turn of bilge.

**Rolling.** The rotation about a fore and aft axis experienced by a ship in a seaway.

**Safety of Life at Sea (SOLAS).** A statutory regulation of IMO dealing with the safety of life at sea.

**Sagging.** A ship is said to sag if the forces acting on it make it bend longitudinally concave up. Sagging is the opposite of hogging.

**Scantlings.** The dimensions of the structural elements making up a ship’s structure.

**Seakeeping.** Strictly a study of all aspects of a ship which render it fit to operate safely at sea. Usually, in naval architectural texts it is restricted to a study of a ship’s motions in a seaway and other effects attributable to the waves in which it is operating.

**Shearing force.** The resultant vertical force acting at a transverse section of a ship.

**Sheer.** The rise of the deck from amidships towards the bow and stern.

**Simpson’s rules.** Rules for calculating approximate areas and volumes.

**Ship routing.** An attempt to guide a ship into areas where it will experience less severe weather and so reduce passage times.

**Slamming.** The impact of the hull, usually the bow area, with the sea surface when in waves.

**SOLAS.** See Safety of Life at Sea.
**Spiral manoeuvre.** A manoeuvre conducted to indicate whether or not a ship is directionally stable.

**Squat.** When a ship travels fast in shallow water it tends to sink lower in the water, or squat. The effect is due to the reduced pressure under the ship.

**Stabilization.** The reduction of rolling motions by the use of a passive or active system leading to roll reduction.

**Stability.** Stability is a measure of what happens when a ship is moved away from a position of equilibrium. If, after the disturbance is removed, it tends to return to its original position it is said to have positive stability. If it remains in the disturbed position it is said to have neutral stability. If the movement increases it is said to have negative stability.

**Stress concentration.** A localized area in which the stress level is greater than that around it due to some discontinuity in the structure.

**Strip theory.** A simplified theory for calculating ship motions.

**Suezmax.** A term applied to cargo ships which are just able to transit the Suez Canal.

**Table of offsets.** A table setting out the hull offsets.

**TEU.** A measure used to define the size of a container ship. It is the number of 20-foot equivalent units it could carry.

**Tonnage.** A measure of the volume of a ship. In simple terms the gross tonnage represents the total enclosed volume of the ship and the net tonnage represents the volume of cargo and passenger spaces. Tonnage is defined by internationally agreed formulae.

**Tonnes per centimetre immersion (TPC).** The extra buoyancy experienced due to increasing the draught by 1 cm.

**Torsional strength.** The strength of the hull in resisting twisting about a longitudinal axis.

**Toughness.** An attribute of a material which determines how it will fracture.

**Transverse planes.** Vertical planes normal to the centreline plane of the ship.

**Transverse sections.** The intersections of transverse planes with the envelope of the ship’s hull.

**Transverse stability.** A measure of a ship’s stability in relation to rotation about a longitudinal axis.

**Trim.** The slow rotation of a ship about a transverse axis, leading to changes in draught forward and aft.

**Tumble home.** The amount by which the beam reduces in going from the waterline to the deck.

**Turning circle.** A manoeuvre to help define how a ship responds to rudder movements.
Vanishing stability. The point at which the ordinate of GZ curve becomes zero.

Vertical prismatic coefficient \( (C_{VP}) \). One of the coefficients of fineness. It is the ratio of the volume of a ship’s underwater form to the product of the waterplane area and draught.

Waterline. The horizontal line which is the projection of a waterplane on to the ship’s centreline plane.

Waterplane. A horizontal plane intersecting the ship’s hull.

Waterplane coefficient. One of the coefficients of fineness. It is the ratio of the area of the waterplane to the circumscribing rectangle.

Wetness. A ship is said to be wet if it ships water over the deck when in a seaway.

Zig-zag manoeuvre. A manoeuvre to study how a ship responds to rudder movements.
Appendix D: The Froude notation

For presenting resistance and propulsion data, Froude introduced a special notation which was commonly called the *constant notation* or the *circular notation*. Although not having been used for many years there is still a lot of data in the notation. Also the student needs knowledge of it if it is desired to read up the early work, much of which is fundamental to the subject. The rather curious name arose because the key characters were surrounded by circles.

Froude took has a characteristic length the cube root of the volume of displacement, and denoted this by $U$. He then defined the ship’s geometry with the following:

- $\mathcal{M} = \text{length constant} = \frac{\text{wetted length}}{U}$
- $\mathcal{B} = \text{breadth constant} = \frac{\text{wetted breadth}}{U}$
- $\mathcal{D} = \text{draught constant} = \frac{\text{draught at largest section}}{U}$
- $\mathcal{S} = \text{wetted surface constant} = \frac{\text{wetted surface area}}{U^2}$
- $\mathcal{A} = \text{section area constant} = \frac{\text{section area}}{U^2}$

In verbal debate $\mathcal{M}$ and $\mathcal{B}$ are referred to as ‘circular M’ and ‘circular B’ and so on.

To cover the ship’s performance Froude introduced:

- $\mathcal{K} = \frac{\text{speed of ship}}{\text{speed of wave of length } U/2}$
- $\mathcal{L} = \frac{\text{speed of ship}}{\text{speed of wave of length } L/2}$
- $\mathcal{C} = \frac{1000(\text{resistance})}{\Delta \, \delta^2}$

with subscripts to denote total, frictional or residuary resistance as necessary.
Although looking a little odd at first sight it was a truly non-dimensional form presentation. Whereas Schoenherr and the International Towing Tank Conference (ITTC) used Reynolds’ number as the basis for scaling the frictional resistance, Froude based his method on measurements of the resistance of planks extrapolated to ship-like lengths. He tried fitting the results with a formula such as:

\[ R_f = f SV^n \]

where \( f \) and \( n \) were empirical constants.

He found that both \( f \) and \( n \) depended upon the nature of the surface. For very rough surfaces \( n \) tended towards 2. The value of \( f \) reduced with increasing length. For smooth surfaces, at least, \( n \) tended to decline with increasing length.

Later his son proposed:

\[ R_f = f SV^{1.825} \]

in conjunction with \( f \) values as in Table D.1.

The \( f \) values in Table D.1 apply to a wax surface for a model and a freshly painted surface for a full scale ship.

Within the limits of experimental error, the values of \( f \) in the above formula, can be replaced by:

\[ f = 0.00871 + \frac{0.053}{8.8 + L} \]
where \( R_f \) is in lbf, \( l \) in ft, \( S \) in ft\(^2\) and \( V \) in knots, or:

\[
f = 1.365 + \frac{2.530}{2.68 + L}
\]

where \( R_f \) is in newtons, \( l \) in m, \( S \) in m\(^2\) and \( V \) in m/s.

**Froude method of calculating resistance**

*Elements of form diagram*

This diagram was used by Froude to present data from model resistance tests. Resistance is plotted as \( \frac{C}{H} \) curves, corrected to a standard 16 ft model. Separate curves are drawn for each ship condition used in the tests. Superimposed on these are curves of skin friction correction needed when passing from the 16 ft model to geometrically similar ships of varying length. The complete elements of form diagram includes, in addition, the principal dimensions and form coefficients, and non-dimensional plottings of the curve of areas, waterline and midship section.

Using Froude’s ‘circular’ or ‘constant’ notation:

\[
\begin{align*}
\mathcal{C}_f & = \frac{1000(\text{frictional resistance})}{\Delta R^2} \\
& = \frac{1000}{\rho g U^3} S V^{1.825} \\
& = \frac{4\pi V^2}{gU} \\
& = O \mathcal{O} L^{-0.175}
\end{align*}
\]

where:

\[
O = \frac{1000 f}{4\pi \rho \left( \frac{gL}{4\pi} \right)^{0.0875}} = \text{‘Circular O’}
\]

From which:

\[
[\mathcal{C}_f]_{\text{ship}} = [\mathcal{C}_f]_{\text{model}} - [O_m - O_s] \mathcal{O} L^{-0.175}
\]

A selection of \( O \) and \( f \) values are presented in Table D.2. These apply to a standard temperature of 15°C (59°F). The \( \mathcal{C}_f \) value is increased or decreased by 4.3 per cent for every 10°C (2.4 per cent for every 10°F) the temperature is below or above this value.
### Table D.2
R. E. Froude’s frictional data. $O$ and $f$ values. Frictional resistance $= fSV^{1.825}$ lbf. Values are for a standard temperature of $15^\circ$C, $f$ values are for salt water with $S$ in ft$^2$, $V$ in knots. Values of $f$ in fresh water may be obtained by multiplying by 0.975.

<table>
<thead>
<tr>
<th>Length (ft)</th>
<th>$O$</th>
<th>$f$</th>
<th>Length (ft)</th>
<th>$O$</th>
<th>$f$</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>0.15485</td>
<td>0.012585</td>
<td>80</td>
<td>0.08987</td>
<td>0.009309</td>
</tr>
<tr>
<td>10</td>
<td>0.13409</td>
<td>0.011579</td>
<td>90</td>
<td>0.08840</td>
<td>0.009252</td>
</tr>
<tr>
<td>15</td>
<td>0.12210</td>
<td>0.010925</td>
<td>100</td>
<td>0.08716</td>
<td>0.009207</td>
</tr>
<tr>
<td>20</td>
<td>0.11470</td>
<td>0.010524</td>
<td>200</td>
<td>0.08012</td>
<td>0.008992</td>
</tr>
<tr>
<td>25</td>
<td>0.10976</td>
<td>0.010269</td>
<td>300</td>
<td>0.07655</td>
<td>0.008902</td>
</tr>
<tr>
<td>30</td>
<td>0.10590</td>
<td>0.010068</td>
<td>400</td>
<td>0.07406</td>
<td>0.008832</td>
</tr>
<tr>
<td>35</td>
<td>0.10282</td>
<td>0.009908</td>
<td>500</td>
<td>0.07217</td>
<td>0.008776</td>
</tr>
<tr>
<td>40</td>
<td>0.10043</td>
<td>0.009791</td>
<td>600</td>
<td>0.07062</td>
<td>0.008726</td>
</tr>
<tr>
<td>45</td>
<td>0.09839</td>
<td>0.009691</td>
<td>700</td>
<td>0.06931</td>
<td>0.008680</td>
</tr>
<tr>
<td>50</td>
<td>0.09664</td>
<td>0.009607</td>
<td>800</td>
<td>0.06818</td>
<td>0.008659</td>
</tr>
<tr>
<td>60</td>
<td>0.09380</td>
<td>0.009475</td>
<td>1000</td>
<td>0.06636</td>
<td>0.008574</td>
</tr>
<tr>
<td>70</td>
<td>0.09164</td>
<td>0.009382</td>
<td>1200</td>
<td>0.06493</td>
<td>0.008524</td>
</tr>
</tbody>
</table>

### Table D.3
$L^{-0.175}$ values.

<table>
<thead>
<tr>
<th>$L$</th>
<th>$L^{-0.175}$</th>
<th>$L$</th>
<th>$L^{-0.175}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.05</td>
<td>1.6892</td>
<td>1.00</td>
<td>1.0000</td>
</tr>
<tr>
<td>0.10</td>
<td>1.4962</td>
<td>1.20</td>
<td>0.9686</td>
</tr>
<tr>
<td>0.15</td>
<td>1.3937</td>
<td>1.40</td>
<td>0.9428</td>
</tr>
<tr>
<td>0.20</td>
<td>1.3253</td>
<td>1.60</td>
<td>0.9210</td>
</tr>
<tr>
<td>0.30</td>
<td>1.2345</td>
<td>1.80</td>
<td>0.9023</td>
</tr>
<tr>
<td>0.40</td>
<td>1.1739</td>
<td>2.00</td>
<td>0.8858</td>
</tr>
<tr>
<td>0.50</td>
<td>1.1290</td>
<td>2.20</td>
<td>0.8711</td>
</tr>
<tr>
<td>0.60</td>
<td>1.0935</td>
<td>2.40</td>
<td>0.8580</td>
</tr>
<tr>
<td>0.70</td>
<td>1.0644</td>
<td>2.60</td>
<td>0.8460</td>
</tr>
<tr>
<td>0.80</td>
<td>1.0398</td>
<td>2.80</td>
<td>0.8351</td>
</tr>
<tr>
<td>0.90</td>
<td>1.0186</td>
<td>3.00</td>
<td>0.8251</td>
</tr>
</tbody>
</table>

### Froude applied to example 9.1

Assume a Froude coefficient, $f = 0.42134$
Midship area coefficient
Wetted surface area $= 3300$ m$^2$

**Solution**

Speed of model $= 15(4.9/140)^{0.5} = 2.81$ knots $= 1.44$ m/s
Wetted surface of model $= 3300(4.9/140)^2 = 4.04$ m$^2$
Froude coefficient for model = 1.698
(fresh water)
Frictional resistance of model = 1.698 \times 4.04 \times 2.81^{1.825} = 13.35 \text{ N}
Wave resistance of model = 19 - 13.35 = 5.65 \text{ N}
Wave resistance of Ship = 5.65 \times (1025/1000) \times (140/4.9)^3
= 135\,060 \text{ N}
Speed of ship = 15 \times 0.5148 = 7.72 \text{ m/s}
Frictional resistance of ship = 1.415 \times 3300 \times (7.72)^{1.825}
= 194\,600 \text{ N}
Total resistance of ship = 329\,660 \text{ N}
It would then follow that the
effective power = (329\,660 \times 15 \times 1852)/
(1000 \times 3600)
= 2544 \text{ kW}
Appendix E: Questions

The following questions are presented for the benefit of students and lecturers using *Introduction to Naval Architecture*. Solutions to Questions can be freely accessed online by tutors and lecturers who either adopt or recommend the book. To access this material please visit [http://books.elsevier.com/manuals](http://books.elsevier.com/manuals) for details of how to obtain a password to our manuals site.

Although grouped by chapters (we begin in Chapter 2), additional matter relevant to the answer may be found in other chapters of the book. Also the student is encouraged to carry out additional research to provide more up-to-date, or more complete, information than that which can be contained in a single volume. In this research use can be made of the web sites listed in the book.

Chapter 2. SHIP DESIGN

**Question 1.** How would you expect a good set of ship requirements to be set out? What would you expect them to cover?

**Question 2.** What factors should be taken into account in assessing the cost of a ship? How can different design solutions be compared?

**Question 3.** Describe the design process and discuss the various phases of design?

**Question 4.** Discuss the impact of computers upon the design, build and operation of ships.

**Question 5.** Describe how a formal safety assessment can be conducted.

Chapter 3. DEFINITION AND REGULATION

**Question 1.** How is the overall geometry of a ship defined? How are the coefficients of fineness related?

**Question 2.** Discuss the various displacements and tonnages used to define the overall size of a ship. Why are freeboard and reserve of buoyancy important?
Question 3. Discuss the various international and national bodies linked to shipping and their roles.

Question 4. A ship floating freely in salt water is 150 m long, 22 m beam and 9 m draught and has a mass displacement of 24 000 tonnes. The midships wetted area is 180 m². Find the block, prismatic and midship area coefficients.

Question 5. A circular cylinder 100 m long and 7 m diameter floats with its axis in the waterline. Find its mass in tonnes in salt water. How much must the mass be reduced to float with its axis in the waterline in fresh water?

Chapter 4. SHIP FORM CALCULATIONS

Question 1. What do you understand by approximate integration? Discuss the various rules used in simple naval architectural calculations and how they are used.

Question 2. Show that Simpson’s 1, 4, 1 Rule is accurate for defining the area under a curve defined by the equation $y = a + bx + cx^2 + dx^3$, between $x = -h$ to $x = h$.

Question 3. Find the area of the waterplane defined by the following half-ordinates (m) which are 16 m apart:

2.50, 11.00, 20.50, 27.00, 29.50, 29.00, 25.00, 18.00, 7.00

Question 4. The half-ordinates (m) of a section of a ship at waterlines which are 1.5 m apart are, reading from the design waterplane down:

33.45, 33.30, 32.55, 30.90, 25.80, 12

At the turn of bilge, midway between the last two half-ordinates, an extra half ordinate is 19.80 m.

Find the area of the section up to the design waterplane and the height of the centroid of area above the keel.

Question 5. The half-ordinates (m) of a waterplane, which are 6 m apart, are given by:

11.16, 24.84, 39.42, 47.52, 40.23, 26.46, 13.23

Calculate, and compare, the areas of the waterplane as given by the 1, 4, 1 Rule, the 1, 3, 3, 1 Rule and the trapezoidal rule.
**Question 6.** A three-dimensional body 54 m long has regularly spaced sectional areas (m²) of:

1.13, 2.50, 4.49, 6.40, 9.92, 14.52, 20.35, 26.18, 29.62, 29.59, 27.07, 21.48, 15.19, 10.08, 6.83, 4.48, 3.13, 2.47, 0.00

Calculate the volume of the body and the distance of its centroid of volume from the centre of length.

**Question 7.** Find the area, tonnes per cm, centre of flotation and the transverse inertia of the waterplane defined by the following half-ordinates (m) which are 15 m apart:

0.26, 2.99, 8.32, 12.87, 16.38, 17.55, 17.94, 17.81, 16.64, 13.78, 8.32, 2.47, 0.26

If the displacement is 70 000 tonnes what is the value of BM?

**Question 8.** A homogeneous log of 1 m² cross section and 6 m long is floating in a position of stable equilibrium. The log’s density is half that of the water in which it is floating. Find the longitudinal metacentric height.

---

**Chapter 5. FLOTATION AND INITIAL STABILITY**

**Question 1.** What do you understand by equilibrium? For a ship being heeled to small angles what do you understand by stability? What do you understand by the term metacentre? What is the significance of the positions of the centre of gravity and the metacentre in relation to stability?

**Question 2.** A rectangular box of length, \( L \), beam, \( B \), and depth, \( D \), floats at a uniform draught, \( T \). Deduce expressions for \( KB, BM \) and \( KM \) in terms of the principal dimensions. If the beam is 9 m what must be the draught for the metacentre to lie in the waterplane?

**Question 3.** A uniform body of regular triangular cross section of length, \( L \), base, \( B \), and height, \( H \), floats apex down. If its density is half that of the water in which it is floating, find expressions for \( KB, BM \) and \( KM \) in terms of \( B \) and \( H \).

**Question 4.** Describe an easy way to establish whether a complex three-dimensional fitting is made of brass, lead or steel.

**Question 5.** A small craft is floating in an enclosed dock. Then:

1. Two bulks of timber floating in the dock are lifted on to the craft.
2. A large stone in the craft is dumped into the dock.

State whether the water depth in the dock will increase, decrease or remain the same in each case. Does your answer depend upon the density of the water?
**Question 6.** When and why is an inclining experiment carried out? Discuss how it is carried out and the steps taken to ensure accurate results.

**Question 7.** A ship of 11 500 tonnes is inclined using four groups of weights, each group of 20 tonnes separated by 12 m across the upper deck. The weights are moved in sequence, leading to the following deflections of a pendulum 6 m long. Each weight movement is 12 m transversely.

<table>
<thead>
<tr>
<th>Weight moved (tonnes)</th>
<th>Movement</th>
<th>Pendulum reading (m)</th>
</tr>
</thead>
<tbody>
<tr>
<td>20</td>
<td>P to S</td>
<td>0.13 to S</td>
</tr>
<tr>
<td>20</td>
<td>P to S</td>
<td>0.25 to S</td>
</tr>
<tr>
<td>40</td>
<td>S to P</td>
<td>0.01 to S</td>
</tr>
<tr>
<td>20</td>
<td>S to P</td>
<td>0.11 to P</td>
</tr>
<tr>
<td>20</td>
<td>S to P</td>
<td>0.23 to P</td>
</tr>
<tr>
<td>40</td>
<td>P to S</td>
<td>0.01 to S</td>
</tr>
</tbody>
</table>

Comment upon the readings and deduce the metacentric height as inclined.

**Question 8.** Show how the position of the metacentre for a right cylinder of circular cross section, floating with its axis horizontal, to show that the centroid of a semicircle of diameter $d$ is $2d/3\pi$ from the diameter.

**Question 9.** A waterplane is defined by the following half-ordinates, spaced 6 m apart:

0.12, 2.25, 4.35, 6.30, 7.98, 9.27, 10.20, 10.80, 11.10, 11.19, 11.19, 11.19, 11.19, 11.16, 11.13, 11.04, 10.74, 10.02, 8.64, 6.51, 3.45

If the ship’s displacement is 14 540 tonnes in salt water, find:

(1) The waterplane area.
(2) The longitudinal position of the centre of flotation.
(3) $BM_T$
(4) $BM_L$

**Question 10.** The half ordinates defining a ship’s waterplane, reading from forward, are:

0.12, 6.36, 12.96, 18.00, 21.12, 22.20, 22.08, 21.00, 18.36, 12.96, 4.56

The ordinates are 30 m apart and there is an additional (total) area aft of the last ordinate of 78 m² with its centroid 4.8 m aft of the last ordinate.

Find:

(1) The total waterplane area.
(2) The position of the centre of flotation.
(3) The longitudinal inertia about the CF.
Question 11. A ship of 100 metres length floats in sea water at a draught of 4 m forward and 4.73 m aft. Data for the ship is:

- Tonnes per cm = 12.
- Centre of flotation is 4.1 m aft of amidships.
- Moment to change trim 1 m = 3700 tonnes m/m.

Where should a weight, of 50 tonnes, be added to bring the ship to a level keel? What is the new level draught?

Chapter 6. THE EXTERNAL ENVIRONMENT

Question 1. Discuss the features of the environment in which a ship operates that affect its design.

Question 2. Discuss the two ‘standard’ waves used in ship design. The sea surface usually looks completely irregular. How does the naval architect define such seas?

Question 3. Discuss the international rules governing the pollution of the environment by ships. What steps can be taken by the naval architect to reduce or eliminate pollution?

Chapter 7. STABILITY AT LARGE ANGLES

Question 1. How is a ship’s stability at large angles measured? Why are cross curves used? What do you understand by an angle of loll?

Question 2. What effects do liquid free surfaces in a ship have on its stability? Can other cargoes present similar effects?

Question 3. Why does a ship need stability? What factors are usually considered in setting standards?

Question 4. A ship with vertical sides in way of the waterline is said to be wall sided. Show for such a vessel that for inclinations, ϕ, within the range of wall-sidedness:

\[ GZ = \sin \varphi \left[ GM + \frac{1}{2} BM \tan^2 \varphi \right] \]

Question 5. Show that a wall-sided ship with an initial metacentric height, GM, which is negative will loll to an angle, ϕ, such that:

\[ \tan \varphi = +\left[ 2GM/BM \right]^{0.5} \quad \text{or} \quad -\left[ 2GM/BM \right]^{0.5} \]

Question 6. A ship of 10 000 tonnes has cross curves of stability which give, for that displacement, SZ values of:

<table>
<thead>
<tr>
<th>ϕ(°)</th>
<th>15</th>
<th>30</th>
<th>45</th>
<th>60</th>
<th>75</th>
<th>90</th>
</tr>
</thead>
<tbody>
<tr>
<td>SZ (m)</td>
<td>0.85</td>
<td>1.84</td>
<td>2.82</td>
<td>2.80</td>
<td>2.06</td>
<td>1.14</td>
</tr>
</tbody>
</table>
If the pole S is 0.5 m below G:

1. find the corresponding values of GZ,
2. plot the GZ curve,
3. find the angle and value of the maximum GZ,
4. find the angle of vanishing stability.

**Question 7.** A ship of 12 000 tonnes has cross curves of stability which give, for that displacement, SZ values of:

<table>
<thead>
<tr>
<th>( \varphi (°) )</th>
<th>15</th>
<th>30</th>
<th>45</th>
<th>60</th>
<th>75</th>
<th>90</th>
</tr>
</thead>
<tbody>
<tr>
<td>SZ (m)</td>
<td>0.68</td>
<td>1.64</td>
<td>2.58</td>
<td>2.86</td>
<td>2.72</td>
<td>2.20</td>
</tr>
</tbody>
</table>

If the pole S is 0.65 m below G:

1. find the corresponding values of GZ,
2. plot the GZ curve,
3. find the angle and value of the maximum GZ,
4. find the angle of vanishing stability,
5. find the dynamical stability up to 60°.

Chapter 8. LAUNCHING, DOCKING AND GROUNDING

**Question 1.** Discuss the means of transferring ships from dry land to the sea on first build. Describe the slipway and the means of ensuring a successful conventional launch.

**Question 2.** Discuss the calculations carried out to ensure a successful end on launch. What safety precautions are taken?

**Question 3.** Discuss the docking process and the precautions taken to ensure a successful operation.

Chapter 9. RESISTANCE

**Question 1.** Name two key relationships between the physical quantities that are important in a study of the resistance of ships. Why is each important?

**Question 2.** Discuss the various types of resistance encountered by a ship. How can they be reduced? What is their relative importance at different speed regimes?

**Question 3.** Discuss how ship model data can be used to determine ship resistance.

**Question 4.** How are the following used?

1. The ITTC correlation line.
Question 5. What do you understand by roughness? How does it come about? How is it measured? How can it be reduced?

Question 6. Describe a typical ship tank in which resistance experiments are carried out. How can model results be compared with full scale data? Why are special ship trials needed?

Chapter 10. PROPULSION

Question 1. Discuss what you understand by the term effective power. What are the factors affecting the overall propulsive efficiency?

Question 2. Outline the simple momentum theory for a propulsor. Show that the ideal efficiency is related to the axial and rotational inflow factors.

Question 3. Discuss the physical features of a screw propeller and the blade section. How is thrust produced and torque estimated?

Question 4. What do you understand by the term cavitation? Why is it important, how is it studied and how can it be reduced?

Question 5. Discuss the various types of propulsive device used to propel marine vehicles.

Question 6. Describe the use of a measured distance to determine a ship’s speed accurately. What precautions are taken to achieve an accurate result?

Chapter 11. SHIP DYNAMICS

Question 1. What do you understand by the terms simple harmonic motion, added mass, damping, tuning factor and magnification factor?

Question 2. What are the main causes of vibration in ships? How can the levels of vibration be reduced?

Question 3. Show that in the case of undamped, small amplitude motion, a ship when heeled in still water, and released, will roll in simple harmonic motion. Deduce the period of roll. Repeat this for a ship heaving.

Chapter 12. SEAKEEPING

Question 1. Discuss how ship motion data can be presented, including the concepts of response amplitude operators and an energy spectrum.
Question 2. Discuss the various factors that can affect a ship's performance in waves. How can some of these affects be minimized?

Question 3. Discuss how the overall seakeeping performance of two different designs can be compared.

Question 4. Discuss the various ways in which seakeeping data can be acquired.

Question 5. Discuss how a ship can be stabilized to reduce roll motions.

Question 6. Discuss, in general terms, the effect of ship form on seakeeping performance.

Chapter 13. MANOEUVRING

Question 1. Discuss what you understand by directional stability and manoeuvring. How are these attributes provided in a ship?

Question 2. Describe a number of measures that can be used to define a ship's manoeuvring characteristics.

Question 3. Describe, and sketch, a number of different types of rudder. How are rudder forces and torques on a conventional rudder calculated?

Chapter 14. MAIN HULL STRENGTH

Question 1. Discuss how a ship structure may fail in service.

Question 2. Outline the simple standard method of assessing a ship’s longitudinal strength. How are bending moments translated into hull stresses?

Question 3. Discuss superstructures and their contribution to longitudinal strength.

Question 4. Discuss the transverse strength of a ship, the loading and methods of calculation.

Chapter 15. STRUCTURAL ELEMENTS

Question 1. Discuss fatigue, cracking and stress concentrations in relation to ship type structures.

Question 2. Discuss buckling and load shortening curves in relation to ships' structures.
Chapter 16. THE INTERNAL ENVIRONMENT

**Question 1.** Discuss the important features of a ship’s internal environment. Why are they important and how are they controlled?

**Question 2.** Discuss how noise is measured. How does it vary with distance from the source? How does it arise in a ship and why should it be reduced as much as possible? How can noise levels be reduced in critical areas?

Chapter 17. SHIP TYPES

**Question 1.** Discuss the various types of merchant ships. Discuss how container ships were evolved and the advantages they possess over general cargo carriers.

**Question 2.** Discuss the various types of fast craft with their advantages and disadvantages. Indicate typical uses to which each type is put. How would you go about comparing the relative merits of several types?

**Question 3.** Discuss the various types of tug and their main design features.

**Question 4.** Discuss the types of passenger vessel now in use.

**Question 5.** Discuss a number of warship types. Give their main functions. Discuss in more detail the design and use of destroyers and frigates.

**Question 6.** What are the main features of submarines that distinguish them from surface ships?
References and Further reading

See Appendix A for remarks on sources generally. References are given below, grouped by chapter, to assist the reader in following up various aspects of the subject in more detail. In turn these references will provide information on the sources used and further references for additional reading if required.

Where the papers quoted are contained in the transactions of a learned society they are referred to as, for instance, TRINA, TSNAME, etc. Two other institutions which no longer exist but whose papers are quoted are:

- The Institution of Engineers and Shipbuilders of Scotland (TIESS).
- The North East Coast Institution of Engineers and Shipbuilders (TNECI).

For references to articles in the Naval Architect the abbreviation NA is used.

Other references are taken from the published papers of research organizations, classification Societies, the British Standards Institute (BSI) and government organizations.

Useful sources for the latest developments in hydrodynamics and structures are the papers of:

- The International Towing Tank Conference (ITTC).
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